## *Clinical Investigation*

**Esmeray Acarturk, MD, FESC Mevlut Koc, MD Abdi Bozkurt, MD Ilker Unal, MSC**

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*From: Departments of Cardiology (Drs. Acarturk, Bozkurt, and Koc) and Biostatistics (Mr. Unal), School of Medicine, Cukurova University, 01330 Adana, Turkey*

#### *Address for reprints:*

*Esmeray Acarturk, MD, FESC, Cardiology Department, School of Medicine, Cukurova University, 01330 Adana, Turkey*

*E-mail: kanarya@cu.edu.tr*

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# Left Atrial Size May Predict Exercise Capacity and Cardiovascular Events

in Patients with Heart Failure

*Our aim was to investigate, in patients with heart failure, the relationship between left atrial size and exercise capacity and cardiovascular events.*

*Seventy-five patients (67 men and 8 women; mean age, 53.4* ± *8.8 yr) with left ventricular ejection fractions of* ≤*0.45 (New York Heart Association functional classes I–III) were matched by age and sex with 20 healthy control subjects. Echocardiographic examinations were performed, as was exercise testing by the modified Bruce protocol. Patients were monitored for a period of 330 to 480 days for cardiac death or for heart failure that required hospitalization.*

*The indexed left atrial diastolic size (*β *level = –0.534,* P *<0.001) and left ventricular late diastolic filling velocity (*β *level = 0.247,* P *<0.017) were the most important values in predicting low exercise capacity. The only independent predictor of low exercise capacity (<5 METS) was the indexed left atrial diastolic size (odds ratio, 1.428; 95% confidence interval, 1.09–1.702;* P *<0.001). Every 1 mm/m2 increase in indexed left atrial diastolic dimension caused a 42.8% increase in the risk of severe heart failure (exercise capacity, <5 METS). Independent predictors for cardiovascular events were indexed as left atrial systolic size (odds ratio, 1.383; 95% confidence interval, 1.145–1.671;* P *<0.001) and left ventricular early diastolic/late diastolic filling velocity (odds ratio, 1.096; 95% confidence interval, 1.010–1.189;*  P *<0.027). Indexed left atrial diastolic and left atrial systolic size predict exercise capacity and cardiovascular events, respectively, in New York Heart Association functional class I through III heart failure patients. (Tex Heart Inst J 2008;35(2):136-43)*

ew York Heart Association (NYHA) functional class, brain natriuretic peptide (BNP) levels, and echocardiographic examination are used to evaluate exercise capacity and predict cardiovascular (CV) events in patients with heart failure. However, NYHA functional classification can be subjective and misleading; and exercise testing and the measurement of BNP levels are not easily repeated. Echocardiography contributes substantially to the diagnostic, hemodynamic, and prognostic evaluation of heart failure patients. A major focus of echocardiography in such patients is left ventricular (LV) function as evaluated by ejection fraction (LVEF). Although the degree of systolic dysfunction is an important measure of heart failure, the correlation between degree of systolic dysfunction and exercise capacity is weak. $1-3$ 

Recently, there has been renewed interest in the relationship between the left atrium (LA) and several CV diseases and conditions. It has been shown, for example, that LA dilation negatively affects clinical outcomes in patients who have systolic and diastolic heart failure, mitral regurgitation, stroke, atrial fibrillation, and myocardial infarction.4-6 This present study investigates the relationship between exercise capacity and echocardiographic values in patients with heart failure and also reveals the role of LA size as a predictor of exercise capacity and CV events.

## **Patients and Methods**

From March through August 2004, we enrolled 75 patients (67 men and 8 women; mean age,  $53.4 \pm 8.8$  yr) who presented with left ventricular ejection fractions  $\leq 0.45$ and whose echocardiographic images were of adequate quality. Twenty sex- and agematched healthy subjects were included as members of a control group. We excluded patients with mitral and aortic valve disease, recent myocardial infarction (<6 mo), congenital heart disease, pacemakers, bundle branch block, or atrial fibrillation. Patients were divided into groups I, II, and III, according to their NYHA functional class. All subjects provided written, informed consent in order to participate in the study. The study was approved by our local ethics committee. All patients were monitored for a period of 330 to 480 days (420  $\pm$  45 days), for cardiac death or hospitalization for worsening heart failure.

#### **Echocardiographic Examination**

All echocardiographic measurements were obtained at rest. Standard echocardiographic examination and pulsed-wave Doppler and tissue-Doppler imaging were performed by use of an ACUSON Sequoia™ (Siemens Medical Solutions USA, Inc.; Malvern, Pa) with a 2.5 or 3.5-MHz phased-array transducer. We used the mean of all recordings from 3 consecutive cycles.

M-Mode measurements of end-diastolic and endsystolic dimensions and of interventricular septum and posterior wall thicknesses were obtained in accordance with the recommendations of the American Society of Echocardiography.7 Left ventricular mass was calculated by use of the Devereux formula<sup>8</sup> and was indexed to body surface area. Simpson's method was used to calculate LVEF. Left atrial size was measured at ventricular end-systole (when the LA chamber was at diastole = LAd) and at ventricular end-diastole (when the LA chamber was at systole = LAs) and also was indexed to body surface area, according to current American Society of Echocardiography guidelines.<sup>9</sup> In this manner, the timings of diastole and systole for the LA in this study were related to the ventricular cardiac cycle. Left atrial fractional shortening was calculated by using the same formula that is used for calculating LV fractional shortening.

Left ventricular diastolic function was evaluated by means of pulsed-wave Doppler and tissue-Doppler imaging. Pulsed-wave Doppler was performed by measurement of transmitral flow values, including early (E) and late (A) diastolic filling velocities, the E/A ratio, E deceleration time, and isovolumetric relaxation time in the apical 4-chamber view. Sample volume was ascertained at the tips of the mitral and tricuspid valves. Tissue-Doppler imaging was also performed in the apical 4-chamber view, and sample volume was ascertained at the lateral walls of both ventricles. Velocities of a systolic wave (S) and of early (Em) and late (Am) diastolic waves, together with their ratios (Em/Am), were obtained at the end of expiration.

#### **Exercise Test**

Exercise testing was conducted on a Marquette T-2000 treadmill using the modified Bruce protocol. A preliminary familiarization procedure identified patients who were not able to exercise for reasons other than cardiac limitation, and these patients were excluded. The same supervisor conducted the exercise tests throughout the study. All patients performed symptom-limited exercise tests unless termination was indicated for reasons such as fatigue or dizziness. Patients did not terminate exercise testing as a consequence of myocardial ischemia. Patients were exercised after a 4-hour postprandial interlude, were asked not to consume alcohol or caffeine in the 12 hours preceding exercise, and were advised to take all prescribed medications. Electrocardiograms and blood pressure recordings were monitored throughout. Exercise time, exercise stage, maximum workload (metabolic equivalents, or METS), peak exercise, and resting heart rate were recorded.

#### **Statistical Analysis**

All analyses were performed by the SPSS 14.0 statistical software package (SPSS Inc.; Chicago, Ill). Continuous variables were defined as mean  $\pm$  SD. In the analysis of these variables in the control groups and in patients grouped in accordance with their NYHA classifications, the one-way analysis of variance (ANOVA) or the Kruskal-Wallis test was used, and for multiple comparisons in ANOVA, post hoc tests (Scheffé or Tamhane) were applied. When the dependent variable was binary, the nonparametric Mann-Whitney test or independent samples of the *t* test were applied for comparison. Discrete variables were compared using  $\chi^2$  analysis. Correlations between continuous variables were analyzed by means of the Pearson product moment or Spearman rank correlation. Two multivariate logistic regression analyses were performed to determine significant predictors of CV events and of exercise capacity <5 METS. In univariate analysis, variables significant at the *P* <0.1 level were entered in our logistic regression analysis. Moreover, a linear regression analysis was applied for exercise capacity. A receiver operator characteristic (ROC) curve analysis was performed in order to identify the optimal cutoff point of LA size (at which sensitivity and specificity are maximal) for the prediction of exercise capacity and CV events. The area under the ROC (AUROC) value was calculated as a measure of the accuracy of the test. A value of *P* <0.05 was considered statistically significant.

## **Results**

#### **Patients' Characteristics**

Systolic and diastolic blood pressures, body mass index, and sodium levels were lower, and pulse pressure, blood urea nitrogen, and creatinine levels were higher in the NYHA class III patients than in the control subjects and in NYHA class I and II patients. Clinical and laboratory features of the control group and of the patient groups are shown in Table I. All 75 patients were taking either angiotensin-converting enzyme inhibitors or angiotensin receptor blockers, 41 (54.7%) of the patients were taking diuretics, 59 (78.7%) were on β-blockers,





Values are expressed as mean ± SD. Note that not every multiple comparison result is listed; only the significant differences obtained from NYHA Functional Class III versus other groups are given.

Control vs NYHA class III: \* = *P* <0.05, \*\* *= P* <0.01, \*\*\* = *P* <0.001 NYHA class I vs NYHA class III: A = *P* <0.05, B = *P* <0.01, C = *P* <0.001 NYHA class II vs NYHA class III: 1 = *P* <0.05, 2 = *P* <0.01, 3 = *P* <0.001

ANOVA = analysis of variance; BMI = body mass index; BUN = blood urea nitrogen; DBP = diastolic blood pressure; NYHA = New York Heart Association; SBP = systolic blood pressure

22 (29.3%) were on digitalis, and 32 (42.7%) were on spironolactone. Almost every patient was on 2 or more antihypertensive agents.

#### **Echocardiographic and Exercise Test Findings**

Except for LV-isovolumetric relaxation times, there was a significant difference between the control group and the patients regarding echocardiographic findings. The higher the NYHA class, the worse the echocardiographic measurements (Table II). Total exercise time, METS, and maximum heart rate all decreased in accordance with worsening in NYHA numerical classification (Table III).

#### **Correlates of Echocardiographic Variables and Exercise Capacity**

Except for LVEF, A wave, E wave, DT, Sm, and Am waves, all echocardiographic variables showed a negative correlation with exercise capacity as determined by METS (Table IV). Multivariate linear regression analysis showed that indexed LAd size and LV-Am were the most important variables in predicting exercise capacity (β level = –0.534, *P* <0.001 and β level = 0.247, *P*=0.017, respectively; the explained variance of exercise capacity [R2] was 0.447). The only independent predictor of exercise capacity <5 METS was the indexed LAd size (*P* <0.0001). Logistic regression analysis showed that every

1 mm/m2 increase in indexed LAd size caused a 42.8% increase in the risk of the presence of exercise capacity <5 METS (odds ratio, 1.428; 95% confidence interval, 1.09–1.702). Receiver operating characteristic curve analysis also showed that when 25 mm/m2 was accepted as a cutoff value for the prediction of exercise capacity <5 METS, sensitivity and specificity were 86.2% and 67%, respectively. However, sensitivity decreased and specificity increased when 26 mm/m<sup>2</sup> was used as a cutoff value (75.9% and 75%, respectively). The AUROC was calculated as 0.853 (0.767–0.940), which indicates good discriminatory power (Fig. 1A).

#### **Correlates of Clinical and Echocardiographic Variables and Cardiovascular Events**

During the 330 to 480 days of follow-up, 6 cardiacrelated deaths and 18 hospitalizations for worsening of heart failure occurred. Patients who developed CV events had a larger LA and LV size and a lower LVEF and LA fractional shortening. They also had diastolic function values indicative of greater impairment (Table V). Independent predictors of CV events were indexed LAs size (*P*=0.001) and LV–Em/Am (*P*=0.027). Logistic regression analysis showed that every 1 mm/m<sup>2</sup> increase in indexed LAs size was accompanied by a 38% increase in the occurrence of CV events (odds ratio, 1.383; 95% confidence interval, 1.145–1.671). When





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Control vs NYHA class III: \* = *P* <0.05, \*\* = *P* <0.01, \*\*\* = *P* <0.001 NYHA class I vs NYHA class III: A = *P* <0.05, B = *P* <0.01, C = *P* <0.001 NYHA class II vs NYHA class III: 1 = *P* <0.05, 2 = *P* <0.01, 3 = *P* <0.001

†In multiple comparisons of mitral E velocity measurements, the difference is obtained from NYHA class I versus NYHA class II at the level of 0.05.

A = late; Am = late diastolic velocity wave; ANOVA = analysis of variance; DT = deceleration time; E = early; Em = early diastolic velocity wave; IVRT = isovolumetric relaxation time; LA = left atrial; LAd = left atrial end-diastolic dimension; LAs = left atrial end-systolic dimension; LV = left ventricular; LVEDD = left ventricular end-diastolic dimension; LVEDV = left ventricular end-diastolic volume; LVESD = left ventricular end-systolic dimension; LVESV = left ventricular end-systolic volume; NYHA = New York Heart Association; Sm = systolic velocity wave

the LV–Em/Am ratio increased 0.1, the risk of CV events increased 9.6% (odds ratio, 1.096; 95% confidence interval, 1.010–1.189).

Receiver operating characteristic curve analysis showed that when the indexed LAs size of 18 mm/m<sup>2</sup> was accepted as a cutoff value (for the prediction of CV events) sensitivity and specificity were 79.2% and 73.3%, respectively. However, when 19 mm/m<sup>2</sup> was accepted as a cutoff value, sensitivity decreased and specificity increased, to 70.2% and 82.2%, respectively. For indexed LAd size (Fig. 1B), the AUROC was 0.780 (95% confidence interval, 0.66–0.90). For discriminatory power (Fig. 1C), the AUROC was calculated as 0.806

(95% confidence interval, 0.70–0.92). For LV–Em/ Am ratio, the AUROC was 0.756 (95% confidence interval, 0.63–0.88) (Fig. 1D). Although these analyses showed that indexed LAs size determined CV events with a higher predictive value than did indexed LAd size and LV–Em/Am ratio, this finding was not significant.

#### **Discussion**

Among the several measurable variables that can be used to predict exercise capacity and CV events in patients with heart failure, clinical, echocardiographic,

**TABLE III.** Exercise Test Findings in the Control and Study Groups

<b>Characteristic</b>	Control Group $(n=20)$	<b>NYHA</b> Class I $(n=25)$	<b>NYHA</b> <b>Class II</b> $(n=25)$	<b>NYHA</b> <b>Class III</b> $(n=25)$	P Value
Stage	$4.00 \pm 0.0$ ***	$3.40 + 0.96^{\circ}$	$2.00 + 0.65^3$	$0.56 \pm 0.26***$	< 0.001
Exercise time (min)	$16.02 \pm 1.28***$	$14.61 + 2.32^c$	$10.52 + 1.54$ <sup>3</sup>	$4.62 + 1.74***$	< 0.001
Maximum workload (METS)	$11.45 + 1.24***$	$10.54 + 2.04^{\circ}$	$6.55 \pm 1.69^3$	$2.99 \pm 0.74***$	< 0.001
Minimum heart rate (beats/min)	$88.2 + 11.6*$	$86.6 + 9.7$ °	$86.9 + 16.6^3$	$100.7 + 11.9$ <sup>*,c,3</sup>	< 0.001
Maximum heart rate (beats/min)	$166.4 + 14.1***$	$149.7 + 17.1^{\circ}$	$143.7 + 24.4$	$131.4 \pm 16.3$ *** $\cdot$ <sup>8</sup>	< 0.001

Values are expressed as mean ± SD. Note that not every multiple comparison result is listed; only the significant differences obtained from New York Heart Association (NYHA) class III versus other groups are given.

Control vs NYHA class III: \* = *P* <0.05, \*\* = *P* <0.01, \*\*\* = *P* <0.001 NYHA class I vs NYHA class III: A = *P* <0.05, B = *P* <0.01, C = *P* <0.001 NYHA class II vs NYHA class III: 1 = *P* <0.05, 2 = *P* <0.01, 3 = *P* <0.001

**TABLE IV.** Correlation Analysis of Echocardiographic Variables in Relation to Variables in Exercise Capacity



 $A =$  late; Am = late diastolic velocity wave;  $DT =$  deceleration time; E = early; Em = early diastolic velocity wave; IVRT = isovolumetric relaxation time; LA = left atrial; LAd = left atrial enddiastolic dimension; LAs = left atrial end-systolic dimension; LV = left ventricular; LVEDD = left ventricular end-diastolic dimension; LVEDV = left ventricular end-diastolic volume; LVESD = left ventricular end-systolic dimension; LVESV = left ventricular end-systolic volume; Sm = systolic velocity wave

and biochemical variables have been proposed as most useful. Some of them have good sensitivity and specificity, and some do not. Recently, the relationship between CV disease and LA dimension and function has gained greater recognition.4-6

Jikuhara and colleagues<sup>10</sup> showed that there was a strong correlation, in recent myocardial infarction patients, between LA fractional shortening at rest and maximum exercise capacity. In addition, they found strong relationships between preserved LA function and LV filling, exercise capacity, and increased cardiac output. The same findings have been reported in patients with idiopathic dilated cardiomyopathy, hypertrophic cardiomyopathy, chronic congestive heart failure, and hypertension.<sup>11-13</sup> Terzi and associates<sup>14</sup> found that decrease in left atrial ejection fraction and increase in LA size were related to the decrease in peak oxygen consumption. In our study, all echocardiographic variables except for LVEF and mitral A wave were related to exercise capacity. However, regression analysis showed that indexed LAd size and Em/Am ratio were the most important variables. In contrast with investigators who considered left atrial fractional shortening and LA volume, we emphasized indexed LA dimensions. Most prior studies point out that diastolic function is more strongly related to exercise capacity than to systolic function in heart failure.<sup>10-14</sup> Our findings are in accord with that conclusion. During isotonic exercise in normal subjects, the Frank-Starling curve contributes to increases in contractility, EF, stroke volume, and LV end-diastolic volume. However, the increase in stroke volume depends mainly on the Frank-Starling mechanism, and this dependence has implications for diastolic filling properties in heart failure patients with systolic dysfunction.<sup>15,16</sup> Left atrial function contributes to LV diastolic filling, and this contribution is more prominent in patients with



*Fig. 1 A) Receiver operating characteristic analysis for indexed LAd dimension, as used in predicting exercise capacity, B) indexed LAd dimension, in predicting cardiovascular events, C) indexed LAs dimension, in predicting cardiovascular events, and D) left ventricular Em/ Am, in predicting cardiovascular events.*

*AUROC = area under receiver operating characteristic curve; CI = confidence interval; Em/Am = ratio of velocities of early (Em) and late (Am) diastolic waves; LA = left atrium; LAd = LA chamber at its greatest dimension (i.e., LA is at diastole and LV is at end-systole); LAs = LA chamber at its smallest dimension (i.e., LA is at systole and LV is at end-diastole); LV = left ventricle*

LV dysfunction.<sup>17</sup> In advanced heart failure, structural changes develop in the LA wall due to the increased LV end-diastolic pressure, and diminished LA function ensues.18,19 The decrease in LA function impairs late LV diastolic filling, which means that cardiac output cannot increase during exercise.10 A recent study showed that the most important predictor of LA volume is the degree of LV diastolic dysfunction.<sup>20</sup> However, the mitral filling pattern can be an early indicator of LV diastolic dysfunction. We found that the Em/Am ratio, as an important mitral filling pattern, correlated significantly with exercise capacity. This finding supports the observation that exercise capacity is affected before LA dysfunction becomes manifest.

Left atrial dimension was previously shown as a prognostic indicator in patients with aortic stenosis and restrictive cardiomyopathy.<sup>21,22</sup> On the other hand, the LA volume index has been shown to be the most important echocardiographic variable for survival in idiopathic dilated cardiomyopathy patients.<sup>23</sup> Dini and associates<sup>5</sup> reported that LA diastolic size index was the only independent predictor of cardiac death or of worsening heart failure in patients with dilated cardiomyopathy who were over 70 years of age. Indexed LA diastolic size may help to predict functional capacity and CV events in patients with heart failure. In subjects with sinus rhythm, de Groote and colleagues<sup>6</sup> showed that LA volume is a more robust marker of CV events



**TABLE V.** Significant Variables of Patients, With and Without Cardiovascular Events

Values are mean ± SD.

A = late; Am = late diastolic velocity wave; DBP = diastolic blood pressure; DT = deceleration time; E = early; Em = early diastolic velocity wave; IVRT = isovolumetric relaxation time; LA = left atrial; LAd = left atrial end-diastolic dimension; LAs = left atrial end-systolic dimension; LV = left ventricular; LVEDD = left ventricular end-diastolic dimension; LVEDV = left ventricular end-diastolic volume; LVESD = left ventricular end-systolic dimension; LVESV = left ventricular end-systolic volume; Sm = systolic velocity wave

than is area or diameter; they also showed that the patients who developed CV events had a larger LA size. Moreover, LA enlargement has been shown to be an independent prognostic value in elderly patients who already have LV dysfunction.7 We found that indexed LA systolic size is an independent parameter for predicting CV events. In addition, our study showed that LV filling as revealed by the tissue Doppler Em/Am ratio was also an independent prognostic marker in heart failure patients.

#### **Limitations of the Study**

The most important limitation of our study was the lack of maximum oxygen consumption measurement. The sample size of the study was small. Patients were taking different drugs at different dosages. We did not consider the medications that might have some influence on LA size. Another important limitation was our lack of LA volume measurements. The determination of LA volume and also tissue-Doppler variables of the LA wall might be more reliable in the evaluation of prognosis.

## **Conclusion**

Measurement of LA size is neither sufficiently sensitive nor sufficiently specific to alter treatment decisions. However, indexed LAd and LAs size predict exercise capacity and CV events, respectively, in patients with heart failure (NYHA functional class I–III). Measurement of LA size is an easy, simple, and reliable method of predicting exercise capacity and CV events in daily clinical practice.

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