

DNA looping can enhance lysogenic CI transcription in phage lambda

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The lysogenic state of bacteriophage lambda is maintained by CI repressor, which negatively regulates two promoters to block lytic gene expression. Expression of CI is itself controlled by positive and negative feedback as CI binds to O_R to regulate the P_{RM} promoter. In addition to direct interactions with operator DNA, CI tetramers bound at O_L and O_R can come together to form an octamer, looping the DNA that lies between them and allowing O_L to assist with negative regulation of P_{RM} . We used a fluorescent reporter protein to measure the CI concentration for a set of constructs that differ in their ability to assume various forms of the looped structure. Based on the observed steady-state fluorescence for these constructs, the presence of O_L increases P_{RM} activation unless both operators can be fully occupied. By calculating the probabilities for the underlying operator configurations present in each construct, two different models for the mechanism of enhanced activation allow us to predict that when the DNA is looped, P_{RM} activation can be 2- to 4-fold higher than is possible for unlooped DNA. Based on our results, transcriptional regulation for lambda's lysogenic/lytic switch includes both activation and repression due to DNA looping.

bacteriophage lambda | gene regulation | flow cytometry

Over the last several decades, phage lambda has been an important model system for studying gene regulation, in part because it has two very different modes of growth in its *Escherichia coli* host. In the lytic mode, the phage uses the host cellular machinery for large-scale production of new phage, which are then released by cell lysis for another round of infection. In the lysogenic mode, the phage DNA is integrated into the host genome and passed on to each daughter cell as the infected cell grows and divides. The phage is maintained in this quiescent state by a single protein, CI, which prevents transcription from the early lytic phage promoters. The lytic genes continue to be repressed until the host cell suffers DNA damage and activates RecA, which switches the cell to the lytic growth mode by catalyzing degradation of CI (1). Spontaneous switching from the lysogenic state to lytic growth is very rare; in the absence of RecA, phage particles are found in lysogenic *E. coli* cultures at very low frequencies (2), and most contain mutations in the regulatory elements of the phage DNA (3). Not only is the lysogenic state very stable, but it efficiently switches to the lytic mode when induced (2, 4). The regulatory mechanisms that provide simultaneous sensitivity and stability for this genetic switch are not yet fully understood.

There is, however, a wealth of genetic and biochemical data to draw on as we explore this question (1). CI stabilizes the lysogenic state by binding to the operators O_L and O_R , repressing P_L and P_R , the promoters that lead to lytic growth (Fig. 1). O_L and O_R each contain three binding sites for CI and are spaced ≈ 2.3 kb apart. When they bind to the highest affinity sites of each operator, dimers of CI prevent RNA polymerase (RNAP) from initiating transcription from the lytic promoters. Cooperativity between adjacent dimers facilitates CI binding so that the second sites are readily occupied. When CI is bound to O_R2 , its own promoter, P_{RM} , is activated ≈ 10 -fold (5). The third site of O_R has a much lower affinity for CI, but when O_R3 is occupied, P_{RM}

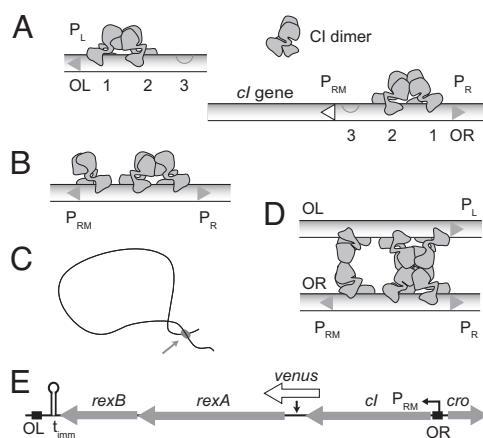


Fig. 1. Lysogenic regulation. (A) The six binding sites for CI comprise the operators O_L and O_R . CI dimers bind cooperatively to the two highest affinity sites and repress P_L and P_R . While repressing P_R , CI bound to O_R2 simultaneously activates P_{RM} . (B) When there is sufficient CI present to occupy O_R3 , P_{RM} is repressed. (C) A long-range DNA loop can form between CI tetramers bound at O_L and O_R . (D) A diagram of the loop site, showing cooperativity between O_L3 and O_R3 enhancing repression of P_{RM} . Many other looped configurations are possible. Although shown as parallel, the orientation of the two DNA strands is not known. Shapes of CI dimers are based on the tetramer model of (37). (E) The immunity region contains the P_{RM} transcript, which begins with the start codon of *cl* and terminates at t_{imm} . In our constructs, a fluorescent protein was inserted between *cl* and *rexA*.

transcription is blocked. This feedback repression is enhanced by a long-range DNA loop that forms between O_L and O_R (6), which can orient O_L opposite O_R in such a way that a CI dimer weakly bound to O_R3 is stabilized by interacting with a CI dimer bound to O_L (7). It is not yet known how the loop affects P_{RM} activation.

There are many ways that CI dimers can bind to O_L and O_R at lysogenic levels of CI, so a range of operator configurations will be present within a population of cells or in a single cell over time. When a lysogenic cell is in a steady state, the number of CI molecules produced per cell division matches the mean number present per cell. Because CI production in a lysogen is auto-regulated, the measured CI concentration both predicts and depends on the probabilities of activated and repressed configurations; the mean amount of CI present in the cell leads to an overall rate of production that maintains this mean concentration. We designed a set of constructs with well defined changes in the operator DNA so that, for each, the probabilities of

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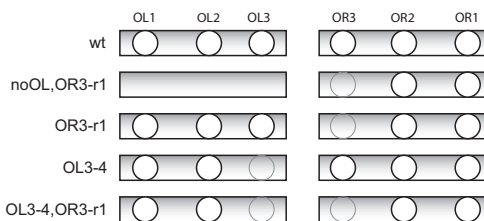


Fig. 2. Operator constructs. The constructs differ by site-specific changes in operator DNA that alter affinity for CI, shown here schematically. The OR3-r1 and OL3-4 mutations substantially reduce affinity for CI and are identical to those used by Dodd *et al.* (7, 11). Gray circles indicate mutated operator sites. For the noOL,OR3-r1 construct, the three sites of the left operator were deleted.

activated and repressed configurations depend on the CI concentration in a unique way, and thus each maintains a different steady-state. By measuring the CI concentration for each population and modeling the underlying operator states, we found that a DNA loop between O_L and O_R can increase P_{RM} activation >2-fold.

Results

Immunity Region Constructs. A fluorescent reporter protein co-transcribed with *cI* was used to estimate the CI concentration in individual cells. The constructs used in this study contain the entire immunity region of lambda, the region of DNA that spans O_L and *cro*. The P_{RM} transcript from which all *cI* is produced extends from the start codon of *cI* through *rexA* and *rexB* to terminate at t_{imm} (Fig. 1E). A copy of the *venus* fluorescent protein gene (8) fused to a strong ribosome binding site was inserted into this transcript in the noncoding region between *cI* and *rexA*. The ribosome binding site for *venus* favors more frequent translation initiation than is possible for the leaderless CI (9), which amplifies the signal from transcription events, improving the sensitivity of our measurements. A low copy number plasmid (1 or 2 copies per genome) (10) was used as the vector for all experiments, and *E. coli* strain K12 was used as the host. The five constructs contain all of the regulatory elements required to maintain lysogeny and differ by mutations or deletions in the operator regions, which affect CI binding (Fig. 2) and change the probability that P_{RM} is activated or repressed. The WT construct has wild-type O_L and O_R operator sites. The noOL,OR3-r1 construct lacks all three operator sites of O_L , so it cannot form the DNA loop. It also contains a mutation in O_{R3} that substantially reduces binding affinity so that P_{RM} repression is impaired. This mutation also slightly increases the basal activity of P_{RM} (11). The OR3-r1 construct is able to form loops between O_L and O_R , but the O_{R3} -r1 mutation impairs P_{RM} repression, which occurs when CI binds to O_{R3} . The OL3-4 and OL3-4,OR3-r1 constructs carry a mutation that destroys CI affinity at the third site of O_L , O_{L3} , which prevents O_L from assisting P_{RM} repression (7).

Fluorescence Data. To obtain the CI concentration for each construct, we used flow cytometry to measure the Venus fluorescence per cell over a population of 100,000 cells. As anticipated, each construct has a distinct distribution of fluorescence (Fig. 3), which we take to be proportional to CI concentration (see *Materials and Methods*). The lowest concentration of CI is seen in the WT construct, for which the loop between O_L and O_R facilitates P_{RM} repression. Simultaneously deleting the left operator and mutating O_{R3} to reduce binding affinity (noOL,OR3-r1) increased the CI concentration only twofold. In contrast, when the left operator was present and only O_{R3} was mutated (OR3-r1), the CI concentration was 2.5 times WT levels. The

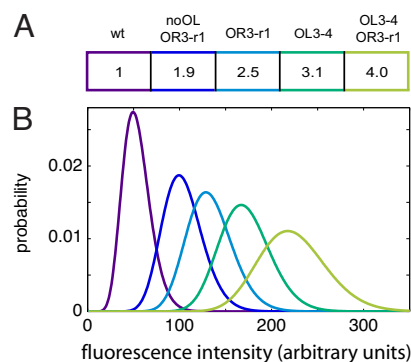


Fig. 3. Fluorescence data. (A) Mean levels of each operator mutant relative to the WT construct, rounded. Exact values and error estimates are given in *Results*. The WT construct, which has good repression because of intact O_L , has the lowest level of CI. All other mutants are impaired in repression, and, of these, the lowest level of CI is observed in the noOL,OR3-r1 construct, which cannot loop because O_L was deleted. (B) A representative set of deconvolved fluorescence probability histograms for the five constructs, colored and ordered as in A.

highest steady-state CI levels, 3.1 and 4.0, were observed when the O_{L3} site was mutated to remove CI affinity (OL3-4 and OL3-4,OR3-r1). These data can be understood by assuming that some operator configurations with CI bound to O_L can enhance P_{RM} transcriptional activation and that there are other operator configurations with all three O_L sites bound that do not allow optimal activation. Although the data for the noOL,OR3-r1 construct is quite different from that observed in the reporter studies of Dodd *et al.* (see *Discussion*), the ratios for the CI concentration of OR3-r1 and OL3-4 mutants relative to WT are near the values obtained by Dodd *et al.* using gel shift assays of cell lysate from lysogens with the same point mutations: OR3-r1/WT = 2.51–2.99 and OL3-4/WT = 2.94–3.14 [95% confidence interval (7)]. The values from our mutants are: noOL,OR3-r1/WT = 1.92 ± 0.07 ; OR3-r1/WT = 2.45 ± 0.1 ; OL3-4/WT = 3.12 ± 0.08 ; and OL3-4,OR3-r1/WT = 4.03 ± 0.09 (95% confidence interval). Based on our data, the ability to form a DNA loop between O_L and O_R increases the maximum activation of P_{RM} . To investigate how this might occur, we examined possible ways that CI can form a DNA loop and activate P_{RM} .

Operator Configurations. Previous studies allow us to relate our experimental data to microscopic configurations of CI bound to the six operator sites. Taking into account pairwise cooperativity between CI dimers, there are nine possible binding configurations for each operator (12, 13): one configuration with no bound CI, three configurations with a single dimer bound, three with two dimers bound, and two with all three sites occupied. This gives a total of 81 unlooped operator configurations [supporting information (SI) Dataset S1]. Tetramers of CI bound at O_L and O_R can form a DNA loop (6), so, like Dodd *et al.* (7), we allowed any of the four operator configurations with a tetramer of cooperatively bound dimers to form a loop. For the large distance (>2 kb of DNA) between the sites, the operators should be equally able to loop in either possible orientation of O_L relative to O_R ; when looped, O_{L1} may be opposite either O_{R1} or O_{R3} . This gives 32 looped configurations (Fig. S1 and Fig. S2), for a total of 113 configurations.

Activation States. These configurations can be assigned to activation states based on data from previous studies, data from our constructs, and a model for the underlying mechanism of looped activation. When CI is bound to O_{R2} , P_{RM} is activated (14); but,

Table 1. Parameter free energies

Parameter	$\Delta G(\text{kcal/mol})$	\pm
O_R1^*	-12.5	0.5
O_R2^*	-10.5	0.5
O_R3^*	-9.5	0.5
O_R3-r1^{++}	-6.6	0.5
$O_R12_{coop}^*$	-2.7	0.5
$O_R23_{coop}^*$	-2.9	0.5
O_L1^S	-13.0	0.5
O_L2^S	-11.2	0.5
O_L3^S	-12.0	0.5
O_L3-4^\ddagger	see ΔG_{ns}	
$O_L12_{coop}^S$	-2.7	0.5
$O_L23_{coop}^S$	-2.0	0.5
ΔG_{oct}^{++}	-0.5	0.5
ΔG_{tet}^{++}	-3.0	0.5
$\Delta G_{ns}^{+ }$	-4.1	0.9

We varied all parameters in increments of 0.1 kcal/mol over the range given in the table, even though the reported uncertainty estimates for several of the experimental values were smaller than 0.5 kcal/mol.

*From ref. 13.

†From estimates calculated in ref. 7.

‡Values that have not been directly measured.

§From data presented in figure 1B of ref. 12, recalculated with a dimerization free energy $\Delta G_{dim} = -11.0$ kcal/mol (36) and setting $O_L12_{coop} = O_R12_{coop} = -2.7$ kcal/mol. The values for O_L cooperativity were not unambiguously determined by Senear *et al.* (12), but the chosen values reflect our assumptions.

¶From estimates calculated in ref. 35.

(see *SI Text* for a mathematical description of *B*). *B* is assumed to be identical for all constructs.

Relative Activation Levels. To determine the magnitude of *A2* and *A3* for the two models, we sampled activation values calculated from Eq. 2, using combinations of free-energy parameters drawn randomly from the ranges listed in Table 1, and chose parameter sets that were able to reproduce the data within experimental error. For the UP element model, $A2 \approx 3.3$, and $A3 \approx 1.5$ (Fig. 5A). For the looped octamer activation model, $A2 \approx 2.2$, and

$A3 \approx 0.2$ (Fig. 5B). *A2* is well defined for a given activation model, but the values obtained for *A3* depend on the exact assignment of the looped activation states (Fig. S3). To determine which of the many parameters used in the calculation have the largest effect on these activation values, we did sensitivity analysis by calculating the correlation between each free-energy parameter and the values obtained for *A2* and *A3*. We found that the calculated activation levels were most sensitive to the binding free energies of ΔG_{oct} , ΔG_{ns} , O_R12_{coop} and O_R2 (Fig. S4 and Fig. S5). Based on this method of calculation, the predicted probability for each activation state varies widely, because many of the parameters are interdependent (data not shown). To get a better estimate of the probability of each activation state over a physiological range of CI concentrations, we repeated the analysis varying only the parameters for which direct experimental estimates are not available, O_R3-r1 , ΔG_{oct} , ΔG_{tet} , and ΔG_{ns} . Representative datasets are given in Fig. S6 and Dataset S2. The two models predict equivalent total activation curves for the set of constructs used in this study (Fig. 5C). A comparison of these curves with activation data from ref. 7 is shown in Fig. S7.

Discussion

Our data and the details of activation outlined above are not consistent with the full set of activation curves reported in the pioneering work by Dodd *et al.* or the model they developed, which predicts that DNA looping lowers the transcription rate by approximately a fourth (11) (see also ref. 18). We attribute this to differences in mRNA stability for some of the constructs used in their study. In the previous work, the constructs for measuring promoter activity in the presence and absence of O_L did not contain the full lambda DNA sequence between O_R and O_L (11, 18). Instead, O_R was cloned in front of the *lac* transcript. When present, the left operator was placed before the normal rho-independent transcription termination site. High-affinity sites for DNA-binding proteins can stall elongating transcription complexes such that RNAP must be removed from the stall site by premature transcript termination (19, 20). With as few as 50 CI monomers per cell, the probability that at least one of the left operator sites is occupied is >96% (this study). It is possible that, in constructs with O_L placed before the terminator, transcripts are terminated prematurely and thus lack the stabilizing stem-

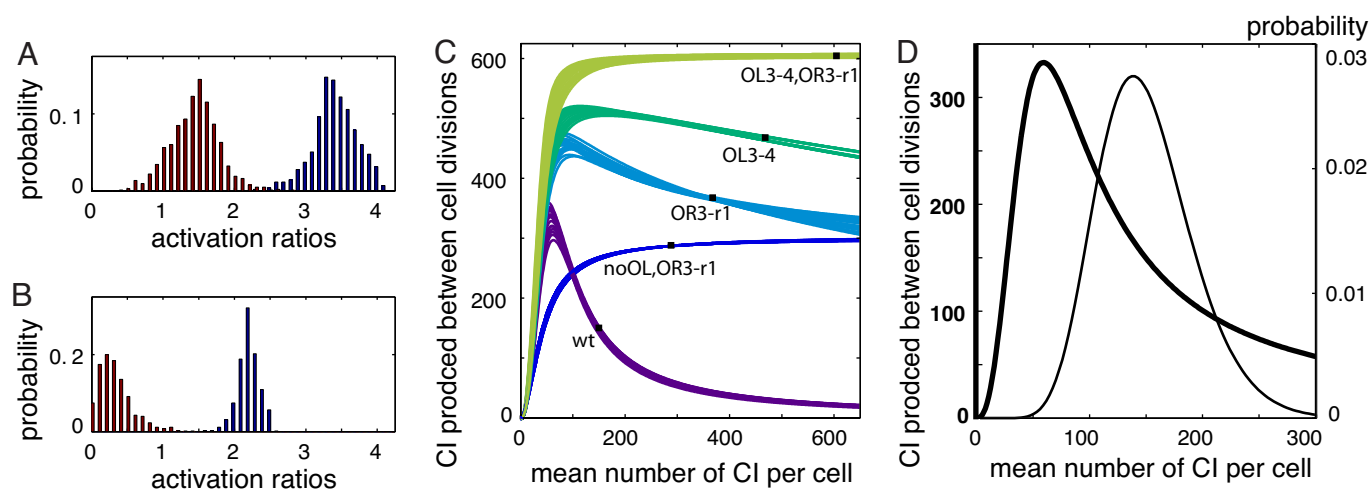


Fig. 5. Modeled activation of P_{RM} . (A) Histograms of *A2* (blue) and *A3* (red), the activation levels of act2 and act3 relative to act1, shown for parameter sets that predict ratios of CI within error of experimental data, calculated by using the UP element model to assign activation states. (B) Histograms of *A2* and *A3* calculated assuming activation through a looping interaction. (C) Theoretical activation curves for the five constructs (both models yield a set of curves that are essentially identical). Measured CI levels from this study (filled squares) occur where the mean production rates match the mean cellular levels. (D) The activation curve predicted for a wild-type lysogen (thick line) and a deconvolved fluorescence histogram from the WT construct, converted to number of CI per cell (thin line).

loop structure characteristic of rho-independent termination (21), leading to lower protein concentrations. We tested this hypothesis by removing the stem-loop structure of the t_{imm} terminator for the P_{RM} transcript in one of our constructs. As anticipated, we saw a substantial decrease in Venus fluorescence (Fig. S8). Our model is based on data from constructs that contain intact t_{imm} terminators and therefore all produce transcripts with identical stability.

Mechanisms. Although our data clearly indicate that DNA looping can increase transcription activation, further studies are needed to clarify the underlying mechanism. From previous studies, activation of P_{RM} is understood to occur by a direct interaction between the amino-terminal domain (NTD) of a CI bound at O_{R2} and specific sites in the σ and α subunits of RNAP (22, 23). These contacts activate transcription by stimulating isomerization of bound RNAP to an open complex capable of transcription initiation (24). This mechanism is based on *in vitro* experiments, using only right operator DNA, which corresponds to act1 in our models. At least two models for enhanced transcription activation due to looping are consistent with our data. For the first model, the UP element located near O_{L3} could further activate P_{RM} by providing an additional site where the second α subunit of RNAP could interact. The α subunits of RNAP contain a linker domain that gives considerable flexibility in binding locations, suggesting that it might be able to interact with a site on the opposite side of the loop. Interestingly, when RNAP is bound to P_{RM} , the locations of the two α subunits change when CI is bound nearby, with one α subunit unaccounted for; this opens the possibility that it could interact with O_L when the DNA is looped (23). If the UP element is the only site where such an interaction could occur, deleting it in an otherwise wild-type construct would be expected to have the same result as deleting the entire left operator. A second possibility is that the additional octamer contacts that CI makes to form a loop (25) might involve conformational changes that affect the interactions with RNAP at O_{R2} . When the NTD of CI binds to operator DNA, changes in the carboxyl-terminal domain (CTD) of CI that are sensitive to the number and identity of adjacent sites have been detected (26, 27), which suggests conformational changes for octamerization could potentially include the region of the NTD that interacts with RNAP. Our data fits both models well, so additional experiments are needed to determine whether either (or possibly neither) of these microscopic interpretations of looped activation is correct.

Implications for Lambda Regulation. Although our data do not resolve the detailed mechanism for how the loop enhances P_{RM} activation, they still provide insight into the behavior of a lysogen. Based on the distribution of fluorescence intensity measured in our WT construct, which reflects the CI concentrations found in a lysogenic population or a single lysogen over time, the lysogenic state is expected to be very stable. The probability distribution overlaps with the activation curve such that cells with the lowest levels of CI have high transcription rates (Fig. 5D), which will drive the CI concentration back toward the mean value. This is consistent with our observation that the CI level in the WT construct does not spontaneously fall to <10% of the mean lysogenic value, the threshold for switching to the lytic state (4); from our data and assuming a mean of 150 monomers per cell, we estimate that ≈ 50 CI monomers is the lowest value accessible to a lysogenic cell. Our analysis predicts that at this level, the probability that P_R would be de-repressed (i.e., O_{R1} is unoccupied) is ≈ 0.2 , and it falls rapidly as the CI level increases. At the same time, the mean P_{RM} activation rate is high, so P_R transcription is expected to be transient and rare. This is consistent with quantitative PCR

measurements of *cro* transcript levels in a population of cells containing our WT construct (see *SI Text*). Under these conditions, the only way for a lysogen to switch to the lytic state is by catalyzed degradation of CI, which occurs when RecA is activated as part of the SOS response to DNA damage. Rates for producing new CI molecules and for RecA-catalyzed degradation vary in complex ways as a function of total CI levels, but to successfully clear CI from the cell, at any given CI concentration, the rate of cleavage must presumably be faster than its rate of production from P_{RM} . Even so, catalyzed degradation of CI only needs to persist long enough to free P_R and allow *cro* to be produced; when *cro* is present in sufficient quantity to repress P_{RM} , the cell can fully commit to lytic growth (28). In the absence of SOS signals of cellular distress, the steep activation curve effectively buffers against spontaneous lytic induction, and a low average rate of CI production is sufficient to maintain lysogeny, allowing the phage to place a minimal load on the host cell as it is quietly maintained in the genome.

By measuring the *in vivo* CI concentration in our constructs and drawing on the elegant experimental and theoretical work that has been done over the last several decades, we were able to show that the interaction of O_L and O_R via a DNA loop can either activate or repress P_{RM} . These findings bring us closer to explaining the notable stability and efficiency of bacteriophage lambda's genetic switch. As more experiments and simulations are done to explore the basis and the outcome of this activation behavior, lambda will continue to be an important system for understanding the role of DNA loops in gene regulation.

Materials and Methods

Strains and Plasmids. *E. coli* DH5 α was routinely used as a cloning host. Fluorescence experiments were done on *E. coli* K12 λ^- (MG1655) transformed with the indicated plasmids. All immunity region clones were first constructed in the high copy number plasmid pLOI2403 [obtained from L. Ingram (University of Florida, Gainesville, FL)] (29). Primer sequences and details of molecular cloning are presented in *SI Text*. Each high-copy clone was verified by sequencing, then the *cro-O_R-cl-venus-rexA-rexB-O_L* fragment was subcloned into the low-copy-number plasmid pKLJ12 (10). For testing the effect of deleting the t_{imm} terminator, a PCR-based mutagenesis method was used (30).

Culture Conditions. Cells were grown in EZ Rich Defined medium (EZRD) (Teknova) (31) with 10 mM glucose for flow cytometry and in Luria broth for cloning. Growth was at 37°C with good aeration. High-copy pLOI2403 plasmids and low-copy pKLJ12 plasmids were maintained with 100 and 50 μ g/ml ampicillin, respectively.

Flow Cytometry. Samples were started from overnight EZRD cultures at 10⁴-fold dilution and incubated at 37°C. Cells were harvested every 10 min between 3 and 5 h of growth after dilution. The OD₆₀₀ ranged from 0.15 to 3.5, with a cell doubling time of 26 min. Immediately upon harvest, spectinomycin was added at a concentration of at least 200 μ g/ml to inhibit further protein synthesis. Samples were protected from light and stored at 4°C until analysis. Forward scatter (FSC), side scatter (SSC), and cellular fluorescence (FL1) were collected by using a FACSCalibur flow cytometer (BD Biosciences). Datasets of 100,000 events were collected at the lowest flow rate, ≈ 12 μ l/s. All FSC, SSC, and FL1 data were collected in linear mode. Detector settings are in *SI Text*. Flow cytometry FCS data files were imported into MatLab, using code from the script "FCS data reader" written by L. Balkay (University of Debrecen, Debrecen, Hungary), obtained from the MatLab Central file exchange web site (www.mathworks.com). We selected a data point that had the least variation in FSC and SSC between constructs and filtered the data to represent cells that differ in volume by only 5–7.5% then deconvolved the data to remove instrument noise, but we found that the ratios of the fluorescence intensities for the mutant constructs calculated in this way and ratios calculated from the mean of the raw histograms were indistinguishable (see *SI Text*).

Estimating CI Levels. The Venus fluorescent intensities were converted to CI concentration by assuming that the concentration of CI in our WT construct

was the same as a lysogenic cell, which is supported by quantitative PCR of *ci* mRNA (see *SI Text*). The mean number of CI monomers in lysogenic *E. coli* cell was taken to be ≈ 150 molecules per cell (32, 33). The estimated mean cell volume, $\bar{v} = 2.0 \mu\text{m}^3$, was calculated from the 26 min doubling time of the cells (34). Using this value, a single molecule per cell corresponds to a concentration of 0.83 nM.

Operator Configurations and Activation States. The probability of each operator configuration for each mutant construct was calculated from Eq. 1, where the relationship between $[\text{CI}]_{\text{total}}$, the total number of CI monomers per cell, and $[\text{CI}_2]$, the number of dimers available to bind operator DNA (35), was obtained by solving

$$[\text{CI}]_{\text{total}} = \sqrt{\frac{[\text{CI}_2]_{\text{free}}}{e^{-\Delta G_{\text{dim}}/RT}} + 2[\text{CI}_2]_{\text{free}} + 2N_{\text{DNA}}\bar{v}^{-1}} \left(N_{\text{ns}}[\text{CI}_2]_{\text{free}} e^{-\Delta G_{\text{ns}}/RT} + \sum_{i=1}^{113} n_i P(i | [\text{CI}]_{\text{total}}) \right), \quad [3]$$

where the free energy of dimerization, $\Delta G_{\text{dim}} = -11.0$ kcal/mol (36), and $N_{\text{DNA}} = 3.3$ is the copy number of both the genomic DNA and the plasmid,

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calculated from the doubling time (34). $N_{\text{ns}} = 4.64 \times 10^6$ is the number of nonspecific binding sites, i.e., the *E. coli* genome size, and ΔG_{ns} is the average nonspecific binding energy, which we varied from -5.0 to -3.2 kcal/mol (35) in increments of 0.1 kcal/mol. We used the *linsolve* function in *MatLab* to calculate A2 and A3 for each randomly chosen parameter set by using Eq. 2. Because activation factors are proportional to the rate of mRNA production, we discarded any negative activation values. We calculated the error of each parameter set as the root mean squared difference between the observed and predicted ratios of CI levels in the mutants relative to the WT construct. The sensitivity of A2 and A3 to the free energy parameters was determined by calculating the coefficient of linear correlation, $r = \sigma_{xy}/(\sigma_x \sigma_y)$, for each parameter.

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