# Meiosis-Specific Double-Strand DNA Breaks at the HIS4 Recombination Hot Spot in the Yeast Saccharomyces cerevisiae: Control in cis and trans

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The region of *Saccharomyces cerevisiae* chromosome III located between the 5' end of the *HIS4* gene and the 3' end of the adjacent *BIK1* gene has a very high level of meiotic recombination. In wild-type strains, a meiosis-specific double-strand DNA break occurs in the hot spot region. This break is absent in strains in which the transcription factors Rap1p, Bas1p, and Bas2p cannot bind to the region upstream of *HIS4*. In strains with levels of recombination that are higher than those of the wild type, the break is found at elevated levels. The linear relationship between hot spot activity and the frequency of double-strand DNA breaks suggests that these lesions are responsible for initiating recombination at the *HIS4* recombination hot spot.

A number of meiotic recombination hot spots in Saccharomyces cerevisiae and Schizosaccharomyces pombe have been characterized (30). Hot spots are defined as chromosomal regions associated with unusually high levels of crossovers or aberrant segregation (gene conversion or postmeiotic segregation). Deletion analysis has localized hot spots to small chromosomal regions at the HIS4 (12, 44, 45), ARG4 (9, 28, 40), HIS2 (23), and THR4 (18) loci in S. cerevisiae and at the ade6 locus of S. pombe (31, 42); in addition, hot spots have been observed in yeast chromosomes containing a duplication of LEU2 (7), an insertion of a Tn3-derived transposable element (38), and in a centromere-linked region of chromosome III (41). For many of these hot spots, meiosis-specific doublestrand breaks (DSBs) that map near the hot spot have been detected (7, 16, 26, 39, 48, 49). We will discuss in detail only the ARG4 and HIS4 hot spots.

At the HIS4 and ARG4 loci, the hot spot is located 5' of the coding sequence (12, 30). This position is consistent with the observed gradient of gene conversion at these loci, with the 5' end representing the high end of the gradient (12, 15). At the ARG4 locus, most deletions that remove the region located between positions -139 and +3 cause loss of hot spot activity (9, 28). This region contains a poly(A) tract, and deletion of this tract reduces gene conversion at ARG4 three- to fourfold (36). There is a meiosis-specific DSB in the region located about 200 bp upstream of the coding sequence (39). In general, deletions that lower the frequency of meiotic recombination also lower the amount of the DSB, although the level of DSB was not precisely correlated with the amount of recombination for all of the deletions (9). In rad50S strains, in which the ends resulting from DSBs are not processed (1), the frequency of DSBs at the ARG4 locus is approximately that expected if these lesions represent the initiating event for meiotic recombination (9, 43).

A deletion of DNA sequences located between the 5' end of HIS4 and the 3' end of the neighboring BIK1 gene lowers the frequency of meiotic recombination at both loci (12), indicating that this site stimulates recombination bidirectionally. Subsequent studies (32) showed that individual recombination

events usually involved either *HIS4* or *BIK1*. Four transcription factors are known to bind upstream of *HIS4*: Gcn4p, Bas1p, Bas2p, and Rap1p (2, 3, 13). In studies of the effects of mutations that eliminate the binding sites for these proteins or the structural genes encoding the proteins, White et al. (45, 46) showed that Bas1p, Bas2p, and Rap1p are required for hot spot activity but Gcn4p is dispensable. The requirement for transcription factors for hot spot activity is not directly related to a requirement for high levels of transcription, because deletion of the TATAA promoter element has no effect on hot spot activity (44). Although the wild-type hot spot requires Bas1p, Bas2p, and Rap1p, duplication of the Rap1p binding site or insertion of yeast telomeric DNA (which binds Rap1p) creates a very strong meiotic recombination hot spot at *HIS4* in the absence of Bas1p and Bas2p (45).

Although in wild-type strains a meiosis-specific DSB at HIS4 is difficult to see by Southern analysis, in rad50S strains, the break near the hot spot region is readily visualized (26). In this report, we examine the frequency of this break in strains with mutations affecting the binding sites of transcription factors or mutations eliminating the transcription factors. We show that the efficiency of hot spot activity measured genetically (aberrant segregation frequency of markers in HIS4) correlates well with the level of DSBs in the hot spot region. Mutations that eliminate the Rap1p binding site or the Bas1 or Bas2 protein eliminate the DSB. The recombination events that occur in the absence of hot spot activity (basal level recombination) appear to be independent of a DSB in the hot spot region. In addition, we show that one of the haploid parents of our diploid strain can be induced to form a DSB at the same position as in the diploid because the haploid has an rme1 mutation.

#### MATERIALS AND METHODS

**Plasmids.** All of the plasmids used in this study, except for those specifically noted, contained yeast DNA insertions in the *Bam*HI sites of cloning vectors YIp5 and B142 (a derivative of YIp5 that lacks the *Pvu*II site). The names of the plasmids, the mutant alterations contained in the plasmids, and the references for their construction are summarized as follows: pCIG17 (*his4-Sal* [46]), p42 (*his4-ATC* [10]), pDN13 (*his4-lopc* [45]), pDN4 (*his4-lop* [46]), pDN22 (*his4-IR9* [45]), pPD5 (*his4-Δ52* [45]), pPD8 (*his4-fip* [46]), pMW35 (*his4-51* [46]), pMW50 (*his4-202*; telomere insertion upstream of *HIS4* [45]), pMW53 (*his4-204*; oligonucleotide with two Rap1p binding sites inserted upstream of *HIS4* [45]), AB289 (*bas1-1* 

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Name	Upstream alteration	Alteration of coding sequence	Other change(s)	Parental strain	Plasmid used to insert alteration	Name of <i>rad50S</i> derivative	
AS4	$WT^a$	WT	WT			DNY107	
PD63	$his4-\Delta52$	WT	WT	AS4	pPD5		
MW30	his4-51	WT	WT	AS4	pMW35	HF2	
PD104	his4-flp	WT	WT	AS4	pPD8	QFY17	
MW78	WT	WT	bas1-1	AS4	AB289	QFY4	
MW71	WT	WT	bas2-2	AS4	AB328	QFY6	
MW73	his4-202	WT	WT	PD63	pMW50	HF6	
MW82	his4-204	WT	WT	PD63	pMW55	QFY9	
MW83	his4-205	WT	WT	PD63	pMW56	QFY11	
QFY13	WT	WT	bas1-1 bas2-2	MW71	AB289	QFY15	

TABLE 1. Haploid derivatives of strain AS4

<sup>a</sup> WT, wild type.

[45]), and AB328 (*bas2-2* [45]). Plasmids AB289 and AB328 were provided by K. Arndt (Cold Spring Harbor Laboratory), and p42 was provided by T. Donahue (Indiana University).

The plasmid pDN42 (used for Southern analysis) had a 1.6-kb XhoI-Bg/II fragment that includes most of the HIS4 coding sequence inserted into XhoI-BamHI-treated Bluescript pBSIISK(-) (26). The plasmid pHY10-1 (provided by A. Mitchell, Columbia University) had an *ime1* deletion mutation cloned in a vector with a UR43 marker. The plasmid pLS88 had a 4-kb HindIII fragment (containing the region of chromosome III with the wild-type allele of the gene affecting sporulation in AS4) cloned into YCp50 (41). The plasmid pSG205 (provided by C. Atcheson, University of Chicago) had a HindIII fragment with the *RAD50* gene cloned in YCp50. The plasmid 3C3-1 (also called pAM204, obtained from A. Mitchell, Columbia University) had the *RME1* gene cloned into YCp50 (24). The plasmid pDN47 (provided by D. Nag, New York State Department of Health) was derived from the plasmid pCA9 (provided by C. Atcheson, University of Chicago) by substituting the *URA3* insertion disrupting *SPO11* with a *LEU2* insertion. The plasmid pAK1 (provided by A. Kazantsev, University of North Carolina) contained a 3-kb *Pst1-Hind*III fragment with the *ARG4* gene and flanking sequences in the vector YRplac33.

**Yeast strains.** Most of the yeast strains in this study were derived by transformation from the haploid strains AS4 (a *trp1 arg4-17 tyr7 ade6 ura3*) and AS13 (a *leu2 ade6 ura3*) described previously (38). The construction of various haploids is summarized in Tables 1 and 2. All alterations were done with the two-step transplacement procedure (35), except for the insertion of the *rad50S* mutation, which was done by one-step transplacement (34). To construct *rad50S* derivatives of various haploid strains, we treated pNKY349 with *Eco*RI and *Bam*HI, and selected Ura<sup>+</sup> transformants. The resulting transformants were screened for sensitivity to methyl-methane sulfonate (1).

Diploid strains, R4D50, and isogenic rad50S derivatives, were constructed by the following crosses (R4D50 strain listed first): (i) DNY48 (AS4 × DNY47), QFY105 (DNY107 × QFY7); (ii) DNY26 (AS4 × DNY25), FX3 (DNY107 × HF4); (iii) DNY11 (AS4 × DNY9), FX1 (DNY107 × HF1); (iv) MW118  $\begin{array}{l} (MW30 \times MW33), FX2 \ (HF2 \times HF3); \ (v) \ PD106 \ (PD104 \times PD105), \ QFY110 \\ (QFY17 \times QFY16); \ (vi) \ MW154 \ (MW73 \times MW72), \ FX4 \ (HF6 \times HF5); \ (vii) \\ MW160 \ (MW81 \times MW79), \ FX6 \ (HF8 \times HF7); \ (vii) \ QFY101 \ (MW82 \times QFY1), \ QFY106 \ (QFY9 \times QFY8); \ (ix) \ QFY102 \ (MW83 \times QFY2), \ QFY107 \ (QFY11 \times QFY10); \ (x) \ MW158 \ (MW78 \times MW77), \ QFY103 \ (QFY4 \times QFY3); \ (xi) \ MW153 \ (MW71 \times MW67), \ QFY104 \ (QFY6 \times QFY5); \ (xii) \ QFY108 \ (QFY13 \times QFY12), \ QFY109 \ (QFY15 \times QFY14); \ and \ (xiii) \ PD84 \ (AS4 \times PD74). \end{array}$ 

Several strains were used for analysis of meiosis-specific DSBs at ARG4. The haploid strain MW81 was derived from AS4 by two-step transplacement with the plasmid pMW53, resulting in the insertion of telomeric sequences upstream of ARG4 (45); similarly, the haploid strain MW79 was derived from AS13. The diploid strain MW160 was made by crossing MW81 and HW79. rad508 derivatives of the haploid strains (HF8 derived from MW81 and HF7 derived from MW79) were constructed with the plasmid pNKY349, as described above; the diploid FX6 was made by crossing HF7 and HF8. The wild-type level of ARG4 DSBs was examined in the diploid DNY115, constructed by crossing rad50S haploid derivatives of AS4 (DNY107) and AS13 (DNY106).

The remaining yeast strains were constructed for analysis of haploid-specific DSBs. The *rad50S* haploid strains HF5 and HF6 had telomeric insertions upstream of *HIS4*. Derivatives of these strains with *ura3* mutations (HF5U and HF6U) were isolated by plating the strains on medium containing 5-fluoro-orotate (5); the diploid constructed by mating HF5U and HF6U was FX4U. The mutation in HF6U that affects sporulation (41) was complemented by transforming HF6U with the plasmid pLS88, resulting in the strain HF13. An *ime1* mutation was introduced into HF5U (resulting in the strain HF13. An *ime1* plasmid pHY10-1 by two-step transplacement. An *spo11* derivative of HF5U (HF11) was generated by one-step transplacement with an *Sph1-Hind*III digest of pDN47 DNA. A sporulation-competent (*R4D50*) derivative of FX4U (FX7) was constructed by transforming FX4U with the plasmid pSG205. An *RME1* derivative of HF5U (HF15) was constructed by transformation with the plasmid 3C3-1. To examine the complementation of the mutation in HF5 with *rme1*, we

TABLE 2. Haploid derivatives of strain AS13

Name	Upstream alteration	Alteration of coding sequence	Other change(s)	Parental strain	Plasmid used to insert alteration	Name of <i>rad50S</i> derivative	
AS13	$WT^a$	WT	WT				
DNY47	WT	his4-IR9	WT	A\$13	pDN22	OFY7	
MW1	WT	his4-Sal	WT	A\$13	pC1G17	-	
PD74	WT	his4-ATC	WT	A\$13	p42		
DNY25	WT	his4-lopc	WT	A\$13	pDN13	HF4	
DNY9	WT	his4-lop	WT	A\$13	pDN4	HF1	
PD57	$his4-\Delta52$	WT	WT	AS13	pPD5		
MW62	WT	WT	bas2-2	AS13	AB328		
MW77	WT	his4-IR9	bas1-1	DNY47	AB289	QFY3	
PD105	his4-flp	his4-Sal	WT	MW1	pPD8	QFY16	
MW33	his4-51	his4-lop	WT	DNY9	pMW35	HF3	
MW68	his4-202	WT	WT	PD57	pMW50		
PD80	$his4-\Delta52$	his4-lopc	WT	PD57	pDN13		
MW67	WT	his4-IR9	bas2-2	MW62	pDN22	QFY5	
MW72	his4-202	his4-lopc	WT	MW68	pDN13	HF5	
OFY1	his4-204	his4-lopc	WT	PD80	pMW55	OFY8	
QFY2	his4-205	his4-lopc	WT	PD80	pMW56	QFY10	
QFY12	WT	his4-IR9	bas1-1 bas2-2	MW67	AB289	QFY14	

<sup>a</sup> WT, wild type.

fused HF5 with the tester strain DH223 (a *trp1 his3 ade2-10 rme1::LEU2 FUS1-lacz* fusion; obtained from K. Tatchell, North Carolina State University) to create the diploid FX23.

Media and genetic techniques. In general, standard procedures for mating, transformation, and dissection were used (37). As noted in the Results section, cells were sporulated either at 18°C on solid sporulation medium (10) or at 25°C in liquid medium under the conditions specified previously (26). Strains were usually dissected after 2 to 3 days in sporulation medium at 25°C or after 3 to 5 days in sporulation medium at 18°C. Aberrant segregation of heterozygous *HIS4* mutations was monitored by examining the colonies microscopically in order to detect small sectors. To fuse cells of the same mating type, we mixed spheroplasts derived from the two strains under the conditions described for transformation by Becker and Guarente (4).

Analysis of DSBs in DNA. DNA was isolated from cells sporulated in 1% potassium acetate at 25°C by methods described by Goyon and Lichten (19) and Nag and Petes (26). In brief, for each time point, about  $3 \times 10^8$  cells were harvested by centrifugation and treated with Zymolyase. The resulting spheroplasts were lysed with detergent and treated with proteinase K and RNase. The DNA was precipitated and washed with ethanol. To examine the DSBs at *HIS4* or *ARG4*, we treated the DNA with *Bgl*II and the resulting fragments were separated on a 0.8% agarose gel. Standard Southern analysis was performed with the intact plasmid pDN42 (*HIS4*) or a 1-kb *Eco*RV-*Bgl*II fragment derived from pAK1 (*ARG4*) as the hybridization probe. Quantitation of the resulting blots was done with a Molecular Dynamics phosphorimager with procedures recommended by the manufacturer.

**Statistical methods.** Comparisons between classes of events were done with a contingency chi-square test or a Fisher exact test (when the classes had fewer than five events). Values of P < 0.05 were considered statistically significant.

#### RESULTS

Hot spot activity in strains with sequence alterations upstream of HIS4. The HIS4-BIK1 region is shown in Fig. 1. In previous studies (45, 46), we showed that strains with mutations in the Rap1p binding site upstream of HIS4 or that lacked Bas1p or Bas2p showed levels of aberrant segregation of 15 to 18% for palindromic markers inserted near the beginning of the HIS4 gene. Although this recombination rate is high relative to those in some areas of the yeast genome, it is about twoto threefold reduced from the level of aberrant segregation in the wild-type strain; in addition, because these mutational changes eliminate the polarity gradient at HIS4 (12), these alterations eliminate hot spot activity. We also found that an insertion of telomeric sequences replacing the normal upstream region of HIS4 resulted in a very strong recombination hot spot (45). Because telomeric sequences bind Rap1p (6) and iterated nontelomeric Rap1p binding sites stimulate recombination when inserted heterozygously in front of HIS4, we suggested that duplicated Rap1p binding sites could function as a strong recombination hot spot, although a single Rap1 binding site was insufficient.

Several of the studies cited above, in which we examined the effects of various oligonucleotides containing Rap1p binding sites, were done with heterozygous insertions. Because heterozygosity of the insertion might influence the ability to initiate a recombination event, we have examined the effects of homozygous insertions that have one or more Rap1p binding sites. In one strain, QFY101, an oligonucleotide containing a duplication of the Rap1p binding site in front of HIS4 was used to replace the normal upstream sequences on both homologs. The frequency of aberrant segregation (63%) of the heterozygous marker in HIS4 (his4-lopc) in this strain was extraordinarily high (Table 3). This level of recombination was close to that observed in a strain (MW154) with the telomeric insertion in front of HIS4 (72%). The strain QFY102 had the same insertion as QFY101, except that one of the Rap1p binding sites was disrupted by four single-base-pair changes. In this strain, the aberrant segregation frequency of his4-lopc was reduced to 29%. Although the frequency of aberrant segregation was clearly lowered by eliminating one of the Rap1p binding sites, it was higher than the 15 to 18% observed in

a.



FIG. 1. Physical maps of the HIS4 and ARG4 recombination hot spots (based partly on data derived from references 2, 3, 9, 13, and 39). Coding sequences are indicated by rectangles, and the directions of transcription are indicated by arrows within the rectangles. Solid ovals represent TATAA sequences. Vertical arrows above the map indicate the start of transcription, whereas vertical arrows below the map indicate the positions of DSBs. Expanded regions above the linear map represent protein-binding sites with the approximate positions of the binding proteins indicated by horizontal lines. The numbers associated with the expanded regions represent the distance to the initiation codon of HIS4 or ARG4. Restriction enzymes: X, XhoI; Bg, BglII; S, SalI; RV, EcoRV. (a) Map of the wild-type hot spot region associated with HIS4. The hybridization probe used to detect the DSB (pDN42) contained sequences between the HIS4 XhoI and BglII sites. Mutations used to monitor aberrant segregation were located at the SalI site. (b) Map of the hot spot associated with two Rap1p binding sites upstream of HIS4. In these strains, the wild-type binding sites upstream of HIS4 were deleted and replaced with an oligonucleotide containing two Rap1p binding sites (45). Such strains have elevated hot spot activity. (c) Map of the ARG4 hot spot with and without a telomeric insertion. The positions of DSBs in the wild-type strain are indicated by the vertical arrows, located below the map, closest to the horizontal line; the positions of DSBs in the strain with the telomeric insertion are shown by the vertical arrows located below the arrows representing the wild-type DSBs. The sizes of the arrows reflect the frequency of the DSBs.

strains with disruptions of Bas1p and Bas2p (MW158 and MW153 [Table 3]). Thus, although a single Rap1p binding site in the context of the wild-type hot spot does not stimulate recombination in the absence of Bas1p or Bas2p, the single effective Rap1p binding site in QFY102 appears to have some hot spot activity in the absence of the other protein binding sites.

One explanation of these observations is that the binding of Rap1p is necessary for wild-type hot spot activity; although the binding of both Bas1p and Bas2p facilitates the binding of Rap1p, the binding of Bas1p in the absence of Bas2p or vice versa prevents the binding of Rap1p. This model makes the prediction that strains that have had both Bas1p and Bas2p deleted will have normal hot spot activity. We found, however, that the *bas1 bas2* double-mutant strain (QFY108) had about

TABLE 3. Aberrant segregation patterns of HIS4 markers at 18 and 25°C

	Strain ( <i>rad50S</i> derivative)	Relevant genotype	No. of tetrads in each class								
Temp			6:2	2:6	5:3	3:5	Aberrant 4:4	Other aberrant segregation <sup>a</sup>	no. of tetrads	% Aberrant segregation	% DSBs <sup>b</sup>
18°C	MW118 <sup>c</sup> (FX2)	<u>his4-51 his4-lop</u> his4-51 HIS4	7	2	20	24	2	4	325	18	ND
	MW158 <sup>d</sup> (QFY103)	<u>bas1-1 his4-IR9</u> bas1-1 HIS4	7	6	16	15	5	1	335	15	ND
	MW153 <sup>d</sup> (QFY104)	<u>bas2-2 his4-IR9</u> bas2-2 HIS4	3	5	14	14	4	2	247	17	ND
	QFY108 (QFY109)	<u>bas1-1</u> <u>bas2-2</u> <u>his4-IR9</u> bas1-1 bas2-2 HIS4	1	8	22	12	3	1	288	16	ND
	QFY102 (QFY107)	<u>his4-205 his4-lopc</u> his4-205 HIS4	14	10	33	28	8	1	329	29	ND
	$DNY11^e$ (FX1)	<u>his4-lop</u> HIS4	5	6	18	13	4	6	117	44	ND
	$DNY26^{e}$ (FX3)	<u>his4-lopc</u> HIS4	30	16	89	72	15	24	494	50	ND
	DNY48 <sup>e</sup> (QFY105)	<u>his4-IR9</u> HIS4	14	8	28	26	3	6	185	46	ND
	PD84 <sup>f</sup>	<u>his4-ATC</u> HIS4	113	33	56	57	3	40	677	45	ND
	QFY101 (QFY106)	<u>his4-204 his4-lopc</u> his4-204 HIS4	16	19	50	45	23	17	268	63	ND
	$MW154^d (FX4)$	<u>his4-202</u> <u>his4-lopc</u> his4-202 HIS4	17	9	56	72	20	57	321	72	ND
25°C	MW118 (FX2)	<u>his4-51 his4-lop</u> his4-51 HIS4	1	1	2	6	0	1	100	11	< 0.2
	MW158 (QFY103)	<u>bas1-1 his4-IR9</u> bas1-1 HIS4	0	3	8	3	1	0	113	13	< 0.2
	MW153 (QFY104)	<u>bas2-2 his4-IR9</u> bas2-2 HIS4	1	1	3	7	1	0	117	11	< 0.2
	QFY108 (QFY109)	<u>bas1-1</u> <u>bas2-2</u> <u>his4-IR9</u> bas1-1 bas2-2 HIS4	3	2	2	6	1	1	113	13	< 0.2
	QFY102 (QFY107)	<u>his4-205 his4-lopc</u> his4-205 HIS4	0	2	6	7	1	1	103	17 <sup>g</sup>	1.7
	DNY11 (FX1)	<u>his4-lop</u> HIS4	5	1	10	9	1	0	111	23 <sup>g</sup>	5
	DNY26 (FX3)	<u>his4-lopc</u> HIS4	7	7	13	20	2	1	257	19 <sup>g</sup>	2.6
	DNY48 (QFY105)	<u>his4-IR9</u> HIS4	4	2	10	10	0	1	120	23 <sup>g</sup>	4.4
	PD84	<u>his4-ATC</u> HIS4	10	4	3	10	0	1	92	30 <sup>g</sup>	ND
	QFY101 (QFY106)	<u>his4-204</u> <u>his4-lopc</u> his4-204 HIS4	7	6	11	20	7	4	104	53	16
	MW154 (FX4)	<u>his4-202 his4-lopc</u> his4-202 HIS4	9	2	17	15	4	14	98	62	19

<sup>a</sup> Includes 8:0, 0:8, 7:1, 1:7, aberrant 6:2, and aberrant 2:6, as well as a small number of tetrads with three postmeiotic segregation events.

<sup>b</sup> Percentage of label in DSB-specific band relative to total as determined by Southern analysis of *Bg*/II-treated DNA isolated from cells incubated for 24 h in sporulation medium. DNA was isolated from isogenic *rad50S* derivatives in all cases (for example, the percentage of DSBs for MW118 was determined with the strain FX2). Each value is based on two or more independent experiments. ND, not determined.

<sup>c</sup> Reference 46.

<sup>d</sup> Reference 45.

<sup>e</sup> Data on these strains were reported previously (25, 27). In the previous publications, however, sectors were not examined microscopically. Consequently, these dissections were repeated.

f Reference 11.

<sup>g</sup> Statistically significant (P<0.05) decrease in aberrant segregation frequency at 25°C compared with 18°C (determined by chi-square contingency test).

the same level of aberrant segregation (16%) as that observed for strains with the single mutations (Table 3).

The results described above indicate that, in some chromosomal contexts, a single Rap1p binding site can contribute to hot spot activity, whereas in other contexts, a single Rap1p binding site has no effect. These context effects may be related to the binding of proteins at nearby sites. For example, in strains with the wild-type upstream region, the Rap1p binding site overlaps with a high-affinity Gcn4p binding site (13); this Gcn4p binding site is not present in QFY102. Thus, the difference in recombination activity observed with QFY102 compared with that of MW153 and MW158 may reflect an interaction between Rap1p and Gcn4p (or some other DNAbinding protein).

**Recombination levels at 18 and 25°C.** We previously found that meiotic recombination rates in our genetic background were very high when the cells were sporulated at 18°C. The efficiency of sporulation on plates at 18°C (about 10%), how-

TABLE 4. Comparisons of crossovers in strains sporulated at 18 and 25°C

	Relevant genotype			Plate spo	orulation at 1	18°C	Liquid sporulation at 25°C			
Strain		Hot spot activity <sup>a</sup>	No. of tetrads in each $class^b$			Map distance	No. of tetrads in each $class^b$			Map distance
			PD	NPD	Т	$(cM)^c$	PD	NPD	Т	$(cM)^c$
MW118 <sup>d</sup>	<u>his4-51 his4-lop</u> his4-51 HIS4	-	188	1	112	20	57	1	31	21
MW158 <sup>e</sup>	<u>bas1-1 his4-IR9</u> bas1-1 HIS4	-	185	4	110	22	81	0	27	13 <sup>f</sup>
MW153 <sup>e</sup>	<u>bas2-2 his4-IR9</u> bas2-2 HIS4	—	144	4	70	22	69	2	37	23
QFY108	<u>bas1-1 bas2-2 his4-IR9</u> bas1-1 bas2-2 HIS4	_	184	3	69	17	74	0	26	13
QFY102	<u>his4-205 his4-lopc</u> his4-205 HIS4	<u>+</u>	176	3	107	22	63	0	32	17
DNY11	hist-lop HIS4	+	42	3	54	36	67	1	33	19 <sup>f</sup>
DNY26	his4-lopc HIS4	+	189	6	214	31	180	9	116	28 <sup>f</sup>
DNY48	his4-IR9 HIS4	+	86	3	65	27	55	3	51	32
PD84 <sup>g</sup>	hist-ATC HIS4	+	116	3	102	27	49	2	22	23 <sup>f</sup>
QFY101	<u>his4-204 his4-lopc</u> his4-204 HIS4	++	75	11	127	45	34	4	48	42
MW154 <sup>e</sup>	hist-202 hist-lopc hist-202 HISt	++	75	23	133	59	30	3	45	40

a -, no hot spot activity;  $\pm$ , weak hot spot activity; +, wild-type hot spot activity; ++, elevated hot spot activity.

<sup>b</sup> PD, parental ditype; NPD, nonparental ditype; T, tetratype.

<sup>c</sup> Calculated by using the Perkins equation (29). cM, centimorgans.

<sup>d</sup> Reference 46.

<sup>f</sup> Significant (P < 0.05) difference in number of tetrads in each class at 18 and 25°C as determined by Fisher's exact test.

<sup>g</sup> Reference 11.

ever, was considerably poorer than the efficiency of sporulation in liquid medium at 25°C (about 40% [26]). Thus, our physical analysis of DSBs was done with cells sporulated at 25°C in liquid medium. In order to compare levels of DSBs with the frequency of meiotic recombination assayed genetically, we did tetrad dissection of strains sporulated at 25°C in liquid. The results of this analysis are shown in Table 3.

Several generalizations about these data can be made. First, similar patterns of aberrant segregation are observed at the two temperatures. For example, strains with wild-type upstream sequences have higher levels of aberrant segregation than strains with mutations in the Rap1p binding site or strains with bas1 and/or bas2 mutations. In addition, strains with telomeric insertions upstream of HIS4 have the highest level of aberrant segregation at both temperatures. Second, the levels of aberrant segregation at HIS4 are higher at 18°C than at 25°C for all strains, although this elevation is not statistically significant for all strains. For all strains with wild-type upstream sequences (DNY11, DNY26, DNY48, and PD84), the level of aberrant segregation is significantly elevated at 18°C relative to 25°C. In none of the other strains, except QFY102, is the difference in aberrant segregation frequencies at the two temperatures significant.

We also monitored the frequency of crossovers in the *HIS4-LEU2* interval as a function of the temperature of sporulation (Table 4). The frequency of crossovers was significantly higher at 18°C for strains DNY11, DNY26, MW158, and PD84. As described above, three of these strains (DNY11, DNY26, and PD84) also had significantly elevated levels of aberrant segregation. Because aberrant segregation events (postmeiotic seg-

regation and gene conversion) are often associated with crossovers of flanking markers (30), this type of correlation is expected. However, there were also strains in which this correlation was not observed. In strains DNY48 and QFY102, the frequencies of aberrant segregation but not the frequencies of crossing over were significantly elevated at 18°C. In strain MW158, the frequency of crossovers but not the frequency of aberrant segregation was significantly elevated at 18°C.

There are three explanations for the differential effects of temperature on the frequency of aberrant segregation and crossing over in some of the strains. First, it is possible that aberrant segregation and crossing over involve different intermediates that are affected differently by temperature in some strains. Alternatively, the direction of resolution of a joint molecule could be affected differently by temperature in the different strains. Third, it should be pointed out that the statistical analyses for aberrant segregation and crossovers were done differently. For the analysis of aberrant segregants, we compared (by 2-by-2 contingency chi-square analysis) the number of tetrads with aberrant segregation patterns with the total number of tetrads at the two temperatures; for the analysis of crossovers, we compared the numbers of tetrads with various segregation patterns (tetratype and nonparental ditype asci) with the total number of tetrads. Thus, finding a significant difference for one type of analysis does not ensure that a significant difference will be observed with a different type of analysis in the same strain.

**Double-strand DNA breaks at HIS4 and ARG4 in diploid strains.** We found previously (26) that a meiosis-specific DSB was present at HIS4. In RAD50 strains, this band of hybridiza-

<sup>&</sup>lt;sup>e</sup> Reference 45.



FIG. 2. Southern analysis of meiosis-specific DSBs in strains with different levels of recombination hot spot activity. DNA was isolated from cells incubated for various times in sporulation medium (time in hours is shown above each lane) and treated with *Bg*/II. The resulting fragments were examined by Southern analysis with pDN42 as a hybridization probe. The arrows marked A represent the positions of the *Bg*/II fragment without a meiotic DSB, and those marked B represent the positions (Fig. 2a) or expected positions (Fig. 2b) of the DSB at the hot spot. (a) DSBs in a strain with the wild-type hot spot (FX3) and a strain with elevated hot spot activity (FX4). The FX4 strain has elevated activity as a consequence of a telomeric insertion upstream of *HIS4*. (b) Southern analysis of FX2, a strain with a mutation in the Rap1p binding site upstream of *HIS4*. No DSB is observed at a new position (arrow C).

tion representing the DSB was fuzzy and transient. In a strain with a *rad50S* mutation, the break was much more discrete, as expected from previous studies (1). The amount of this break in a strain with wild-type sequences upstream of *HIS4* was about 3 to 5% of the total DNA (Fig. 2a and Table 3). The size of the DNA fragment representing the DSB in a *Bgl*II digest is about 2 kb, representing a cleavage near the end of *BIK1* (Fig. 1a). In most strains, DSBs were observed about 4 to 6 h after incubation of the cells in sporulation medium. Because the amount of DSB as a fraction of total DNA reaches a plateau at about 18 h, we compared the levels of DSBs in different strains by using DNA isolated from cells incubated for 24 h in sporulation medium.

Strains that lacked a Rap1p binding site (MW118), Bas1p (MW158), Bas2p (MW153), or both Bas1p and Bas2p (QFY108) had relatively low (11 to 13% when sporulated at 25°C) levels of aberrant segregation for *HIS4* markers (Table 3), indicating that these strains lacked hot spot activity. We found that *rad50S* derivatives of these strains also lacked a DSB at the normal site (Fig. 2b). We estimated that we could detect approximately 1/10th to 1/20th the normal DSB level. In one strain (FX2) that lacked the normal DSB, there was a faint band of about 1.65 kb that presumably represented a DSB within the *HIS4* gene (Fig. 2b). This break was not evident in



FIG. 3. Relationship between the level of aberrant segregation and the level of meiosis-specific DSBs at the *HIS4* locus. This figure is based on information derived from Table 3 (aberrant segregation frequency at  $25^{\circ}$ C and percentage of DSBs in *rad50S* derivatives); all of the strains in Table 3 were used for the datum points except PD84.

either wild-type strains or two other strains (QFY103 and QFY104) that lacked hot spot activity.

As discussed above, strain QFY102, in which the normal upstream sequences of *HIS4* were replaced with an oligonucleotide with one mutant and one wild-type Rap1p binding site, had a level of hot spot activity (17% aberrant segregation at 25°C) intermediate between that observed for wild-type strains and that observed for the strains with no hot spot activity. The *rad50S* derivative of this strain (QFY107) also had a low amount (1.7%) of DSB compared with that observed in the wild-type control strains (3 to 5% in strains FX3, QFY105, and FX1).

Two strains, MW154 and OFY101, had levels of aberrant segregation at HIS4 that were considerably higher than those observed in wild-type strains. In MW154, a region of about 170 bp of the normal upstream region was deleted and replaced with 69 bp of telomeric sequence (45). In QFY101, the same deletion was replaced with a 51-bp oligonucleotide containing two Rap1p binding sites (45). The high levels of aberrant segregation observed for HIS4 markers in these strains (62% for MW154 and 53% for QFY101) were correlated with high levels of DSBs (Fig. 2a) in the rad50S derivatives (19% for FX4 and 16% for QFY106). The position of the DSB in MW154 and QFY101 is approximately at the same location as that seen in wild-type strains. This location is near the Rap1p binding site that is most distal to HIS4. The data related to the levels of aberrant segregation and DSB at HIS4 are summarized in Table 3 and Fig. 3. There is a linear relationship between the level of DSB and the frequency of aberrant segregation.

We also examined DSBs at ARG4 for two reasons. First, in strains in which the HIS4 DSB was not present, the existence of a DSB at ARG4 was an important demonstration that the strain was capable of generating DSBs; this control was used with all strains. Second, we wanted to determine whether the level of DSB at ARG4 was proportional to the frequency of aberrant segregation at this locus. In *rad50S* strains, with wildtype sequences upstream of ARG4, two DSBs were observed (Fig. 4). One break was located about 150 to 200 bp upstream of the ARG4 initiating codon; this break is presumably that reported in previous studies mapping at -185 to -200 (9, 39, 40). A second break was observed approximately 2 kb away from the first. This break corresponds in position to the DSB mapped upstream of the *DED81* gene (9, 39). In our strain background, we found approximately the same frequency of



FIG. 4. DSBs at the ARG4 locus in a wild-type strain and in a strain with an elevated level of hot spot activity. DNA was isolated from cells incubated in sporulation medium for various times (time in hours is shown above each lane) and treated with Bg/II. The resulting fragments were separated, transferred to a membrane, and hybridized to a probe derived from pAK1. Arrows A and B represent the unbroken Bg/II fragment and the DSB near the DED81 gene (Fig. 1c), respectively. Strain DNY115 has the wild-type ARG4 hot spot. Arrow C indicates the position of the DSB associated with ARG4 in this strain. Strain FX6 has a telomeric insertion upstream of ARG4 that stimulates recombination. This hot spot is associated with high levels of two DSBs, indicated by arrows C1 and C2.

gene conversion at arg4-17 (8%) as that observed in previous studies (15). In addition, the percentage of chromosomes with a DSB at ARG4 in our wild-type background (2%) was similar to that observed by others (2%  $\pm$  0.6% [9]). The DSB at DED81 was stronger than that observed at ARG4.

Insertion of telomeric sequences (the same insertion used at HIS4) at a position 319 bp upstream of the initiating codon in ARG4 dramatically elevated the frequency of gene conversion of *arg4-17* (45). In these studies, the strain homozygous for the *arg4-tel* allele (MW160) was sporulated at 18°C and had a conversion frequency of 52% for the heterozygous *arg4-17* mutation. We repeated the meiotic analysis for MW160 cells sporulated in liquid at 25°C and found a frequency of conversion of 33%. The level of DSB in the *rad50S* derivative of MW160 (FX6) was elevated approximately proportionally to the level of gene conversion; the average level of DSB was 6% compared with the 2% level observed in wild-type strains. Interestingly, there were two closely spaced breaks induced by the telomeric insertion near *ARG4*, and the break at the normal position was reduced or eliminated (Fig. 1c and 4).

Double-strand DNA breaks in haploid strains. The experiments described above were done with diploid strains made by crossing derivatives of the haploid strain AS4 with derivatives of the haploid strain AS13. One such diploid strain was FX4, which is homozygous for the telomeric insertion upstream of HIS4, heterozygous for a palindromic insertion in the HIS4 coding sequence (his4-lopc), and homozygous for rad50S. This strain has a very high level of meiosis-specific DSB (Table 3). As a control, we incubated the haploid parents of FX4 (strains HF5 and HF6) in sporulation medium. DNA isolated from the haploid strain HF5 (derived from strain AS13) contained a DSB at approximately the same position as that observed in the diploid FX4 (Fig. 5), whereas DNA isolated from strain HF6 (derived from strain AS4) did not contain breaks (data not shown). Strains derived from AS4 (including HF6) contain a mutation in a centromere-linked gene on chromosome III



FIG. 5. DSBs associated with a wild-type diploid (FX4) and one of its haploid parental strains (HF5). These two strains were incubated in sporulation medium (S), sporulation medium plus glucose (G), or sporulation medium plus a nitrogen source (N) for 24 h. DNA was isolated from each sample and analyzed for the level of DSB as described in the legend to Fig. 2. Arrows A and B represent the position of the unbroken Bg/III fragment and the position of the DSB, respectively.

that prevents sporulation in homozygous diploids (41). We constructed a derivative of HF6 (HF13) in which this mutant defect was complemented by a plasmid. In this strain, no DSB was observed in DNA isolated after incubation of the cells in sporulation medium. Therefore, the appearance of a DSB in cells incubated in sporulation medium is a property of the AS13 but not the AS4 genetic background.

We next investigated whether the DSB observed in HF5 had the properties expected for a meiosis-specific DSB. Meiosis is repressed by glucose or a nitrogen source in the sporulation medium (14). We found that addition of either glucose or ammonium sulfate prevented formation of the DSB (Fig. 5). Sporulation is blocked by a number of mutations, including *ime1* and *spo11*. When either of these mutations was introduced into HF5 (generating strains HF9 [*ime1* derivative of HF5] and HF11 [*spo11* derivative of HF5]), no DSBs were observed (data not shown). Thus, the double-strand DNA breaks in HF5 appear to be induced by a pathway that mimics the normal meiotic induction.

Meiosis and sporulation are usually properties of diploid strains that express both **a** and  $\alpha$  mating-type information. Mutations in *rme1*, however, allow **a**/**a** or  $\alpha/\alpha$  strains to sporulate and allow the early steps of meiosis to occur in haploid strains (20, 21). The *rme1* mutation has been found as a naturally occurring variant in several laboratory strains (20). To investigate whether HF5 contained an *rme1* mutation, we constructed a diploid by fusing HF5 (**a** mating type) to a tester strain DH223 (**a** mating type with an *rme1* mutation). The diploid strain (FX23) mated as an **a** strain and sporulated. All spores derived from the diploid were of the **a** mating type. Thus, HF5 has an *rme1* mutation. When the wild-type copy of *RME1* was transformed into HF5 (strain HF15), the DSB did not occur.

These results show that a haploid strain with an *rme1* mutation, when incubated in sporulation medium, will initiate meiotic recombination at the *HIS4* hot spot in a manner similar to that seen in a diploid strain. Thus, the formation of a DSB at a recombination hot spot does not appear to require pairing between homologous chromosomes. The level of DSB in the haploid strain, however, was lower than that observed in the related diploid strain (8 to 10% in HF5 compared with 19% in the diploid FX4). We do not know whether this difference is a consequence of a smaller fraction of cells entering the meiotic pathway in the haploid strain or an effect of the interaction between homologous chromosomes in the diploid.

### DISCUSSION

The main results of this study are as follows. (i) The meiotic recombination hot spot at *HIS4* is associated with a meiosis-specific DSB; mutations in *cis* or *trans* that eliminate hot spot activity also eliminate the DSB. (ii) Basal levels of recombination at *HIS4* do not involve a DSB at the normal position. (iii) Insertions of telomeric sequences or multiple Rap1p binding sites upstream of *HIS4* (or *ARG4*) that stimulate meiotic recombination also stimulate formation of a DSB. (iv) The site at which the DSB occurs is not likely to represent a rigidly conserved recognition sequence. (v) A haploid strain with an *rme1* mutation, when incubated in sporulation medium, can form a DSB at the *HIS4* hot spot. Below, we discuss the implications of these results and compare our results with those of other workers.

DSBs have previously been associated with recombination in S. cerevisiae in several ways. In one study, a pattern of nonrandom chromosomal DSBs was examined on chromosomes III and IV (16), and two other studies correlated chromosomal regions with high levels of meiotic recombination to genomic regions with high levels of DSBs (48, 49). In addition, single recombination hot spots were associated with high levels of DSB formation (7, 9, 39). At the ARG4 locus, Sun et al. (39) and De Massy and Nicolas (9) showed that deletions of sequences upstream of ARG4 that reduce the frequency of recombination, in general, also reduce the frequency of DSBs. These studies indicated that the ARG4 region located between positions -316 and -56 was required for formation of a DSB located near position -200. In one strain with a deletion ( $\Delta$ HA315, positions -316 to -2) of the region thought to be essential for DSB formation, a meiotic DSB was detected, indicating that the rules for DSB formation at this locus are not simple (9). In addition, although there was a good correlation between the level of DSB and the frequency of gene conversion for most deletion derivatives, strains with the  $\Delta$ HA315 deletion had reduced levels of DSB but normal levels of gene conversion.

There are two types of meiotic recombination at HIS4. The basal level of HIS4 recombination is the level of exchange observed in strains with a deletion of the region upstream of HIS4 (12), because this deletion removes the gradient of gene conversion normally observed at this locus. The same basal level is observed in strains with mutations in the Rap1p binding site upstream of HIS4 or mutations in the transcription factors Bas1p and Bas2p (45, 46). In our strains sporulated at 25°C the basal level of aberrant segregation of markers located about 500 bp from the 5' end of HIS4 is 11 to 13%. The frequency of aberrant segregation for the same markers in strains with wildtype hot spot activity is about 19 to 23%. Thus, about half of the recombination frequency in wild-type strains is hot spot dependent and half is hot spot independent. When strains are sporulated at 18°C, a greater proportion of the recombination events appear to be hot spot dependent (15 to 18% basal level of aberrant segregation, 44 to 50% aberrant segregation in wild-type strains). In strains in which the wild-type HIS4 upstream sequences are replaced with sequences with multiple Rap1p binding sites, enhanced levels of segregation are observed (53 to 62% at 25°C).

If we define the level of hot spot-dependent recombination at HIS4 in our strains as the frequency of aberrant segregation greater than 11 to 12%, then the frequency of hot spot-dependent recombination is proportional to the frequency of the DSB (Fig. 3). In addition to this proportionality, the absolute frequency of DSBs is approximately that expected if the hot spot-dependent recombination is initiated by this type of DNA lesion. For example, the amount of DSB in wild-type strains is 3 to 5% and the amount of hot spot-dependent recombination (measured at a site about 500 bp from the DSB) is about 11%. Assuming that all recombination events are initiated by a DSB on one of the four chromatids, one would calculate that a recombination rate of 11% requires a 3 to 4% level of DSBs. The amount of DSB in MW154 (strain with telomeric insertions replacing the normal upstream sequences) is 19%, somewhat higher than that calculated by dividing the hot spotdependent recombination frequency (50%) by 4. One reason for this discrepancy is that a significant fraction (about 30% [Table 3]) of the hot spot-dependent events in MW154 represent tetrads with two recombination events (aberrant 4:4, etc.). When a correction is made for these classes of tetrads, the predicted level of DSB (16%) is closer to the observed freauency.

Although these calculations suggest that most hot spot-dependent recombination is a consequence of a DSB, there are three additional factors that have not been taken into account. First, we previously showed that, although the HIS4 hot spot stimulates recombination bidirectionally, most single recombination events involve either HIS4 or BIK1 (32). This result suggests that half of the DSBs should not contribute to the level of aberrant segregation at HIS4. Second, we do not know what fraction of the DSBs that are initiated in the upstream region include the genetic markers used in the experiment, which are usually located about 500 bp away. Because our previous study indicated that hot spot-dependent heteroduplexes usually extend to the end of HIS4 (10), it is likely that most of the events processed toward HIS4 include the marker. Third, we do not know the fraction of rad50S cells that undergo early meiotic events (DSB formation); in the isogenic RAD50 derivatives, about half of the cells from tetrads (26). One possible explanation of the good agreement between the observed and expected levels of DSBs despite these factors is that only half of the rad50S cells undergo early meiotic events, which compensates for the effects predicted from the first factor discussed above.

Although hot spot-induced recombination events appear to be initiated by a DSB, no clear DSB is associated with the basal level of recombination at *HIS4*. There are several possible explanations for this result. First, the recombination events could be initiated from a DSB located outside of the *Bg*/II fragment used in most of our studies. Second, the event could be initiated from multiple weak sites of DSB within the *Bg*/II fragment. As mentioned previously, a very weak DSB in the *HIS4* gene is observed in one strain lacking hot spot activity (FX2); weaker sites would be undetectable. Third, the basal level of recombination could be initiated by a DNA lesion other than a DSB (for example, a single-strand nick). These possibilities might be resolved by studies of DSBs in a more extensive region surrounding *HIS4* and by examining DNA lesions with more sensitive PCR-based assays.

The insertion of telomeric sequences upstream of ARG4 activates two new sites for DSB formation. The simplest interpretation of this result is that the position of the DSB is not solely a function of DNA sequence but involves an interaction between the DSB-inducing endonuclease and other DNA binding proteins. Such an interaction is supported by a number of other arguments. First, deletion of transcription factors that bind to the *HIS4* upstream region eliminates hot spot activity and DSB formation. Second, DSB formation occurs at approximately the same place at *ARG4* when different sequences are substituted for the wild-type sequences at the DSB site (9). Third, the strength of a DSB site can be affected by the context of that site in the chromosome (47). Fourth, the positions of

DSB sites are often correlated with the positions of sites in chromatin that are hypersensitive to DNase I (48).

Our observations and those of others suggest several possible explanations for the positioning and intensity of the DSB at HIS4. One possibility is that the position and intensity of the break reflect the degree to which DNA is "naked" in the chromatin. Alternatively, the DSB site could be determined by the degree to which DNA is bent by DNA-binding proteins. A third possibility for the HIS4 site is that the position of the break is determined by a specific interaction between Rap1p and the DSB-forming endonuclease; in support of this possibility, several of the DSB sites at HIS4 (in wild-type strains and strains with telomeric insertions) and ARG4 (in strains with telomeric insertions) are located very close to the Rap1p binding site. It should be pointed out that an interaction between Rap1p and the DSB-forming nuclease cannot be a universal requirement for DSB formation, because there is no Rap1p binding site at the wild-type ARG4 hot spot. A final possibility is that certain DNA-binding proteins result in attachment of the chromatin to a region of the cell in which meiotic recombination occurs. In this regard, it is of interest that Rap1p localizes to the nuclear periphery (22).

One surprising result of this study was that one of the two haploid parental strains formed a DSB when incubated in sporulation medium. Complementation analysis showed that the haploid with the DSB contained a naturally occurring *rme1* mutation, a mutation that allows the early events of meiosis without the requirement for expressed **a** and  $\alpha$  mating-type information (20, 21). Previously, several other researchers (8, 17, 33) have detected DSBs in haploid strains expressing both **a** and  $\alpha$  information, and De Massey et al. (8) have observed DSBs in *rme1* haploid strains. This result indicates that DSB formation does not require an interaction involving homologous chromosomes.

In summary, the level of hot spot-induced recombination at *HIS4* is proportional to the level of a meiosis-specific DSB located in the region upstream of *HIS4*. The hot spot-associated DSB can be eliminated by deletions that remove the normal region upstream of *HIS4* or by mutations in genes encoding transcription factors that bind to the *HIS4* upstream region. Our results and those of others indicate that the position and strength of the DSB are controlled by interactions (direct or indirect) between the DSB-inducing endonuclease and DNA-binding proteins.

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