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# Catalytic antibodies to amyloid $\beta$ peptide in defense against Alzheimer disease

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# Abstract

Immunoglobulins (Igs) that bind amyloid  $\beta$  peptide (A $\beta$ ) are under clinical trials for immunotherapy of Alzheimer disease (AD). We have identified IgMs and recombinant Ig fragments that hydrolyze A $\beta$ . Hydrolysis of peripheral A $\beta$  by the IgMs may induce increased A $\beta$  release from the brain. The catalytic IgMs are increased in AD patients, presumably reflecting a protective autoimmune response. Reduced A $\beta$  aggregation and neurotoxicity attributable to the catalytic function were evident. These findings provide a foundation for development of catalytic Igs for AD immunotherapy.

### Keywords

Catalytic antibodies; proteolytic IgM; amyloid  $\beta$  peptide; Alzheimer's disease; immunotherapy

# Take-home messages

- Human IgM autoantibodies hydrolyze  $A\beta$  via a serine protease-like mechanism. Brain and peripheral  $A\beta$  are in equilibrium with each other. Peripheral  $A\beta$  hydrolysis may induce depletion of the brain  $A\beta$  stores without IgM passage across the BBB.
- Recombinant Ig fragments that hydrolyze Aβ have been isolated from human libraries. Theoretically, catalytic Igs that enter the brain are predicted to clear Aβ deposits without inducing inflammatory and microhemorrhage effects associated with conventional Igs.
- Catalytic autoantibodies appear to represent a natural protective mechanism against AD. High activity catalytic Igs isolated from the human repertoire are candidates for for further consideration as immunotherapeutic agents.

# 1. Immunotherapy of Alzheimer disease (AD)

Approximately 26 million humans have AD worldwide. No truly effective therapy is available for AD treatment. Accumulation of amyloid  $\beta$  (A $\beta$ ) peptide aggregates is thought to play a crucial role in the neurodegenerative events underlying AD.<sup>1</sup> The A $\beta$  aggregates are composed of 39–43 residue peptides generated by proteolytic processing of amyloid precursor protein (APP) by the  $\beta$ - and  $\gamma$ -secretases. The predominant product of this processing pathway is

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A $\beta$ 1-40 (A $\beta$ 40; corresponding to APP residues 597–636) with A $\beta$ 1-42 (A $\beta$ 42; APP residues 597–638) being the next-most abundant product.<sup>2</sup> A $\beta$ 42 and A $\beta$ 40 form oligomeric aggregates and fibrillar structures.<sup>3</sup> A $\beta$ 42 aggregates more rapidly, and is the majority species in amyloid plaques characteristic of the AD brain.<sup>2</sup> In peripheral blood, the major species is A $\beta$ 40.<sup>4</sup> Soluble A $\beta$  oligomers impair neuronal function by altering the expression of memory-related receptors,<sup>5</sup> inducing aberrant neuronal responses to electrical stimulation<sup>6</sup> and inducing neural death.<sup>3</sup>,<sup>7</sup>

Aβ has emerged as a promising target for immunotherapeutic intervention in AD. Two issues developed in clinical trials of active immunization of AD patients with  $A\beta 42.^{8}$  First, only about 20% of the recipients developed A<sup>β</sup> binding antibodies. Second, the trials were suspended because 5% of the immunized AD patients developed sterile encephalitis. Passive immunotherapy approaches for AD are under development. Transgenic mice expressing mutant human APP genes (APP-Tg mice) develop an age-associated increase in cerebral AB as well as cognitive decline.<sup>9,10</sup> Peripheral administration of monoclonal and polyclonal IgG class Aβ binding antibodies to APP-Tg mice clears brain Aβ deposits and improves various behavioral indices.<sup>11</sup> A $\beta$  binding autoantibodies are present in healthy humans and AD patients.<sup>12,13</sup> Pooled human IgG marketed as IVIG formulations (intravenously administered immunoglobulins) contains small amounts of A $\beta$  binding autoantibodies.<sup>14</sup> A phase I trial entailing intravenous administration of large IVIG doses to AD patients (1.2 grams/kg over 3 days) was encouraging.<sup>14</sup> Humanized monoclonal IgGs are under clinical trials at smaller dose levels (1–5 mg/kg; Wyeth-Elan, Lily). Humanization is the process of substituting human IgG sequences for conserved murine IgG sequences while leaving in place the complementarity determining region sequences necessary for  $A\beta$  binding. This reduces infusion reactions, anaphylactic reactions and induction of neutralizing antibodies to the IgG in human recipients.

IgGs are proposed to reduce  $A\beta$  deposition in the brain by the following mechanisms: (*a*) Small amounts of peripherally administered IgGs (~0.1% of injected dose) that cross the blood-brain barrier (BBB) bind  $A\beta$  in the brain, and Fc $\gamma$ -receptor mediated immune complex ingestion by microglial cells clears  $A\beta^{15}$  (Table 1)<sup>16</sup>; (*b*) The IgGs can also bind the neonatal Fc receptor (FcRn) located on the abluminal (brain) side of the endothelial cells constituting the bloodbrain barrier (BBB), thereby facilitating  $A\beta$  efflux into the periphery<sup>17</sup>; (*c*)  $A\beta$  binding to IgG may constrain the peptide into a non-aggregable conformation<sup>18</sup>; and (d) according to the 'peripheral sink' hypothesis, <sup>19</sup>  $A\beta$  is cleared from the brain without IgG entry into the brain. In this hypothesis peripheral  $A\beta$ -antibody binding perturbs the equilibrium between the peptide pools in the brain and periphery, thereby stimulating  $A\beta$  release from the brain. In principle, these mechanisms are not mutually exclusive and may be triggered by the same IgG.

## 2. Catalytic autoantibodies to Aß

Our approach to developing immunotherapeutic reagents for AD is based on the expression of specific proteolytic activity by naturally occurring Igs.<sup>20</sup> The antigen combining site of Igs is composed of light and heavy chain variable domains ( $V_L$  and  $V_H$  domains) derived from about 50 germline V gene segments each. Proteolytic Igs are present in the preimmune repertoire<sup>21</sup> and under certain circumstances, they can be improved by adaptive immunological selection processes.<sup>20</sup> Ig proteolytic sites display nucleophilic character and utilize covalent catalysis mechanisms similar to classical serine proteases. Nucleophilic triads have been identified by mutagenesis and crystallography in proteolytic Igs.<sup>22,23</sup> Electrophilic phosphonates originally synthesized as probes for enzymatic nucleophiles react covalently with Ig proteolytic sites.<sup>24</sup> Proteolytic Igs react specifically and irreversibly with peptide analogs containing electrophilic phosphonates within their antigenic epitopes, indicating that noncovalent binding renders the nucleophilic reactivity specific for the cognate antigen.<sup>25</sup>

We have reported the proteolytic activity of a panel of 10 monoclonal IgMs from patients with Waldenström's macroglobulinemia using model peptide substrates.<sup>26</sup> Two monoclonal IgMs from this panel also hydrolyzed A $\beta$ 40 and A $\beta$ 42.<sup>27</sup> Neither A $\beta$ 40-hydrolyzing IgM displayed binding of biotinylated A $\beta$ 40 in an ELISA test. Electrospray ionization-mass spectrometry (ESI-MS) of the product peptides generated by IgMs yielded mass values suggesting that Lys28-Gly29 is the major hydrolysis site and Lys16-Leu17, the minor hydrolysis site (Fig 1A). The catalytic activity of a monoclonal catalytic IgM was titrated using various concentrations of the electrophilic phosphonate diester. This yielded a value of 10.2 catalytic sites/IgM molecule, compared to the theoretical value of 10 antigen combining sites.<sup>27</sup> The catalytic activity was retained in the Fab fragments of the IgM and the activity maintained at constant levels following successive purification steps,<sup>26</sup> indicating that the activity is attributable to the IgM.

To evaluate disease association, we studied the hydrolysis of <sup>125</sup>I-A $\beta$ 40 by IgMs purified from the sera of AD patients and age-matched elderly subjects without dementia. Twenty two of the 25 IgM preparations from undemented elderly humans studied displayed detectable <sup>125</sup>I-A $\beta$ 40 hydrolytic activity varying over a 118-fold range. This suggests polymorphic and variable catalytic IgM response in different individuals. IgMs from the AD group displayed superior hydrolytic activity (P<0.0001; Fig. 1B). The IgMs did not hydrolyze irrelevant polypeptides determined by an electrophoresis assay.<sup>27</sup> It may be concluded that increased A $\beta$ 40 hydrolysis by IgM preparations from AD patients is not due to an increase of non-specific catalytic activity.

In view of the natural development of catalytic A $\beta$  Igs, libraries of human Ig V domains are promising source of homogeneous proteolytic Igs. Previous studies with recombinant Igs have suggsted that the A $\beta$  hydrolyzing activity can be be traced to Ig V<sub>L</sub> domains. We have described the hydrolysis of A $\beta$ 40 recombinant Ig light chains (IgLs).<sup>28</sup> One of these IgLs cleaved A $\beta$ 40 at a single peptide bond, Lys16-Leu17. Another IgL displayed more complex cleavage patterns with apparent dependence on the aggregation state of A $\beta$ 40. Hydrolysis of highly aggregated A $\beta$ 40 by this IgL generated several peptide fragments with length differing only by a single residue each, suggesting an exopeptidase-like reaction<sup>28</sup> whereas A $\beta$  in a lesser aggregated state was hydrolyzed mainly at the His14-Gln15 bond.<sup>29</sup> We searched for A $\beta$  hydrolyzing catalysts present in a human IgV domain (IgV) library by our previously described screening and electrophilic selection procedures.<sup>24</sup> This has permitted identification of IgV<sub>L</sub> domains that hydrolyze A $\beta$  with catalytic efficiency that are 3–4 magnitudes superior to the polylclonal Ig preparations, suggesting the feasibility of identifying Igs with proteolytic activity sufficient for therapeutic application.

#### 3. Functional catalytic lg effects

Initial evidence indicating the functional utility of the catalytic function is available as follows. A $\beta$ 40 is neurotoxic.<sup>3</sup> We studied the viability of SH-SY5Y neuronal cells following treatment with A $\beta$ 40 alone, A $\beta$ 40 pretreated with IgM Yvo and IgM Yvo alone. The toxic effect of A $\beta$ 40 was reduced significantly in the presence of IgM Yvo (P<0.0001).<sup>27</sup> The IgM alone did not influence the level of cell viability. Sierks and coworkers have reported similar results using a catalytic Ig light chain with A $\beta$  hydrolyzing activity.<sup>7</sup> Oligomeric and fibrillar A $\beta$  structures are thought to be responsible for the neurotoxicity. Using atomic force microscopy, we observed reduced formation of A $\beta$  oligomers (spherical particles with diameter 4–20 nm), protofibrils and short fibrils following A $\beta$ 40 treatment with a model catalytic IgM compared to the control reaction mixture of the peptide and a noncatalytic IgM (by 72–83%).<sup>27</sup> A $\beta$ 40 was present at 13-fold excess over the IgM in this experiment. No A $\beta$ 40 binding by the IgM was detected by ELISA. Therefore, the observed effects of IgM Yvo can not be attributed A $\beta$ 40 binding.

Peripherally injected monoclonal IgGs (150 kD mass) can cross the BBB at low levels in the APP-Tg mouse model.<sup>30</sup> A recent mouse study has raised the possibility of selective transport of a monoclonal IgM into the brain.<sup>31</sup> However, IgMs are very large molecules (900 vs 150 kDa). IgM concentrations in the cerebrospinal fluid (CSF) of non-demented humans and AD patients are no different, and the CSF IgM concentrations are very low (<0.001 mg/ml, compared to blood IgM levels of  $\sim 2$  mg/ml).<sup>32</sup> If CSF IgM expresses catalytic activity equivalent to blood-borne IgM, only 0.01% of Aβ40 and Aβ42 present in CSF will undergo IgM-catalyzed hydrolysis in 5 days (CSF Aβ40 and Aβ42 concentrations are ~2.7 and ~0.28 ng/ml, respectively<sup>4</sup>). It is debatable, therefore, whether catalytic IgMs are present in the brain at concentrations sufficient to degrade AB appreciably. In contrast, clearance of large amounts of AB found in peripheral blood by the IgMs can be anticipated based on the observed rates of hydrolysis. Peripheral blood Aβ concentrations are ~0.25 ng/ml, respectively.<sup>4</sup> The IgM catalytic rates are sufficient to hydrolyze 93 % of blood-borne AB in 5 days, corresponding to the half-life of IgM in humans.<sup>33</sup> Under similar conditions, noncatalytic IgMs with  $K_d$ (equilibrium dissociation constant) equivalent to the observed Michaelis constant (Km) will bind only 7.7 % of the A $\beta$  in blood at equilibrium.

Peripheral and brain  $A\beta$  exist in a state of equilibrium. Other groups have observed that peripheral A $\beta$  binding reagents induce the release of A $\beta$  from the brain A $\beta$ ,<sup>11</sup> leading to suggestions that peripheral administration of AB binding IgGs can be applied to clear AB from the brain. In a preliminary study, a preparation of catalytic human IgM from pooled human serum was administered intravenously on day 0 and day 8 to 6 month old APP-Tg mice that overexpress human A $\beta$  (APP<sub>Swe</sub>/PS1<sub> $\Delta$ E9</sub> mouse strain). A sustained increase of intact A $\beta$ concentrations in peripheral blood determined was evident (Fig 1C). As the injected human IgM did not bind A<sup>β</sup> detectably, the evident increase of pepripheral A<sup>β</sup> is not due to peptide stabilization by formation of immune complexes. This suggests the feasibility of depleting brain AB as a consequence of peripheral IgM catalyzed AB hydrolysis. Receptors for the Fc region of IgG expressed on the abluminal side of the BBB have recently been implicated in enhancing IgG-dependent A $\beta$  efflux from the brain.<sup>17</sup> Fcµ/ $\alpha$  receptors expressed on the luminal side of the BBB could fulfill a similar function in enhancing catalytic IgM-dependent efflux of the peptide (Fig 1D). These receptors are abundantly distributed on various cells.  $^{34,35}$  Local IgM-catalyzed A $\beta$  hydrolysis at BBB can be predicted to strengthen the trans-BBB Aß concentration gradient, resulting in enhanced peptide efflux be in the microenvironment, and explaining the sustained increase of peripheral A $\beta$  concentrations noted after peripheral catalytic IgM administration.

An important consideration is whether the catalytic Igs can cause pathogenic effects. If  $A\beta$ fulfils a vital physiological function, its removal may be deleterious. The neurotrophic effects of very low Aβ concentrations in tissue culture have been reported.<sup>36</sup> However, there appears to be no physiological purpose for accumulation of A $\beta$  in the brains of AD patients. Therefore, it is assumed that removal of excess A $\beta$  will be without negative effects. Active vaccination of humans with Aβ42 resulted in the development of encephalitis in some AD subjects, a finding attributed to undesirable T lymphocyte responses.<sup>37</sup> However, there is no formal proof for this, and the potential negative role of A $\beta$  binding IgGs remains an open issue. This is highlighted by observations of undesirable inflammatory and vascular effects of the IgGs in tissue culture. A $\beta$ -IgG immune complexes bind Fcy receptors expressed by microglial cells and induce the release of inflammatory mediators.<sup>16</sup> This could exacerbate the already inflamed state of AD brain. In mouse AD models, clearance of amyloid plaques from the brain parenchyma induced by Aβ-binding IgGs can be accompanied by Aβ deposition in the blood vessels and microhemorrhages.<sup>38,39</sup> In human trials of the Wyeth-Elan humanized monoclonal IgG, abnormal magnetic resonance images suggestive of angiogenic edema have been observed.<sup>40</sup> In theory, catalytic Igs can be predicted to exert lesser side effects compared to A $\beta$  binding IgGs. If the catalytic rate constant is sufficiently rapid, stable immune complexes

will not be formed, and Fc receptor mediated inflammatory release from inflammatory cells should be precluded. Concerning microhemorrhages, provided the A $\beta$  fragments generated by the catalysts are less aggregogenic than intact A $\beta$ , permanent clearance of A $\beta$  from the brain should occur, and no catalytic Ig-induced A $\beta$  deposition in the blood vessel walls in anticipated.

### 4. Conclusions

Ig-catalyzed A $\beta$  hydrolysis is a novel mechanism that may afford clearance of large amounts Aβ. A single catalyst molecule permanently inactivates thousands of target antigen molecules. Therefore, the biological efficacy of proteolytic Igs is predicted to be superior compared to Igs that bind A $\beta$  reversibly and stoichiometrically. Rapid A $\beta$  hydrolysis precludes long-lived immune complexes. A $\beta$  fragments are less aggregation-prone than intact A $\beta$ . The catalytic function, therefore, also reduces the known negative effects of conventional A $\beta$  binding IgGs that can cross the BBB, that is, Fc receptor-mediated inflammatory reactions, Aβ deposition in blood vessels, and cerebral microhemorrhages.<sup>38,39</sup> AD patients produce increased amounts of A<sup>β</sup> hydrolyzing IgMs. IgMs are unlikely to cross the BBB in substantial amounts. However, the peripheral clearance of A $\beta$  can induce release of brain A $\beta$  stores. As A $\beta$  does not fulfill any known function in the periphery, the observed catalytic autoantibodies to  $A\beta$ may represent a defensive immune response. Further studies of the relationship between catalytic IgM production and disease progression will be instructive. High activity Aß hydrolyzing Igs have been identified from recombinant Ig libraries. In view of the advantages of the catalytic function, these are suitable for development as candidate reagents for AD immunotherapy.

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#### Fig 1.

(A) A $\beta$ 40 peptide bonds hydrolyzed by monoclonal IgM Yvo. ESI-MS spectrum of A $\beta$ fragments generated by IgM. Inset, Zoom scan of spectrum region around m/z peak 1085.5, corresponding to the exact theoretical m/z for singly charged  $(M+H)^+$  ion of A $\beta$ 29-40. The peak-splitting in the zoom scan reflects the natural isotopic distribution of the singly charged peptide ions. (B) Increased A<sup>β</sup> hydrolysis by IgMs from patients with Alzheimer's disease. Shown are values of  $^{125}$ I-A $\beta$ 1-40 (0.1 nM) hydrolysis (means of duplicates) incubated with the IgM preparations (0.023 mg/ml) purified from AD patients (n=23) and elderly, nondemented control subjects (n=25). Each point represents a different human subject. 2-tailed unpaired t-test. (C) Plasma A $\beta$  mobilization induced by peripheral catalytic IgM administration. Pooled polyclonal IgM from human subjects purified by affinity chromatography using immobilized anti-IgM antibody was injected (360 µg) intravenously into APP/PS1-Tg mice (n=3) on day 0 and 7. Plasma Aß was measured before and after IgM administration by an ELISA kit that detects intact Aβ40. The Aβ hydrolyzing activity of the human IgM is described in ref  $^{27}$ . (D) Hypothesis of catalytic IgM accelerated A $\beta$  efflux from the brain. Hydrolysis of A $\beta$  by peripherally IgM increases the A $\beta$  brain-periphery concentration difference and thereby enhances AB efflux from the brain. Catalytic IgM bound to  $FcR\mu/\alpha$  on the luminal (blood) side of the blood-brain-barrier will accentuate the local concentration difference and may facilitate sustained brain A $\beta$  depletion. FcRu/ $\alpha$ , Fc receptor for IgM. FcRn, neonatal Fc receptor. LRP-1, low-density lipoprotein receptor related protein 1; RAGE, receptor for advanced glycation end products.

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Table 1 Proposed mechanisms for antibody dependent brain Aβ clearance

Troposed incentifisms for untibody dependent bruin rip clearance		
Clearance mechanism	Site of action	Undesired effects
Fc receptor mediated microglial uptake of immune complexes		
Fc receptor (FcRn) mediated AB efflux into periphery	Central	Inflammatory mediator release, microhemorrhages
Induction of non-aggregable AB conformation		
Catalytic small Ig mediated Aß clearance	Central	Little or no inflammation and microhemorrhages
Catalytic IgM mediated A <sub>β</sub> clearance	Peripheral	anticipated