

Expression of the Herpes Simplex Virus 1 α Transinducing Factor (VP16) Does Not Induce Reactivation of Latent Virus or Prevent the Establishment of Latency in Mice

AMY E. SEARS,¹ VEIJO HUKKANEN,² MARK A. LABOW,^{3†} ARNOLD J. LEVINE,³
AND BERNARD ROIZMAN^{1*}

The Marjorie B. Kovler Viral Oncology Laboratories, University of Chicago, Chicago, Illinois 60637¹;
Department of Virology, University of Turku, Turku, Finland²; and Department of Molecular Biology,
Princeton University, Princeton, New Jersey 08544³

Received 4 October 1990/Accepted 4 March 1991

A feature of the cascade regulation of herpes simplex virus 1 gene expression in productive infection is that the first genes to be expressed, the α genes, are transactivated by a structural component of the virion designated as the α transinducing factor (α TIF). In this study, we have tested the hypothesis that latent infection of sensory neurons results from the failure of α TIF, a tegument protein, to be transported from the nerve endings to the nucleus of the sensory neuron. Two viruses were constructed. The first recombinant virus (R6003) contained a second copy of the α TIF gene placed under the control of a metallothionein promoter. The second recombinant virus (R6004) is identical to R6003 except for the presence of a stop codon inserted at amino acid 70 of the second α TIF gene. The metallothionein promoter inserted into the viral genome was shown to be expressed, and α TIF mRNA was detected by in situ hybridization of sections of trigeminal ganglia of mice infected with R6003, both untreated and those given cadmium injections. In all experiments, there were no significant differences in the recovery of latent virus from mice infected with R6003 or R6004, whether injected with cadmium or not. Cadmium administration at the time of infection and at intervals thereafter did not preclude establishment of latency. In another series of experiments, transgenic mice expressing the metallothionein-driven α TIF did not differ from nontransgenic siblings with respect to the incidence of latent virus in trigeminal ganglia. We conclude that the absence of α TIF cannot alone account for the establishment of latency.

The experiments described in this report test a hypothesis described earlier (33): that herpes simplex virus (HSV) latency results from the absence of a vital viral transactivating factor.

In HSV-1-infected cells, viral gene expression is regulated in a cascade fashion (14, 15). The expression of α genes, the first set of genes to be expressed, is transactivated by a viral structural protein (3, 32). The transactivator has been designated as the α transinducing factor (α TIF) according to its function (30) or VP16 according to the nomenclature assigned to virion proteins (36), and it is present in 500 to 1,000 copies per virion in the structure designated as the tegument located between the envelope and the capsid (13). Upon entry of the virus into the cell, the capsid migrates to the nuclear pore and the DNA is released into the nucleus, where it circularizes (2, 31). A *cis*-acting site found upstream of all α gene promoters (20, 24) is bound by a host protein designated variously as α H1, OTF-1, Oct-1, or NF-III (9, 21, 27, 28). α TIF binds to the Oct-1-DNA complex (21, 22). Experiments showing that α TIF transactivated a viral gene resident in the host genome under conditions of infection in which the viral DNA was not released from the capsid indicated that α TIF makes its way to the nucleus independently of the viral DNA (3). As noted previously (33), the puzzling features of the regulation of HSV gene expression are (i) the use of a transactivator rather than of enhancer

elements for expression of α genes, (ii) the physical separation of the DNA and transactivator in different compartments of the virion, and (iii) the use of a cellular transactivator, i.e., Oct-1, as the linker between α TIF and its *cis*-acting site.

HSV can establish latency in sensory neurons both in humans and in experimental animal systems. In neurons harboring latent virus, the only viral transcript detected to date (the latency-associated transcript [LAT]) accumulates in nuclei (37) and has not been shown to encode a protein. Mutants which do not produce the LAT are not defective with respect to the establishment of latency (17). Attempts to identify the gene(s) required for the establishment of the latent state have not been successful. While reactivation from the latent state requires competence to multiply (6, 16, 18), virtually any deletion mutant administered to the mouse at appropriate sites and in appropriate amounts can establish latency (18, 23, 26, 34, 38). These results seem to negate the hypothesis that latency requires the expression of a viral gene. Inasmuch as neuronal cells in culture are permissive for lytic infection by HSV, and latent virus can be reactivated from sensory neurons *in vivo*, the latently infected sensory neuron must be viewed as only transiently nonpermissive.

One hypothesis that could explain the nonpermissiveness of the neuronal cells *in situ* is that replication cannot take place because the α genes are not transactivated (33). For example, the distance which separates the plasma membrane of a cell in a mucous membrane from its nucleus, the site of activation of α genes by α TIF, is measured in micrometers. The distance between the peripheral endings of a sensory

* Corresponding author.

† Present address: Department of Molecular Genetics, Hoffmann-La Roche, Inc., Nutley, NJ 07110.

neuron innervating a mucous membrane and the neuronal nucleus is measured in centimeters. It is conceivable that latency results from a failure of α TIF to be transported to the neuronal nucleus. To test this hypothesis, we constructed two viruses. The first virus contains a second copy of α TIF placed under a metallothionein I (MT-I) promoter (MT- α TIF). The second virus is identical to the first except that we inserted a stop codon into the MT- α TIF gene after sequences encoding the first 70 amino acids.

Although we have shown that in sensory neurons infected with recombinant viruses the MT-I promoter is functional and that the α TIF gene is expressed, we have detected no evidence that α TIF terminates or precludes the establishment of latency. Similarly, we find that transgenic mice expressing the same MT- α TIF gene are not resistant to the establishment of latency after inoculation of HSV-1.

MATERIALS AND METHODS

Cells. Vero and rabbit skin cells were obtained from the American Type Culture Collection. The 143TK⁻ cells were a gift of C. Croce. HAT medium has been described elsewhere (5).

Viruses. HSV-1 strain F is the prototype HSV-1 strain used in this laboratory. HSV-1(F) Δ 305 was derived from HSV-1(F) and lacks the *Bgl*II-*Sac*I fragment of the thymidine kinase (*tk*) gene; its construction has been described elsewhere (32). R314 was derived from HSV-1(F) by insertion of the 1.8-kbp *Bam*HI Z fragment into the *Bgl*II restriction endonuclease site of the *Bam*HI Q fragment encoding the *tk* gene. The *Bam*HI Z fragment was inserted in an orientation such that the α 4 gene promoter, containing all upstream sequences from approximately -1800 to +33, is juxtaposed to and drives the expression of the *tk* gene containing all sequences downstream from +51 (32).

Infections of mice. Five-week-old male BALB/c AnN mice (Charles River) were infected in both eyes under sodium pentobarbital anesthesia. The corneas were scarified with a 30-gauge hypodermic needle, and the virus was placed on the eye in 10 μ l of medium 199V (mixture 199 supplemented with 1% newborn calf serum).

Cadmium sulfate injections. CdSO₄ was injected subcutaneously into footpads as a 2-mg/ml solution in sterile saline. Each mouse received 100 μ g in 50 μ l. This dose of cadmium has been demonstrated to cause induction of the MT-I promoter in vivo (29).

Assays of infectious and latent virus in ganglia. Mice were sacrificed under sodium pentobarbital anesthesia, and trigeminal ganglia were removed and placed in 1 ml of DME-5 medium (Dulbecco's modified Eagle's supplemented with 5% newborn calf serum, 125 U of penicillin per ml, 0.125 mg of streptomycin sulfate per ml, and 25 U of mycostatin per ml). In assays for latent virus, the ganglia were incubated in this medium for 5 days in 5% CO₂ at 37°C, homogenized, and plated on Vero cell monolayers (one 25-cm² culture per ganglion). After 1 h at 37°C to allow virus to attach to cells, the homogenate was removed and the cells were incubated at 37°C in 5 ml of DME-5 medium. Cultures were observed daily for at least 6 days and were scored as positive if any plaques developed during that period. For infectious virus assays, ganglia were homogenized within 2 h of removal from the animals and plated on Vero cell monolayers in the same manner as those assayed for latent virus. Cultures were observed daily for at least 6 days.

Assays of infectious virus in eyes. Eyes were bathed in 10 μ l of sterile saline solution (0.15 M NaCl), which was removed

from the eye and immediately added to 1 ml of DME-5 medium. The medium plus saline was plated on Vero cell monolayers as described above and observed daily for at least 6 days for plaque formation.

RNA probes for in situ hybridizations. The ³⁵S-labeled RNA probes were prepared from linearized plasmid templates by transcription with the SP6 or T7 polymerase, provided with the labeling kit (SP6/T7 RNA labeling kit; Boehringer, Mannheim, Germany). The labeled nucleotide was [³⁵S]UTP (1,000 Ci/mmol; Amersham, Amersham, United Kingdom). The radiolabeled RNA probes were purified by phenol extraction, chromatography through Quick-Spin G-50 columns (Boehringer), and ethanol precipitation. The sizes of the transcripts were determined by electrophoresis on formaldehyde-agarose gels. The probe used to detect α TIF mRNA was transcribed by SP6 polymerase from a plasmid containing the α TIF gene in the pGEM3Z (Promega) vector (pRB3623; Fig. 1, line 6), linearized by *Mlu*I. The product of the transcription was 625 nucleotides long with 475-nucleotide complementarity to α TIF mRNA. The negative control was an RNA transcribed in the opposite direction from the same plasmid linearized at the vector *Hind*III site, homologous to α TIF mRNA. The probe for LAT was a 2-kb RNA transcribed from a plasmid containing the *Hpa*I-*Bam*HI fragment of the junction region (Fig. 1), linearized at the *Sal*I site of the fragment and containing RNA sequences from the entire fragment, complementary to LAT. The probes were freeze-dried before solubilization in hybridization buffer.

In situ hybridization. Sections of paraffin-embedded trigeminal ganglia were cut at 5- μ m thickness and mounted on organosiliconized slides (25). The sections were pre-treated as described by Gordon et al. (10). After deparaffination, the sections were treated with 0.2 N HCl and proteinase K (1 μ g/ml; 15 min at 37°C) and acetylated. Prior to hybridization, the sections were subjected to prehybridization at 45°C for 2 to 4 h. The prehybridization solution consisted of 50% formamide, 2 \times SSC (SSC is 0.15 M NaCl plus 0.015 M sodium citrate), 1 \times Denhardt's solution, and 300 μ g of denatured salmon sperm DNA per ml. The probes were solubilized in hybridization solution at a concentration of 0.5 μ g/ml. The hybridization solution contained 50% formamide, 10% dextran sulfate, 0.3 M NaCl, 5 mM Tris (pH 7.4), 1 mM EDTA, 0.02% Ficoll, 0.02% polyvinylpyrrolidone, 1 mg of bovine serum albumin per ml, 5 mM dithiothreitol, and 0.5 mg of mouse brain nucleic acids per ml. Twenty microliters of probe solution was applied to each section, and the sections were hybridized for 18 h at 45°C under a sealed cap in a humidified incubator.

After hybridization with RNA probes, the sections were digested with RNase A (60 μ g/ml) and RNase T₁ (10 U/ml) for 40 min at 37°C, washed for 72 h in a 2-liter volume of 45% formamide-2 \times SSC-10 mM Tris (pH 7.4)-1 mM EDTA with one buffer change, subjected to autoradiography for 7 days using NTB-3 emulsion (Eastman Kodak), developed, and stained with hematoxylin-eosin.

RESULTS

To assess the role of α TIF in latent infection, viruses were constructed which expressed either a fully functional α TIF gene or a translationally truncated nonfunctional α TIF gene under the control of a host promoter expressed in sensory neurons. These genes were present in the viral genome in addition to the natural α TIF gene specified by HSV-1. Mice were infected with the recombinant viruses, and the effects

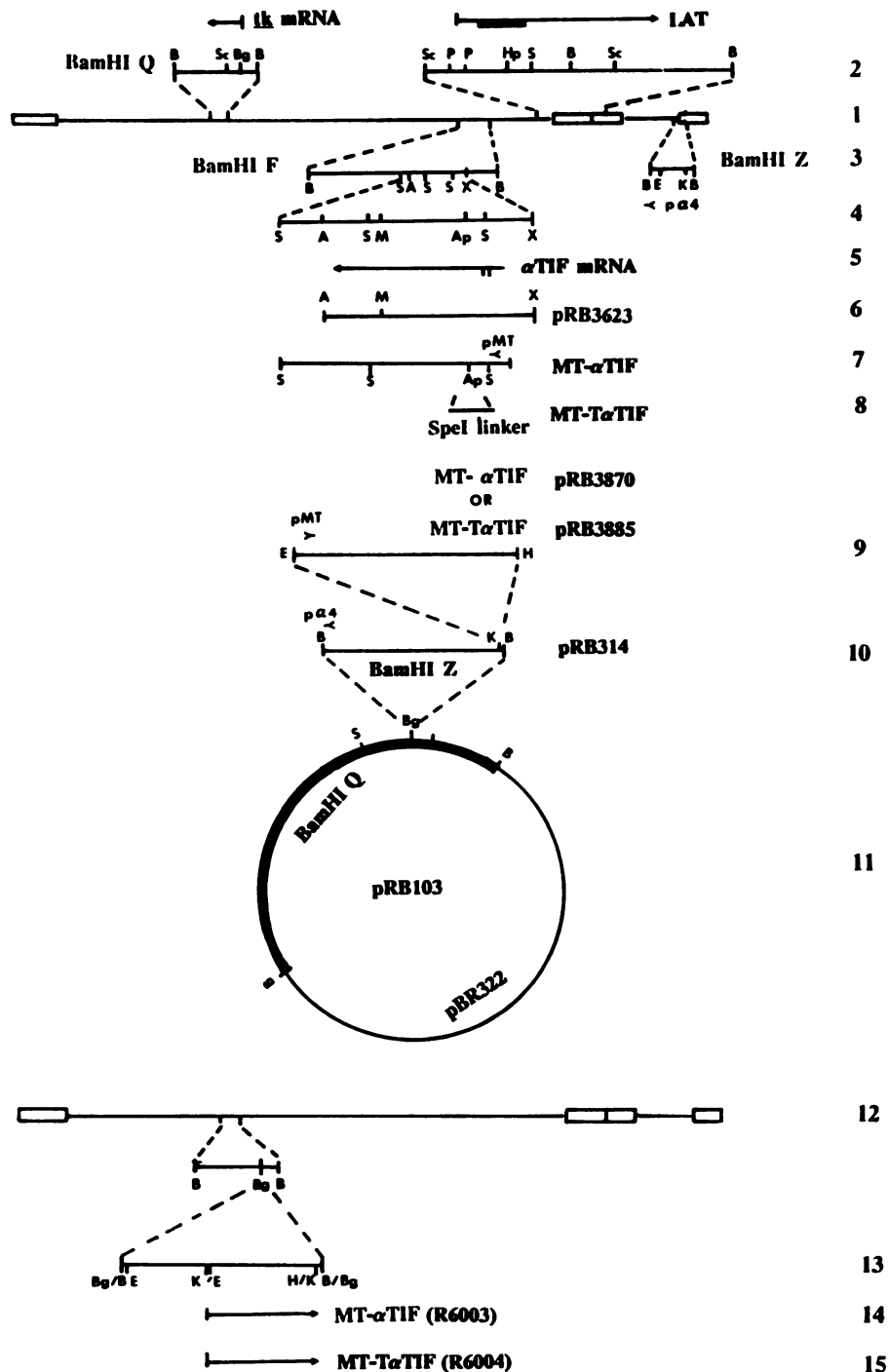


FIG. 1. Sequence arrangements and genomic locations of viruses and plasmids used. Line 1, genomic arrangement of HSV-1(F). Open boxes indicate the locations of the terminal and internal inverted repeat sequences. Line 2, expansion of the *Bam*HI Q fragment and the L-S junction region. Locations of the *tk* gene mRNA and the LAT are shown above the line. The heavy line indicates the portion of LAT that accumulates in latently infected nuclei (7). Line 3, expansions of the *Bam*HI F and Z fragments. The location of the $\alpha 4$ gene promoter ($p\alpha 4$) is indicated by the arrow under the expansion of *Bam*HI-Z. Line 4, expansion of the region of *Bam*HI-F that encodes the α TIF gene. Line 5, location of the α TIF mRNA. Line 6, sequences included in pRB3623. Line 7, construction of the MT- α TIF gene. The MT-I promoter (pMT) from -147 to +57 was fused to the α TIF gene from a *Sal*I site 7 bp upstream of the second ATG to a *Sal*I site downstream of the polyadenylation site. Line 8, insertion of *Spe*I linker, containing stop codons in all six open reading frames, into the unique *Apa*I site of the MT- α TIF gene, creating the MT-T α TIF gene. Line 9, insertion of the MT- α TIF or MT-T α TIF gene into the *Kpn*I site of pRB314, constructing pRB3870 (MT- α TIF- α TK) or pRB3885 (MT-T α TIF- α TK). Both plasmids contain the α TIF genes inserted in the same orientation. The location of the MT-I promoter (pMT) in each case is indicated by the arrow. Line 10, insertion of *Bam*HI-Z into the unique *Bgl*III site in the *tk* gene in *Bam*HI-Q of pRB103, creating a chimeric $\alpha 4$ -regulated *tk* gene in pRB314. The location of the $\alpha 4$ promoter ($p\alpha 4$) is indicated by the arrow. Line 11, the *Bam*HI Q fragment inserted into the *Bam*HI site of pBR322. Line 12, genomic arrangement of HSV-1(F). Open boxes indicate the locations of the terminal and internal inverted repeat sequences. Line 13, expansion of the sequence arrangement of the MT- α TIF or MT-T α TIF insertion in the viral genome. Line 14, location of the MT- α TIF gene in recombinant virus R6003. Line 15, location of the MT-T α TIF gene in recombinant virus R6004. Restriction enzymes: A, *Asu*II; Ap, *Apa*I; B, *Bam*HI; Bg, *Bgl*III; E, *Eco*RI; H, *Hind*III; Hp, *Hpa*I; K, *Kpn*I; M, *Mlu*I; S, *Sal*I; Sc, *Sac*I; X, *Xho*I.

of expression of α TIF during the establishment and maintenance of latent infections were determined.

Construction of MT- α TIF genes. The promoter chosen for these studies was that of the mouse MT-I gene. This promoter is expressed in a wide range of tissues, and its transcription is induced by heavy metals, including cadmium (4, 8). The *SacI*-to-*Bgl*III restriction enzyme fragment of the MT-I promoter (-147 to +57), which includes the sequences required for heavy metal induction (4), was fused to a DNA fragment containing the α TIF gene from 7 bp upstream of the second ATG (amino acid 12) and including the remaining coding and 3' transcribed nontranslated sequences (Fig. 1, line 8). This MT- α TIF chimeric gene has been shown to be functional in the induction of HSV α genes in transient expression assays (22a). This construct was also tested and found to be functional in transgenic mice in that the α -TIF transgene could activate expression of an α 0-regulated chloramphenicol acetyltransferase transgene upon induction with cadmium (22b). A gene encoding a truncated, nonfunctional MT- α TIF protein (MT-T α TIF) was constructed by inserting a 14-bp double-stranded oligonucleotide containing an *SpeI* restriction endonuclease site and stop codons in all six reading frames into the MT- α TIF gene at the unique *ApaI* site at amino acid 70 (Fig. 1, line 9). If translation of α TIF were to initiate internally, the resulting protein would lack at least the first 75 amino acids (30). Deletion analyses (31a) have shown that proteins lacking amino acids 6 to 63 are greatly reduced in transactivating activity.

Construction of recombinant viruses. We have previously shown (35) that the recombinant virus R314, constructed by insertion of the α 4 promoter and other sequences contained in the *Bam*HI Z fragment of HSV-1 into the *Bgl*III site of the *tk* gene, is capable of establishing latent infections in mice and can be reproducibly reactivated from approximately 50% of the trigeminal ganglia of infected mice. To minimize the possibility that changes in the abilities of the viruses tested in these studies to establish latency were due to genomic disruptions, we inserted the MT- α TIF and MT-T α TIF genes into the *Bam*HI Z fragment at the *Kpn*I restriction endonuclease site which is at the opposite end of the fragment from the α 4 promoter in pRB314 (Fig. 1, line 11). The DNA fragments containing the MT- α TIF- α TK or MT-T α TIF- α TK construct were recombined into the virus by cotransfection of intact HSV-1(F) Δ 305 DNA with the plasmid sequences containing MT- α TIF- α TK (pRB3870) or MT-T α TIF- α TK (pRB3885; Fig. 1, line 10). The progeny of the transfection were then plated on 143TK⁻ cells in HAT medium to enrich for TK⁺ progeny. Viruses were plaque purified in 143TK⁻ cells under HAT medium and then in Vero cell monolayer cultures and were tested for the presence of the insertions by Southern blot analysis of the DNA. The plaque-purified, verified, MT- α TIF and MT-T α TIF viruses selected for these studies were designated R6003 and R6004, respectively (Fig. 1, lines 16 and 17).

Evidence that the MT- α TIF gene is expressed in sensory neurons. Two series of experiments were done to test the capacity of the MT-I promoter used in this study to be expressed in sensory neurons. In the first, the MT-I promoter (-147 to +67) was fused to the *Escherichia coli lacZ* gene, and the chimeric MT-*lacZ* gene was inserted into the gene specifying the viral glycoprotein E. β -Galactosidase activity was detected in sections of trigeminal ganglia of mice latently infected with the recombinant virus (1a). In a more extensive study, mice were infected with R6003 and transcription of the MT- α TIF gene was assayed by in situ hybridization. Mice were infected in both eyes and either not

TABLE 1. Expression of α TIF and LAT by R6003

Virus	No. of positive neurons/no. of sections ^a		
	LAT	α TIF <i>Mlu</i>	Anti- α TIF
R6003	10/126	11/124	0/116
R6003 + Cd ^b	10/82	12/78	0/82

^a Numbers of sections tested are the combined results of two separate hybridization experiments. A total of 8 to 14 sections of each of 20 trigeminal ganglia (R6003) or 14 trigeminal ganglia (R6003 + Cd) were hybridized with each probe.

^b Mice were injected with CdSO₄ (2 mg/ml in saline, subcutaneously in the footpad) 15, 17, 19, and 45 days after infection. Ganglia were removed 18 h after the last CdSO₄ injection.

treated or injected with CdSO₄ at 15, 17, and 19 days after infection. At 21 days postinfection, trigeminal ganglia were harvested from five untreated and five cadmium-treated mice and assayed for the presence of latent virus. Virus was recovered from 20 and 40% of the ganglia of untreated and cadmium-treated mice, respectively. The remaining mice were then given one more injection of CdSO₄ 45 days after infection, and the trigeminal ganglia were harvested 18 h after cadmium treatment and fixed immediately. Sections of ganglia were processed and hybridized with probes complementary to the LAT (Fig. 1, line 2) or to α TIF sequences either complementary to or homologous to the α TIF mRNA (Fig. 1, line 6) as described in Materials and Methods. Ganglionic cells characteristic of neurons and showing autoradiographic grains were readily detected with LAT and α TIF probes (Table 1; Fig. 2) but not with a probe homologous to the α TIF mRNA (Table 1). Table 1 shows the number of neurons scoring positive by hybridization with each probe. Nearly identical ratios of neurons per section hybridizing to probes for LAT and for α TIF mRNA were observed, both in untreated and in cadmium-treated mice. All or most of the latently infected neurons, as distinguished by expression of LAT, were therefore expressing the α TIF mRNA from the MT-I promoter during latent infection.

α TIF cannot reactivate latent virus. To determine whether induction of expression of the MT- α TIF gene would lead to lytic infection which would result in ablation of latent virus in trigeminal ganglia, mice were infected in both eyes with R6003 or R6004 and injected with CdSO₄ 5, 10, 15, 20, 25, and 30 days after infection (Table 2, experiment 1) or 9, 13, 17, 21, 27, 31, and 34 days after infection (Table 2, experiment 2). In each experiment, control groups of mice infected with either virus were left untreated. No mortality was observed in mice infected with either virus in this or any other experiment. Trigeminal ganglia were harvested 35 (experiment 1) or 37 (experiment 2) days after infection and assayed for the presence of latent virus. No significant reduction was seen in the percentage of ganglia from which virus could be recovered when R6003 was compared with R6004 or when R6003 after cadmium treatment was compared with R6003 with no treatment. Further, there were no differences in the amount of virus which could be recovered from the ganglia of each group of mice (data not shown). All viruses isolated from mice in these experiments were used to infect Vero cell cultures for isolation of viral DNA. Restriction enzyme digests and Southern blot analyses revealed no deletions or rearrangements of the inserted genes (data not shown).

In the second series of experiments, we assessed the capacity of α TIF to reactivate virus after induction by cadmium injection. In these experiments, reactivation was

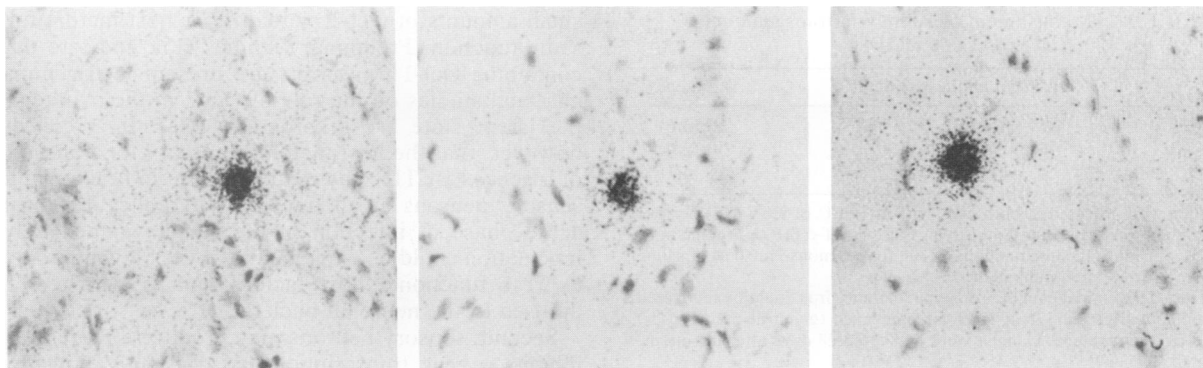


FIG. 2. Photomicrographs of sections of trigeminal ganglia hybridized in situ to a probe specific for α TIF mRNA (600-bp *AsuII-MluI* transcript complementary to α TIF mRNA; see Fig. 1).

assessed by assaying tears and trigeminal ganglia for the presence of infectious virus after cadmium treatment. In vivo reactivation of HSV in mice by a variety of methods has been reported (11). In the first experiment, 10 mice per virus were infected in both eyes with R6003 or R6004 and were given a single injection of CdSO₄ 18 days after infection to induce expression of the MT-I promoter. Eyes were bathed in 10 μ l of saline every day for 8 days after the cadmium treatment, and the saline wash was plated on Vero cells. In no case was infectious virus detected.

In the second experiment, 50 mice per virus were infected in both eyes with R6003 or R6004 and given a single injection of CdSO₄ 20 days after infection. Trigeminal ganglia were harvested from 10 mice per day at 19 days after infection (before cadmium administration) and at 21, 22, 23, and 24 days after infection (1, 2, 3, and 4 days after cadmium treatment, respectively) and were assayed for the presence of infectious virus. No virus could be detected in any of the ganglia.

Establishment of latency in the presence of α TIF. The lack of reactivation of latent virus in the experiments described above could conceivably have been due to some factor present during the maintenance phase of latency which prevents reactivation and lytic infection after latency has been established but which is not present in appreciable amounts during the establishment of latency. This would apply in particular to any viral gene product which would have to be synthesized after the viral genome reaches the

neuronal nucleus. To determine whether expression of α TIF at the time of infection or during the earliest stages of infection could preclude the establishment of latency, two experiments were done.

In the first experiment (Table 3), mice were infected by the eye route with either R6003 or R6004. One group was injected daily with CdSO₄ (0 to 7 days after infection) to induce expression of the MT- α TIF and MT-T α TIF genes as soon as the viral genomes arrived at the neuronal nuclei and for several days afterward, while controls remained untreated. Trigeminal ganglia were harvested 12 days after infection and assayed for the presence of latent virus. No significant differences were seen in the percentages of ganglia from which virus could be recovered between any of the four groups of mice (Table 3).

In the second experiment, transgenic mice that contained the same MT- α TIF chimeric gene used to construct recombinant virus R6003 were infected with the wild-type virus, HSV-1(F). These mice have previously been tested and shown to produce functional α TIF protein (22b). Nontransgenic siblings of the transgenic mice were also infected as controls. All of the transgenic mice and one group of the nontransgenic siblings were treated with CdSO₄ at the time of infection and daily for 3 days after infection to induce expression of the MT- α TIF gene during the establishment phase of latency. Trigeminal ganglia were harvested 28 days after infection and assayed for the presence of latent virus. Virus was recovered from all three groups of mice in approximately the same amount (Table 4); given the small numbers of mice used, no significant differences were seen in the ability of the wild-type virus to establish latent infections in the transgenic or nontransgenic animals.

TABLE 2. Maintenance of latency after expression of α TIF

Expt	Virus	Inoculum (10 ⁷ PFU/eye) ^a	CdSO ₄ administration ^b	% Latency ^c
1	R6003	1	None	40 (8/20)
	R6003	1	5, 10, 15, 20, 25, 30	40 (8/20)
	R6004	1	None	10 (2/20)
	R6004	1	5, 10, 15, 20, 25, 30	35 (7/20)
2	R6003	5	None	35 (7/20)
	R6003	5	9, 13, 17, 21, 27, 31, 34	43 (17/40)
	R6004	1	None	20 (4/20)
	R6004	1	9, 13, 17, 21, 27, 31, 34	23 (9/40)

^a Mice were infected in both eyes following corneal scarification.

^b CdSO₄ was injected subcutaneously (100 μ g per mouse in 50 μ l of saline) on the days postinfection indicated.

^c Number of trigeminal ganglia from which latent virus could be recovered/total number of trigeminal ganglia of infected mice (given in parentheses), expressed as a percentage. Ganglia were harvested 35 (experiment 1) or 37 (experiment 2) days postinfection.

TABLE 3. Establishment of latency in the presence of α TIF^a

Virus	CdSO ₄ administration ^b	% Latency ^c
R6003	None	33 (6)
R6003	0, 1, 2, 3, 4, 5, 6, 7	44 (8)
R6004	None	38 (7)
R6004	0, 1, 2, 3, 4, 5, 6, 7	17 (3)

^a Mice were infected in both eyes (10⁷ PFU per eye) following corneal scarification.

^b CdSO₄ was injected subcutaneously (100 μ g per mouse in 50 μ l of saline) on the days postinfection (dpi) indicated.

^c Number of trigeminal ganglia from which latent virus could be recovered (given in parentheses)/18 trigeminal ganglia from infected mice, expressed as a percentage. Ganglia were harvested 12 days after infection.

TABLE 4. Establishment of latency in transgenic mice expressing α TIF

Mice ^a	CdSO ₄ administration ^b	% Latency ^c
Wild type	0, 1, 2, 3	50 (3/6)
	None	50 (2/4)
MT- α TIF	0, 1, 2, 3	25 (4/16)

^a All mice were infected in both eyes with 10⁶ PFU of HSV-1(F) per eye. Wild-type mice were nontransgenic siblings of the MT- α TIF transgenic mice.

^b CdSO₄ was injected subcutaneously (100 μ g per mouse in 50 μ l of saline) on the days postinfection indicated.

^c Number of trigeminal ganglia from which latent virus could be recovered/total number of trigeminal ganglia of infected mice (given in parentheses), expressed as a percentage. Ganglia were harvested 28 days after infection.

These data indicate that expression of the α TIF at the time of infection and during the early stages of infection is not sufficient to abrogate the establishment of latency by means of a lytic infection.

DISCUSSION

Most people become infected with HSV-1 or HSV-2 by the contact of wet or mucous membranes of susceptible individuals with membranes of individuals with lesions containing virus. These lesions could be the result of first infection but are more likely to be the consequences of reactivation of latent virus. In the latter event, reactivated virus in sensory ganglia is transported to a site at or near the portal of entry of the virus. The reactivated virus is therefore a major source of dissemination of infection, and by extension the latent virus is the reservoir from which the virus is drawn to maintain itself in the human population. Because latency is an important component of the ability of the virus to survive in the human population, we could expect that the processes which regulate the expression of its genes would enable the establishment of latency before viral gene expression committed the cell to a lytic infection, that is, before the expression of α genes. If latency were the result of a failure of α TIF to be transported along with the capsid to the nucleus of the sensory neuron, it would explain many of the unique features of the activation of α gene expression. Specifically, it would explain why α genes utilize a transactivator rather than an enhancer element built into their promoter domains and why α TIF is packaged in association with a structure closely bound to the virion envelope rather than to the viral DNA.

The results presented in this report do not support the hypothesis that latency results solely from the absence of α TIF. Thus, we have not been able to (i) terminate latency by supplying α TIF in *trans* from an endogenous gene linked with a host promoter and embedded in the viral genome, (ii) preclude establishment of latency by the same virus, or (iii) preclude the establishment of latency in transgenic mice expressing α TIF. While these results exclude the absence of α TIF as the sole requirement for the establishment of the latent state, they do not exclude the possibility that a lack of α TIF plays an auxiliary role in the process of establishment of latent infection.

Several possibilities remain. First, it has been reported (12) that transcripts of the Oct-1 gene cannot be detected by in situ hybridization in sensory ganglia. However, that study also demonstrated an absence of Oct-1 mRNA in several regions of the central nervous system which are permissive for lytic infection by HSV, leaving open the possibility either that the in situ probes used in that study could not detect

small amounts of Oct-1 or that other host factors fulfill the same function. Preliminary studies (15a) indicate that cells containing Oct-1 transcripts are present in trigeminal ganglia, and studies on the role of Oct-1 in the maintenance of the latent state are in progress (32a). It is conceivable, however, that the host factors necessary for transactivation of α genes by α TIF may not be present in functional form in sensory neurons at all times. Viral replication during the acute phase of infection in the ganglia immediately after inoculation could be explained by a very few neurons which express functional host factors and in which α TIF has diffused to the neuronal nuclei.

Second, sensory neurons may produce a repressor which inhibits α gene transcription and transactivation by α TIF. Evidence has been presented that at least one neural cell-derived cell line contains a protein that bound the same sequences bound by Oct-1 and repressed transcription by α gene promoters (19). That cell line, however, was derived from a tumor of central nervous system origin (1), leaving the relevance of those findings to latency in sensory neurons in question inasmuch as the virus grows readily in central nervous system tissue.

Finally, spontaneous reactivation is a sporadic event; only one or a few neurons appear to be reactivated at any one time. This may be an indication that several different events must all take place within a single neuron in order for reactivation of the virus to occur. These events could include the inactivation of a repressor, activation of a host or viral transactivator, or replication of the viral DNA. The experiments described in this study emphasize the necessity for studies of host cell functions as well as those expressed by the virus.

ACKNOWLEDGMENTS

We thank Lindsay Smith for expert technical assistance.

The studies done at the University of Chicago were aided by Public Health Service grants from the National Cancer Institute (CA47451) and the National Institute for Allergy and Infectious Diseases (AI124009 and AI1588).

REFERENCES

1. Augusti-Tocco, G., and G. Sato. 1969. Establishment of functional clonal lines of neurons from mouse neuroblastomas. *Proc. Natl. Acad. Sci. USA* **64**:311-315.
- 1a. Baines, J., and B. Roizman. Unpublished data.
2. Batterson, W., D. Furlong, and B. Roizman. 1983. Molecular genetics of herpes simplex virus. VIII. Further characterization of a *ts* mutant defective in release of viral DNA and in other stages of viral reproductive cycle. *J. Virol.* **45**:397-407.
3. Batterson, W., and B. Roizman. 1983. Characterization of the herpes simplex virion-associated factor responsible for the induction of α genes. *J. Virol.* **46**:371-377.
4. Brinster, R. L., H. Y. Chen, R. Warren, A. Sarthy, and R. D. Palmiter. 1982. Regulation of metallothionein-thymidine kinase fusion plasmids injected into mouse eggs. *Nature (London)* **296**:39-42.
5. Campione-Piccardo, J., W. E. Rawls, and S. Bachetti. 1979. Selective assays for herpes simplex viruses expressing thymidine kinase. *J. Virol.* **31**:281-287.
6. Coen, D. M., M. Kosz-Vnenchak, J. G. Jacobson, D. A. Leib, C. L. Bogard, P. A. Schaffer, K. L. Tyler, and D. M. Knipe. 1989. Thymidine kinase negative herpes simplex virus mutants establish latency in mouse trigeminal ganglia but do not reactivate. *Proc. Natl. Acad. Sci. USA* **86**:4736-4740.
7. Dobson, A. T., F. Sederati, G. Devi-Rao, W. M. Flanagan, M. J. Farrell, J. G. Stevens, E. K. Wagner, and L. T. Feldman. 1989. Identification of the latency-associated transcript promoter by expression of rabbit beta-globin mRNA in sensory nerve ganglia latently infected with a recombinant herpes simplex virus. *J.*

- Virol. 63:3844-3851.
8. Durnam, D. M., and R. D. Palmiter. 1981. Transcriptional regulation of the mouse metallothionein-I gene by heavy metals. *J. Biol. Chem.* 256:5712-5716.
 9. Gerster, T., and R. G. Roeder. 1988. A herpesvirus transactivating protein interacts with transcription factor OTF-1 and other cellular proteins. *Proc. Natl. Acad. Sci. USA* 85:6247-6351.
 10. Gordon, Y. J., B. Johnson, E. Romanowski, and T. Araullo-Cruz. 1988. RNA complementary to the herpes simplex virus type 1 ICP0 gene demonstrated in neurons of human trigeminal ganglia. *J. Virol.* 62:1832-1835.
 11. Harbour, D. A., T. J. Hill, and W. A. Blyth. 1983. Recurrent herpes simplex in the mouse: inflammation in the skin and activation of virus in the ganglia following peripheral stimulation. *J. Gen. Virol.* 64:1491-1498.
 12. He, X., M. N. Treacy, D. M. Simmons, H. A. Ingraham, L. W. Swanson, and M. G. Rosenfeld. 1989. Expression of a large family of POU-domain regulatory genes in mammalian brain development. *Nature (London)* 340:35-42.
 13. Heine, J. W., R. W. Honess, E. Cassai, and B. Roizman. 1974. Proteins specified by herpes simplex virus. XII. The virion polypeptides of type 1 strains. *J. Virol.* 14:640-651.
 14. Honess, R. W., and B. Roizman. 1974. Regulation of herpesvirus macromolecular synthesis. I. Cascade regulation of the synthesis of three groups of viral proteins. *J. Virol.* 14:8-19.
 15. Honess, R. W., and B. Roizman. 1975. Regulation of herpesvirus macromolecular synthesis: sequential transition of polypeptide synthesis requires functional viral polypeptides. *Proc. Natl. Acad. Sci. USA* 72:1276-1280.
 - 15a. Hukkanen, V. Unpublished data.
 16. Jacobson, J. G., D. A. Leib, D. J. Goldstein, C. L. Bogard, P. A. Schaffer, S. K. Weller, and D. M. Coen. 1989. A herpes simplex virus ribonucleotide reductase deletion mutant is defective for productive infection and reactivatable latent infections of mice and for replication in mouse cells. *Virology* 173:276-283.
 17. Javier, R. T., J. G. Stevens, V. B. Dissette, and E. K. Wagner. 1988. A herpes simplex virus transcript abundant in latently infected neurons is dispensable for establishment of the latent state. *Virology* 166:254-257.
 18. Katz, J. P., E. T. Bodin, and D. M. Coen. 1990. Quantitative polymerase chain reaction analysis of herpes simplex virus DNA in ganglia of mice infected with replication-incompetent mutants. *J. Virol.* 64:4288-4295.
 19. Kemp, L. M., C. L. Dent, and D. S. Latchman. 1990. Octamer motif mediates transcriptional repression of HSV immediate-early genes and octamer-containing cellular promoters in neuronal cells. *Neuron* 4:215-222.
 20. Kristie, T. M., and B. Roizman. 1984. Separation of sequences defining basal expression from those conferring α gene recognition within the regulatory domains of herpes simplex virus 1 α genes. *Proc. Natl. Acad. Sci. USA* 81:4065-4069.
 21. Kristie, T. M., and B. Roizman. 1987. Host cell proteins bind to the *cis*-acting site required for virion-mediated induction of herpes simplex virus 1 α genes. *Proc. Natl. Acad. Sci. USA* 84:71-75.
 22. Kristie, T. M., and B. Roizman. 1988. Differentiation and DNA contact points of the host proteins binding at the *cis* site for virion-mediated induction of α genes of herpes simplex virus 1. *J. Virol.* 62:1145-1157.
 - 22a. Kristie, T. M., and B. Roizman. Unpublished data.
 - 22b. Labow, M., A. E. Sears, B. Roizman, and A. J. Levine. Unpublished data.
 23. Leib, D. A., D. M. Coen, C. L. Bogard, K. A. Hicks, D. M. Knipe, and P. A. Schaffer. 1989. Immediate-early gene mutants define different steps in the establishment and reactivation of herpes simplex virus latency. *J. Virol.* 63:759-768.
 24. Mackem, S., and B. Roizman. 1982. Structural sequences of the α gene 4, 0, and 27 promoter-regulatory sequences which confer α regulation on chimeric thymidine kinase genes. *J. Virol.* 44:939-949.
 25. Maples, J. A. 1985. A method for the covalent attachment of cells to glass slides for use in immunohistochemical assays. *Am. J. Clin. Pathol.* 83:356-363.
 26. Meignier, B., R. Longnecker, P. Mavromara-Nazos, A. Sears, and B. Roizman. 1987. Virulence of and establishment of latency by genetically engineered mutants of herpes simplex virus 1. *Virology* 162:251-254.
 27. O'Hare, P., and C. R. Goding. 1988. Herpes simplex virus regulatory elements and the immunoglobulin octamer domain bind a common factor and are both targets for virion transactivation. *Cell* 52:435-445.
 28. O'Neill, E. A., C. Fletcher, C. R. Burrow, N. Heintz, R. G. Roeder, and T. G. Kelly. 1988. Transcription factor OTF-1 is functionally identical to the DNA replication factor NF-III. *Science* 241:1210-1213.
 29. Palmiter, R. D., H. Y. Chen, and R. L. Brinster. 1982. Differential regulation of metallothionein-thymidine kinase fusion genes in transgenic mice and their offspring. *Cell* 29:701-710.
 30. Pellett, P. E., J. L. C. McKnight, F. J. Jenkins, and B. Roizman. 1985. Nucleotide sequence and predicted amino acid sequence of a protein encoded in a small herpes simplex virus DNA fragment capable of trans-inducing α genes. *Proc. Natl. Acad. Sci. USA* 82:5870-5874.
 31. Poffenberger, K. L., and B. Roizman. 1985. A noninverting genome of a viable herpes simplex virus 1: presence of head-to-tail linkages in packaged genomes and requirements for circularization after infection. *J. Virol.* 53:587-595.
 - 31a. Poon, A., and B. Roizman. Unpublished data.
 32. Post, L. E., S. Mackem, and B. Roizman. 1981. The regulation of α genes of herpes simplex virus: expression of chimeric genes produced by the fusion of thymidine kinase with α gene promoters. *Cell* 24:555-565.
 - 32a. Purves, F., and B. Roizman. Unpublished data.
 33. Roizman, B., and A. E. Sears. 1987. An inquiry into the mechanisms of herpes simplex virus latency. *Annu. Rev. Microbiol.* 41:543-571.
 34. Sears, A. E., I. W. Halliburton, B. Meignier, S. Silver, and B. Roizman. 1985. Herpes simplex virus mutant deleted in the α 22 gene: growth and gene expression in permissive and restrictive cells and establishment of latency in mice. *J. Virol.* 55:338-346.
 35. Sears, A. E., B. Meignier, and B. Roizman. 1985. Establishment of latency in mice by herpes simplex virus 1 recombinants carrying insertions affecting the regulation of the thymidine kinase gene. *J. Virol.* 55:410-416.
 36. Spear, P. G., and B. Roizman. 1972. Proteins specified by herpes simplex virus. V. Purification and structural proteins of the herpesvirion. *J. Virol.* 9:431-439.
 37. Stevens, J. G., E. K. Wagner, G. B. Devi-Rao, M. L. Cook, and L. T. Feldman. 1987. RNA complementary to a herpesvirus α gene mRNA is prominent in latently infected neurons. *Science* 235:1056-1059.
 38. Weber, P. C., M. Levine, and J. C. Glorioso. 1987. Rapid identification of nonessential genes of herpes simplex virus type 1 by Tn5 mutagenesis. *Science* 236:576-579.