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# A New DNA-Based Test for Detection of Nucleophosmin Exon 12 Mutations by Capillary **Electrophoresis**

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**Mutations in nucleophosmin (***NPM1***) exon 12 are thought to be the most common genetic event in acute myelogenous leukemia (AML) and to confer favorable clinical prognoses. In this report, we describe a simple molecular test for the detection of** *NPM1* **exon 12 mutations in patients with AML using polymerase chain reaction amplification of genomic DNA followed by the analysis of amplification products by capillary electrophoresis. Mutations were reproducibly detected when present in at least 5% of cells, and all** *NPM1* **exon 12 mutations reported to date in AML could be identified using this method. This method was successfully employed using paraffin-extracted DNA, allowing for the examination of archived clinical specimens, and the assay was validated by the direct sequencing of 33 patient samples. This sensitive test is straightforward to perform and provides important information that can influence both the clinical management and treatment options for many patients with AML.** *(J Mol Diagn 2008, 10:236 –241; DOI: 10.2353/jmoldx.2008.070167)*

Acute myelogenous leukemia (AML) is a heterogeneous disease clinically, molecularly, and cytogenetically. Approximately 30% of cases display recurrent cytogenetic abnormalities, typically reciprocal translocations, which help define distinct entities and often confer a favorable prognosis.1 However, approximately 40% to 50% of AML cases have normal karyotypes with variable prognoses. Several genetic changes, including mutations in nucleophosmin (*NPM1*) and *FMS*-like tyrosine kinase 3 (*FLT-3*), have been identified in karyotypically normal AML that can aid in prediction of clinical outcome. *NPM1* mutations in AML have been reported to occur in about half of karyotypically normal cases and to confer a more favorable disease outcome, especially in the absence of *FLT-3* mutations. $2-4$ 

Mutations in *NPM1* in AML all involve exon 12 and were originally identified due to the resulting mislocalization of

the mutant protein to the cytoplasm.<sup>5</sup> Although at least 37 different *NPM1* exon 12 mutations have been identified in AML, the vast majority of cases harbor type A (75% to 80%), type B (10%), and type D (5%) mutations.<sup>6</sup> The type A mutation is an insertion of the tetranucleotide TCTG after position 863 of the coding sequence (Gen-Bank accession no. NM\_002520). The remaining cases harbor insertions of different tetranucleotides at the same position or, rarely, insertion/deletion mutations at other locations in exon 12. All mutations cause a frameshift in translation near the C terminus and abolish at least one of two tryptophan residues essential for a nucleolar localization signal. In addition, a *CRM1*-dependent nuclear export signal is acquired in the new reading frame of all mutations. As a result, the mutant proteins are mislocalized to the cytoplasm.

It is believed that *NPM1* mutations are an early event in transformation based on their stability, $<sup>7</sup>$  but it is still</sup> unclear by what mechanism NPM1 contributes to the development of AML. Wild-type NPM1 is a nucleolar phosphoprotein with multiple functions. It normally acts as a chaperone during shuttling of pre-ribosome particles from the nucleolus to the cytoplasm. Several tumor suppressor proteins, including p53, ARF, and IRF-1, physically interact with and are regulated by NPM1.<sup>8-10</sup> NPM1 also binds to centrosomes and regulates their duplication during the cell cycle.<sup>11</sup> NPM1 could therefore be affecting leukemia development by altering the normal function of a variety of proteins.

The availability of an accurate and rapid test for the presence of *NPM1* exon 12 mutations is of importance to help direct the appropriate treatment of patients with AML that have normal cytogenetic studies. Here we describe a simple and sensitive test using polymerase chain reaction (PCR) amplification of genomic DNA and capillary electrophoresis.

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### Materials and Methods

#### *Samples and DNA Preparation*

Leftover cryopreserved peripheral blood and bone marrow patient specimens sent to the ARUP hematological flow cytometry laboratory for leukemia phenotyping were used for these studies. All were diagnostic of AML and contained on average 63% leukemic blasts (21% to 99% range). Of the 33 AML cases, 11 were females and 22 were males, the mean age was 64 (range, 18 –103), and 28 were CD34-positive. The research use of these specimens was approved by the University of Utah Internal Review Board (IRB no. 11905). The cell line OCI-AML3 was obtained from the German Collection of Microorganisms and Cell Cultures and grown in  $\alpha$ -minimal essential medium (Invitrogen Corp., Carlsbad, CA; catalog no. 32571) supplemented with 20% fetal bovine serum. The SUDHL-4 cell line was obtained from Dr. Kojo Elenitoba-Johnson (University of Michigan, Ann Arbor, MI) and grown in RPMI 1640 medium (Invitrogen Corp., catalog no. 72400) supplemented with 10% fetal bovine serum. Cells were harvested by centrifugation and genomic DNA was extracted using the cultured cells protocol of the Puregene kit (Qiagen, Inc., Valencia, CA; catalog no. 158745). DNA samples were brought to a final concentration of 50 ng/ $\mu$ l.

## *PCR Amplification and Capillary Electrophoresis*

Genomic DNA was amplified with the primers NPM-F, 6-FAM-5--GATGTCTATGAAGTGTTGTGGTTCC-3-, and NPM-R, 5'-GGACAGCCAGATATCAACTG-3'. Reactions of 20  $\mu$ l contained 100 ng of genomic DNA, primers  $(0.2 \mu \text{mol/L})$ each), deoxynucleoside-5'-triphosphates (0.2 mmol/L each), 1X cloned Pfu buffer (Stratagene, La Jolla, CA), 1.25 units of *Pfu* Turbo (Stratagene), MgCl<sub>2</sub> (3.5 mmol/L final), where 1.5 mmol/L  $MgCl<sub>2</sub>$  is contributed by the cloned Pfu buffer. After an initial denaturation at 94°C for 2 minutes, DNA was amplified in 35 cycles of 94°C for 20 seconds, 60°C for 20 seconds, 72°C for 20 seconds, and followed by a hold at 72°C for 2 minutes and a cooldown. In initial experiments we amplified the DNA with 1 U of GoTaq Flexi polymerase (Promega Corp., Madison, WI) in 1X Green GoTaq Flexi buffer and  $MgCl<sub>2</sub>$  (3 mmol/L) using the same primers and cycling conditions (see below). The 6-FAMlabeled PCR products were diluted fivefold in water, and 1  $\mu$ l was mixed with 9  $\mu$ l of HiDi formamide (Applied Biosystems, Inc., Foster City, CA) and 0.5  $\mu$  of GeneScan ROX 350 internal size standards (Applied Biosystems, Inc.) and heated to 95°C for 2 minutes. The samples were run on an ABI 3130xl Genetic Analyzer using 36-cm capillaries and POP-7 polymer. The samples were injected at 2 kV for 5 seconds and run at 15 kV for 950 seconds at 60°C. PCR products and internal standards were detected using filter set D. Raw data were analyzed with GeneMapper v4.0 software (Applied Biosystems, Inc.). For simplicity, rounded base pair values are used in the text. The original fragment length values are shown in the figures.

# *DNA Sequence Analysis and Cloning of Individual NPM1 Alleles*

For direct DNA sequence analysis 10  $\mu$ l of PCR product was mixed with 2  $\mu$  of ExoSAP-IT (USB Corp., Cleveland, OH) and incubated at 37°C for 45 minutes followed by an enzyme inactivation step at 85°C for 5 minutes. For DNA sequence analysis 6  $\mu$ l of DNA template was mixed with 8  $\mu$ l of NPM-R primer (0.8  $\mu$ mol/L) and sequenced using BigDye Terminator chemistry and an ABI 3100 Genetic Analyzer (Applied Biosystems, Inc.) according to the manufacturer's instructions. For DNA sequence analysis of individual alleles to confirm the presence or absence of length polymorphisms, cloned products were evaluated. For this, *NPM1* was amplified as described above with primers NPM-F-xba, 5'-GCATCTAGAGATGTCTATGAA-GTGTTGTGGTTCC-3', and NPM-R-hind, 5'-GCAAAGC-TTGGACAGCCAGATATCAACTG-3-. The PCR products were purified with the QIAquick PCR purification kit (Qiagen, Inc.) and digested with *Xba*I and *Hin*dIII (New England Biolabs Inc., Ipswich, MA). The fragments were ligated with T4 DNA ligase (New England Biolabs, Inc.) into pBluescript II  $KS(+)$  (Stratagene) digested with the same enzymes. After transformation of the ligations into TOP 10 *Escherichia coli* cells (Invitrogen Corp.) 1-ml cultures from five colonies of each ligation were grown overnight, and plasmid DNA was isolated with the QIAprep Spin Miniprep kit (Qiagen, Inc.) in a final volume of 20  $\mu$ l. Six microliters of plasmid DNA were sequenced as above.

## **Results**

#### *Assay Design*

Primers were designed to amplify a genomic fragment that contains the coding region of *NPM1* exon 12. The human genome contains multiple *NPM1*-like elements that lack intronic sequences and are the likely result of insertions of reverse-transcribed mRNA. Following a BLAST search of the GenBank database, approximately 30 copies on 17 human chromosomes were identified with a high degree of homology to a portion of the *NPM1* cDNA comprising exons 11 and 12. We therefore designed a forward primer within the intron between NPM1 exons 11 and 12, a region unique to the *NPM1* gene on chromosome 5 (Figure 1). Moreover, no polymorphisms



**Figure 1.** Genomic DNA sequence of the junction of *NPM1* intron 11 and exon 12. Intronic residues are in lower case. The *NPM1* wild-type stop codon is underlined. The vertical **arrow** indicates the site of tetranucleotide insertion for the most common mutation types. The type A mutation results in the duplication of the preceding TCTG sequence. Regions of PCR primer binding are shown in bold. The dotted line indicates the area where insertions and insertion/deletions in AML have been observed.<sup>6</sup>



**Figure 2.** Capillary electrophoresis of a representative wild-type (**A**, **B**) and mutant sample (**C**). The wild-type sample in A has been amplified with TaqDNA polymerase. The samples in **B** and **C** have been amplified with *Pfu* Turbo DNA polymerase. Boxes under the peaks indicate allele type, peak height, and calculated fragment length.

were identified at these primer sites. Since all mutant cases reported so far are heterozygous, we use the presence of a wild-type peak as an indication of successful DNA extraction and PCR amplification. According to the GenBank sequence of *NPM1* we expect a 169-bp wildtype fragment and in mutant cases an additional 173-bp mutant fragment (or a 174-bp fragment in very rare cases of a net 5-bp insertion).

Amplification using TaqDNA polymerase shows a high proportion of  $+1$  fragment peaks following capillary electrophoresis of PCR products (Figure 2A). This peak variation was strongly suppressed by the use of a highfidelity DNA polymerase (Figure 2B), consistent with polymerase slippage likely due to a track of 13 T residues in the intron just downstream of the forward primer. For all further experiments the *Pfu* Turbo high-fidelity DNA polymerase was used.

# *Detection of NPM1 Mutations in AML Samples*

Thirty-three whole blood or bone marrow aspirate samples from patients with AML were tested for the presence of *NPM1* exon 12 mutations by the capillary electrophoresis assay. A total of nine mutant AML samples were identified, and we also confirmed the mutation previously reported to be present in the cell line OCI-AML3.<sup>12</sup> All five CD34-negative samples were mutant, which is in agreement with previous studies that show an association between the presence of *NPM1* exon 12 mutations and CD34 negativity.<sup>5</sup> All mutant samples and 24 AML sam-



Figure 3. DNA sequence analysis of a wild-type (AML-7) and mutant (AML-31) sample. For AML-31 the mutant allele was first cloned and then sequenced. The reverse PCR primer was used as a sequencing primer yielding the inverse complement of the sequence shown in Figure 1. The unduplicated wild-type and duplicated mutant tetranucleotide sequence is boxed.

ples found to be wild type by the capillary electrophoresis assay were confirmed by direct DNA sequence analysis of the PCR product. Eight of the nine mutant samples were found to carry a type A mutation, and one had the rare type Nm mutation.<sup>6</sup> Representative capillary electrophoresis results of a wild-type (AML-7) and mutant (AML-31) AML sample are shown in Figure 2, B and C. The calculated fragment lengths are close to the expected values. DNA sequence analysis of the wild-type (AML-7) sample and the cloned mutant allele of the type A mutant sample (AML-31) are shown in Figure 3. In three cases paired formalin-fixed paraffin-embedded clot sections were also available for analysis and gave congruent results (two wild types and one type Nm mutant).

# *Intronic Length Polymorphisms*

In five of the 33 clinical samples, both wild type and mutant, we observed a length polymorphism of  $+1$  or  $-1$ in the wild-type fragments, resulting in fragment lengths ranging from 168 to 170 bp (Figure 4). In the AML-40 wild-type sample two wild-type fragments of 168 and 169 bp are present, whereas in the wild-type sample AML-37 two wild-type fragments of 169 and 170 bp are present (Figure 4A). An additional wild-type sample (AML-5, data not shown) had a single peak at 168 bp. It is not clear if this sample is homozygous for  $a - 1$  length polymorphism or if one allele has been deleted. The mutant sample AML-60 and the cell line OCI-AML3 harbor single wildtype fragments of 168 and 170 bp, respectively, differing from the expected value of 169 bp (Figure 4B). Cloning followed by DNA sequence analysis of samples with aberrant wild-type peaks revealed length polymorphisms in the poly-T track in the intronic portion of the amplified fragment. Fragments of 168 bp had 12 T residues and fragments of 170 bp had 14 T residues (Figure 5). Based on the range of fragment sizes observed due to the polymorphisms in the intron, we expect wild-type fragments to occur in a range of 168 to 170 bp. By analogy, we expect mutant fragments  $(+4 \text{ or very rarely } +5 \text{ net})$ insertions<sup>4</sup>) to occur in a range of 172 to 175 bp, although so far we only observed mutant fragments of 173 bp. From four tests run on different days the average calcu-



**Figure 4.** Capillary electrophoresis of samples with aberrant wild-type peaks. **A:** Wild-type samples AML-40 and AML-37. **B:** Mutant sample AML-60 and mutant cell line OCI-AML3. Boxes under the peaks indicate allele type, peak height, and calculated fragment length.

lated fragment lengths  $\pm$ 2 SD were as follows: 168.1  $\pm$ 0.232 bp, 169.17  $\pm$  0.111 bp, and 170.19  $\pm$  0.159 bp, respectively, for the various wild-type fragments, and 173.31  $\pm$  0.173 bp for the mutant fragment. For allele identification, the GeneMapper software was set up with a wild-type bin of 167.5 to 170.5 bp and a mutant bin of 171.5 to 175.5 bp.

#### *Analytical Sensitivity*

To determine the detection limit of this test we serially twofold diluted *NPM1* mutated OCI-AML3 cells into *NPM1* wild-type cells (SUDHL-4) and prepared genomic DNA from the cell mixtures. A *NPM1* fragment was amplified as above, and the PCR products were analyzed by capillary electrophoresis. Figure 6 shows the results from four dilutions, 8-, 16-, 32-, and 64-fold, of the positive cell line OCI-AML3. The constant 169-bp wild-type peak in Figure 6 is derived from the SUDHL-4 diluent cell line. We reproducibly detected a mutant peak at 173 bp when the positive cell line was diluted up to 32-fold. A no-template or wild-type control did not harbor any peaks in the mutant fragment range (data not shown).



Figure 5. DNA sequence analysis of samples with aberrant wild-type peaks. Sequencing was performed with the reverse PCR primer, yielding the inverse complement of the sequence shown in Figure 1. The unduplicated wild-type and duplicated mutant tetranucleotide sequences are boxed. The poly-T track is indicated with a bold line. **A:** Wild-type samples AML-40 and AML-37. Total PCR products were sequenced. A heterozygous poly-T track length is observed due to heterozygosity of the samples. **B:** Mutant sample AML-60 and mutant cell line OCI-AML3. The mutant and wild-type alleles were cloned (see Materials and Methods) and sequenced separately.

## **Discussion**

**A**

**B**

We have developed a simple and sensitive test for the detection *NPM1* exon12 mutations in AML specimens that involves PCR amplification from genomic DNA and product analysis by capillary electrophoresis. *NPM1* mutations are identified by the appearance of an additional fragment of increased length relative to the wild-type fragment. The wild-type fragment was used as an internal quality control for test performance, since all reported *NPM1* mutations are heterozygous, consistent with homozygous *NPM1* mutations being deleterious or embryonic lethal.13 Our test will detect all known exon 12 mutations and is sensitive enough to detect one mutated cell in 20 normal cells. Moreover, the short size of the PCR fragment also allows for successful detection of *NPM1* mutations using DNA prepared from formalin-fixed paraffin-embedded cells. Since all AML-associated *NPM1* mutations have the same effect on protein function.<sup>6</sup> it is not necessary to identify the specific type of mutation present by sequence analysis.

A key design feature of our test was placing the forward PCR primer in intron 11 to eliminate any potential interference from several related pseudogene-like re-



**Figure 6.** Capillary electrophoresis of serially diluted mutant cell line samples. OCI-AML3 cells were serially twofold diluted into *NPM1* wild-type SUDHL-4 cells. Results from the 8-, 16-, 32-, and 64-fold dilutions are shown. Boxes under the peaks indicate allele type, peak height, and calculated fragment length.

verse-transcribed elements in the genome. Although this approach added a stretch of repetitive T sequences to the amplicons initially causing varying amounts of  $+1$ and  $-1$  bp fragment size variation, the subsequent use of a high-fidelity polymerase eliminated most of this effect. In addition, the minimal remaining one nucleotide noise did not interfere with the identification of even low amounts of mutant DNA, since the mutant and wild-type peaks are usually separated by 4 to 5 bp. It is interesting that in approximately 10% to 15% of cases we identified  $+1$  and  $-1$  bp length polymorphisms of this poly-T track in wild-type alleles from both mutated and nonmutated specimens. We have not yet detected 1 bp length polymorphisms in the poly-T tracks of any mutant fragments. However, since only small numbers of mutated cases were analyzed, additional studies would be required to determine whether these 1-bp polymorphisms could potentially affect the acquisition of exon 12 mutations.

*NPM1* exon 12 mutations can also be detected by immunohistochemical staining based on the resulting mislocalization of the mutant protein to the cytoplasm.<sup>5</sup> However, this method may be difficult to interpret due to

close packing of blasts and/or the blasts having little cytoplasm.14 In addition, a nucleic acid-based test adds the advantage of being able to clearly identity small numbers of mutated cells admixed with high numbers of nonmutated cells. Noguera et al<sup>15</sup> have also recently reported a PCR capillary electrophoresis-based test for detection of *NPM1* exon 12 mutations starting with RNA isolated from AML samples. However, the DNA-based test we have described has the advantage of not requiring a reverse transcription step and can be performed on paraffin-embedded tissue specimens. This later feature affords the opportunity to retrospectively test for *NPM1* mutations from archived diagnostic bone marrow specimens.

In conclusion, the test we have described for detecting *NPM1* mutations in AML specimens is straightforward to perform, has good sensitivity, and works for most types of clinical specimens, including fixed tissue. The availability of such a test, when coupled with *FLT-3* mutation analysis, should have considerable clinical utility in choosing treatment options for patients with AML and can also help with proper interpretation of ambiguous immunohistochemical staining results to assess cytoplasmic NPM1 localization.

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