

## A Preoperative Decolonization Protocol for *Staphylococcus aureus* Prevents Orthopaedic Infections

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**Abstract** *Staphylococcus aureus* (*S. aureus*) is an independent risk factor for orthopaedic surgical site infection (SSI). To determine whether a preoperative decolonization protocol reduces *S. aureus* SSIs, we conducted a prospective observational study of patients undergoing elective total joint arthroplasty (TJA) at our institution, with two control groups. The concurrent control group comprised patients of surgeons who did not participate in the intervention study. The preintervention control group comprised patients of participating surgeons who had undergone elective TJA during the year before the study. Patients in the intervention group were screened preoperatively for *S. aureus* by nasal swab cultures. *S. aureus* carriers were decolonized with mupirocin ointment to the nares twice daily and chlorhexidine bath once daily for 5 days before surgery. All 164 of 636 participants (26%) who tested positive completed the decolonization protocol without adverse events and had no postoperative *S. aureus* SSIs at 1-year followup. In contrast, 1330 concurrent

control patients had 12 *S. aureus* infections. If these infections had occurred in the 26% of patients expected to be nasal carriers of *S. aureus* at a given time, the infection rate would have been 3.5% (12 of 345) in the control group. In addition, the overall infection rate of the participating surgeons, including nonstaphylococcal infections, decreased from 2.6% during the preintervention period to 1.5% during the intervention period, translating to an adjusted economic gain of \$231,741 for the hospital. The data suggest a preoperative decolonization protocol reduces *S. aureus* SSIs in patients undergoing TJA.

**Level of Evidence:** Level II, therapeutic study. See the Guidelines for Authors for a complete description of levels of evidence.

### Introduction

Surgical site infection (SSI) is an infrequent but serious complication of total joint arthroplasty (TJA) [19], with rates ranging from 0.2% for primary total hip arthroplasty to 1.5% for total knee arthroplasty [6, 16]. Orthopaedic SSIs cause substantial morbidity, prolonging hospital stay by a median of 2 weeks, doubling rehospitalization rates, and more than tripling overall healthcare costs [32]. The economic burden is even greater in a capitated reimbursement structure, such as diagnostic-related groups that do not cover the costs of nosocomial infection, resulting in losses to the healthcare system [1].

Prevention of SSIs requires identification of risk factors and appropriate intervention [17]. There is a strong epidemiologic association between nasal carriage of *S. aureus* and development of *S. aureus* SSIs. Carriers are two to nine times more likely to acquire *S. aureus* SSIs than noncarriers [11, 22, 31]. In fact, nasal carriage was the only

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Each author certifies that his or her institution did not require approval for the human protocol for this investigation and that all investigations were conducted in conformity with ethical principles of research.

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**Table 1.** Pathogens isolated from patients with surgical site infections at our institution from 2003 to 2005

Pathogen	Percent of infections	
	Total hip arthroplasty	Total knee arthroplasty
Methicillin-sensitive <i>S. aureus</i>	34	32
Methicillin-resistant <i>S. aureus</i>	31	21
Coagulase-negative staphylococci	23	14
Enterococci	0	12
Gram-negative bacilli	12	21

independent risk factor for *S. aureus* SSI in patients undergoing orthopaedic implant surgery [10]. Furthermore, in patients who acquire *S. aureus* SSIs, paired *S. aureus* isolates from the wound match those from the nares 85% of the time [21]. Intranasal mupirocin is an attractive prevention strategy because it is a safe and simple method that eradicates nasal colonization in a wide variety of patients [22, 31]. It also reduces *S. aureus* infections in patients undergoing hemodialysis [7, 12, 27] and SSIs in patients undergoing cardiovascular surgery [2, 13], orthopaedic surgery [5], and mixed surgery [21]. Recently, chlorhexidine baths have been added to intranasal mupirocin in an effort to eradicate carriage of methicillin-resistant *S. aureus* (MRSA) [25, 30] and to reduce nosocomial infections caused by MRSA in the intensive care unit [23]. The combination was simple and had no major side effects [25, 29, 30].

The rate of SSI following TJA at our institution was 1.1% between 2003 and 2005, with *S. aureus* accounting for more than 50% of infections (Table 1; unpublished data). We therefore hypothesized use of a decolonization protocol would lower the *S. aureus* infection rate in patients undergoing TJA and be cost-effective.

## Materials and Methods

To test these hypotheses, we performed a prospective observational study of patients scheduled for TJA at our institution and estimated the potential cost savings based on a previous report of the attributable cost of SSI [24]. The protocol consisted of preoperative screening for *S. aureus* nasal carriage and, in carriers, preoperative use of intranasal mupirocin with chlorhexidine body wash. We performed a prospective observational study of 1966 consecutive patients who underwent elective TJA at our institution between October 2005 and October 2006. Three orthopaedic surgeons (LSC, AJY, RM) agreed to participate; all 636 of their patients were eligible for enrollment in the preoperative screening intervention group and all 636 agreed to participate. All 1330 patients whose TJAs

were performed by the remaining surgeons were eligible for enrollment in the concurrent control group. In addition, all 741 patients whose surgery was performed by participating surgeons between October 2004 and October 2005 served as a preintervention control group. The study was conducted under patient safety authority and therefore did not require approval by the Institutional Review Board.

Two to 4 weeks before surgery, patients in the intervention group were screened for *S. aureus* nasal carriage. Participants were educated about the rationale for nasal cultures, and informed consent was obtained. Samples were collected from both nares on a single swab (BBL™ CultureSwab™ Plus, BD Diagnostics, Sparks, Maryland). The inside circumference of each anterior nares was rubbed for 3 to 5 seconds to obtain adequate sampling. Specimens were inoculated onto CHROMagar MRSA and CHROMagar SA plates (BD Microbiology Systems, Sparks, MD), which were incubated for 20 to 28 hours at 35°C to 37°C. After 24 hours, we interpreted mauve colonies present on both plates as MRSA and on only the CHROMagar SA plate as methicillin-sensitive *S. aureus* (MSSA). Negative plates were incubated for an additional 24 hours. Mauve colonies present on either medium at 48 hours were verified as *S. aureus* by Gram's stain and coagulase testing (Staphaurex, Remel, Lenexa, Kansas). Mauve colonies growing on both media were reported as MRSA while colonies growing only on CHROMagar SA were reported as MSSA [3, 4].

Approximately a week before surgery, patients with nasal cultures positive for MSSA or MRSA were educated about the rationale for the decolonization protocol, which was initiated in the outpatient setting. Patients were instructed to apply mupirocin nasal ointment twice daily to both nares and bathe with chlorhexidine daily for 5 days immediately prior to the scheduled surgery. During preoperative admission, we assessed compliance and safety by asking questions to determine whether patients had followed directions, completed the decolonization protocol, and experienced any adverse events.

During surgery, all patients received perioperative antibiotic prophylaxis. The standard regimen was cefazolin 2 g administered 30 to 60 minutes before surgery followed by 1 g every 8 hours for 24 hours. Alternatively, vancomycin 1 g 60 minutes before surgery followed by 1 g every 12 hours for 24 hours, was administered to patients with a history of MRSA infection or type I allergy to penicillin, and to MRSA carriers in the intervention group.

For 1 year after TJA, all patients were prospectively monitored for development of SSIs. We did not collect demographic or any other patient-specific data. No patients were lost to followup.

To estimate the potential cost savings, we compared hospital costs for infected patients in the preintervention

and intervention groups based on data from a cohort designed to evaluate the attributable impact of methicillin resistance on clinical outcomes and hospital costs [24]. In that study of 55 patients with infected TJAs, the average direct hospital costs exceeded Medicare reimbursement by \$27,000 and private insurance reimbursement by \$18,000.

The primary outcome measure was the number of *S. aureus* SSIs over a 1-year followup period in the intervention group compared with that in the concurrent control group. To estimate the infection rate in the control group, we assumed all *S. aureus* SSIs occurred in nasal carriers and the carrier rate in the concurrent control group was identical to that in the intervention group. Rates were compared using the independent samples t test with equal variances assumed between the intervention and control groups. Analysis was performed using SPSS (Chicago, IL, United States).

**Results**

Screening yielded positive nasal cultures in 164 of 636 participants (26%), including 147 with MSSA (23%) and 17 with MRSA (3%). All 164 participants with positive nasal cultures received preoperative mupirocin and chlorhexidine body wash. Participants reported they had adhered to the decolonization protocol and had not experienced any adverse events. All participants with nasal

cultures positive for MRSA subsequently received perioperative prophylaxis with vancomycin.

Postoperative followup for 1 year yielded no *S. aureus* SSIs in 164 patients in the intervention group, whereas 12 patients in the concurrent control group developed *S. aureus* SSIs (Table 2), including 7 due to MSSA and 5 due to MRSA. A total of 345 of 1330 control patients were expected to be *S. aureus* nasal carriers based on the carrier rate in the intervention group. If all 12 infections had occurred in the nasal carriers, the infection rate in the control group would have been 3.5% (12 of 345;  $p = 0.02$ ; equal variances assumed with a 99% confidence interval [CI]).

The overall infection rate, including nonstaphylococcal infections, decreased from 2.6% during the preintervention period to 1.5% during the intervention period (Fig. 1). Only one patient (0.15%) developed a superficial MSSA infection during the intervention period. This patient had a negative nasal screen for *S. aureus* and therefore did not undergo decolonization. In contrast, 11 patients had *S. aureus* SSIs during the preintervention period. The

**Table 2.** Staphylococcus aureus (*S. aureus*) surgical site infections (SSIs) in patients with nasal cultures confirmed (intervention group) or assumed (concurrent control group) to be positive for *S. aureus*

Patient group	Number of SSIs/Number of patients	Infection rate %
Intervention	0/164	0
Concurrent control	12/345	3.5*

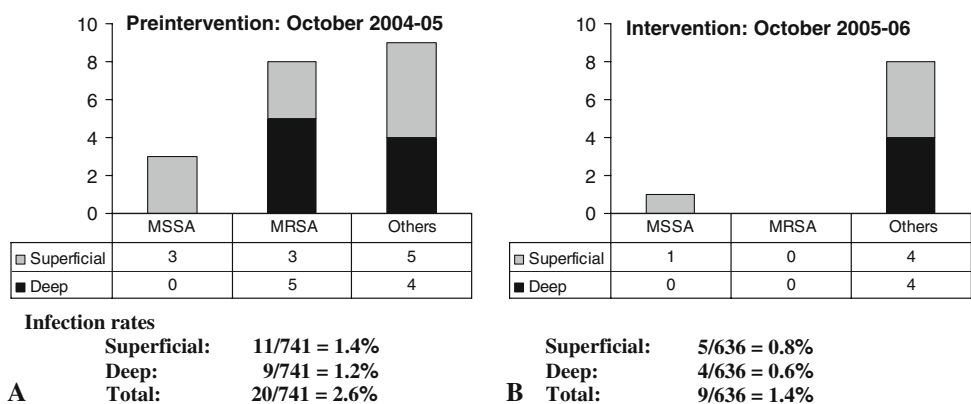
\*  $p = 0.016$  (equal variances assumed; 99% confidence interval).

**Table 3.** Cost analysis of patients with surgical site infections

Payer	Intervention period	
	Preintervention (n = 741)	Intervention (n = 636)
<b>Medicare</b>		
Number of infections	15	7
Lost revenue/infection	-\$27,000	-\$27,000
Subtotal	-\$405,000	-\$189,000
<b>Private insurance</b>		
Number of infections	5	2
Lost revenue/infection	-\$18,000	-\$18,000
Subtotal	-\$90,000	-\$36,000
<b>Total lost revenue</b>	<b>-\$495,000</b>	<b>-\$225,000*</b>

\* Lost revenue reduced by an absolute amount of \$270,000 and, after adjusting for the number of surgeries, an adjusted amount of \$231,741.

**Fig. 1** The graph shows patients who underwent total joint arthroplasty by the same group of orthopaedic surgeons. (A) Infection rates during the preintervention period are shown. (B) The rate of surgical site infection was reduced during the intervention period. MRSA = methicillin-resistant Staphylococcus aureus; MSSA = methicillin-sensitive *S. aureus*.



number of non-*S. aureus* SSIs was similar between groups, with 9 during the preintervention period and 8 during the intervention period.

The lower infection rate during the intervention period reduced the amount of lost revenue by \$270,000, resulting in an economic gain compared with the preintervention period (Table 3). After adjusting for the lower number of TJAs performed during the intervention period, we estimated an economic gain of \$231,741.

## Discussion

Surgical site infection is a serious complication of total joint arthroplasty (TJA) [19], with rates ranging from 0.2% for primary total hip arthroplasty to 1.5% for total knee arthroplasty [6, 16]. Being a carrier is an independent risk factor for orthopaedic surgical site infection (SSI) [11, 22, 31]. We therefore hypothesized use of a decolonization protocol would lower the *S. aureus* infection rate in patients undergoing TJA and be cost-effective.

Our study had several limitations. First, the study was not randomized and we did not collect demographic or other patient-specific data, so selection bias is possible. On the other hand, our study had two control groups. The concurrent control group comprised patients whose surgeons did not participate in the decolonization protocol; the preintervention control group comprised patients whose TJAs were performed by the surgeons who subsequently participated in the intervention study. Trends were similar for both control groups, with infection rates higher than in the intervention group. Furthermore, the large numbers of patients should have helped to reduce the risk of selection bias. Second, we did not prospectively estimate the sample size required to detect between-group differences, but the main purpose for this calculation is to avoid type II or false negative error. Third, we did not repeat nasal screening to confirm *S. aureus* was eradicated after the decolonization protocol; however, it is reasonable to believe *S. aureus* was eradicated because of the success reported by others [22, 31].

Preoperative screening confirmed 26% of participants in the intervention group were nasal carriers, approximating the previously reported rate [20]. All patients with positive nasal cultures reported they had completed the decolonization protocol without adverse events. None of the carriers in the intervention group developed *S. aureus* SSIs during the 1-year followup period, whereas 12 patients in the concurrent control group developed *S. aureus* SSIs. We assumed these SSIs occurred in *S. aureus* carriers because nasal carriage is the most important risk factor for SSI [10] and paired *S. aureus* isolates from nares and wounds usually match [21]. Extrapolating from the estimated infection

rate among assumed carriers, we calculated the decolonization protocol was associated with a reduction in *S. aureus* SSIs. In addition, the decolonization protocol appeared to be associated with a decrease in the overall infection rate compared with that during the preintervention period. Importantly, the decolonization protocol reduced *S. aureus* infections without increasing the rate of infections due to other pathogens.

The reduced incidence of infection during the intervention period translated to an adjusted economic gain of \$231,741 compared with the preintervention period. This estimate was based on a report published in 1993 involving 55 patients with infected TJAs [24]. We did not adjust for inflation or consider indirect costs, such as impaired functional and mental capacity, and lost productivity, which can affect both patients and their caregivers. Consideration of these issues would have substantially increased savings and offset the nominal cost of the decolonization protocol, which was not included in our estimate.

One obvious concern about widespread mupirocin use is the potential for increased drug resistance and subsequent failure [8, 15, 18, 28]. However, selective use of short courses of mupirocin for nasal decolonization is thought not to cause widespread resistance [20].

Our findings add to those of previous studies designed to determine whether preoperative mupirocin reduces SSIs. Previous studies had different designs, sample sizes, and approaches to intervention, which probably affected the outcomes. Nonrandomized studies with concurrent or historic controls usually involved selective prophylaxis in nasal carriers [5, 13] and consistently demonstrated the beneficial effect of mupirocin in patients undergoing orthopaedic [6] or cardiac surgery [2, 13]. For example, Gernaat-van der Sluis et al. [5] reported prophylactic mupirocin reduced the overall SSI rate after orthopaedic surgery among *S. aureus* carriers (1.3% versus 2.7%), but not the rate among those with *S. aureus* SSIs (0.7% versus 1.1%). In contrast, randomized studies often failed to detect substantial differences favoring mupirocin in patients undergoing orthopaedic [9], cardiac [14], gastrointestinal [26], or mixed surgery [21]. Unexpected findings may have played a role, such as a lower-than-expected rate of *S. aureus* SSI in control groups, confounding the ability to detect differences within the sample size [9, 14]. Konvalinka et al. [14] reported nasal *S. aureus* colonization disappeared in almost half of control patients, further obscuring the anticipated benefit of mupirocin. The lack of benefit after gastrointestinal surgery is not surprising in view of the high percentage of Gram-negative infections; however, mupirocin was associated with less *S. aureus* pneumonia (none versus 2%) [26]. Perl et al. [21] reported mupirocin did not reduce *S. aureus* SSIs after mixed surgery (2.3% versus 2.4%) but reduced *S. aureus*

nosocomial infections in the subset of *S. aureus* nasal carriers (4.0% versus 7.7%). Kalmeijer et al. [9] reported mupirocin did not notably reduce SSIs (3.8% versus 4.7%) or *S. aureus* SSIs (1.6% versus 2.7%) after orthopaedic surgery; however, these differences might have become relevant if the intervention group had had more than 315 patients or followup had been extended beyond 1 month.

The data from our prospective observational study suggest preoperative decolonization is a safe way to reduce *S. aureus* SSIs in patients undergoing TJA and may translate to economic savings for the hospital or healthcare institution. In this era of patient safety and cost containment, interventions that decrease morbidity and improve healthcare-related quality of life merit further evaluation.

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