

# NIH Public Access

**Author Manuscript**

*Auton Neurosci*. Author manuscript; available in PMC 2009 May 30.

Published in final edited form as: *Auton Neurosci*. 2008 May 30; 139(1-2): 78–85.

# **CARDIAC AUTONOMIC CONTROL AND THE EFFECTS OF AGE, RACE, AND SEX: THE CARDIA STUDY**

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# **Abstract**

**Background—**Stratification variables of age, race, and sex figure prominently in the assessment of cardiovascular disease risk. Similarly, cardiac autonomic regulation, measured by RR interval variability (RRV), is associated with risk. The relationship among these variables is unclear.

**Methods—**We examined the cross-sectional relationship between RRV and age, race, and sex in 757 subjects from the NHLBI-funded Coronary Artery Disease in Young Adults (CARDIA) Study.

**Results—**Age was a significant determinant of RRV, despite the narrow range (33–47): participants aged 33–39 years had had greater levels of HF power, LF power, and standard deviation (SD) of RR intervals than did those aged 40–47 years. There was no age effect for the LF/HF ratio. Compared to whites, blacks had lower levels of LF power, SD, and lower LF/HF. Blacks and whites did not differ in HF power. Finally, compared to men, women had lower levels of LF power, SD, and LF/ HF but did not differ in HF power.

**Conclusions—**Data from the CARDIA study suggest that in adults in the 33–47 year age range, indices of RRV were greater in younger compared to older subjects, in men compared to women and in whites compared to blacks. These findings are broadly consistent with those of other large studies examining relationships between RRV and age, sex, and race. However, patterns of associations between RRV and these stratification variables are not entirely consistent with an underlying autonomic physiology linked to cardioprotection.

# **Keywords**

Parasympathetic nervous system; race; sex; age; community study

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# **INTRODUCTION**

Stratification variables of age, race, and sex figure prominently in the assessment of cardiovascular disease risk. The incidence of coronary heart disease (CHD) in the US rises steadily with age (Rosamond et al., 2007). Race and sex also influence risk: age-adjusted incidence rates for CHD, ages 35–74, are 4.5 for white men, 5.4 for black men, 1.9 for white women, and 3.4 for black women (National Institutes of Health, 2006).

The mechanisms associated with these differences in risk are only partially understood. One potential pathophysiological mechanism, autonomic nervous system dysfunction, clearly is related to the risk of CHD. Resting heart rate (HR) is associated prospectively with mortality (Kannel et al., 1987). While elevated HR may simply be a marker of hypertension associated with elevated sympathetic nervous system activity, evidence suggests otherwise. First, experimental reduction of HR in animals leads to a reduction in coronary and carotid atherosclerosis (Beere et al., 1984; Beere et al., 1992). Second, in human studies, HR predicts cardiovascular outcomes even in patients with hypertension (Gillman et al., 1993; Thomas et al., 2001). RR interval variability (RRV), a more sensitive index of cardiac autonomic regulation, also is related to the risk of CHD. In the Atherosclerosis Risk in Communities (ARIC) study, the Framingham Offspring study, and the Zutphen study, low RRV predicted the development of CHD in initially healthy men and women (Dekker et al., 2000; Liao et al., 1995; Tsuji et al., 1996a).

The relationship of RRV to stratification variables age, sex, and race is less clear. Most studies agree that age is inversely associated with RRV (Crasset et al., 2001; Gregoire et al., 1996; Kuo et al., 1999; Tsuji et al., 1996b; Yeragani et al., 1997). The data are less consistent on the impact of sex and race. Some studies have reported that women have greater levels of HF power (Kuo et al., 1999; Ryan et al., 1994) while others report no sex difference (Evans et al., 2001; Laitinen et al., 1998; Pikkujamsa et al., 2001; Ramaekers et al., 1998). Fewer studies still report on the impact of race on RRV. In a study of young (early 20s) men, we demonstrated that black subjects ( $N = 32$ ) had lower HF power compared to non-blacks ( $N = 29$ ), a group that included whites, Asians, and Latinos (Zion et al., 2003). In this study, the LF/HF ratio was greater in the blacks. In contrast, Guzzetti et al. (Guzzetti et al., 2000) reported that 24-hour normalized LF power and the LF/HF ratio were lower in blacks compared to whites but the study was small  $(N = 52)$  and all subjects were untreated hypertensives. In another small study  $(N = 39)$  of males, the LF/HF ratio was lower and pNN50, an index of cardiac vagal modulation, was higher in blacks compared to whites (Urbina et al., 1998).

Most of these studies used small convenience samples that may account for these inconsistencies. To address this matter, we examined the influence of age, sex, and race on RRV using data from the NHLBI-funded multi-center study of Coronary Artery Risk Development in Young Adults (CARDIA) study.

# **MATERIALS AND METHODS**

#### **Study Population**

CARDIA is a biethnic, prospective, multicenter epidemiological study of the evolution of cardiovascular risk development in young adulthood. In 1985–1986, 5115 black and white men and women, aged 18 to 30 years, were recruited at Birmingham, AL; Chicago, IL; Minneapolis, MN; and Oakland, CA, to achieve a balance at each site by race (black, white), sex, education (high school degree or less, more than high school), and age (18–24 years, 25–30 years) (Cutter et al., 1991). Participants were examined at study entry and years 2, 5, 7, 10, and 15 with reexamination rates among surviving cohort members of 90.5%, 85.7%, 80.6%, 78.5%, and 73.5%, respectively. Comparisons of CARDIA subjects who participated in the Year 15 exam

At the Year 15 exam, subjects seen at the Oakland, CA and Chicago, IL sites (and living within 50 miles of the clinic;  $N = 721$  and 615 respectively) were asked to participate in a substudy of socioeconomic status and development of biological risk, including assessments of RRV. Of the 1,336 subjects who were eligible for the substudy, 789 (59%) agreed to participate in the RRV component of the substudy.

#### **Data Collection**

Standardized questionnaires were used to assess age, race, and sex at the time of the initial CARDIA examination in 1985–86.

#### **RRV Assessments**

Participants arrived at the laboratory having eaten a light breakfast but abstaining from caffeinated beverages and smoking that morning. Study protocols were explained and written consent was obtained. The RRV protocol was explained and ECG electrodes were attached. Subjects then rested quietly in the seated position for a two-minute period after which data were collected for 10 min. Subjects were asked to sit quietly without moving or talking.

#### **Acquisition and Processing of ECG Signals**

ECG data were collected continuously throughout the protocol. ECG electrodes were placed on the right shoulder, on the left anterior axillary line at the 10th intercostal space and in the right lower quadrant. Analog ECG signals were digitized at 500 Hz by a National Instruments A/D board and stored on a microcomputer. The ECG waveform was submitted to a specially written R-wave detection routine, resulting in a time series of RR interval (RRI). Errors in marking of R-waves were corrected interactively.

#### **Heart Rate and RRV**

The mean heart rate (HR) and the standard deviation of all RRIs (SDRR) in each experimental period were computed for all subjects. Spectral power in the low (0.04–0.15 Hz (LF)) and high (0.15–0.50 Hz (HF)) frequency bands and the LF/HF ratio were computed as recommended by the Task Force Report on Heart Rate Variability (Task Force of the European Society of Cardiology and the North American Society of Pacing and Electrophysiology, 1996).

Spectra were calculated on 300-second epochs using an interval method for computing Fourier transforms similar to that described by DeBoer, Karemaker, and Strackee (deBoer et al., 1984). Prior to computing Fourier transforms, the mean of the SBP and DBP series were subtracted from each value in the series and the residual series then was filtered using a Hanning window (Harris, 1978) and the spectral power, i.e., variance (in msec<sup>2</sup>), over the LF and HF bands was summed. Estimates of spectral power were adjusted to account for attenuation produced by this filter (Harris, 1978).

In cases in which 300-second epochs of data were compromised by electronic artifact or subject movement, identification of all R waves was impossible. RR intervals associated with these artifacts were fixed using established procedures if possible (Berntson et al., 1990). If not, the file was excluded from spectral analysis.

For each subject, RRV was computed as the mean of the two 5-min epochs. Prior to statistical analysis, estimates of RRV were log transformed to correct for skewness.

#### **Statistical Analyses**

Separate analysis of covariance (ANCOVA) models were run to obtain age, sex and racespecific mean scores for five RRV parameters (i.e. HF, LF, LF/HF ratio, HR, SD). All models included age, sex and race, providing estimates of mean scores for each of these 3 demographic characteristics, adjusted for the other two. All two-way interactions between age, sex, and race were evaluated within the models in addition to the three-way interaction. Trimmed models are presented in which only interactions with p-value  $\leq 0.05$  were retained in the model.

Additional analyses were conducted to examine the effects of covariates including smoking, systolic blood pressure (SBP), and body mass index (BMI). We also ran analyses on the subset of participants who reported that they did not take medications for cardiovascular disease, asthma, and diabetes.

### **RESULTS**

Of the 789 subjects who agreed to participate, 757 had technically adequate data. Table I presents general characteristics of this cohort. 44% were white and 42% were men. Mean age of the cohort was  $40.0 \pm 3.7$  years.

Participants in the substudy differed from the overall CARDIA sample in some of the covariates. Substudy participants were significantly more likely to be in the lower income category ( $p < .001$ ). They had higher BMIs ( $p < .0001$ ) and higher SBP and DBP ( $p < .01$ ).

#### **RRV, Race, Sex, and Age**

Data on RRV and race, sex, and age are presented in Figures 1 and 2 for HF and LF power respectively. In each of these figures, RRV for one factor has been adjusted for the other two factors.

Despite the relatively narrow age range, older subjects (40–47 years) had significantly lower HF power compared to younger ones  $(33-39 \text{ years})$   $(6.05 \text{ vs. } 5.72 \text{ msec}^2, p < .001)$ . HF power did not differ between blacks and whites (5.90 vs. 5.86 msec<sup>2</sup>, ns) or between men and women  $(5.81 \text{ vs. } 5.96 \text{ msec}^2, \text{ns}).$ 

The effect of age also was significant for LF power, with younger subjects having higher levels  $(6.35 \text{ vs. } 6.08 \text{ msec}^2, p < .001)$ . Blacks had lower LF power compared to whites  $(6.02 \text{ vs. } 6.42)$ msec<sup>2</sup>,  $p < .001$ ) and men had higher levels of LF power compared to women (6.48 vs. 5.96) msec<sup>2</sup>,  $p < .001$ ).

Figures 3, 4, and 5 present the data for the LF/HF ratio, SD, and HR. The LF/HF ratio did not differ between younger and older subjects (2.28 vs. 2.41, ns). The ratio was lower in blacks than whites (1.96 vs. 2.73,  $p < .001$ ) and higher in men than in women (3.03 vs. 1.66,  $p < .$ 001).

Younger subjects had greater SDRR than older ones (50.89 vs. 44.02 msec,  $p < .001$ ). SDRR was lower in black subjects compared to whites (45.67 vs. 49.24 msec,  $p = .02$ ). In men, SDRR was greater than in women (49.99 vs. 44.93 msec,  $p = .001$ ).

Finally, HR did not differ between the younger and older age groups (72.70 vs. 71.99 bpm, ns). In blacks, HR was higher than in whites (73.36 vs. 71.36 bpm, *p* = .02). In men, HR was lower than in women (71.09 vs. 73.63 bpm,  $p = .003$ ). However, the age X sex interaction was significant ( $F(1,752) = 4.28$ ,  $p < .05$ ): HR was lower in older men compared to the other three groups.

Addition of covariates of smoking, SBP, and BMI to analyses produced some differences in these findings. Effects of race and sex emerged for HF power, with blacks having greater levels than whites and women having greater levels compared to men. On the other hand, addition of covariates eliminated the race differences observed for SD and HR in the above analyses.

#### **Analyses Excluding Participants Taking Medications**

We conducted supplementary analyses excluding participants taking medications for cardiovascular disease, asthma, and diabete. With few exceptions, results on this smaller sample  $(N = 585)$  were identical to those from the full sample. For SDRR, the significant effect of race became only marginally significant and a significant race X sex interaction emerged, with white men having greater SDRR than all other groups. In addition, the age X sex interaction for HR in the full sample disappeared.

# **DISCUSSION**

Stratification variables of age, race, and sex figure prominently in assessment of cardiovascular risk. One significant contributor to this risk is autonomic regulation of the heart, as indexed by RR interval variability. In the Atherosclerosis Risk in Communities (ARIC) study, low levels of HF-RRV measured at study entry predicted incident CHD in 2252 initially healthy participants at 3-year follow-up (Liao et al., 1997). In the Framingham Offspring study, low values of several frequency domain indices of RRV including HF, LF, and VLF but not the LF/HF ratio predicted onset of new CHD in 2051 subjects after 3.5-year follow-up (Tsuji et al., 1996a). In the Zutphen study of 1763 men, low standard deviation of RR intervals predicted all cause mortality after 5-year follow-up (Dekker et al., 1997). These data suggest that reduced autonomic regulation of the heart contributes to the development of CHD.

The possibility that autonomic dysfunction may partially account for the age-, sex-, and racerelated differences in CHD risk depends on evidence that these stratification variables are linked to RRV in a manner consistent with this risk. Although previous research has examined such relationships in middle and older aged adults, the extent to which relationships are seen even in younger adults has received less attention. To address this matter, we analyzed data from 756 participants in the CARDIA study of the evolution of cardiovascular risk development in young adulthood. Analyses revealed that HF-RRV was higher in younger compared to older subjects., HF power was greater in blacks compared to whites and in women compared to men, after adjustment for covariates. LF power was greater in young subjects, whites, and men. The LF/HF ratio did not differ as a function of age but was higher in whites and men. We also found that standard deviation of RR intervals was greater in younger subjects and men, with no difference between blacks and whites. In analyses excluding participants taking medications for cardiovascular disease, asthma, and diabetes, SDRR was greater in white men compared to white women and black men and women. Finally, HR was greater in women but there were no age or racial differences. An age X sex interaction in the full sample disappeared in participants not taking medications.

Several other large (N>200 participants) studies have examined relationships between some of these variables and RRV (Antelmi et al., 2004; Bigger et al., 1995; Kuo et al., 1999; Lampert et al., 2005; Liao et al., 1995; Pikkujamsa et al., 2001; Ramaekers et al., 1998; Umetani et al., 1998). In general, these findings are quite consistent with each other and with data from CARDIA. RRV is greater in younger subjects. Many studies have demonstrated the inverse association between age and RRV and this association is uncontroversial, even within the relatively narrow age range in the CARDIA study.

Associations between RRV and sex are less clear. Of these large studies, four reported no difference between men and women in HF power (Bigger et al., 1995; Lampert et al., 2005;

Liao et al., 1995; Pikkujamsa et al., 2001; Ramaekers et al., 1998), two reported greater HF power in women (Antelmi et al., 2004; Kuo et al., 1999), and one reported greater HF power in men (Umetani et al., 1998). In most of these studies, LF power was greater in men. Three reported greater SDRR in men (Pikkujamsa et al., 2001; Ramaekers et al., 1998; Umetani et al., 1998) and three reported no sex difference (Antelmi et al., 2004; Bigger et al., 1995; Kuo et al., 1999). The LF/HF ratio tended to be greater in men. Finally, HR was greater in women in most studies.

Evidence about race and RRV is more limited, with few large studies. For HF-RRV, there was no consistency in the findings, with one large study reporting greater levels in blacks (Liao et al., 1995) and another greater levels in whites (Lampert et al., 2005). For other indices, RRV generally is greater in whites (Lampert et al., 2005; Liao et al., 1995). In a small sample of healthy young men, black participants had lower HF power and a higher LF/HF ratio compared to non-blacks, a group that included whites, Asians, and Latinos (Zion et al., 2003). In contrast, some studies of adolescents have found greater HF power in blacks compared to whites (Gutin et al., 2005; Wang et al., 2005).

To summarize, RRV is greater in younger subjects but no clear pattern emerges for sex. RRV is greater in whites compared to blacks, with HF power the exception. The consistency of these data is quite striking, especially in light of different measurement conditions (brief vs. 24-hour recordings), the physical position during brief recordings (seated vs. supine), and study designs (community studies vs. convenience samples).

Are these patterns of RRV consistent with the risk of CHD associated with age, sex, and race? The answer is unclear. Indices of RRV are greater in the younger participants and with the exception of HF power, in whites, suggesting a cardioprotective effect consistent with evidence from community studies that high levels of RRV predicted lower incidence of CHD (Liao et al., 1997; Tsuji et al., 1996a). However, men, at higher risk of CHD, also generally had higher levels of RRV, again with the exception of HF power.

This reduced risk of CHD associated with higher levels of RRV has been attributed to increased parasympathetic regulation of the heart and most evidence supports this association. HF power is most clearly linked to cardiac vagal modulation (Hammer et al., 2005; Pomeranz et al., 1985; Saul et al., 1991). Unfortunately, the associations of HF power and the stratification variables of sex and race were weaker than for other indices of RRV.

Understanding the associations between LF power and age, sex, and race as they relate to the risk of CHD require consideration of the physiological significance of LF-RRV. Some have suggested that it reflects cardiac sympathetic modulation (Pagani et al., 1986), most evidence does not support this claim (Cooke et al., 1999; Kingwell et al., 1994; Sloan et al., 1996). The sympathetic nervous system may contribute to LF power but this association is clearly dependent on a number of factors including physical position. In the supine position in humans, virtually all RRV is parasympathetic in origin (Levy et al., 1984; Saul et al., 1991). In the upright position, there is significant vagal and sympathetic contribution to LF power (Pomeranz et al., 1985; Saul et al., 1991). The physiological significance of LF power in the seated position, in which some of these studies RRV data were collected, is unclear but findings from Pikkujamsa et al. (Pikkujamsa et al., 2001) and Tulen et al. (Tulen, 1999) suggest little difference in RRV between the supine and seated positions. Moreover, Taylor et al. demonstrated that atropine eliminated LF power in the 40° tilted position, intermediate between the supine and standing positions, but that atenolol had no effect (Taylor et al., 1998). They also showed that LF power did not change from the supine to the 40° upright position whereas HF power fell significantly. These data suggest that like HF power, LF power also reflects cardiac parasympathetic modulation.

Even accepting the assumptions that most RRV is vagal in origin and that increased cardiac vagal regulation is cardioprotective, the association of RRV with the stratification variables is consistent for age and race but not for sex. Younger subjects and whites are at reduced risk of CHD (National Institutes of Health, 2006) and have higher RRV, while men, despite greater risk of CAD, generally have higher RRV,.

The associations of age, RRV as an index of cardiac vagal modulation, and risk of CHD are supported by the underlying physiology: the function of many hormone and neurotransmitters systems decline with age (Roth, 1979). While evidence of this decline is more abundant for the adrenergic system (Lakatta, 1993), recent reports now indicate a similar effect on the human cholinergic system. For example, pirenzipine, an M1 muscarinic receptor antagonist, caused an age-dependent effect, increasing resting HR in young subjects more than in older ones (Poller et al., 1997), suggesting greater parasympathetic tone in younger subjects. This agedependent decrease in parasympathetic activity appears to be based on a decrease in right atrial M2 receptor density and function (Brodde et al., 1998). Other indices of cardiac vagal regulation, e.g., the Valsalva response, reveal an age-related decline in parasympathetic modulation (Low et al., 1997). Thus, the evidence from studies of RRV, age, and risk of CHD is consistent with the impact of aging on the cholinergic system.

Greater RRV in white compared to black participants also is consistent with the reduced risk of CHD in whites. However, there is little evidence linking racial differences to variations in parasympathetic nervous system functioning other than the few studies examining RRV.

The cardioprotective effects of estrogen are thought to be responsible for the lower risk of heart disease in pre-menopausal women compared to men and estrogen enhances vagal regulation of the heart. Nonetheless, with most large studies reporting that RRV generally is greater in men compared to women, the RRV data are not consistent with this sex-related differential risk of CHD or exposure to estrogen. In some of these studies, women were likely to be perior post-menopausal. But even among pre-menopausal women, the evidence is mixed. In CARDIA participants (age 33–45 years) after control for covariates and in participants under 50 years of age in two other studies (Antelmi et al., 2004; Kuo et al., 1999), women had higher HF power than men. However, in the 18–39 year old and 30–49 year old subjects in the studies of Ramaekers et al. and Umetani et al. respectively (Ramaekers et al., 1998; Umetani et al., 1998), there was no HF difference between men and women. Other RRV indices generally were greater in men than in women. Clearly many questions remain regarding how men and women differ in patterns of age-related change in parameters of RRV and how this relates to their cardiovascular health risks.

#### **Limitations**

As a cross-sectional study of young adults, we lack information on the temporal stability of the relationships between RRV and stratification variables. It is conceivable that the relationships between RRV and race or sex may differ as our CARDIA subjects age. However, in most of the large studies examining stratification variables and RRV, the relationship between sex and RRV is relatively constant across the age spectrum. To few studies exist to examine an age-differential with respect to the race-RRV relationship.

A second limitation is our reliance on a brief ECG recording. Longer recordings may provide more stable estimates of RRV. However, several studies have demonstrated a significant relationship between estimates of RRV from brief and 24-hour recordings (Bigger et al., 1993; Sloan et al., 1994). Moreover, the relationships between RRV and age, sex, and race reviewed above did not differ depending on recording length.

#### **Conclusion**

Data from the CARDIA study of the development of heart disease in young adults suggest that in adults in the 33–47 year age range, indices of RRV were greater in younger compared to older subjects, in men compared to women and in whites compared to blacks. These findings are broadly consistent with those of other large studies examining relationships between RRV and age, sex, and race. However, patterns of associations between RRV and these stratification variables are not entirely consistent with an underlying autonomic physiology linked to cardioprotection.

#### **Acknowledgements**

Work on this manuscript was supported (or partially supported) by contracts N01-HC-48047, N01-HC-48048, N01- HC-48049, N01-HC-48050 and N01-HC-95095 from the National Heart, Lung and Blood Institute, the MacArthur Research Network on SES and Health through grants from the John D. and Catherine T. MacArthur Foundation, by Independent Scientist Award K02 MH01491 (Sloan) from the National Institute of Mental Health, and the Nathaniel Wharton Fund. The authors are indebted to Maria-Paola Pacifici for her expert technical contributions to this manuscript.

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### **Figure 1.**

Adjusted means of high frequency (HF) RR interval variability (natural log) by age, race, and sex. HF power was significantly greater in younger participants compared to older ones. There were no effects of race and sex on HF power.



#### **Figure 2.**

Adjusted means of low frequency RR interval variability (natural log) by age, race, and sex. LF power was significantly greater in younger participants compared to older ones, in whites compared to blacks, and in men compared to women.

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#### **Figure 3.**

Adjusted means of the LF/HF ratio by age, race, and sex. The LF/HF ratio was significantly greater in whites compared to black and in men compared to women.



#### **Figure 4.**

Adjusted means of the standard deviation of RR intervals by age, race, and sex. SDRR was significantly greater in younger participants compared to older ones, in whites compared to blacks, and in men compared to women.



#### **Figure 5.**

Adjusted means of heart rate by age, race, and sex. HR was significantly greater in blacks compared to whites. There was a significant age X sex interaction: HR was significantly lower in older men compared to younger men, older women, and younger women.

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Note:  $B = black$  and  $W = white$  in racial comparisons;  $M =$  men and  $W = W$  omen in comparison of sex differences Note:  $B = b$ lack and  $W = white$  in racial comparisons;  $M =$  men and  $W = W$  omen in comparison of sex differences