### Natural killer T-cell characterization through gene expression profiling: an account of versatility bridging T helper type 1 (Th1), Th2 and Th17 immune responses

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#### Introduction

Natural killer T (NKT) cells constitute a unique lymphocyte population. Unlike conventional CD4<sup>+</sup> T helper (Th) cells which are reactive to major histocompatibility complex (MHC) class II-associated peptides expressed by antigen-presenting cells, NKT cells recognize lipids in the context of CD1d molecules.<sup>1–3</sup> NKT cells are either CD4<sup>+</sup> or CD4<sup>-</sup> CD8<sup>-</sup>, and express a skewed range of T-cell receptor (TCR) variable region genes and the natural killer (NK) cell marker NK1·1 (NKR-P1C). In mice, most NKT cells express an invariant V $\alpha$ 14-J $\alpha$ 18 TCR combined with a limited set of V $\beta$  chains.<sup>4</sup> Accordingly, these NKT cells<sup>5</sup> have been termed invariant (i) NKT cells.<sup>6</sup> These iNKT cells recognize alpha-galactosylceramide ( $\alpha$ GalCer),

#### Summary

Natural killer T (NKT) cells constitute a distinct lymphocyte lineage at the interface between innate and adaptive immunity, yet their role in the immune response remains elusive. Whilst NKT cells share features with other conventional T lymphocytes, they are unique in their rapid, concomitant production of T helper type 1 (Th1) and Th2 cytokines upon T-cell receptor (TCR) ligation. In order to characterize the gene expression of NKT cells, we performed comparative microarray analyses of murine resting NKT cells, natural killer (NK) cells and naïve conventional CD4<sup>+</sup> T helper (Th) and regulatory T cells (Treg). We then compared the gene expression profiles of resting and alpha-galactosylceramide (aGalCer)-activated NKT cells to elucidate the gene expression signature upon activation. We describe here profound differences in gene expression among the various cell types and the identification of a unique NKT cell gene expression profile. In addition to known NKT cell-specific markers, many genes were expressed in NKT cells that had not been attributed to this population before. NKT cells share features not only with Th1 and Th2 cells but also with Th17 cells. Our data provide new insights into the functional competence of NKT cells which will facilitate a better understanding of their versatile role during immune responses.

**Keywords:** transcriptomics; T helper cells; regulatory T cells; natural killer T cells; natural killer cells

the model glycosphingolipid antigen.<sup>2</sup> Upon activation through TCR ligation, iNKT cells release abundant T helper type 1 (Th1) cytokines such as interferon (IFN)- $\gamma$  and tumour necrosis factor (TNF)- $\alpha$  as well as Th2 cytokines such as interleukin (IL)-4, IL-10 and IL-13.<sup>7-10</sup> Prompt and simultaneous expression of Th1 and Th2 cytokines by the same cell type is a hallmark of iNKT cells.

In fact, iNKT cells shape a wide range of immune responses: they control tissue destruction, autoimmunity, antitumour responses, host defence, allergy and inflammation.<sup>11–21</sup> Accordingly, iNKT cell activation has both beneficial<sup>22–24</sup> and harmful<sup>25,26</sup> consequences. Such divergent responses may be attributed to their functional heterogeneity as well as their tissue distribution. However,

Abbreviations:  $\alpha$ GalCer, alpha-galactosylceramide; iNKT cell, invariant natural killer T cell; Th, T helper (CD4<sup>+</sup> CD25<sup>-</sup>); Treg, regulatory T cell (CD4<sup>+</sup> CD25<sup>+</sup>).

the mechanisms determining the type of iNKT cell response and the cytokine profile determining the contribution of iNKT cells to immune responses remain elusive.

Analysis of gene expression patterns and genomic profiling have become useful tools for investigating the biological functions of distinct cell types and characterizing the functional profiles that distinguish a unique phenotype, or differentiation or activation stage. Comparative expression profiling reveals overlapping and unique signatures of distinct cell types and hence underlines differences and similarities between functionally related cell types or differentiation steps. We embarked on comparative analysis of the gene expression profiles of iNKT cells versus NK cells, conventional CD4<sup>+</sup> T cells (Th, CD4<sup>+</sup> CD25<sup>-</sup> cells), and regulatory T cells (Treg, CD4<sup>+</sup> CD25<sup>+</sup> cells) to obtain basic information about the functional competence of iNKT cells in innate and adaptive immune responses. Moreover, analysis of resting versus activated iNKT cells was undertaken in order to shed light on their functional plasticity during an immune response. Our findings reveal a unique gene expression profile of resting NKT cells in comparison to NK cells, Th cells and Treg cells, and multiple effector functions linking activated NKT cells not only to the known Th1 and Th2 phenotypes but also to the Th17 phenotype.

#### Materials and methods

#### Mice

We used 7- to 12-week-old C57BL/6  $(H-2^b)$  wild-type mice, and V $\alpha$ 14-J $\alpha$ 18 transgenic (tg) mice backcrossed (> 10 generations) on a C57BL/6 background.<sup>27,28</sup> Mice were bred in our facility at the Bundesinstitut für Risik-obewertung (BfR) in Berlin and kept under specific pathogen-free (SPF) conditions in filter bonnet cages with food and water *ad libitum*. All experiments were conducted according to the German Animal Protection Law.

#### Antibodies

Antibodies against murine CD4 (clone RM4-5), Fas ligand (FasL) (clone K-10), IL-4 (clone 11B11), IFN- $\gamma$ (clone R46A2), TNF- $\alpha$  (clone MP6-XT22), chemokine (C-X-C motif) receptor 4 (CXCR4) (clone 2B11), macrophage-1 antigen (CD11b/CD18) (Mac-1) (clone M1/70), B220 (clone RA3-6B2), CD11c (clone HL3) and CD8 (clone 53-6·7) were isolated from hybridoma cell lines. The CD1d/ $\alpha$ GalCer tetramers were produced as previously described.<sup>29</sup> Antibodies against murine NK1·1 (clone PK136), CD3 (clone 145-2C11), CD25 (clone PC61), lymphocyte function-associated antigen-1 (LFA-1) (clone M17/4) and streptavidin-PE-Cy7 were obtained from BD Bioscience (Heidelberg, Germany). The monoclonal antibody (mAb) against murine IL-17 (clone TC11-18H10·1) was obtained from BioLegend (San Diego, CA).

# *Isolation and purification of cells by magnetic antibody cell sorting (MACS) and fluorescence-activated cell sorting (FACS)*

Isolation of spleen and liver lymphocytes, red blood cell lysis and tetramer staining were performed as described elsewhere.30 MACS with MicroBeads (Miltenvi Biotec, Bergisch Gladbach, Germany) was performed according to the manufacturer's protocol to enrich cell populations by positive selection. Subsequently, enriched cells were sorted using a FACS-Diva cell sorter (BD Bioscience). All manipulations were performed on ice. Viable cells were detected by staining with propidium iodide (PI) or 4',6-diamidino-2-phenylindole dihydrochloride (DAPI). The purity of sorted fractions was verified by FACS analysis. At least  $5 \times 10^5$  cells were isolated by FACS and further used for microarray analysis. FACS was repeated four times and duplicate samples of each sorted cell type were used for two independent microarray studies. To avoid gene expression resulting from handling and purification of cells, all procedures were performed at 4°. Moreover, to reduce the overall purification time, MACS enrichment preceded FACS. Furthermore, to avoid non-specific effects, we performed all incubations in the presence of blocking antibodies (anti-Fc receptor plus rat serum). Although we cannot exclude the possibility that these preparations might have impacted on the results, we consider the chances of this to be minimal. This is consistent with our observation that cells did not undergo general apoptosis, as indicated by the lack of apoptosis markers.

#### In vivo activation of NKT cells

NKT cell activation was performed by injecting a single dose of 2  $\mu$ g of  $\alpha$ GalCer intravenously (i.v.) into the tail vein. Mice were killed 1 hr later and spleen cells were isolated.

#### FACS analysis

To confirm microarray data at the protein level, flow cytometric analyses were performed as follows. Single cell suspensions were incubated with rat serum and anti-CD16/CD32 (anti-Fc receptor) mAb for 5 min at 4° to block non-specific antibody binding. Next, fluorescent dye-conjugated antibodies and phycoerythrin (PE)-conjugated CD1d/ $\alpha$ GalCer tetramers were added and cultures were incubated for 50 min on ice in the dark on a rocking platform. Viable cells were detected by staining cells with PI or DAPI. The Cytofix/Cytoperm kit (PharMingen, San Diego, CA) was used for intracellular cytokine staining. Tetramers were prepared and loaded with lipids as described elsewhere.<sup>29</sup>

#### Preparation of RNA from single-cell suspensions

Total RNA was isolated by the TRIzol<sup>®</sup> Reagent RNA preparation method (Invitrogen, Karlsruhe, Germany). Briefly, cells were resuspended immediately after FACS in 500  $\mu$ l of TRIzol<sup>®</sup>, shock frozen and stored at  $-80^{\circ}$ . Cells were thawed and further processed for total RNA isolation as described by the manufacturer. The amount of RNA was determined by measurement of optical density at 260/280 nm and total RNA was purified by RNeasy (Qiagen, Hilden, Germany). The RNA integrity and the amount of total RNA were measured with a Bioanalyzer 2100 (Agilent Technologies, Waldbronn, Germany).

#### Microarray procedures, experimental design and analysis

Microarray experiments were performed as two-colour hybridizations. Total RNA was extracted from singlecell suspensions. An amount of 4 µg of total RNA was reverse-transcribed with an oligo-dT-T7-promotor primer in a fluorescent linear amplification reaction (Agilent Technologies) and cDNA was labelled with either cyanine 3-CTP or cyanine 5-CTP (NEB Life Science Products, Frankfurt, Germany) in a T7 polymerase amplification reaction according to the supplier's protocol. In order to compensate for specific effects of the dyes and to ensure statistically relevant data analysis, a colour-swap dye-reversal was performed.<sup>31</sup> The RNA samples were labelled vice versa with the two fluorescent dyes (fluorescence reversal). After precipitation, purification and quantification with a Nanodrop ND-1000 spectrophotometer (Kisker, Steinfurt, Germany), 1.25 µg of each labelled cRNA was mixed, fragmented and hybridized to an 8.4 K custom-made mouse array (AMADID 010646) according to the supplier's protocol (Agilent Technologies). Scanning of microarrays was performed at 5 µm resolution using a DNA microarray laser scanner (Agilent Technologies). Features were extracted with an image analysis tool version A.6.1.1 (Agilent feature extraction Software; Agilent Technologies) using default settings. Data analysis was carried out on the Rosetta Inpharmatics platform resolver version 5.0 (Seattle, WA). Ratio profiles were combined in an error-weighted fashion with RESOLVER to create ratio experiments. A twofold change expression cut-off for ratio experiments was applied together with anticorrelation of ratio profiles rendering the microarray analysis set highly significant (P > 0.05), robust and reproducible. The microarray data discussed in this publication have been deposited in National Center for Biotechnology Information (NCBI) Gene Expression Omnibus (GEO; http://www. ncbi.nlm.nih.gov/geo/) and are accessible through GEO Series accession number GSE6782.

#### Real-time quantitative polymerase chain reaction (PCR)

RNA was reverse-transcribed to cDNA as described previously.<sup>32</sup> SYBR<sup>®</sup> Green (Applied Biosystems, Foster City, CA) uptake in double-stranded DNA was measured using the ABI<sup>TM</sup> Prism 7900 thermocycler (Applied Biosystems) according to the manufacturer's instructions. Primer pairs were designed using the PRIMER 3 software (available at http://primer3.sourceforge.net). GAPDH was used as an internal control. Quantifications were performed at least twice with independent cDNA samples and in duplicate for each cDNA and primer pair. Possible contamination with genomic DNA was assessed using DNAse-digested, not reverse-transcribed, RNA as the template. Primer sequences are listed in Table S2 of the Supplementary Material.

#### Results

### Transcriptome comparison of resting NKT cells with NK, conventional Th cells and Treg

To examine the cell type-specific characteristics of NKT cells, microarray studies compared RNA of resting NKT cells with that from naïve Th cells and Treg cells as well as NK cells. Initially, cells were stained with mAbs for characteristic surface markers, and then enriched and purified by MACS and sorted by FACS. NK cells were sorted as  $NK1\cdot1^+$  CD3<sup>-</sup> cells and iNKT cells were isolated as  $NK1\cdot1^+$  CD3<sup>+</sup> CD1d/ $\alpha$ GalCer tetramer<sup>+</sup> cells. These cells will be referred to as NKT cells. Conventional Th cells and Treg cells were sorted as CD3<sup>+</sup> CD4<sup>+</sup> NK1·1<sup>-</sup> CD25<sup>-</sup> and CD3<sup>+</sup> CD4<sup>+</sup> NK1·1<sup>-</sup> CD25<sup>+</sup>, respectively.

Microarray results of this first experimental set revealed several genes previously reported to be expressed by macrophages, suggesting minute contaminations of macrophages in the sorted NKT cell population. This was unexpected as FACS analysis of the sorted samples showed a purity of >95% NKT cells. In order to improve the purity of the sorted NKT cells, we selectively excluded macrophages, B cells, CD8<sup>+</sup> T cells and dendritic cells during MACS and FACS in the second experimental set. NKT cells were sorted as CD3<sup>+</sup> NK1·1<sup>+</sup> CD1d/αGal-Cer tetramer<sup>+</sup> and Mac-1<sup>-</sup> B220<sup>-</sup> CD11c<sup>-</sup> CD8<sup>-</sup>. FACS analysis of purified cells revealed that NK cells were 99.5% pure and NKT cells were 96.5% pure (Fig. S1, Supplementary Material). In addition, Th cells and Treg cells were 98% and 97.8% pure, respectively (Fig. S1). NKT cell RNA was used as common reference RNA, i.e. gene expression levels in other cells were related to the levels detected in NKT cells. Therefore, genes were considered up-regulated when their expression was increased compared with NKT cells and down-regulated when their expression was decreased. To exclude erroneous detection of genes and to render microarray results more robust, two independent microarray analyses were performed. Only genes with *P*-values < 0.05 and a minimum twofold difference were considered significantly regulated and only genes correlated in both experimental sets were considered to be differentially regulated in the gene expression analyses of different cell types. Microarray results from the first set of experiments with initial sorting parameters

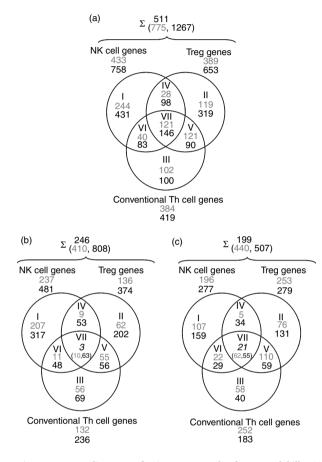


Figure 1. Venn diagrams of microarray results for natural killer T (NKT) cells compared with natural killer (NK) cells, NKT cells compared with CD4<sup>+</sup> CD25<sup>-</sup> [conventional T helper (Th)] cells, and NKT cells compared with CD4<sup>+</sup> CD25<sup>+</sup> cells [T regulatory cells (Treg)] from spleens of C57BL/6 mice. NKT cell RNA was used in all comparisons as a common reference. (a) A summary of the genes that are differentially expressed in the cell types described. (b) Up-regulated genes: up-regulated in all other cell types compared with NKT cells. (c) Down-regulated genes: down-regulated in all other cell types compared with NKT cells, i.e. up-regulated genes in NKT cells. Roman numerals represent the Venn diagram subsets, and Arabic numerals the number of genes: grey, results from the first experimental set; black, results from the second experimental set; italic, intersection of genes from both experiments that were up- or down-regulated in all other cell types compared with NKT cells. Accession numbers and fold change expression differences for listed genes are available in the online Supplementary material (Table S1). Numbers after the summation sign represent the intersection of differentially regulated genes of the first (grey) and second (black) experimental set. The upper number represents the intersection of differentially expressed genes in both experimental sets.

were compared with those from the second set of experiments with improved sorting procedures (Fig. S2).

Comparison of the two microarray experiments revealed an intersection of 511 differentially regulated genes, with 775 genes in the first experimental set and 1267 genes in the second experimental set (Fig. 1a). A set union of 246 genes were up-regulated in NK cells, conventional Th cells or Treg cells as compared with NKT cells, i.e. down-regulated in NKT cells in both arrays. Amongst these genes, an intersection of three genes were uniquely down-regulated in NKT cells as compared with the other cell types (Fig. 1b). A set union of 199 genes were down-regulated in NK cells, conventional Th cells or Treg as compared with NKT cells, i.e. up-regulated in NKT cells in both arrays. An intersection of 21 genes were uniquely up-regulated in NKT cells as compared with all other cell types (Fig. 1c). Only correlated genes in both experimental sets were considered relevant and hence were used in further investigations.

#### Unique gene expression of NKT cells

Genes differentially regulated in NK cells, conventional Th cells and Treg in comparison to NKT cells represent an NKT cell-specific expression signature. A high proportion of these genes encode cytokine and chemokine receptors (Table 1). Some of these molecules have already been associated with NKT cells, such as the IL-12 receptor  $\beta$ 1, CXCR3 and integrin- $\alpha$ 4 $\beta$ 1 (very late antigen-4 (VLA-4)),<sup>33-35</sup> although the latter was found to be expressed at the same levels on both human NK and NKT cells.33 Among the genes whose expression was uniquely up-regulated in resting NKT cells, B cell lymphocyte kinase (BLK), chemokine (C-C motif) receptor (CCR)3, IL-17RB, retinoic acid-related orphan receptor (ROR)a and D-amino acid oxidase (DAO)1 have not to date been attributed to this T-cell subset. BLK belongs to the family of B cell lymphoma (Bcl)-2 proteins, which regulate programmed cell death. BLK is proapoptotic and essential for initiation of programmed cell death and stress-induced apoptosis.36,37 The chemokine receptor CCR3 previously shown to be expressed by eosinophils and Th2 cells binds a variety of chemokines including RANTES and eotaxin.<sup>38</sup> Despite elevated RNA expression, we did not detect CCR3 on the surface of NKT cells by FACS. The IL-17 receptor-B binds the proinflammatory cytokine IL-17, which is produced by a distinct T-cell population (Th17) upon activation.<sup>39-42</sup> IL-17 also induces downstream production of matrix metalloproteinases (MMPs) and a number of proinflammatory cytokines ultimately leading to enhanced inflammation and neutrophil activation.39,40 RORa is a member of the steroid hormone nuclear receptor superfamily and can bind melatonin, which activates IL-2 production.43 Additionally, RORa is

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Fold change	P-value	Fold change	P-value	Fold change	P-value	Fold change	P-value	Fold change	P-value	Fold change	P-value	no.	name
7.51104	0	8.11659	0	2.19028	1-32814E-15	2.88375	1-89729E-29	3.04018	6-557E18	3-39062	1-10154E-18	AI450152	Integrin alpha 4
3-87972	1-33763E-30	5.04918	0	2.63178	0.00001	3.70749	7.21865E-08	2.89501	3-89184E-07	3-69869	5.16272E-13	NM_007609	Caspase 11/capase 4
7.08517	2·7223E-41	4.99899	0	5.83916	0	4.22972	2.8026E-45	4.21308	3.18769E–34	3.23318	0	NM_011701	Vimentin
-3.92419	3.11767E-31	-3.33862	0	-4-91021	0	-3.65287	0	-3.71578	2.45108E-38	-3.09879	0	AI314618	P2ry5
-3-89762	1-47755E-22	-5.14502	1-23718E-26	-12.8959	0	-13.68826	0	-2.13969	2-08377E-07	-2.36167	6-17882E-24	AI462991	4933406118RiK
-3.89269	2-86612E-25	-5.77933	0	-2.1186	1.14198E19	-2.8949	0	-2.84184	6-42229E-19	-4-01927	1.94921E42	AI644220	2210412D01 RiK
-3.11889	2.67199E-10	-5-03303	1.95301E-16	-2.38891	3.11227E-14	-3.12016	4-60966E-11	-2.09725	3-90568E-19	-3-65919	1-19901E-06	AU042950	AU042950
-2.78548	1-08488E-07	-2.33557	0.00061	-2.34369	1-50391E-20	-2.39496	0.00014	-2.39939	3-70151E-12	-2.17487	2.95104E-13	AV004481	AV004481
-2.29271	2.36988E-10	-3.57546	9-05666E-40	-2.40519	2.79739E-08	-3.72033	0	-3.78704	2.81853E-39	-5-96966	0	AV369622	Slc39a4
-2.30542	2·02549E-12	-3-83769	4-69075E-30	-2.75677	1-09464E-08	-3.27406	1-01342E-23	-3-89807	5-50605E-35	-6.45632	0	AV372409	Slc39a4
-6.02837	3.79453E-32	-8.29836	0	-2.82813	7.11974E-23	-3.35526	3.7144E-33	-2.88669	4.94786E-34	-3.93423	0	AW045613	Rora
-2-88104	7.72905E-13	-2.84395	1-44923E-17	-3.8212	0	-3-60909	0	-4-40149	2·14183E-16	-3.78541	2.665E-11	AW825961	Snai3
-3.72306	3-49103E-07	-3.18304	0-00011	-3.11781	0.00008	-2.07644	1.69715E-09	-2.11179	2-80021E-16	-2.56619	5.67248E-16	AW909114	Ffar2
-3.44588	7.00649E-45	-3.45646	2·04389E-32	-7.33838	8-41777E-28	-4.30384	6-95648E-08	-4-98712	2.08371E-39	-4-84335	1-4013E-45	BE852540	Dusp6
-4.57984	2.14061E-19	-6.18331	5-62765E-19	-4.03692	6-16416E-18	-3.785	4-8321E-25	-2.24802	2·19536E-14	-3-81507	1-48085E-28	BE658173	Aim11
-11.71858	2·24058E-22	-9.35625	1-59398E-27	-5.26744	0	-4.27973	2.15488E-19	-3.2207	8-5507E-16	-2.87965	4-55408E-23	NM_007546	BLK
-2.72396	1-50218E-25	-4.24998	0	-2.55153	4-47943E-11	-3.69985	0	-4.96421	0	-7.89352	0	NM_008353	IL-12 receptor b1
-3·27228	4·30724E-16	-3.1364	1-38109E-24	-4.27218	4.70997E-28	-3.90389	5-68664E-25	-3.42334	9-69432E-20	-3.24757	1-43461E-20	NM_009910	Cxcr3
-13-40165	8.76014E-31	-14.36805	0	-2.15501	5-43535E-10	-2.76303	4-31218E-27	-4-30031	0	-5.68626	0	NM_009914	Ccr3
-2.75359	6-22171E-09	-3.12063	0	-2.19837	0.00001	-2.20324	5-03054E-18	-2.29298	2.6555E-18	-3.3917	3.92364E44	NM_009924	Cnr2
-9.46455	7.35788E-25	-5.18948	2-40172E-11	-2.17696	2.20922E-17	-2-41114	5-51079E-26	-3.84991	0	-5-40319	6-103559E-25	NM_010018	Dao1
-14.46943	1-02139E-32	-14-90264	0	-8-94232	0	-6.9293	0	-11-06751	0	-13.23259	0	NM_011558	Tcrg-V4
-12.20675	1-3713E-24	-17.17798	4-17144E-40	-3.73121	1-1011E-32	-10.19641	0	-4.33311	4-53783E-21	-10.30697	0	NM_019583	IL-17 receptor b
-3.44945	4-69441E-07	-3.72306	8-5516E-12	-4.54203	2.71653E-24	-4.05877	1.53285E-10	-4.76486	5.93225E-09	-6.82083	2-31314E-25	X03802	Tcrg-C

comparison to NKT cells. Only significantly regulated genes with a minimum of 2-fold difference are shown, as depicted in the intersection of the Venn diagrams in Figs 1(b) and (c).

involved in lymphocyte development and regulation of cytokine production by innate immune cells and lymphocytes.<sup>44</sup> DAO1 is an enzyme catalysing oxidative deamination of neutral D-amino acids with unknown function in T cells.<sup>45</sup>

Genes whose expression was enhanced in NK cells, Th cells and Treg compared with NKT cells included caspase 11, integrin- $\alpha 4\beta 1$  and vimentin. Caspase 11 is a mediator of the septic shock response and is induced in various cell types upon proinflammatory stimulation.<sup>46</sup> Integrin- $\alpha 4\beta 1$  is important in cell migration and plays a central role in lymphopoiesis and inflammation-induced recruitment of leucocytes.<sup>47</sup> Vimentin is required for lymphocyte rigidity and is involved in transendothelial migration.<sup>48</sup> Thus, these genes describe the unique gene expression signature of NKT cells comprising a minimal set of genes differentially expressed in comparison to related lymphocyte populations (NK cells, Th cells and Treg).

#### Expression profile of NKT cells shared with NK cells, Th cells or Treg

A high number of genes were expressed in NKT cells as well as in one or more of the lymphocyte populations examined, namely NK cells, Th cells and Treg (Table 2). Accordingly, we focused our analysis on genes encoding soluble effector molecules, cytokines and chemokines, as well as membrane receptors. Genes with high expression levels in NKT cells and NK cells and low expression levels in Th cells and Treg were considered to reflect innate immune properties of NKT cells. The chemokine lymphotactin and the chemokine receptors CCR2, CXCR4, CCRL2 fall into this category, as well as FasL, LFA-1 (integrin- $\alpha$ L) and IFN- $\gamma$ . Expression of annexin A1, natural killer group 2, member D (NKG2D), RANTES and CCL6 was elevated in NK cells over NKT cells and lower in both Th cells and Treg.

Similar expression levels for NK and NKT cells, but increased expression in Th cells and Treg were detected for integrin-a6, CD30 and OX40. Genes similarly expressed by NKT cells and Th cells/Treg but with decreased expression in NK cells were considered to reflect the adaptive immune properties of NKT cells. These genes included CD6, interferon-inducible GTPbinding protein (IGTP), IL-4R and IL-7R. IL-15 was similarly expressed in NKT and Th cells but up-regulated in NK cells over NKT cells. As compared with NKT cells, type II IL-1 receptor expression was increased to a greater extent in Th cells than in NK cells and Treg, where expression was found to be similar. As expected, IL-2R gene expression in NK and Th cells was similar to that in NKT cells. Toll-like receptor (TLR)4 expression was strongest in NK cells and weakest in Th cells and Treg.

## Transcriptome analysis of resting NKT cells versus activated NKT cells

Although NKT cells perform a variety of diverse functions, the gene expression output as a result of NKT cell activation has not yet been studied. We compared gene expression of NKT cells before and after  $\alpha$ GalCer activation *in vivo*. We detected 281 differentially regulated genes in activated NKT cells in the first microarray comparison and 76 genes differentially regulated in the second comparison. A set union of 38 genes were up-regulated and none was down-regulated in  $\alpha$ GalCer-activated NKT cells in both comparisons (Fig. 3 and Table S1). Genes with increased expression can be classified into two groups.

The first group consisted of cytokines and chemokines with immunostimulatory and immunoregulatory properties. Indeed, IFN- $\gamma$ , IL-13, TNF- $\alpha$ , granulocyte–macrophage colony-stimulating factor (GM-CSF) and IL-4 were markedly up-regulated in activated NKT cells, namely 15-fold, 28-fold, 12-fold, 8-fold and 9-fold, respectively. Expression of lymphotactin and IL-17 were increased 4-fold or 3-fold, respectively. Moreover, genes encoding IL-2, L-CCR, LIGHT, macrophage inflammatory protein (MIP)-1 $\alpha$  and MIP-1 $\beta$  also showed stronger expression in activated NKT cells. Thus, microarray results demonstrate that NKT cell activation results in production of a versatile chemokine and cytokine profile promoting Th1 (IFN- $\gamma$  and TNF- $\alpha$ ), Th2 (IL-13 and IL-4) and Th17 (IL-17) responses.

The second group of genes up-regulated in activated NKT cells comprised molecules involved in signal transduction, transcription factors and early response genes. The early growth response 1 (EGR1) transcriptional factor, which is rapidly induced in lymphocytes after activation and transduces proliferative and antiapoptotic signals,<sup>49</sup> was up-regulated 18-fold in activated NKT cells. Growth arrest and DNA damage 45 (myeloid differentiation primary response gene 118) (Gadd45 (MyD118)), encoding an antiapoptotic molecule controlling growth arrest and the cell cycle,<sup>50</sup> was up-regulated 7-fold. Moreover, cellular-myelocytomatosis oncogene (c-Myc), generally expressed in propagating cells controlling proliferation, differentiation and apoptosis,<sup>51</sup> had 6-fold increased expression levels. We detected nuclear receptor subfamily 4, group A, member 1 (NR4A1) with 6-fold and mitogen-activated protein kinase kinase 8 (Map3K8) with 4-fold higher expression in NKT cells. Thus, NKT cells activated through their TCR rapidly gain the potential to produce various cytokines mediating a broad range of functions. Induction of genes encoding both cell cycle-controlling and antiapoptotic molecules suggests increased resistance to apoptosis and a tight control of cell proliferation.

Our microarray results were verified by reverse transcription (RT)-PCR (Fig. 2), and surface expression of

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						NCBI	sequence	
NK	NK II	CD4 <sup>+</sup> CD25 <sup>+</sup>	CD4 <sup>+</sup> CD25 <sup>+</sup> II	CD4 <sup>+</sup> CD25 <sup>-</sup>	CD4 <sup>+</sup> CD25 <sup>-</sup> II	accession	name	Sequence description
3.49583	4.03436	-4.64194	-3.2864	-5.47457	-5-83437	NM_010730	Anxa1	Annexin A1
-1.25023	2.45054	-12.64224	-5.29442	-14.21555	-6-58768	NM_008510	Xcl1	Chemokine (C-motif) ligand 1, ATAC/lymphotactin
3.44628	6.75154	-13.78739	-7.31792	-8.14142	-2.95281	NM_013653	Ccl5	Chemokine (C-C motif) ligand 5, RANTES
1.76543	5.84898	-8-65954	-3.24453	-13.04823	-4.6122	NM_009139	Ccl6	Chemokine (C-C motif) ligand 6
4.07287	-8.47376	-1-80136	1.19172	1.93063	1.33751	NM_009852	Cd6	Cd6 antigen
1.9313	1.24351	-3-53143	-5.28199	-5.15644	-7.60456	NM_009915	Ccr2	Chemokine (C-C motif) receptor 2
1.36757	1.1896	-2-85266	-3.03415	-1.9697	-2.07269	NM_009145	Nptn	Neuroplastin (stromal cell derived factor receptor 1), CXCR4
-1.01285	-1.04302	-11.65467	-13.2569	-7.27445	-6.843	NM_010177	Fasl	Fas ligand (TNF superfamily, member 6)
1.25225	-1.29619	-3.4135	-3.77698	-1.93843	-2.9314	M60778	Itgal	Integrin alpha L, LFA-1
1.33262	1.33074	-5.42438	-4.3535	-2.61427	-2.33029	M28621	Ifng	Interferon gamma
-2.90402	-2.93231	1.00013	-1.06646	-1.01192	-1.1522	NM_018738	lgtp	Interferon gamma induced GTPase
-1.09035	-1.15694	-1.33858	-1.23174	-2.25398	-3.21715	NM_008368	IL2rb	Interleukin 2 receptor, beta chain
-2-07706	-3.59356	1.41775	-1.23714	1.53479	-1.17892	NM_010557	IL4ra	<i>Mus musculus</i> interleukin 4 receptor alpha (II4ra)
-7.70992	-4.36619	-1.95794	-1.22684	-1.16391	1.45289	NM_008372	IL7r	Interleukin 7 receptor
-1.3023	-1.244	-2.02838	-2.11727	-3.3986	-4.94542	NM_017466	Ccr12	Chemokine (C-C motif) receptor-like 2
5-3858	4.69939	-5-5318	-5.45296	-7.22751	-2.46066	AF039026	Kirk1	Killer cell lectin-like receptor subfamily K, member 1, NKG2D
2.44377	-1.07698	3.77833	2.6268	6.60389	6.83081	NM_009403	Tnfsf8	Tumour necrosis factor (ligand) superfamily, member 8, CD30
7.96434	13-01536	1.27926	2.4187	-2.38067	-1.86453	NM_013542	Gzmb	Granzyme B
-1.37784	1.77318	2.27125	3.43671	4.28437	2.48052	NM_008397	Itga6	Integrin alpha 6
-1.57549	-1.95891	4.30328	6.27181	1.15912	2.11895	AV224004	IL 1r2	Interleukin 1 receptor, type II
2.77971	5.26687	-2.13144	1.00085	-2.24303	2.03464	NM_008357	IL 15	Interleukin 15
2.67264	-1.46922	7-63836	3.94355	2.2047	2.18687	NM_011659	Tnfrsf4	Tumour necrosis factor superfamily, member 4, OX40/CD134
2.05944	8.49747	-4.60148	1.2684	-6.12946	1.32277	NM_021297	Tir4	Toll-like receptor 4

expression ratio value was under the 2-fold cut-off are shown in grey.

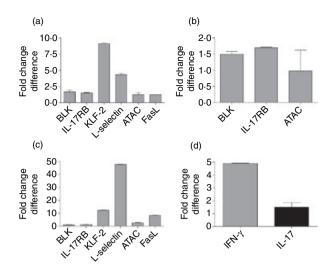


Figure 2. Real-time quantitative polymerase chain reaction (qPCR) for selected genes identified by microarray analyses. Differences in gene expression are shown as delta-delta cycle threshold (ct). (a) Gene expression in natural killer T (NKT) cells versus conventional T helper (Th) cells. (b) Gene expression in NKT cells versus regulatory T cells (Treg). (c) Gene expression in NKT cells versus natural killer (NK) cells. (d) Gene expression in resting versus alpha-galactosylceramide ( $\alpha$ GalCer)-activated NKT cells. Real-time qPCR was performed twice in triplicate and delta ct values were normalized to internal GAPDH expression. ATAC, activation-induced, T-cell-derived and chemokine-related; BLK, B-cell lymphocyte kinase; FasL, Fas ligand; IL, interleukin; KLF, Kruppel-like factor.

LFA-1, FasL and CXCR4 as well as intracellular expression of TNF- $\alpha$ , IL-4, IFN- $\gamma$  and IL-17 was verified at the protein level by FACS analyses (data not shown). However, intracellular and surface expression of TLR4 by both resting and activated NKT cells could not be detected (data not shown).

#### Discussion

Comparative global analysis of genes differentially expressed in NKT cells in comparison with related lymphocyte populations provided insights into the gene expression programme guiding the diverse functions and properties of this unique lymphocyte population. We observed a specific gene expression profile of NKT cells as well as a shared signature with lymphocytes of the innate and adaptive immune system. We found that proliferation of resting NKT cells is tightly controlled and that NKT cell activation leads to rapid induction of antiapoptotic genes and a versatile effector programme. Two recent publications described microarray studies with NKT cells.<sup>52,53</sup> Lin et al. compared different activated NKT cell subsets<sup>52</sup> and Yamagata et al. identified a common gene expression signature amongst innate lymphocytes.<sup>53</sup> However, these studies did not compare the gene expression signature of NKT cells with those of NK cells,

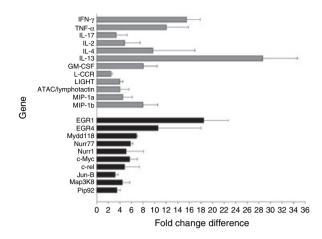


Figure 3. Genes with up-regulated expression in alpha-galactosylceramide (αGalCer)-activated natural killer T (NKT) cells compared with resting NKT cells. Fold change differences for genes found in two independent microarray analyses are shown. Grey bars, genes belonging to the group of cytokines and chemokines; black bars, genes belong to the group of cell cycle control and apoptosis-related genes. c-rel, cellular reticuloendotheliosis oncogene; EGR, early growth response; GM-CSF, granulocyte–macrophage colony-stimulating factor; IFN, interferon; IL, interleukin; Jun-B, Jun-B oncogene; L-CCR, Lipopolysaccharide-inducible CC Chemokine Receptor; LIGHT, homologous to lymphotoxins, exhibits inducible expression, competes with herpes simplex virus glycoprotein D for HVEM, a receptor expressed by T lymphocytes; MIP, macrophage inflammatory protein; TNF, tumour necrosis factor.

Th cells and Treg, nor did they compare resting and activated NKT cells.

We describe here a unique gene expression pattern of NKT cells comprising not only known markers of NKT cells but also genes not to date attributed to this peculiar T-cell subset. Many genes within this unique combination encode cytokines, chemokines and their receptors as well as proteins involved in cell cycle control and regulation of apoptosis (Table 1). NKT cells strongly expressed the receptors for IL-12 and IL-17 when compared with NK cells, Th cells and Treg. IL-12 has already been described in the context of NKT cell activation.<sup>54</sup> In this context, an encounter with microbial invaders results in rapid NKT cell activation which is mediated by IL-12 produced by dendritic cells or macrophages. IL-17-producing Th cells have recently attracted great interest as a third distinct mediator of Th effector functions in addition to Th1/Th2 cells with ties to the Treg compartment.41,55 IL-17 receptor-B binds the proinflammatory cytokine IL-17, which induces production of mediators, for example IL-6 and IL-8, causing inflammation.<sup>56,57</sup> Therefore, IL-17 has been suggested to be critical in various inflammatory responses including autoimmunity,<sup>58</sup> where NKT cells are well-characterized regulators.<sup>11,59,60</sup> Recently, Michel et al. identified a subpopulation of IL-17-producing cells within NKT cells.<sup>61</sup> In fact, they demonstrated that, upon activation

with aGalCer or bacteria-derived glycolipids in vitro, mainly NK1·1<sup>-</sup> NKT cells produced IL-17 instead of the classical IL-4 and IFN- $\gamma.^{61}$  In addition, we observed IL-17 secretion by both NK1·1<sup>-</sup> and NK1·1<sup>+</sup> NKT cells upon activation in vitro (data not shown). Hence, our data further strengthen the notion that IL-17 can be produced by NKT cells, probably depending on the presence of IFN- $\gamma$ or IL-4 in the milieu.<sup>61</sup> Moreover, expression of mRNA for both the IL-17 receptor and IL-17 by NKT cells could indicate a cell-autonomous autocrine activation loop, which pinpoints an as yet unrecognized function of NKT cells in inflammation. Whether the IL-17-mediated inflammation can be mediated by NKT cells alone or represents part of the Th17 cell-mediated response remains to be established. We detected the orphan nuclear receptor RORa as uniquely up-regulated in NKT cells. RORa directs differentiation of proinflammatory Th17 cells<sup>62</sup> and functions in an iNKT cell lineage-specific manner.<sup>63</sup> A central role of the transcription factor RORa in cerebellar development has been shown recently.<sup>64</sup> To date, there are no data on the function of RORa in NKT cells. Further studies are required to clarify the role of ROR $\alpha$  in NKT cells and Th17 cells.

As described previously, NKT cells express various chemokine receptors involved in their recruitment to the sites of action.<sup>34,65</sup> This feature allows NKT cells to rapidly respond to external stimuli. The unique NKT cell expression pattern of both chemokine receptors and adhesion molecules resembles that of activated effector T cells.<sup>66,67</sup> Decreased expression of L-selectin (CD62L) and vimentin indicates reduced trafficking to lymph nodes and the capacity for extravasation.48,68 Expression of LFA-1 on NKT cells is required for NKT cell homing to distinct tissue sites such as the liver.<sup>69</sup> Most NKT cell lines show autoreactivity, and the cellular glycosphingolipid isoglobotriaosylceramide (iGb3) was described as an endogenous antigen for NKT cell activation.<sup>70</sup> Thus, upregulation of the proapoptotic gene BLK and down-regulation of antiapoptotic genes such as caspase 11 in resting NKT cells may constitute a mechanism by which any exacerbated NKT cell response would be rapidly shut down, thereby preventing the appearance of any immune pathology.

We detected marked up-regulation of genes controlling cell proliferation in activated versus resting NKT cells. Up-regulation of the antiapoptotic gene Gadd45/MyD118 was previously described in activated NKT cells and probably contributes to the resistance of NKT cells to apoptosis upon activation.<sup>71</sup> Moreover, we detected elevated expression of EGR1, Map3K8, c-Myc and Nurr77 in activated NKT cells (Fig. 3 and Table S1). These genes are involved in regulation of apoptosis upon activation. EGR1 exhibits antiapoptotic properties.<sup>49–51,72,73</sup> Up-regulation of these genes demonstrates stringent control of apoptosis in place to ensure survival and adequate NKT cell responses and underlines the idea that NKT cells do not undergo apoptosis after activation but are rather resistant to apoptosis and down-regulate their TCR upon stimulation.<sup>71,74,75</sup>

Microarray analysis of activated NKT cells revealed substantially increased expression of 10 different cytokines and chemokines (Fig. 3 and Table S1). Among them, GM-CSF, IFN-y, IL-2, IL-4, IL-13, TNF-a, MIP-1a, MIP-1 $\beta$  and lymphotactin were previously detected in aGalCer-activated NKT cells.<sup>34,71,76-80</sup> IL-13 and MIP-1a were found in distinct NKT cell subsets. However, concomitant production of GM-CSF, IL-2, IFN-y, TNF-a and IL-4 is characteristic, and a hallmark, of activated NKT cells. Genes with expression levels comparable between NKT cells and NK cells, Th cells or Treg characterize NKT cell properties as either innate or adaptive traits. Expression of genes encoding lymphotactin, CCL5, CCR2, CXCR4, FasL, LFA-1, IFN-y, NKG2D, CD30 and granzyme B has previously been described for NKT cells (Table 2).<sup>34,67,69,78,81–85</sup> Recent findings describing NKT cells as IL-17 producers add an intriguing new function to these versatile cells.<sup>61</sup> The diversity of these genes demonstrates that NKT cells can perform diverse effector and regulator functions at the interface between innate and adaptive immunity. Moreover, it appears that they are mostly regulated by environmental stimuli rather than by differentiation-associated expression programmes as described for conventional Th cells.

#### Concluding remarks

The global characterization of NKT cell properties reveals that resting NKT cells express proapoptotic genes to prevent activation and exaggerated autoreactivity in the absence of infection or inflammation. At this stage, NKT cells also express genes encoding various cytokine and chemokine receptors to promote their rapid activation. Upon activation through their TCR, NKT cells produce antiapoptotic- and apoptosis-regulating genes to ensure prolonged survival. This provides the basis for effector and regulatory functions as suggested by rapid gene expression of numerous cytokine and chemokine genes upon activation. Of note, we detected IL-17R and IL-17 gene expression by NKT cells. Hence the known spectrum of Th1- and Th2-like properties of NKT cells is further expanded to include Th17 functions. NKT cells are probably the most versatile cytokine producers of all T lymphocytes. One could speculate that their broad functional capacity is a characteristic of the evolutionarily conserved state of NKT cells, contrasting with functionally committed conventional T-cell subsets, which are determined by their state of differentiation. It remains to be established whether distinct subsets or multifunctional NKT cells are responsible for their multifunctional character.

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#### Supplementary material

The following supplementary material is available for this article:

Figure S1. Purity of the second experimental set of selected lymphocyte populations from C75BL/6 mice:

NKT, NK, conventional Th and Treg cells. Upper left: sorted NKT cells. Upper right: sorted NK cells. Cells were stained with antibodies against CD3 and NK1.1 and CD1d/aGalCer tetramers. NKT cells were sorted as NK1.1<sup>+</sup> and tetramer<sup>+</sup> cells among CD3<sup>+</sup> lymphocytes. NK cells were sorted as NK1.1<sup>+</sup> and tetramer<sup>-</sup> cells among CD3<sup>-</sup> lymphocytes. Percentages of positive cells are indicated in the quadrants. Dead cells were excluded by PI staining and only viable cells were sorted. Lower left: sorted CD4<sup>+</sup> CD25<sup>-</sup> T cells. Lower right: sorted CD4<sup>+</sup> CD25<sup>+</sup> T cells. Cells were stained with antibodies against CD3, CD4 and CD25. T cells were sorted as CD4<sup>+</sup> and either CD25<sup>-</sup> or CD25<sup>+</sup> cells among CD3<sup>+</sup> lymphocytes. Percentages of positive cells are indicated in the quadrants. Dead cells were excluded by PI staining and only viable cells were sorted.

**Figure S2.** Correlation blots of log ratios deduced from 2 independent experimental sets of RNA from NKT cells, NK cells,  $CD4^+ CD25^-$  T cells (conventional Th) and  $CD4^+ CD25^+$  regulatory T cells (Treg). Colour-swap dyereversal ratio profiles were combined in an error-weighted fashion (Rosetta Resolver) to create ratio experimental for the respective experimental sets and the two experimental sets were compared by using the Rosetta Resolver "compare function" to decide how similar or dissimilar they were. Correlated signatures are depicted in yellow and anti-correlated signatures are depicted in pink. Ratios shown in blue were unchanged between both sets and red or green ratios were only present in set 1 or set 2, respectively.

**Table S1.** Genes upregulated in activated NKT cells. Depicted are fold change expression differences measured in 2 independent microarray analyses (Sort I and Sort II), P values, sequence names, NCBI accession numbers and names of the genes. With the exception of IL17 in Sort II only highly significant identified genes with P < 0.05 and with a minimum of 2-fold change in expression were considered to be regulated.

**Table S2.** Primer sequences for real-time qPCR. The NCBI accession numbers, the primer sequences and the primer names are depicted, whereby the extensions Fwd and Rev denote the forward and reverse primers for given genes.

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