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## Propofol enhances both tonic and phasic inhibitory currents in second-order neurons of the solitary tract nucleus (NTS)

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### Abstract

The anesthetic propofol is thought to induce rapid hypnotic sedation by facilitating a GABAergic tonic current in forebrain neurons. The depression of cardiovascular and respiratory regulation often observed during propofol suggests potential additional actions within the brainstem. Here we determined the impacts of propofol on both GABAergic and glutamatergic synaptic mechanisms in a class of solitary tract nucleus (NTS) neurons common to brainstem reflex pathways. In horizontal brainstem slices, we recorded from NTS neurons directly activated by solitary tract (ST) axons. We identified these second-order NTS neurons by time-invariant (“jitter” <200  $\mu$ s), “all-or-none” glutamatergic excitatory postsynaptic currents (EPSCs) in response to shocks to the ST. In order to assess propofol actions, we measured ST-evoked, spontaneous and miniature EPSCs and inhibitory postsynaptic currents (IPSCs) during propofol exposure. Propofol prolonged miniature IPSC decay time constants by 50% above control at 1.8  $\mu$ M. Low concentrations of gabazine (SR-95531) blocked phasic GABA currents. At higher concentrations, propofol (30  $\mu$ M) induced a gabazine-insensitive tonic current that was blocked by picrotoxin or bicuculline. In contrast, total propofol concentrations up to 30  $\mu$ M had no effect on EPSCs. Thus, propofol enhanced phasic GABA events in NTS at lower concentrations than tonic current induction, opposite to the relative sensitivity observed in forebrain regions. These data suggest that therapeutic levels of propofol facilitate phasic (synaptic) inhibitory transmission in second order NTS neurons which likely inhibits autonomic reflex pathways during anesthesia.

### Keywords

brainstem; propofol; glutamate; EPSC; IPSC; anesthetic

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## 1. Introduction

Propofol (2,6-di-isopropylphenol) is widely favored as an intravenous general anesthetic (Rudolph & Antkowiak, 2004). Strong mechanistic links have been established, mostly in forebrain regions, between several of its clinical features and underlying cellular-molecular actions (Rudolph & Antkowiak, 2004; Franks, 2006). The hypnotic effects of propofol are primarily attributed to the enhancement of A-type  $\gamma$ -aminobutyric acid (GABA<sub>A</sub>) receptor function by inducing extended GABA<sub>A</sub> channel open times (Kitamura *et al*, 2004) and slowing desensitization (Bai *et al*, 1999; Bai *et al*, 2001), both attributes of phasic GABAergic transmission. Increasing interest has focused on propofol actions to induce an inhibitory tonic current that is pharmacologically and biophysically separable from phasic GABAergic currents (Hales & Lambert, 1991; Bai *et al*, 2001; Yeung *et al*, 2003). In hippocampal neurons, this tonic, “extrasynaptic” GABA<sub>A</sub> component is more sensitive to anesthetics than actions on phasic currents in the same neurons (Hemmings, Jr. *et al*, 2005) and seemingly plays a substantial role in suppressing neuronal excitability (Bieda & MacIver, 2004). In addition, ion channels and in particular voltage-gated sodium channels are reported to be inhibited by low micromolar concentrations of propofol (Frenkel & Urban, 1991; Ouyang *et al*, 2003; Jones *et al*, 2007). Given the diversity in composition and expression of native GABA<sub>A</sub> receptors as well as potential ion channel targets, accurate predictions of general anesthetic actions within any specific brain region is problematic.

Hypotension, bradycardia, desaturation and apnea are noted side effects of propofol administration and are consistent with depression of cardiorespiratory control mechanisms (Trapani *et al*, 2000; Nieuwenhuijs *et al*, 2000). Neurons responsible for these homeostatic regulatory mechanisms are concentrated in the brainstem (Loewy, 1990; Andresen & Kunze, 1994; Guyenet, 2006) and actions in the brainstem are consistent with the substantial autonomic impact of propofol in humans (Ebert & Muzi, 1994; Ebert, 2005). Common to most of these reflex pathways is the nucleus of the solitary tract (NTS), the site of central terminations of visceral cranial afferent nerves (including the vagus) from the cardiovascular, respiratory and gastrointestinal systems (Saper, 2002; Andresen *et al*, 2004; Travagli *et al*, 2006; Guyenet, 2006). Arterial baroreceptors and respiratory afferents terminate within medial portions of the caudal NTS and are a source of excitatory glutamatergic input onto second-order NTS neurons (Mendelowitz *et al*, 1992; Doyle & Andresen, 2001; Kubin *et al*, 2006). GABAergic transmission in NTS is also critical to normal cardiorespiratory reflex performance (Andresen & Kunze, 1994; Kubin *et al*, 2006) as well as pathophysiological conditions (Urbanski & Sapru, 1988; Callera *et al*, 2000; Mei *et al*, 2003; Tolstykh *et al*, 2004).

To assess the cellular mechanisms of propofol in the NTS, we recorded GABAergic and glutamatergic synaptic currents from synaptically identified, second-order neurons in horizontal brainstem slices. Propofol facilitated spontaneous and miniature inhibitory postsynaptic currents (IPSCs) and evoked a tonic, GABA-mediated current without altering glutamatergic, excitatory postsynaptic currents (EPSCs). Interestingly and unlike that observed in some forebrain neurons, inhibitory phasic currents were more sensitive to propofol than the evoked GABA<sub>A</sub> mediated tonic conductance. Taken together, these results suggest that the facilitation of inhibitory phasic neurotransmission dominates propofol actions within NTS.

## 2. Materials & Methods

All animal procedures were performed with the approval of the Institutional Animal Care and Use Committee at Oregon Health & Science University (Portland, Oregon) and conform to the guidelines laid out in the National Institutes of Health publication “Guide for the Care and Use of Laboratory Animals”.

## 2.1 Brain Stem Slice Preparation

Brain stem slices were prepared from adult (>160 g) Sprague Dawley rats (Charles River Laboratories, Inc., Wilmington, MA) as described in detail previously (Doyle & Andresen, 2001). Briefly, rats were deeply anesthetized with isoflurane and killed by cervical dislocation. The medulla was rapidly removed, cooled and trimmed rostrally and caudally to yield a brainstem block centered on obex. The ventral surface of brain tissue was cut to yield a single horizontal slice 250  $\mu\text{m}$  thick which contained the ST together with the neural cell bodies in the medial NTS region. Slices were cut with a sapphire knife (Delaware Diamond Knives, Wilmington, DE) mounted in a vibrating microtome (VT1000S; Leica Microsystems Inc., Bannockburn, IL). The external solution was artificial cerebrospinal fluid (ACSF) containing the following (mM): 125 NaCl, 3 KCl, 1.2  $\text{KH}_2\text{PO}_4$ , 1.2  $\text{MgSO}_4$ , 25  $\text{NaHCO}_3$ , 10 dextrose, and 2  $\text{CaCl}_2$ , bubbled with 95%  $\text{O}_2$ -5%  $\text{CO}_2$ . Slices were secured with a nylon mesh in a perfusion chamber and perfused with ACSF at 32–35°C, 300 mOsm, bubbled with 95%  $\text{O}_2$ -5%  $\text{CO}_2$ .

## 2.2 Whole Cell Recordings

The medial sub-nucleus of caudal NTS was targeted for recording using anatomical landmarks visualized within horizontal slices (Doyle *et al.*, 2004). Recorded neurons were located medial to the ST and within 200  $\mu\text{m}$  rostral or caudal from obex – an area containing the densest synaptic terminals from aortic baroreceptors and substantial pulmonary afferent terminals (Mendelowitz *et al.*, 1992; Doyle & Andresen, 2001; Kubin *et al.*, 2006). Electrodes (3.5–4.5  $\text{M}\Omega$ ) were visually guided to neurons using infrared illumination and differential interference contrast optics (40X water immersion lens) on an Axioskop-2 FS plus fixed stage microscope (Zeiss, Thornwood, NJ) with camera (Hamamatsu Photonic Systems, Bridgewater, NJ).

The  $\text{Cl}^-$  content of the recording electrode solutions and therefore the intracellular concentration were varied depending upon the experimental protocol. For spontaneous and miniature IPSC measurements (sIPSC and mIPSC, respectively), a high  $\text{Cl}^-$  intracellular solution ( $E_{\text{Cl}} = -25\text{mV}$ ) was used and contained (mM): 10 NaCl, 40 KCl, 70 K-gluconate, 11 EGTA, 1  $\text{CaCl}_2$ , 1  $\text{MgCl}_2$ , 10 N-2-hydroxyethylpiperazine-N'-2-ethanesulfonic acid (HEPES), 2  $\text{Na}_2\text{ATP}$ , and 0.2  $\text{Na}_2\text{GTP}$ . For spontaneous and miniature EPSC measurements (sEPSC and mEPSC, respectively), a low  $\text{Cl}^-$  intracellular solution ( $E_{\text{Cl}} = -69\text{mV}$ ) was used and contained (mM): 6 NaCl, 4 NaOH, 130 K-gluconate, 11 EGTA, 1  $\text{CaCl}_2$ , 1  $\text{MgCl}_2$ , 10 HEPES, 2  $\text{Na}_2\text{ATP}$ , and 0.2  $\text{Na}_2\text{GTP}$ . For ST-evoked EPSCs (ST-EPSCs), a medium  $\text{Cl}^-$  content intracellular solution ( $E_{\text{Cl}} = -60\text{mV}$ ) was used and contained (mM): 10 NaCl, 130 K-gluconate, 11 EGTA, 1  $\text{CaCl}_2$ , 1  $\text{MgCl}_2$ , 10 HEPES, 2  $\text{Na}_2\text{ATP}$ , and 0.2  $\text{Na}_2\text{GTP}$ . All intracellular solutions were pH 7.3 and 296 mOsm. All recordings were made in open, whole cell patch configuration under voltage clamp using an Axopatch 200A or Multiclamp 700B amplifier (Axon Instruments, Foster City, CA). Signals were filtered at 10 kHz and sampled at 30 kHz using p-Clamp software (versions 8.2 or 9.2, Axon Instruments, MDS Analytical Technologies, Sunnyvale, CA).

## 2.3 Functional identification of second- order NTS neurons

**2.3.1 Remote activation of ST primary afferents**—A fine-tipped, concentric bipolar stimulating electrode (200  $\mu\text{m}$  outer diameter; Frederick Haer Co., Bowdoinham, ME) was placed on a rostral site of the visible ST axons 2–3 mm from recorded neuron cell bodies. This remote placement minimized the likelihood that electrical shocks would activate non-ST axons or local neurons (Doyle & Andresen, 2001; Doyle *et al.*, 2004). A Master-8 isolated programmable stimulator (A.M.P.I., Jerusalem, Israel) generated bursts of five ST shocks at 50 Hz every 3 s (shock duration 0.1 msec). From the analysis of responses to such ST burst protocols, we calculated synaptic latency, frequency-dependent amplitude depression and failure rates for ST-EPSCs. Latency was defined as the time between the ST shock and onset

of the resulting EPSC (Doyle & Andresen, 2001). Synaptic jitter was calculated as the standard deviation of 30 – 40 ST-EPSC latencies within each neuron. Jitter reliably distinguishes between direct monosynaptic and indirect polysynaptic afferent contacts onto NTS neurons (Doyle & Andresen, 2001; Bailey *et al.*, 2006a). In addition, multi-neuronal pathways (>200  $\mu$ s jitter) (Bailey *et al.*, 2006a) are particularly prone to synaptic failure. Suprathreshold ST shocks that failed to evoke an identifiable EPSC were counted as synaptic failures and failure rates were calculated as a percent of total ST shocks delivered at a constant but supra-threshold intensity over 30 – 40 trials (5 shocks @ 50 Hz per sweep). Monosynaptic ST responses typically exhibited failure rates of less than 0.1%. For the purposes of this study, neurons that exhibited a ST-EPSC jitter >200  $\mu$ s and/or >0.1% failure rates were excluded from the study.

**2.3.2 ST stimulus intensity-recruitment curves**—Action potentials are triggered and conducted in an all-or-none fashion. Thus, a given shock at the ST may result in two outcomes: ineffectual (sub-threshold) or successful (supra-threshold synaptic response). Therefore synaptic event amplitudes were independent of shock intensity once threshold is exceeded. The all-or-none profile of shock intensity-recruitment curves indicates that such synaptic responses in a given NTS neuron rely on the activation of a single axon. (Andresen & Yang, 1995; Bailey *et al.*, 2006b). For determining synaptic thresholds, shock intensities were finely graded and the amplitude of each evoked synaptic event was measured. The appearance of multiple ST- synced EPSCs or changes in the kinetic profile of synaptic responses over the range of shock intensities tested indicated the recruitment of additional afferent fibers with different, discrete shock thresholds and latency characteristics (Bailey *et al.*, 2006a).

## 2.4 Drugs

All drugs were administered via the bath ACSF perfusate. In order to study GABAergic neurotransmission, ionotropic glutamate receptors were blocked with 2,3-dihydroxy-6-nitro-7-sulfonyl-benzo[*f*]quinoxaline (NBQX; 20  $\mu$ M) and D-2-amino-5-phosphonovalerate (AP-5; 100  $\mu$ M). Glutamatergic EPSCs and tonic GABA currents were studied in the presence of gabazine (SR95531; 3  $\mu$ M) to block phasic GABA<sub>A</sub> synaptic events. Picrotoxin (50 or 100  $\mu$ M; Sigma RBI, St. Louis MO) and bicuculline (100  $\mu$ M) blocked all GABAergic receptor activity. Miniature events (mEPSCs and mIPSCs) were recorded in the presence of tetrodotoxin (TTX; 3  $\mu$ M). A concentrated stock solution of propofol (Aldrich, Milwaukee, WI) was created by combination with DMSO (1:3000 v/v) and this propofol stock was then diluted to final concentrations using ACSF. Propofol was perfused for 7 min (0.3, 1, 3, or 10  $\mu$ M) or 8 min (30  $\mu$ M) at a flow rate of 1.5 to 2 ml/min. Analysis of synaptic responses utilized the final 5 min period of each concentration exposure. All drugs were purchased from Tocris Bioscience (Ellisville, MO) unless otherwise noted.

## 2.5 Data and Statistics Analysis

All spontaneous and miniature synaptic events were detected and analyzed from digitized waveforms using MiniAnalysis (Synaptosoft, Decatur, GA). Except for determination of frequency rates, events <15 pA and those with multiple peaks were excluded from waveform analyses. The number of events that were part of multi-peak clusters was expressed as a percentage of all events detected. Baseline currents were measured over a 2 msec section of the recorded traces prior to every detected event. In cases in which phasic currents were blocked, 30 seconds of the digitized current traces was plotted as an all-points histogram (e.g. (Bieda & MacIver, 2004)) in 0.5 pA bins and all values were then averaged to represent the mean current for that time period. The all-points histograms express variation in current during the time period. Decay-time constants represent decay kinetics independent of amplitude and were calculated by least squares fitting of a single exponential between the 10% and 90% peak amplitude portion of the current relaxation. For statistical comparison of spontaneous and miniature synaptic events, waveform characteristic values (decay-time constant and

amplitude), event frequency and baseline values across each group were averaged over the last 5 minutes of each cumulative concentration step. Results were compared with the Friedman repeated measures ANOVA on ranks (frequency, decay-time constant and amplitude) or a one-way, repeated measures ANOVA (baseline values, ST-EPSC<sub>1</sub> amplitude and latency) each with Bonferroni post hoc testing (SigmaStat, San Jose, CA). All data are represented as mean  $\pm$  SEM and  $p < 0.05$  was considered statistically significant.

### 3. Results

#### 3.1 Second-order NTS neurons

We studied propofol actions on a total of 45 neurons meeting all ST-synaptic criteria for second-order neurons (Doyle *et al.*, 2004; Bailey *et al.*, 2006b). The ST-evoked EPSCs had aggregate means for latency and jitter for these neurons of  $4.9 \pm 0.2$  msec (range 1.7 to 8.3 msec) and  $87 \pm 5$   $\mu$ sec (range 33 to 187  $\mu$ sec), respectively. Such ST-EPSCs were blocked completely by non-NMDA (NBQX, 20  $\mu$ M) and NMDA receptor (AP-5, 100  $\mu$ M) antagonists leaving spontaneous IPSCs (Figure 1A).

#### 3.2 Propofol enhances GABAergic spontaneous IPSCs

Following ST synaptic characterization and block of glutamatergic transmission (Figure 1A), spontaneous EPSCs (sEPSCs) were also eliminated and all second-order NTS neurons displayed spontaneous IPSCs (sIPSCs) (Figure 1A right panel and top two panels of 1B). Under conditions of elevated intracellular Cl<sup>-</sup> ( $E_{Cl} = -25$  mV), GABAergic currents were large and inward when voltage-clamped near the normal resting potential ( $V_H = -60$  mV; Figure 2B).

Introduction of propofol rapidly broadened sIPSCs in a concentration related fashion beginning at 1  $\mu$ M (Figure 1B). At high concentrations of propofol, the prolonged events began to overlap in time with successive sIPSCs and produced clusters of events with multiple peaks (10 – 30  $\mu$ M propofol, Figure 1B). Detailed analysis of these records consisted of an examination of all phasic events plus measurements of the baseline preceding each event. Once an event was detected a sample of the preceding baseline generated a baseline current level that was also used as an index of the tonic current level (Figure 1C). The decay phase of each sIPSC was fitted with a single exponential to estimate a decay-time constant ( $\tau$ ; Figure 1C). Analysis of the waveform characteristics of the full record of events for the representative neuron (short epochs displayed in Figure 1) showed that the sIPSC decay-time constant values increased with increases in propofol concentration while low decay-time constant values became less common (Figures 2A). However, neither the amplitudes nor the frequency of sIPSC events were altered by increasing concentrations of propofol (Figure 2A). Note that propofol induced an inward shift in the baseline current in a concentration dependent manner despite constant  $V_H$  (Figure 2A). Propofol actions reversed only gradually with washing in propofol free solution (Figure 2A).

On average ( $n = 6$ , Figure 2B), propofol consistently increased sIPSC time constant and the holding current at 10  $\mu$ M and 30  $\mu$ M, respectively. Such calculated means obscure the large ranges in responsiveness across individual neurons in which significant within experiment changes were identified at concentrations as low as 1  $\mu$ M (Figure 2A). The magnitude of this heterogeneity in the propofol effects varied across neurons such that the range of change in relative decay-time constants for sIPSCs was 1.7 to 3.8 fold increases ( $n = 6$ ) at 10  $\mu$ M propofol. The propofol evoked tonic current ranged from little or no net current up to  $-35$  pA ( $n = 6$ ) at 30  $\mu$ M. Propofol increased the incidence of multi-peaked events from  $8 \pm 3$  % of all events in control to  $8 \pm 3$  %,  $11 \pm 4$  %,  $12 \pm 4$  %,  $16 \pm 6$  % and  $22 \pm 9$  % at 0.3  $\mu$ M, 1  $\mu$ M, 3  $\mu$ M, 10  $\mu$ M and 30  $\mu$ M propofol, respectively. Neither the spontaneous IPSC amplitudes nor frequencies were

unaltered by propofol, both within individual experiments (distribution shifts) and in aggregate means (Figure 2).

### 3.3 Propofol enhances miniature GABAergic IPSCs

Spontaneous, action potential dependent processes can contribute to release from nerve terminals. To focus on nerve terminal release alone, we tested propofol actions on miniature GABAergic events (mIPSCs) in the presence of TTX as well as NBQX and AP-5. Miniature IPSCs occurred at a rate of  $0.98 \pm 0.27$  Hz, a mean amplitude of  $41 \pm 7$  pA and a mean decay time constant ( $\tau$ ) of  $18 \pm 2$  ms under control conditions ( $n = 10$ ). Beginning between 1 and 3  $\mu$ M, propofol significantly increased the decay-time constant of mIPSCs (Figure 3A & C; 3  $\mu$ M  $p < 0.01$ , KS test), such that the decay phase of the average mIPSC waveforms (Figure 3C) extended with increasing concentrations yet peak amplitude remained constant. Note that propofol shifted the distribution of decay time constant values to longer values in a concentration dependent manner in this neuron and that, at the highest levels, the shortest tau events almost disappeared (Figure 3C, right panel). Evidence of a tonic inward current appeared only when propofol reached 10  $\mu$ M in this neuron (Figure 3A, bottom panel;  $p < 0.01$ , KS test). Note that adding gabazine (3  $\mu$ M) rapidly blocked all phasic currents (mIPSCs) but did not alter the propofol induced tonic current (Figures 3A & B).

On average ( $n = 10$ ), 3  $\mu$ M propofol increased the decay-time constant for mIPSCs significantly above control ( $p = 0.006$ , Figure 3D). The decay-time constant for mIPSCs averaged  $18 \pm 2$  ms at control and increased to  $22 \pm 2$  ms,  $34 \pm 5$  ms and  $60 \pm 7$  ms at 1  $\mu$ M, 3  $\mu$ M, and 10  $\mu$ M propofol respectively. Note that even at 30  $\mu$ M, as is commonly observed, the propofol induced increase in decay-time constant showed no indication of saturating and therefore  $EC_{50}$  values could not be determined. Expressed as functional endpoints, the mIPSC decay-time constant increased 50% increases over control on average at 1.8  $\mu$ M and doubled at 3.6  $\mu$ M propofol (Figure 3D). Similar to sIPSCs, propofol increased the prevalence of multi-peak mIPSCs from control levels of  $8 \pm 2\%$  of all events to  $7 \pm 2\%$ ,  $10 \pm 2\%$ ,  $11 \pm 2\%$ ,  $16 \pm 4\%$  and  $21 \pm 4\%$  in 0.3  $\mu$ M, 1  $\mu$ M, 3  $\mu$ M, 10  $\mu$ M and 30  $\mu$ M propofol, respectively. Given the varied magnitude of tonic currents across neurons, only 30  $\mu$ M propofol significantly induced a significantly larger average tonic current compared to control (Figure 3D, range: +21 to -71 pA). Propofol did not alter mIPSC event amplitudes or frequencies (Figure 3D). Such results are consistent with selective propofol action at postsynaptic GABA<sub>A</sub> receptors to enhance phasic events at therapeutic (low micromolar) concentrations, whereas tonic currents were induced at substantially higher concentrations.

### 3.4 Propofol induces an inward, tonic Cl<sup>-</sup> current in the absence of phasic events

The presence of long lasting and multi-peaked phasic currents during high levels of propofol complicated the intermittent measurements shifts in the holding current, i.e. the tonic GABA current. To better discern the propofol evoked tonic current, we blocked all phasic events (NBQX, AP-5 and gabazine) and examined the propofol evoked current in isolation (Figure 4). Under these conditions, 30  $\mu$ M propofol induced an inward current (range: -6 to -48 pA,  $n = 5$ , Figure 4A, B, C) that was eliminated by either 100  $\mu$ M picrotoxin ( $n = 3$ ) or bicuculline (100  $\mu$ M, not shown,  $n = 2$ ). Propofol also increased the variation or 'noise' of the tonic current as evidenced by a broader distribution of baseline values as the mean current shifted (Figure 4B, all points graph). Subtractions of the ionic currents evoked by command ramps of voltage ( $V_H$  from -90 to -40 mV over 750 ms) during control (NBQX and gabazine) and during 10  $\mu$ M propofol revealed a net (subtracted) propofol-induced, tonic current that reversed at  $-61.6 \pm 1.0$  mV ( $n = 3$ , Figure 4D). The reversal potential for this propofol induced current was close to the calculated  $E_{Cl}$  (-60 mV) for these conditions. Picrotoxin (50  $\mu$ M) subsequently blocked these propofol induced Cl<sup>-</sup> currents (data not shown). Therefore, the tonic current induced by

propofol is pharmacologically distinct from phasic GABA<sub>A</sub> currents and relies on a Cl<sup>-</sup> selective conductance.

### 3.5 ST afferent and glutamatergic synaptic transmission is propofol resistant

The consistent timing and waveforms of ST-evoked EPSCs makes them sensitive assays of afferent glutamatergic transmission (Bailey *et al*, 2006b). Even high concentrations (30 μM), of propofol had no effect on ST-EPSCs (Figure 5A). Thus, on average, the mean amplitude of the first evoked ST-EPSC (ST-EPSC<sub>1</sub>) remained unchanged from control up to the highest concentration tested propofol (30 μM, Figure 5B). Although in cortical neurons sodium channels, spiking and nerve conduction are inhibited by low micromolar propofol concentrations (Rehberg & Duch, 1999; Bieda & MacIver, 2004; Martella *et al*, 2005; Jones *et al*, 2007), ST-EPSC latency remained constant during propofol (p=0.958, n=5, Figure 5C), a finding that suggests that sensory axon conduction and glutamate release are unimpeded by the anesthetic. Likewise, following pharmacological isolation of sEPSCs with gabazine, propofol did not alter decay-time constants, amplitudes, frequencies or the holding current at any concentration tested (n=6, Figure 5D). Miniature EPSCs isolated by TTX (and gabazine) occurred at a rate of 2.3±0.5 Hz, had a mean amplitude of 38±5 pA and a mean decay time constant (τ) of 3.4±0.4 ms under control conditions (n = 10) and were unaffected by propofol (Figure 5E). A tonic current was not detected during propofol in this subset of experiments but this was likely due to recording conditions that held the neurons close to the chloride reversal potential.

## 4. Discussion

The brainstem neurons studied in the present series of experiments are positioned at the first CNS transmission point for a broad array of homeostatic reflexes. Our studies demonstrated that propofol selectively enhanced inhibitory mechanisms by facilitating phasic GABA<sub>A</sub> currents onto second order NTS neurons with no discernable effect on glutamatergic transmission. Thus, propofol at low micromolar concentrations appears to act only on postsynaptic GABA<sub>A</sub> receptors to facilitate phasic inhibitory currents. Approximately 10-fold higher concentrations were required for propofol to induce a tonic GABAergic current. Our NTS data suggest that the sensitivity of phasic GABA<sub>A</sub> receptors to propofol in this adult tissue corresponds to estimated therapeutic concentrations (Rudolph & Antkowiak, 2004; Urban *et al*, 2006; Franks, 2006) and these mechanisms may well contribute to the autonomic dysregulation observed in patients that includes baroreflex depression and sympathoinhibition (Ebert *et al*, 1992; Ebert, 2005) as in animal models (Seagard *et al*, 1983; Lee *et al*, 2002).

A wide range of membrane proteins have been suggested as targets for general anesthetic action and most studies have focused on forebrain and spinal cord neurons closely related to hypnotic, amnesiac, immobilizing and analgesic outcomes (Rudolph & Antkowiak, 2004; Franks, 2006). Although GABA<sub>A</sub> receptors are the most prominent target, ion channels and other neurotransmitter receptors including glutamate have been identified as potential sites of anesthetic action in various neurons. Certainly the strongest evidence links general anesthetic actions to GABA<sub>A</sub> ionotropic receptors and this is true for propofol in particular (Rudolph & Antkowiak, 2004; Franks, 2006). Propofol acts at GABA<sub>A</sub> receptors where it increases both decay times and the open channel probability (Kitamura *et al*, 2004) and slows desensitization (Bai *et al*, 1999; Bai *et al*, 2001). Diverse expression and the multitude of naturally occurring sub-unit combinations of GABA<sub>A</sub> receptors make it difficult to predict anesthetic sensitivity and mechanisms (Yeung *et al*, 2003). This receptor diversity differs prominently across brain regions and is thought to be responsible for pharmacological differences between phasic and tonic GABA receptor mediated currents within and across neurons (Yeung *et al*, 2003). In this

context, our goal in the present studies was to try to identify the potential targets for anesthetic actions in adult NTS neurons with fully mature development.

#### 4.1 Use of second-order NTS neurons

The second-order neuron phenotype adds the specific context of known pathway structure and their relationship to peripheral afferents including the vagus nerve (Andresen & Kunze, 1994). The use of adult rats avoided the developmentally transient expression of potential target proteins including GABA mechanisms observed in the brainstem (Awatramani *et al*, 2004) as well as cortical neurons (Brickley *et al*, 1996). The high level of experimental precision offered by ST-evoked EPSC measures in second order NTS neurons makes the negative result of propofol effects on ST responses particularly remarkable since multiple presynaptic properties are assessed that include conduction sites along the afferent axon as well as the terminal release site itself (Bailey *et al*, 2006b).

#### 4.2 Propofol enhances phasic GABAergic currents in NTS

In NTS neurons, propofol enhanced both phasic and tonic GABA-mediated currents albeit with different sensitivities. Propofol increased the duration (decay-time constant) of IPSCs in a concentration dependent manner beginning at 1  $\mu$ M. Similar response patterns for propofol actions (from 1  $\mu$ M) on GABAergic miniature and/or spontaneous IPSCs occur in brainstem cardiac projection neurons (Wang *et al*, 2004). Propofol likewise affected GABA<sub>A</sub> IPSCs in supramedullary neurons from embryonic to early postnatal brain slices from the hippocampus (from 0.2  $\mu$ M) (Bai *et al*, 2001), paraventricular nucleus (from 10  $\mu$ M, decay time (Shirasaka *et al*, 2004)) and cortex (primary culture, from 1  $\mu$ M (Kitamura *et al*, 2003)). Note that experimental design factors such as Cl<sup>-</sup> gradient and driving force influence discrimination of such threshold values particularly regarding GABA<sub>A</sub> mediated currents.

#### 4.3 Propofol induces a tonic inhibitory current

Propofol-induced tonic currents shifted the holding current in NTS neurons and developed even in the presence of gabazine, which blocked all phasic IPSCs. Total propofol concentrations of 10 – 30  $\mu$ M were required in NTS neurons to induce a persistent, inhibitory tonic current. Tonic GABA<sub>A</sub> currents increase during development in cerebellar neurons (Brickley *et al*, 1996) and anesthetic sensitivity of tonic currents can be much greater than for phasic events, for example Belelli *et al*. (2005). The relative insensitivity of tonic currents in NTS neurons for propofol contrasts with the high sensitivity for tonic GABA<sub>A</sub> currents in hippocampal and neocortical neurons to propofol and isoflurane (Bai *et al*, 2001; Caraiscos *et al*, 2004a; Caraiscos *et al*, 2004b; Drasbek *et al*, 2007). This contrasting pattern of NTS compared to forebrain regions may represent differences in GABA<sub>A</sub> receptor subunit composition (Feng & Macdonald, 2004; Caraiscos *et al*, 2004a) and/or extrasynaptic cellular loci (Yeung *et al*, 2003). Indeed, a unique possibility might include actions of propofol on GABA<sub>A</sub> receptors containing  $\rho_1$  subunits which are expressed in NTS (Milligan *et al*, 2004). In intact animals, ongoing activity of GABA pathways was suggested by observations that GABA antagonists increased spontaneous firing (Bennett *et al*, 1987). The molecular basis of tonic GABA<sub>A</sub> currents is controversial. Propofol can induce inhibitory currents in the absence of GABA in cultured hypothalamic neurons at concentrations above 10  $\mu$ M (Adodra & Hales, 1995). The potential for induction of sub-conductance states of GABA<sub>A</sub> receptors (Smith & McBurney, 1989) offers multiple possible mechanisms by which propofol may be inducing tonic currents in NTS neurons.

The absolute sensitivity of phasic compared to tonic GABA<sub>A</sub> targets to propofol in NTS suggests that propofol may dampen NTS neuron excitability dynamically. Targeting of phasic events means that the functional consequences of propofol's actions will occur in synchrony with GABA synaptic volleys and this pattern is similar to effects on cardiac vagal preganglionic



neurons within the nucleus ambiguus (Wang *et al*, 2004; Bouairi *et al*, 2006). This phasic enhancement contrasts with the more continuous silencing of the neurons that might result from an overt hyperpolarization (Bai *et al*, 2001; Orser *et al*, 2002). Therefore, timing of enhanced GABAergic synaptic events in NTS neurons may be particularly important in autonomic reflex performance during propofol administration. Clearly, propofol has the potential to induce an additional inhibitory tonic current and silence NTS neurons. Such actions should fully block such reflexes, but our *in vitro* results suggest that these effects may manifest only at concentrations corresponding to clinical doses possibly achieved via bolus injections.

#### 4.4 Propofol does not affect glutamatergic transmission in second-order NTS neurons

Cranial visceral afferent excitation of second-order NTS neurons relies on non-NMDA receptor mediated glutamatergic transmission (Jin *et al*, 2004a; Jin *et al*, 2004b). Propofol did not alter the shape of EPSC events whether evoked or spontaneous, a result consistent with cortical neurons (Kitamura *et al*, 2003). The latency of ST triggered events is a sensitive, integrated index of axon conduction and terminal excitation that includes voltage dependent channel function such as sodium channels (Jin *et al*, 2004b; Bailey *et al*, 2006b). Latency of ST-EPSCs was unaffected by 30  $\mu$ M propofol. In contrast, in cortical neurons, sodium channels, spiking and nerve conduction are inhibited by low micromolar propofol concentrations (Rehberg & Duch, 1999; Bieda & MacIver, 2004; Martella *et al*, 2005; Jones *et al*, 2007). We conclude that the afferent axon and terminal excitation process is remarkably insensitive to propofol and this may reflect the expression of a particular complement of ion channels within peripheral afferent neuron axons (Schild *et al*, 1994; Schild & Kunze, 1995).

#### 4.5 Propofol actions in NTS in vitro and autonomic control

In healthy subjects, sedative doses of propofol substantially inhibit sympathetic nerve activity and reflex responses to hypotension and bring significant decreases in mean blood pressure (Reves *et al*, 2000; Ebert, 2005). This decrease in mean arterial pressure is not accompanied by an appropriate reflex tachycardia and, collectively, these observations are consistent with propofol acting centrally to depress baroreflexes. The neurons responsible for propofol depression of cardiorespiratory control are unknown, but most likely homeostatic depression involves autonomic pathways within the brainstem that are compromised by general anesthetics (Lee *et al*, 2002). Indeed modulation of GABA neurotransmission within the NTS may alter multiple homeostatic processes within the gastrointestinal (Travagli *et al*, 2006), respiratory (Wasserman *et al*, 2002) and cardiovascular systems. Propofol likely enhances solitary tract driven GABAergic inputs as well as other major sources of inhibitory input to NTS from the forebrain (Saha, 2005). Thus propofol enhancement of IPSCs may greatly disrupt the widespread EPSC-IPSC temporal sequence triggered by afferent activation (Andresen & Yang, 1995; Mifflin & Felder, 1988; Smith *et al*, 1998).

In conclusion, propofol enhances both phasic and tonic GABAergic currents in second-order NTS neurons. The concentration dependence suggests that GABA<sub>A</sub> receptors mediating phasic IPSCs are more sensitive than the receptors responsible for the inhibitory tonic current. The differential pharmacological profiles for these two effects of propofol are consistent with at least two functionally distinct groups of GABA<sub>A</sub> receptors in NTS.

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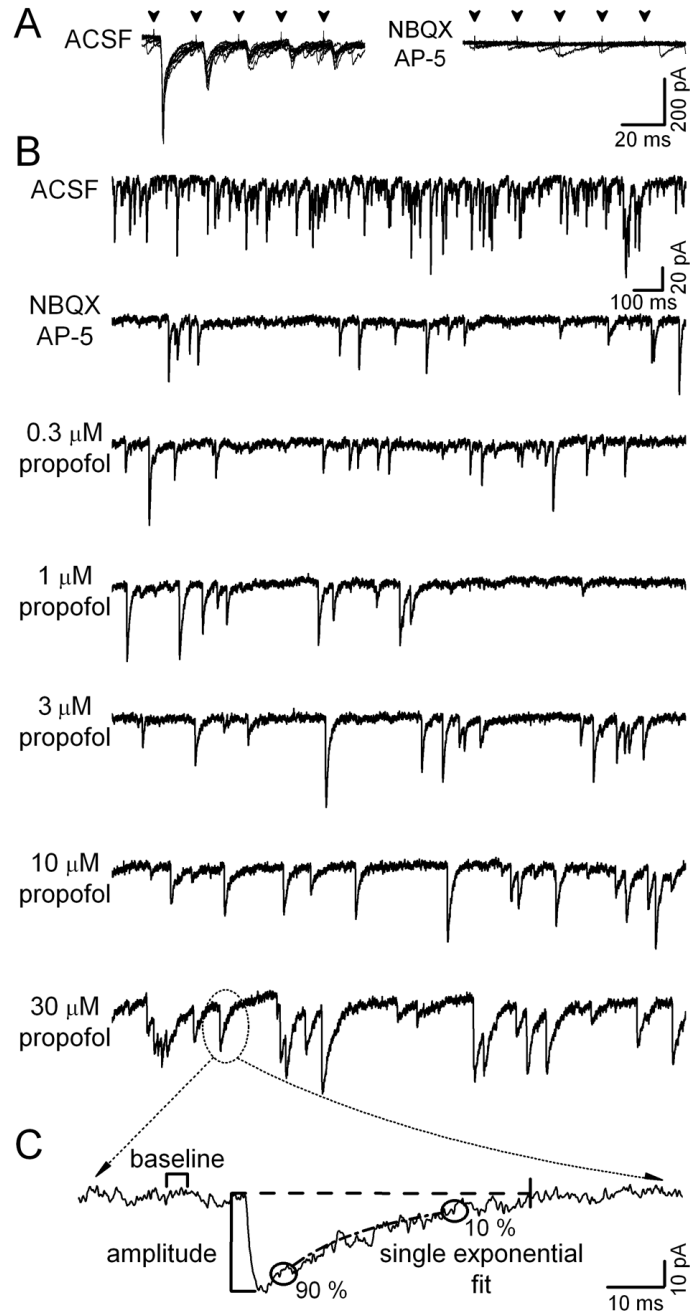
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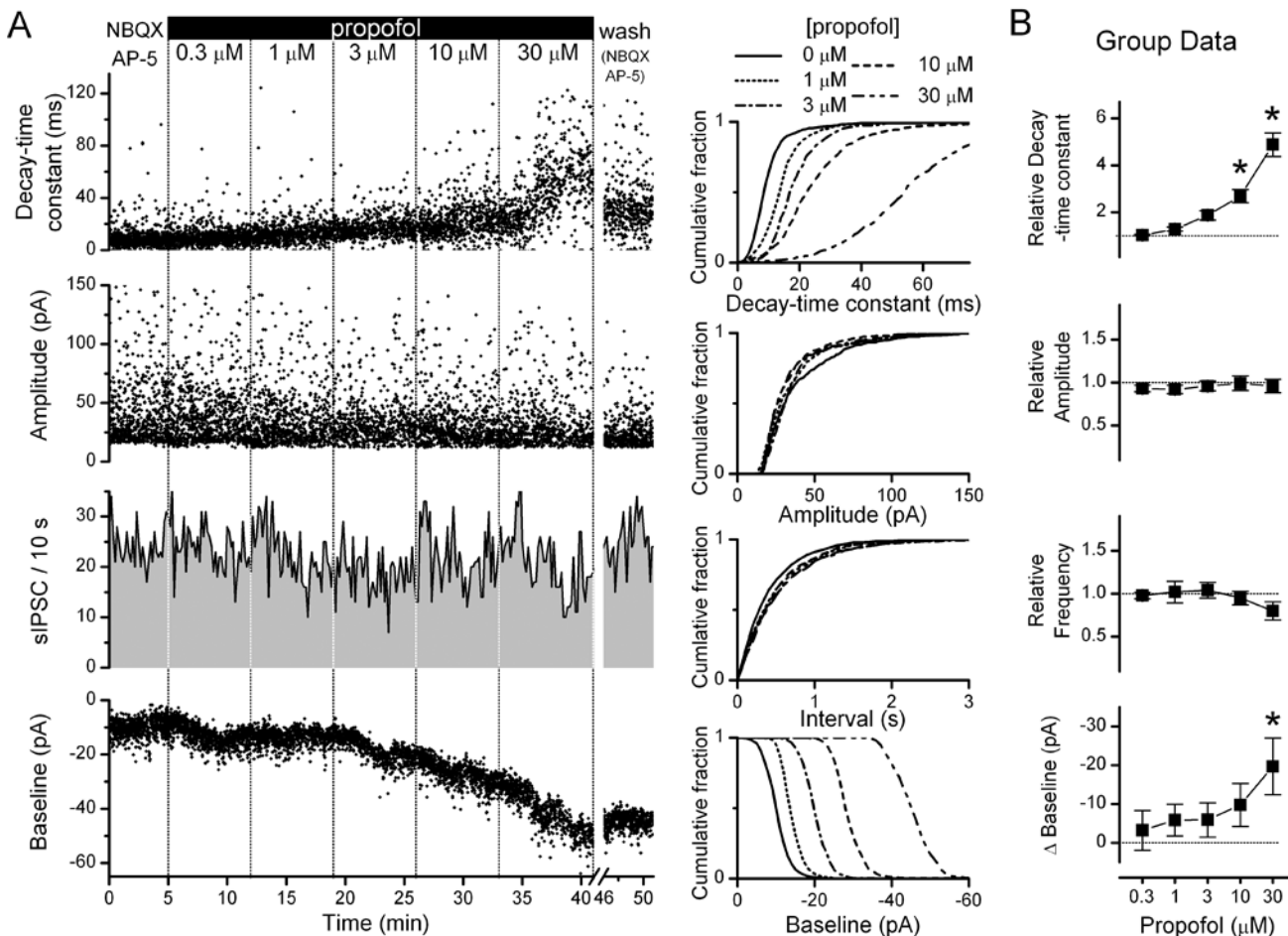
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**Figure 1.**

Isolation and event analysis of GABAergic inhibitory postsynaptic currents (IPSCs) for original traces derived from a single representative neuron. (A) ST shocks (five shocks, 50 Hz) evoked short, consistent latency ST-EPSCs (left panel, 10 sweeps overlaid, arrows indicate ST shocks) that depressed with repeated shocks and the jitter of the first EPSC identified the neuron as directly innervated by an ST afferent (latency = 3.4 ms, jitter = 91  $\mu$ s). Blockade of the ST-EPSC with non-NMDA (20  $\mu$ M NBQX) and NMDA (100  $\mu$ M AP-5) antagonists (right panel). (B) Glutamatergic receptor blockade (NBQX and AP-5) reduced the number of spontaneous events and isolated GABA-mediated IPSCs. Traces display 2 sec epochs sampled from the complete dataset (see Figure 2 for this neuron). Introduction of propofol increased the decay

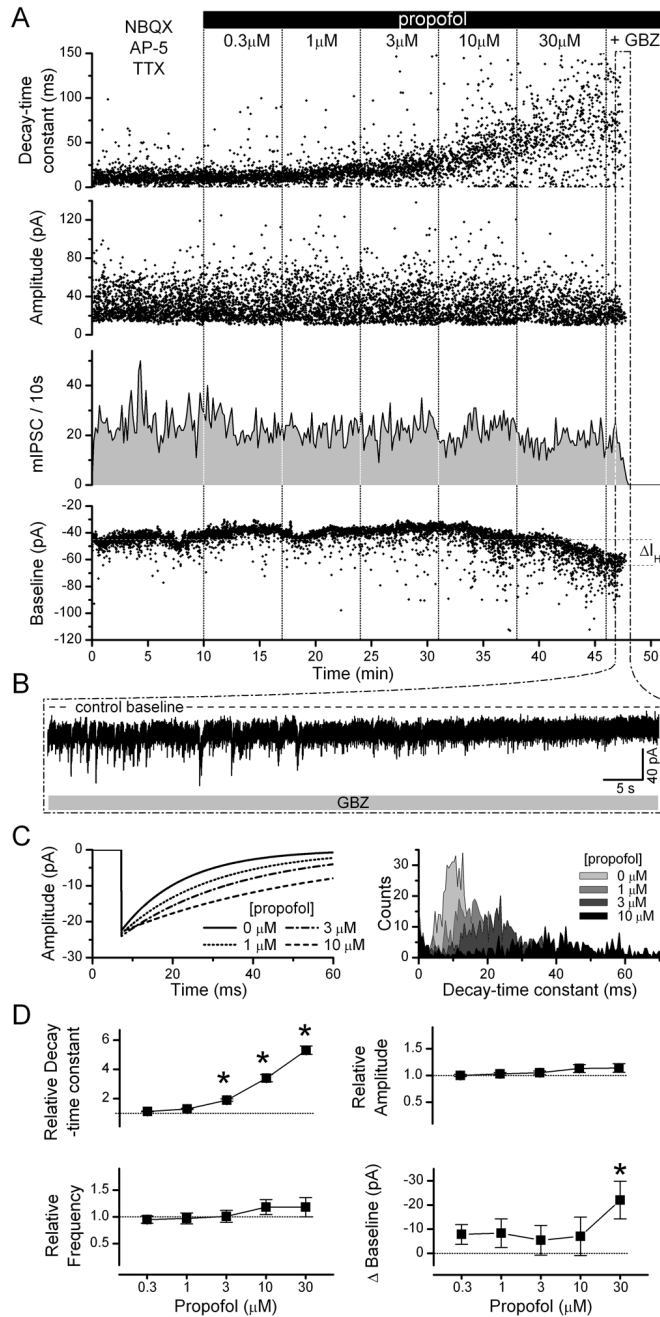
time and incidence of large multi-peaked events from 1 to 30  $\mu\text{M}$ . (C) Individual events were detected and then analyzed to determine amplitude, decay-time constant ( $\tau$  of single exponential fit between 10% and 90% of total amplitude, circles and curved broken line) and baseline values (sampled before each detected event) depicted by the broken horizontal line. This analysis resulted in single neuron datasets and aggregate summaries as in the following figures.



**Figure 2.** Analyses of spontaneous IPSCs (sIPSC) during cumulative perfusion of propofol. **(A)** Diary plots of sIPSC event characteristics display events of one representative second-order NTS neuron (original traces, Figure 1) during increasing propofol followed by a wash out period (left to right). NBQX (20 μM) and AP-5 (100 μM) isolated sIPSCs for study. The decay-time constant (top panel), amplitude (second panel) and baseline values (bottom panel) for each detected spontaneous IPSC are displayed (excluding multi-peaked events). All events were counted to establish frequency values (third panel). Note the ~3-fold increase in decay-time constant and the shift in baseline holding current to more inward values as propofol concentration increased. Wash resulted in a return toward control levels (top & bottom panels). Corresponding cumulative fractions of event measurements for the last 5 minutes of control (0 μM), 1, 3, 10 and 30 μM propofol perfusion periods as shown in individual values in 2A. Propofol increased sIPSC decay-time constant and baseline in a dose dependent manner (top and bottom panels,  $P > 0.05$ , KS test), in contrast to sIPSC amplitudes and event interval which remained at control levels throughout. **(B)** Summary aggregate data ( $n = 6$  neurons) for spontaneous IPSC (sIPSC) events. Measurements were normalized to fractions of control levels for decay-time constant, amplitude and frequency within neurons before compiling averages. Changes in the baseline holding currents were calculated as changes from control in absolute pA. Dashed lines indicate control levels. Overall decay-time constant of sIPSCs increased significantly from control at 10 μM and 30 μM propofol (\* $P > 0.05$ , vs. control, RM ANOVA). Propofol significantly altered the basal holding current from control at 30 μM

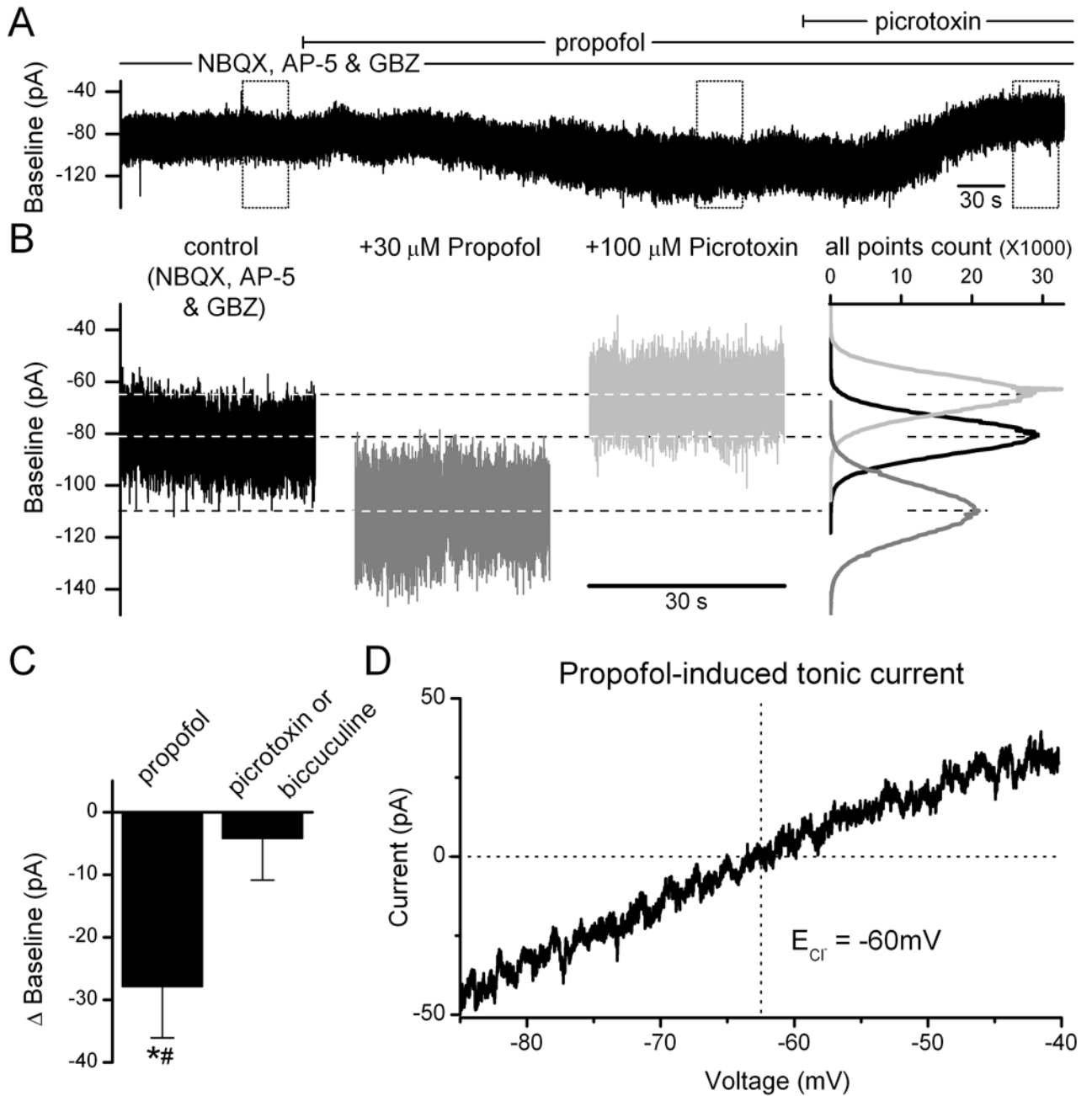


propofol (\* $P < 0.05$ , vs. control, RM ANOVA). Propofol did not alter either sIPSC amplitudes or the frequency of events.



**Figure 3.** Analysis of propofol actions on miniature IPSCs (mIPSCs). **(A)** Diary plots of mIPSC event characteristics as recorded from one representative second-order NTS neuron exposed to increasing concentrations of propofol followed by gabazine (GBZ, 3 μM). Tetrodotoxin (TTX; 3 μM) together with NBQX (20 μM) and AP-5 (100 μM) isolated mIPSCs for study. The decay-time constant (top panel), amplitude (second panel) and baseline values (bottom panel) for each detected spontaneous IPSC are displayed (excluding multi-peaked events). All events were counted to establish frequency values (third panel). With increasing propofol exposure, mIPSC duration increased as reflected in the increased decay-time constant and basal holding current shifted to more negative values (inward current). **(B)** Original experimental trace

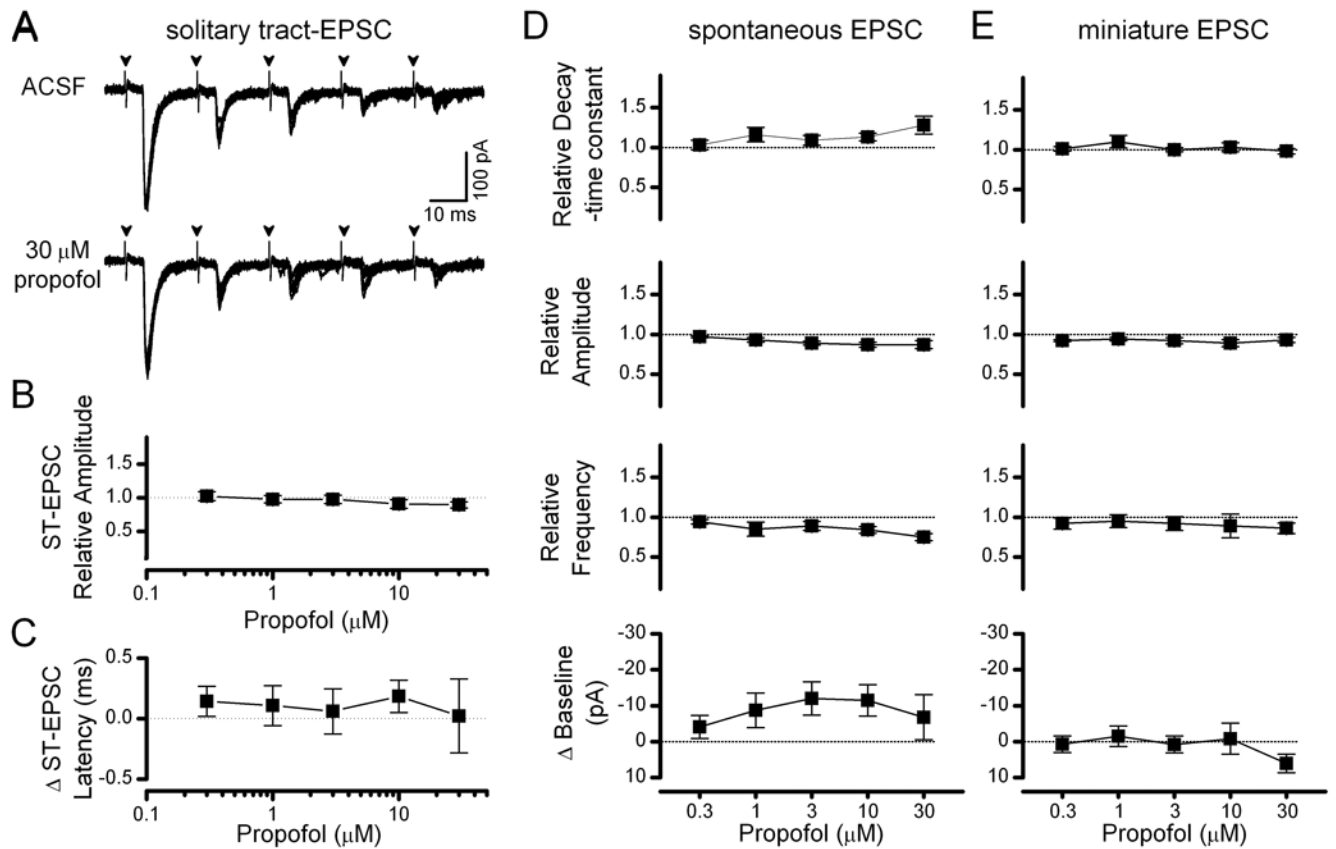
corresponding to the time of onset of GBZ showing the blockade of phasic mIPSCs but no reversal of baseline holding current to control levels. Broken horizontal lines display the  $\Delta I_H$  from control to 30  $\mu\text{M}$  propofol ( $-20$  pA) in this neuron. (C) An average waveform was generated from mIPSCs for the last 5 minutes for control (0  $\mu\text{M}$ ), 1, 3, and 10  $\mu\text{M}$  propofol exposure periods and then fitted with a single exponential at each concentration (left panel). Fits measured the elongation of the decay phase of the mIPSC events with increasing propofol (left panel, 0.3 and 30  $\mu\text{M}$  not shown). Similarly, distributions of decay-time constant values for all individual mIPSCs shifted right with increasing propofol (right panel, 0.3 and 30  $\mu\text{M}$  not shown). (D) Summary aggregate data ( $n = 10$  neurons) for mIPSC events. Measurements were normalized to fractions of the control level within each neuron for decay-time constant, amplitude and frequency before compiling averages. Changes in the baseline holding currents were calculated as changes from control in absolute pA. Dashed lines represent control levels. Aggregate decay-time constant of mIPSCs significantly increased at 3, 10 and 30  $\mu\text{M}$  propofol ( $*p > 0.05$ , vs. control, RM ANOVA). Propofol significantly shifted the basal holding current inward compared to control, on average, only at 30  $\mu\text{M}$  propofol ( $*p > 0.05$ , vs. control, RM ANOVA). Propofol did not alter either mIPSC amplitudes or their frequency.



**Figure 4.**

Propofol actions on isolated tonic holding current. All phasic synaptic events were blocked by NBQX (20  $\mu$ M), AP-5 (100  $\mu$ M) and gabazine (3  $\mu$ M). **(A)** Full record of holding current during application of 30  $\mu$ M propofol to evoke an inward tonic current. Note that propofol substantially increased the baseline excursions (i.e. 'noise'). Both the increased noise and tonic current were eliminated by picrotoxin (100  $\mu$ M). **(B)** Thirty second, raw record epochs were extracted from the full recorded and all points distributions of holding current values (right) show that propofol shifted the mean current (dashed lines) to more negative values and broadened the distribution – in this case beyond control levels. **(C)** The average change in tonic current for group data (n = 5 neurons) for propofol was reversed by addition of GABA<sub>A</sub>

antagonists, either 100  $\mu\text{M}$  picrotoxin or bicuculline (\* $p > 0.05$ , vs. control, RM ANOVA; # $p > 0.05$ , vs. blocker, RM ANOVA). **(D)** The voltage dependence of  $\Delta I_H$  (difference current subtracting the control from 10  $\mu\text{M}$  propofol) was measured using command voltage ramps (66 mV/s). The net (subtracted) propofol-induced tonic current reversed at  $-62$  mV in this neuron, close to the calculated  $E_{Cl}$  ( $-60$  mV) for these conditions and was subsequently blocked by picrotoxin (50  $\mu\text{M}$ , not shown).



**Figure 5.**

Evoked solitary tract glutamatergic transmission in second-order NTS neurons was propofol resistant. **(A)** Solitary tract-evoked excitatory postsynaptic currents (ST-EPSC) were not altered by propofol (traces of 8 replicate trials overlapped from a single representative neuron). **(B)** On average for group data ( $n = 5$  neurons), the amplitude of ST-EPSC<sub>1</sub> (the first evoked EPSC in the series of 5) was not altered by propofol. **(C)** Mean latency of ST-EPSC<sub>1</sub> was not altered by propofol in second order NTS neurons ( $n = 5$ ). **(D & E)** Summary aggregate data ( $n = 6-10$ ) for spontaneous and miniature EPSCs showed no changes with propofol. Measurements were normalized to fractions of control levels for decay-time constant, amplitude and frequency. Changes in the baseline holding currents were calculated as changes from control in absolute pA. Dashed lines represent control levels.