Agonist binding, agonist affinity and agonist efficacy at G protein-coupled receptors

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Measurements of affinity and efficacy are fundamental for work on agonists both in drug discovery and in basic studies on receptors. In this review I wish to consider methods for measuring affinity and efficacy at G protein coupled receptors (GPCRs). Agonist affinity may be estimated in terms of the dissociation constant for agonist binding to a receptor using ligand binding or functional assays. It has, however, been suggested that measurements of affinity are always contaminated by efficacy so that it is impossible to separate the two parameters. Here I show that for many GPCRs, if receptor/G protein coupling is suppressed, experimental measurements of agonist affinity using ligand binding (K_{obs}) provide quite accurate measures of the agonist microscopic dissociation constant (K_A) . Also in pharmacological functional studies, good estimates of agonist dissociation constants are possible. Efficacy can be quantitated in several ways based on functional data (maximal effect of the agonist (E_{max}) , ratio of agonist dissociation constant to concentration of agonist giving half maximal effect in functional assay ($K_{\text{obs}}/$ EC_{50} , a combined parameter $E_{\text{max}}K_{\text{obs}}/EC_{50}$. Here I show that $E_{\text{max}}K_{\text{obs}}/EC_{50}$ provides the best assessment of efficacy for a range of agonists across the full range of efficacy for full to partial agonists. Considerable evidence now suggests that ligand efficacy may be dependent on the pathway used to assess it. The efficacy of a ligand may, therefore, be multidimensional. It is still, however, necessary to have accurate measures of efficacy in different pathways.

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Abbreviations: E_{max} , maximal agonist effect; GPCR, G protein-coupled receptor; K_A , microscopic agonist dissociation constant; K_{obs} , experimentally determined agonist dissociation constant

Introduction

The actions of ligands at receptors depend on two fundamental events. First, the ligand must bind to the receptor; it is said to have affinity for the receptor. Second, the ligand may have effects on the receptor and its associated signalling systems. This second attribute has been termed efficacy. Agonists are said to have positive efficacy, inverse agonists are said to have negative efficacy and neutral antagonists have zero efficacy. Accurate measurement of affinity and efficacy for ligands is very important for drug discovery and for basic biology.

In drug discovery, these measurements guide the efforts of medicinal chemists. In the past, this has mainly been in terms of affinity measurements but, more recently, measurements of ligand efficacy have become important ([Williams](#page-10-0) [and Sewing, 2005\)](#page-10-0). In basic biology studies of receptor structure and function, measurements of affinity and efficacy underpin analyses of ligand/receptor interaction in both SAR and mutagenesis analyses. If these measurements are inaccurate or inadequate, then this has great importance and, in this review, I wish to consider different ways in which affinity and efficacy can be measured. I shall consider this problem for agonists at G protein-coupled receptors (GPCRs). GPCRs are of great importance for drug action constituting more than 30% of current drug targets.

Defining the affinity of agonists at their receptors

In principle, it should be possible to obtain estimates of agonist affinity in terms of dissociation constants for the binding of ligands to their receptors. Agonist dissociation constants can be estimated using in vitro techniques such as ligand-binding assays, providing the assay conditions are carefully controlled (see below). Alternatively, dissociation constants can be estimated using the receptor inactivation method of Furchgott ([Furchgott, 1966; Furchgott and](#page-9-0) [Bursztyn, 1967](#page-9-0)) or the comparative method of [Barlow](#page-9-0) et al. [\(1967\)](#page-9-0) in functional assays. Agonist dissociation constants are used in structure/activity studies for drug design, in

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providing estimates of agonist efficacy (see below) or in mutagenesis studies to examine how ligands and receptors interact.

It has, however, been stated that all estimates of agonist affinity are fundamentally flawed [\(Colquhoun, 1998](#page-9-0)). According to this view, affinity and efficacy are intrinsically linked for agonists, so it is impossible to obtain a value for the dissociation constant for an agonist that does not include some component of efficacy. In consequence, it is difficult to interpret the effects of any manipulation that appears to alter the dissociation constant for an agonist as it could be an effect on agonist binding or efficacy. This issue is very important for theoretical and applied pharmacology as several of the key theories of drug action assume that affinity and efficacy are separate quantities and agonist dissociation constant data are widely used in drug discovery.

This is such a fundamental issue for pharmacology that it is worthwhile restating it in a different way. What we would like to measure here is the microscopic dissociation constant for binding of agonist to receptor (K_A) . This is a measure of the affinity of the agonist for the ground state of the receptor. We can try to measure K_A using techniques such as ligand binding. Because agonists activate receptors, however, it is unlikely that the binding of an agonist to a receptor does not cause some change in the conformation of the receptor associated with the activation process. Thus, we end up measuring an observed macroscopic dissociation constant (K_{obs}) that includes K_A and some aspects of receptor activation. In the rest of this review, I shall use K_{obs} to refer to experimentally determined dissociation constants, whereas in theoretical treatments and simulations, I shall refer to K_A as the agonist dissociation constant. In this section, I consider how much K_A and K_{obs} differ. For the GPCRs, I will show that assay conditions can be adjusted to reduce the contribution of the activation process so that K_A and K_{obs} do not differ very much. Measurements of K_{obs} are, therefore, mostly good estimates of K_A .

Let us look first at some of the background that lead to the realization that affinity and efficacy are linked quantities. Here many of the arguments come from the study of ion channel-linked receptors such as the nicotinic acetylcholine receptor. [Del Castillo and Katz \(1957\)](#page-9-0) proposed a model to account for the properties of this receptor based on ligandinduced isomerization of the receptor between vacant and agonist-occupied states (Figure 1). In this model, the activation of the receptor depends on the binding of the agonist to the active state $(R[*])$ more strongly than to the inactive state (R). Thus, binding and activation (efficacy) are inextricably linked. This means that in an agonistbinding experiment, both AR and AR* will be measured and K_{obs} will differ from K_A (Figure 1). This means that it is impossible to use K_{obs} data to make inferences about the binding of agonists to the ground state of the receptor in structure/activity or in mutagenesis studies. Any effects of changes in agonist structure or of mutations could be interpreted in terms of effects on either affinity (K_A) or efficacy (E) and the two are difficult to separate.

This problem clearly applies for the ion channel-linked receptors but what about GPCRs? It would be very useful for a variety of applications to be able to make measurements of

Figure 1 The Del Castillo and Katz model for activation of ion channel-linked receptors. In this model, the receptor exists in ground (R) and active (R^*) states, with the R^* state having a higher affinity for the agonist. K_{Δ} is the dissociation constant for binding of agonist to the ground state of the receptor and E is the equilibrium constant for the AR/AR* transition ($E = [AR^*]/[AR]$). If ligand-binding studies are applied to this scheme, the experimentally determined dissociation constant of an agonist (K_{obs}) is given by: $K_{obs} = K_A/1 + E$.

Figure 2 The ternary complex model for agonist/receptor/G protein coupling. In this model, the receptor exists in uncoupled (R) and G protein-coupled (RG) states and the agonist binds with higher affinity to the RG state. Equilibrium association constants $(K_{\rm R},$ K_{RG}) for agonist binding are shown and *J* is defined as [RG]/([R][G]). We can define a cooperativity factor α for the effect of G protein coupling on agonist binding so that $K_{RG} = \alpha K_R$. If ligand-binding studies are applied to this scheme with excess G protein over receptor, the experimentally determined dissociation constant of an agonist (K_{obs}) is given by: $K_{\text{obs}} = K_{\text{A}} ((1 + \sqrt{G})/(1 + \alpha/\sqrt{G}))$.

dissociation constants for agonists at GPCRs that approximate ground-state affinities (K_A) . What sets the GPCRs apart from the ion channel-linked receptors, however, is the need for coupling of the receptor to a second protein, the G protein, to achieve activity in most assay read-outs. Thus, the receptor in its active state will be the ternary complex of agonist/receptor/G protein (Figure 2) ([De Lean](#page-9-0) et al., 1980). If measurements of dissociation constants include this ternary complex, then there will be a strong linkage between affinity and efficacy and K_{obs} will not be a good estimate of K_A (Figure 2).

For the GPCRs, however, we have the possibility of inhibiting R/G coupling using approaches such as addition of excess guanine nucleotide, or the use of pertussis toxin (for $G_{i/o}$ -linked responses) [\(De Lean](#page-9-0) et al., 1980). Under these conditions, it has been proposed that R and G interact with much reduced affinity (guanine nucleotide) or are uncoupled (pertussis toxin) so that ligand affinities approach those for the free receptor uncoupled from G proteins. This can result in a reduction in agonist affinity of up to 100-fold. In principle, this allows a separation of affinity and efficacy for the GPCRs. Measurements of K_{obs} under conditions where receptor/G protein coupling is suppressed should reduce the component of efficacy, for example, in ligandbinding assays in the presence of GTP.

There is, however, a further potential problem, in that it can be argued that when an agonist binds to a GPCR, even to the ground state in the absence of G protein coupling, it

Figure 3 Partial activation of a receptor by an agonist. The agonist stabilizes a partially active form of the receptor (R*) so that the measured agonist affinity is not equal to the ground-state affinity. Equilibrium association constants for agonist binding (K_R , K_{R*}) are shown and L is defined as $[R^*]/[R]$. We can define a factor β for the effect of the R/R* transition on agonist binding so that $K_{R*} = \beta K_R$. If ligand-binding studies are applied to this scheme, the experimentally determined dissociation constant of an agonist (K_{obs}) is given by: $K_{\text{obs}} = K_{\text{A}} ((1 + L)/(1 + \beta L)).$

must change the conformation of the receptor in a manner related to its efficacy as outlined earlier ([Colquhoun, 1998](#page-9-0); [Strange, 1999\)](#page-10-0). The more efficacious the agonist, the greater will this conformational change be in principle. This means that determinations of agonist dissociation constants in ligand-binding assays in the absence of G protein coupling may not be to the ground state but to a partially activated state and this will include a component of efficacy ([Colquhoun, 1998;](#page-9-0) [Strange, 1999](#page-10-0); [Trzeciakowski, 1999b](#page-10-0); [Ehlert, 2001](#page-9-0); [Rang, 2006\)](#page-10-0). As a result, values of K_{obs} may include a component of ligand efficacy; this component will be greater for the full agonists and K_{obs} and K_A may differ (Figure 3). This may be described in terms of inactive (R) and partially active (R^*) forms of the receptor in the absence of G protein coupling as in Figure 3, and addition of this equilibrium to the ternary complex model ([Figure 2\)](#page-1-0) results in the extended ternary complex model [\(Samama](#page-10-0) et al., [1993](#page-10-0)). According to this analysis using R/R^* states, affinity and efficacy are linked quantities and if there is appreciable stabilization of the R^* state of a GPCR by the agonist in the absence of G protein coupling, then this will be a confounding factor in the use of affinity as a parameter independent of efficacy. Indeed, [Leff \(1995\)](#page-9-0) modelled GPCR activity in terms of a two-state model with R and R* states, analogous to the model of [Del Castillo and Katz \(1957\).](#page-9-0) In this model for GPCRs, affinity and efficacy are intrinsically linked. The Leff two-state model did not, however, consider the effects of G protein coupling and so may be seen as incomplete [\(Strange, 1998\)](#page-10-0).

It is unclear, therefore, to what extent there is stabilization of R* upon agonist binding to GPCRs and how much this affects affinity estimates for ground states. Also, it is unclear where any intermediates such as R* lie on the pathway between R and R*G.

How much does efficacy contaminate affinity for agonists at GPCRs?

Let us now consider the extent to which experimental determinations of agonist affinity (K_{obs}) differ from the microscopic dissociation constant for agonist binding to receptor (K_A) . To consider this question, I want to consider various pieces of evidence.

Manipulations that prevent receptor activation

One of the most conserved residues in GPCRs is an aspartic acid about two-thirds of the way down TMII (residue 2.50). It has been suggested that this residue is important for maintaining an active receptor conformation [\(Urizar](#page-10-0) et al., [2005](#page-10-0); Smit et al[., 2007\)](#page-10-0). Mutation of this residue to Asn or Ala has been shown to prevent or reduce receptor activation and G protein coupling for many GPCRs. Thus, receptors with this mutation could be considered unable to assume the active state, that is, they cannot assume the R* conformation.

This mutation, therefore, potentially gives a tool for determining the extent of stabilization of R* by agonist in the absence of G protein coupling. To use this mutant receptor to address this question, it is necessary to compare agonist affinities for the G protein-uncoupled state in the native and mutant receptors. In [Table 1](#page-3-0), I have summarized the effects of this mutation on a variety of receptors. For the most part, the characterization of the mutant receptors is not extensive and often uses only one full agonist. The data show, however, that for the monoamine receptors tested this mutation prevents receptor activation and G protein coupling but has only a small effect $(<$ 3-fold) on the binding of a full agonist to the receptor concerned in the absence of G protein coupling. On the basis of the effects of this mutation, we may conclude that for many GPCRs there is little stabilization of the R* state in the absence of G protein coupling, and effects of this process can contribute no more than three-fold to estimates of agonist affinity. This is for full agonists and for partial agonists the effect will be less. A notable exception here is the β_2 -adrenergic receptor, where the effect is greater so that for this receptor values for K_{obs} will differ from the ground-state affinity (K_A) . For two of the peptide receptors in [Table 1](#page-3-0) (δ -opioid, SSTR2), mutation of this aspartate does not eliminate signalling so that for these receptors this mutant is not informative. In the case of receptors such as the β_2 -adrenergic receptor, estimates of K_A may be obtained by combining measurements of agonist dissociation constants for TMII Asp mutants and constitutively active mutants [\(Strange, 2000\)](#page-10-0).

Manipulations that promote receptor activation

The analysis of mutant receptors where the activation process is favoured (constitutively active mutants) can also yield some information about the extent of activation of the native receptor. This has been examined in detail for the α_{2A} -adrenergic receptor (Wade *et al.*, 2001), where it was estimated that for the native receptor, 0.04% of the α_{2A} receptor was present in the R* state in the absence of agonist. From these data, it may be calculated that in the presence of adrenaline, 0.7% of the receptor is in the R* state and the value of K_{obs} for adrenaline differs by less than two-fold from K_A for this agonist. Similarly, analysis of mutants of the m1 muscarinic receptor has shown that K_{obs} for acetylcholine differs by less than two-fold from the ground-state affinity

Receptor	G protein coupling	Agonist signalling		Agonist affinity Na^+ sensitivity of agonist binding	Comments	Reference
α ₂ -adrenergic			\Leftrightarrow Some increase	Lost		Horstman et al. (1990); Wang et al. (1991); Ceresa and Limbird (1994)
β ₂ -adrenergic			↓↓		Agonist affinity reduced (10- fold (isoprenaline), 70-fold (noradrenaline)	Strader et al. (1987, 1988); Chung et al. (1988); Liapakis et al. (2004)
$D2$ dopamine				Lost	Agonist affinity reduced \sim 3- fold	Neve et al. (1991)
5-HT1A			\Leftrightarrow			JT Alder and PG Strange, unpublished data
5-HT2A			\Leftrightarrow			Wang et al. (1993)
m1 muscarinic			\Leftrightarrow Some increased			Fraser et al. (1989)
TRH						Perlman et al. (1992)
Tachykinin NK2			⇔		D79N mutant shows unchanged agonist affinity, whereas D79A mutant shows reduced affinity	Donnelly et al. (1999)
Neurotensin NTR1	\Leftrightarrow		⇔			Martin et al. (1999)
δ -opioid	⇔	⇔		Lost		Kong et al. (1993b)
Somatostatin (SSTR2)	\Leftrightarrow	⇔	⇔	Lost		Kong et al. (1993a)

Table 1 Effect of mutation of aspartic acid residue 2.50 in the second transmembrane-spanning region of GPCRs

Abbreviation: GPCR, G protein-coupled receptor.

 (K_A) ([Hulme and Lu, 1998\)](#page-9-0). In both cases, these estimates support the data obtained using the TMII Asp mutation. In the latter study, it was also shown that the equilibrium constant associated with the AR*/AR*G transition was about 15-fold higher than that associated with the AR/AR* transition.

Effects of sodium ions

Sodium ions are very important for the regulating the effects of agonists on GPCRs. The binding of agonists to some GPCRs is $Na⁺$ dependent with affinities being reduced in the presence of $Na⁺$ ions [\(Neve, 1991\)](#page-10-0). Interaction of receptor and G protein is sensitive to the level of $Na⁺$ in buffers (Costa et al[., 1990;](#page-9-0) [Williams](#page-10-0) et al., 1997; Lin et al[., 2006](#page-10-0)). The conserved aspartic acid in TM II (residue 2.50, see above) has been proposed to be the site of interaction of the $Na⁺$ ion and, indeed, $Na⁺$ regulation of agonist affinity is generally lost in TM II Asp mutants (Table 1). One possibility is that the Na⁺ may be affecting the $R/R[*]$ transition. Support for this idea comes from studies on a constitutively active mutant D_2 dopamine receptor [\(Wilson](#page-10-0) et al., 2001). Agonist binding to this mutant was of higher affinity than for the native receptor in the absence of G protein coupling consistent with increased isomerization of the mutant towards R*. The increase in affinity could be suppressed by inclusion of $Na⁺$ in the buffers so that measurements of agonist affinity in the absence of G protein coupling will be for the R state if $Na⁺$ ions are included in buffers. It, therefore, seems that for the native receptor, effects of Na⁺ ions on agonist binding also reflect the R/R* transition and for dopamine, the effect of this transition on affinity is $<$ 2fold in good agreement with data obtained using the TM II Asp mutant.

Use of mutations to probe the structure of $AR*$ and $AR*$ G Another way to probe these issues is to examine the effects of mutations on the coupled and uncoupled forms of the receptor. If the interactions between agonist and receptor were similar in the two states but intensified upon activation, then we might expect similar effects of mutations in residues that contact the ligand in the ground and activated states.

For the m1 and m2 muscarinic receptors, mutation of the TM3Asp that is thought to provide the counter ion for the charged head group of ligands has varying effects on the binding of agonists to the G protein-uncoupled state and their ability to activate the receptor. It has been suggested that many agonists interact rather loosely with this residue in the ground state and may form other sets of interactions with the receptor. They then interact more strongly with this residue in the activated state, suggesting that there is only partial similarity between the ground and activated states (Hulme et al[., 1995\)](#page-9-0), emphasizing the distinction between ground-state and activated-binding configurations.

Mutation of Ty381 in TMVI of the m1 muscarinic receptor showed that the hydroxyl group of this residue interacted with the ester side chain of acetylcholine in both the ground and the activated state but that the aromatic ring had little interaction with acetylcholine in the ground state compared with a stronger one in the activated state (Ward et al[., 1999](#page-10-0)). Again, this suggests a difference between the ligand-receptor interactions in the ground and activated states. In particular, there are new interactions made in the activated state that are absent in the ground state.

These studies show that there is only partial similarity between the agonist/receptor complex in the absence and presence of G protein coupling. This is consistent with little receptor activation in the G protein-uncoupled state, that is, little R*, and a large change in free energy for agonist/ receptor interaction upon G protein coupling.

On the basis of the discussion above, we may conclude that for many GPCRs but not all, the free energy change that occurs when AR* couples to G is much larger than any changes in the G protein-uncoupled state (AR to AR*). In many cases, there may be a different set of interactions between agonist and receptor in the ground and activated states. For a full agonist, the R/R* transition contributes no more than two-fold to the observed affinity for several GPCRs, whereas the R*/R*G transition contributes up to 100 fold to the affinity. If the R*/R*G transition is suppressed, then only the minor effects of the R/R* transition remain. In some cases, if $Na⁺$ ions are included in assays this will suppress the R/R* transition. Measurements of agonist affinity for GPCRs in ligand-binding assays (K_{obs}) can, therefore, provide good estimates of ground-state affinities (K_A) , providing assay conditions are carefully controlled.

Methods for determining agonist dissociation constants: where should we be cautious?

In this section, I wish to consider different ways of determining dissociation constants for agonists and, in particular, how much the experimentally determined agonist dissociation constant (K_{obs}) differs from the microscopic agonist dissociation constant (K_A) .

Functional assays to determine agonist dissociation constants

Methods have been devised to determine values of dissociation constants for agonists based on functional assays in whole cell/tissue systems. For example, the partial alkylation method of Furchgott analyses concentration/response curves for agonists before and after partial inactivation of receptors with an irreversible antagonist [\(Furchgott, 1966](#page-9-0); [Furchgott](#page-9-0) [and Bursztyn, 1967](#page-9-0)). The comparative method estimates the dissociation constant for a partial agonist by comparing concentration-response curves for a full and partial agonist ([Barlow](#page-9-0) et al., 1967). Determination of dissociation constants may potentially be problematical when using such pharmacological methods where the affinity determined may include a component of the activation/efficacy process, that is, the experimentally determined K_{obs} may not be a good estimate of K_A [\(Mackay, 1988, 1990](#page-10-0); [Black and Shankley,](#page-9-0) [1990](#page-9-0); Leff et al[., 1990a](#page-9-0)).

The problem has been addressed in theoretical studies where the ternary complex model or operational model were used to simulate data [\(Mackay, 1988;](#page-10-0) [Black and Shankley,](#page-9-0) [1990](#page-9-0); Leff et al[., 1990b](#page-9-0)). It was suggested that only when $R > G$ would these pharmacological methods give accurate measures of K_A . If $R \sim G$ or $R < G$, then erroneously high estimates of affinity may be obtained. This problem arises because, when the number of receptors does not exceed the number of G proteins, there is partitioning of receptor among activated states. It was also shown that the errors in K_A determination were greater for the inactivation method than for the comparative method.

Several studies were performed to address this issue using functional experiments in native tissues. Two studies examined values of K_{obs} determined using the inactivation

and comparative methods ([Waud, 1969](#page-10-0); Leff et al[., 1990b\)](#page-9-0). In neither study was any evidence obtained for the differences between K_{obs} and K_A that had been predicted. Two studies examined the effects of progressive inactivation of receptors on K_A estimates and again found no evidence for the predicted errors [\(Besse and Furchgott, 1976](#page-9-0); [Black and](#page-9-0) [Shankley, 1990](#page-9-0)). In two studies, the inhibition of cAMP via m2 muscarinic acetylcholine receptors has been examined ([Ehlert, 1987](#page-9-0); [McKinney](#page-10-0) et al., 1991) and K_{obs} determined using receptor inactivation [\(Furchgott and Bursztyn, 1967](#page-9-0)). Values of K_{obs} agreed well with dissociation constants determined using ligand binding under conditions where there was little G protein coupling, again suggesting little error in K_A determination. Related studies on D_1 dopamine, α_{2c} -adrenergic receptors and 5-HT1A serotonin receptors provided affinity estimates for agonists in functional assays that agreed with values obtained from ligand binding where G protein coupling was suppressed [\(Sundaram](#page-10-0) et al., 1993; Mak et al[., 1996; Stanton and Beer, 1997; Umland](#page-10-0) et al., [2001](#page-10-0)).

There are various explanations for why the predicted errors in K_A determination are not seen experimentally. Receptors could be in excess of G proteins in the signalling systems. Alternatively, only low amounts of ARG intermediate are formed but there is substantial downstream amplification. Also, cells contain high concentrations of GTP (\sim 50 μ M) ([Otero, 1990;](#page-10-0) Jinnah et al[., 1993](#page-9-0)) so that for GPCRs in whole cells, intermediate states such as ARG may form but only transiently as they are unstable in the presence of GTP. Some support for this idea comes from studies on agonist stimulation of $[35S]$ GTP_YS binding via the D₂ dopamine and 5-HT1A serotonin receptors where increasing concentrations of GDP reduced agonist potency to values approaching the dissociation constant for the free receptor ([McLoughlin and Strange, 2000](#page-10-0); [Roberts](#page-10-0) et al., 2004). These observations suggest that ARG formation will be suppressed in cells containing high levels of guanine nucleotides. In contrast, if functional assays are conducted with low GTP present, for example, in membrane preparations then ARG formation will be significant and affinities will be overestimated.

Agonist-binding studies to determine agonist dissociation constants

Partitioning of receptors between ground and activated states can potentially be a problem in using ligand-binding assays to determine K_A values. Agonists bind to G proteincoupled (RG) and -uncoupled (R) states with higher and lower affinities, respectively. Some determinations of agonist affinity using ligand binding have analysed the coupled state using radiolabelled agonist binding or combinations of R and RG in agonist/radiolabelled antagonist competition assays and, for accurate determination of K_A , it is important to restrict affinities to the uncoupled ground state. In contrast to the functional studies above, accumulation of intermediate states in ligand-binding assays can be favoured as the assays often do not contain exogenous guanine nucleotides. In principle, effects of binding to RG may be eliminated by inclusion of GTP in assays (see above and [Lin](#page-10-0) et al[., 2006](#page-10-0)). If agonist-binding studies are performed in the presence of concentrations of GTP sufficient to suppress formation of the ARG state, this will largely eliminate problems in K_A determinations. As discussed earlier, if Na⁺ ions are also included in assays this may suppress any contribution from the R/R* transition.

On the basis of the foregoing discussion, it seems that experimental estimates of agonist dissociation constants (K_{obs}) made using ligand binding will mostly be good approximations of K_A providing these are for the G protein-uncoupled state. Measurements of dissociation constants made using pharmacological functional tests need to be approached with caution but when examined they do not seem to differ greatly from ground-state values (K_A) .

Defining the efficacy of agonists at their receptors

Defining the efficacy of a drug is an important part of its characterization, particularly in drug design. For some indications, agonists are required and in this case careful definition of the efficacy of a candidate drug is required. Many drugs are, however, antagonists, that is, compounds with zero efficacy, so that drug design depends on showing that the compounds indeed do have zero efficacy. Efficacy is a functional concept and so it is defined in terms of a function linked to the receptor concerned. Efficacy is then assessed by determining the activity in a suitable assay system linked to the receptor using a range of concentrations of the drug under test, that is, a concentration/response experiment. Figures 4 and 5 show some simulations of concentration/response curves and parameters derived from these. Three parameters are accessible from this concentration/response experiment. These are the E_{max} (maximal agonist effect), EC_{50} (concentration of drug that gives a half maximal effect) and the Hill coefficient (an indicator of the form of the relationship between agonist concentration and response). For many responses linked to GPCRs, the Hill coefficient is close to unity, somewhat simplifying the analysis of drug action.

Emax as a measure of efficacy

The E_{max} is the parameter used most frequently to assess efficacy in compounds. It is given by the upper asymptote of the concentration/response curve and is, therefore, relatively

Figure 4 Methods for determination of agonist efficacy. (a) Shows a family of concentration/response curves for a range of agonists with different maximal agonist effects (E_{max}) in the system used to study their activity. The data were simulated using a ternary complex model
([Figure 2\)](#page-1-0) (Alder *et al.*, 2003) with the following parameters: association agonist-binding curves were also performed allowing the dissociation constant of the uncoupled state of the receptor (K_A) to be determined (Alder et al[., 2003\)](#page-9-0). For agonists that do not give a maximal response, comparison of E_{max} values provides a measure of relative efficacy. (b) Shows concentration/response curves and binding curves for two agonists, each of which exhibits an *E_{max} value of 100% in the test system.*
Both agonists have the same association constant for the uncoupled form of the r simulated using a ternary complex model (Alder *et al.,* 2003) as in panel a with the following parameters: agonist A, K_{RG} = 10⁹ M^{–1}; agonist B, K_{RG} = 10⁸ M⁻¹. Response curves for agonists A and B are shown together with binding curves for the uncoupled ground-state receptor. Analysis of the simulated data gives K_A/EC_{50} values of 1354 and 131 for agonists A and B, respectively. The ratio of these two values is 10.3 (see panel c). (c) Shows response/occupancy curves for two agonists. The data for responses are taken from the simulations in panel b. Percentage occupancy for each agonist concentration was calculated based on the dissociation constants of the uncoupled states of the receptor. The inverse occupancy ratio at 50% response for the two agonists is 10.2 in good agreement with the ratio of K_A/EC_{50} values. K_A , microscopic agonist dissociation constant.

Figure 5 Relation between agonist efficacy and different parameters used experimentally to quantify efficacy. The set of simulated agonist concentration/response curves in [Figure 4a](#page-5-0) was used together with simulated agonist-binding curves (Alder et al[., 2003](#page-9-0)) to derive values for maximal agonist effect (E_{max}), K_A/EC_{50} and $E_{\text{max}}K_A/EC_{50}$. The ratio of K_{RG}/K_R was defined as α the efficacy in the ternary complex model. Values of K_A correspond to the dissociation constant of the uncoupled form of the receptor derived as in [Alder](#page-9-0) et al[. \(2003\)](#page-9-0). The relationships between α and E_{max} , K_A/EC_{50} and $E_{\text{max}}K_A/EC_{50}$ are shown, respectively, in panels a, b and c. In panel a, E_{max} is expressed as a percentage; in panel c, E_{max} is expressed as a fraction. K_{A} , microscopic agonist dissociation constant.

easy to determine. If this parameter is determined for a range of agonists at one receptor then the different E_{max} values should provide us with measures of the efficacy for the drugs relative to one another (Figure 5). It is expected that some drugs will produce a similar, 'maximal' response in the system and these compounds may be defined operationally as full agonists. The other compounds then are partial agonists. This determination of E_{max} values provides one very convenient evaluation of the relative efficacy of drugs; indeed, there is a linear relationship between $\log E_{\text{max}}$ and log (relative efficacy) for partial agonists in the simulation based on the ternary complex model ([Figures 4 and 5](#page-5-0)). The use of E_{max} as an efficacy parameter fails, however, when we

come to the full agonists, for which the system will limit their response. The system response limit imposes an artificial ceiling on the measures of relative efficacy and limits the usefulness of E_{max} for assessment of efficacy. Indeed, it will be impossible to extend the scale of agonism beyond the maximal system response and based on determination of E_{max} it will not be possible to determine differences in efficacy for the compounds that give a maximal response even though they may actually have different values of intrinsic efficacy [\(Figures 4 and 5\)](#page-5-0). This may lead to an underestimation of the relative efficacy of some full agonists and a corresponding overestimation of the relative efficacy of some partial agonists. E_{max} , therefore, provides some discrimination of relative efficacy for the partial agonists but not for the full agonists.

Maximal agonist effect can, therefore, be used for assessment of relative efficacy but only for compounds giving submaximal responses in the system. Comparison of the responses given by two agonists then gives a measure of their relative efficacies. E_{max} performs better as a measure of relative efficacy in response systems close to the receptor where few compounds will reach the maximal system response. In highly amplified systems, typically systems downstream of the receptor, many agonists will elicit similar maximal activation. If this is a recombinant system then it may be possible to reduce responses either by reducing receptor expression or by removing a proportion of the receptors with an alkylating agent.

Alternatively, a drug discovery programme may be aimed at antagonists. In this case the goal of zero efficacy can be assessed using E_{max} but this will also depend on the assay system. In some assay systems, it is difficult to distinguish antagonists and low efficacy partial agonists, and a wellamplified system may be better to make this discrimination.

The K_{obs}/EC_{50} ratio

A second measure of relative efficacy, which can also be used for the compounds that produce maximal responses in the system, is offered by considering the EC_{50} for agonists. Here we can look at the concentration/response curve relative to the concentrations of the drug that bind to the receptor. The more efficacious the drug, the more the concentration/ response curve for signalling should be shifted away from that for binding. The EC_{50} will be lower than the K_A and this may be expressed conveniently as the K_A/EC_{50} ratio or amplification ratio [\(Furchgott, 1966](#page-9-0); [Black and Leff, 1983](#page-9-0); [Gardner](#page-9-0) et al., 1997) [\(Figure 4\)](#page-5-0). Theoretical studies suggest that K_A/EC_{50} should be related to efficacy [\(Black and Leff,](#page-9-0) [1983](#page-9-0); Alder et al[., 2003\)](#page-9-0) and even for partial agonists, there will be a small shift of the response curve away from the binding curve, that the K_A/EC_{50} ratio will be greater than one. In the Operational model [\(Black and Leff, 1983](#page-9-0)), a fundamental efficacy parameter τ is defined and termed the operational efficacy. The K_A/EC_{50} ratio is equivalent to $\tau + 1$ emphasizing its importance as an efficacy parameter. On the basis of the discussion of agonist affinity and the similarity of K_A and K_{obs} above, the K_{obs}/EC_{50} ratio will approximate the K_A/EC_{50} ratio in many cases.

The K_{obs}/EC_{50} ratio, therefore, provides a second, experimentally accessible measure of efficacy, although it has not been used as much as E_{max} as it requires additionally a determination of the dissociation constant for binding of the drugs to the receptors (K_{obs}) . Values for K_{obs} may be obtained using ligand-binding techniques or using pharmacological functional methods (see above). In principle, K_{obs}/EC_{50} data should provide a continuous measure of efficacy for a set of agonists but in practice, it becomes difficult to get accurate values of K_{obs}/EC_{50} for low efficacy agonists, where K_{obs}/EC_{50} is close to unity. Indeed, when simulations of agonist concentration/response curves are performed and values of K_A/EC_{50} are examined in relation to efficacy, the simulations show that there is a good relationship at high values of efficacy but for low values of efficacy, the K_A/EC_{50} ratio approaches unity ([Figure 5](#page-6-0)). The K_A/EC_{50} ratio and hence the K_{obs}/EC_{50} ratio, therefore, perform better for higher efficacy agonists in contrast to E_{max} , which performs better for partial agonists.

It should be noted that the K_A/EC_{50} ratio is a measure of the difference in affinity of the agonist for the ground and activated states of the receptor and, therefore, is related to the free energy difference between the ground and activated states. On the basis of the discussion above, for several GPCRs, values of K_{obs} are reasonably accurate measures of ground-state affinity (K_A) , so that K_{obs}/EC_{50} and K_A/EC_{50} are similar, but this may not be the case for all GPCRs. It should also be noted that determinations of K_{obs} should be performed under the same conditions as the EC_{50} determinations.

The $E_{max}K_{obs}/EC_{50}$ parameter

These two measures of efficacy can be combined to provide another measure of relative efficacy for two drugs by determining the product of E_{max} and K_{obs}/EC_{50} [\(Ehlert](#page-9-0) et al[., 1999;](#page-9-0) [Trzeciakowski, 1999a](#page-10-0)). Relative efficacy for two drugs is then $[E_{\text{max}}K_{\text{obs}}/EC_{50}]_1/[E_{\text{max}}K_{\text{obs}}/EC_{50}]_2$, which for full agonists reduces to a comparison of the K_{obs}/EC_{50} ratios. Because of the similarity between K_{obs} and K_A in many cases (see above), the $E_{\text{max}}K_{\text{obs}}/EC_{50}$ parameter approximates E_{max} K_A/EC₅₀. In terms of the Operational model, E_{max} K_A/ EC₅₀ is proportional to the operational efficacy, τ so that $E_{\text{max}}K_{\text{obs}}/EC_{50}$ will also reflect efficacy. This parameter, $E_{\text{max}}K_{\text{obs}}/EC_{50}$, has been used once experimentally for evaluation of efficacy (Avalos et al[., 2001\)](#page-9-0) and should provide a continuous measure of efficacy across the full range of efficacy. The $E_{\text{max}}K_{\text{obs}}/EC_{50}$ parameter should spread out the efficacy data for the partial agonists (E_{max} <1) that would give K_{obs}/EC_{50} values close to unity as well as spreading out the efficacy data for the full agonists that give the same E_{max} . This is seen clearly in the simulations of data in [Figure 5,](#page-6-0) where this combined parameter provides a striking relationship with the relative efficacy across the full efficacy range. The combined parameter also performs well with real data, for example, the set of data obtained for the contraction of rat aortic rings via α_{1D} -adrenoceptors ([Figure 6](#page-8-0)) (Ruffolo *et al.*, 1979b). The $E_{\text{max}}K_{\text{obs}}/EC_{50}$ parameter shows a linear relationship with the functional relative efficacy data across the full efficacy range, whereas neither the E_{max} nor the K_{obs}/EC_{50} parameter provides this linear

relationship across the full efficacy range. A similar agreement is seen for other data taken from the literature ([Ruffolo](#page-10-0) et al[., 1979a; Ruffolo and Waddell, 1982, 1983](#page-10-0)) supporting the use of this combined parameter (data not shown). It seems that this little-used parameter provides a very useful, experimentally accessible measure of agonist efficacy and can be used to set up scales of efficacy in an assay system. It should also be noted that the agreement between analyses of theoretical and real data here supports the application of the ternary complex model as well as validating the estimates of $K_{\rm obs}$ used, that is, $K_{\rm obs}$ is a good estimate of K_A .

It should be noted that there is an error in applying the $E_{\text{max}}K_{\text{obs}}/EC_{50}$ parameter if the Hill coefficient of the concentration/response curves for partial agonists are not equal to one. This has been covered in detail elsewhere (Ehlert et al[., 1999; Ehlert, 2001\)](#page-9-0).

It has also been suggested that a parameter (E_{max}/EC_{50}) expressed relative to that for a reference agonist) termed the intrinsic relative activity, RA_{i} , may be used to compare the activities of agonists acting at the same receptor ([Ehlert](#page-9-0) et al., [1999](#page-9-0); Griffin et al[., 2007\)](#page-9-0). RA_i values for different responses mediated by the same receptor should be similar. If they are not then this may provide evidence of different receptors or pathway-dependent efficacy (see below). The RA_i is presumably also analogous to the specificity constant (k_{cat}/K_M) used for enzymes ([Fersht, 1999\)](#page-9-0).

The inverse occupancy ratio

Concentration/response data for different agonists have been used to provide relative efficacy values for different drugs in another way. The concentration/response curves are converted into occupancy/response curves and for two drugs, the inverse ratio of occupancies required to produce the same response provides a measure of the relative efficacy of the two drugs ([Figure 4\)](#page-5-0). Again this method requires determination of the affinity of the drugs for the receptor. This method is related to the amplification ratio method as the inverse occupancy at 50% response is given by $(1 + K_{\text{obs}})$ EC_{50}).(1 + K_{obs}/EC_{50}) approximates to (1 + K_A/EC_{50}), which in the Operational model corresponds to τ + 2. One advantage of this method is that it may be used with incomplete concentration/response curves.

The multidimensional nature of efficacy

The methods described above allow ligand efficacy to be quantitated in a chosen assay read-out. It is becoming apparent, however, that the relative efficacy of compounds may depend on the read-out chosen for the assay or the conditions used. There are in fact many examples of differences in pharmacological profile for one receptor when different assay read-outs or conditions are used ([Kenakin,](#page-9-0) [2005](#page-9-0)). We can see this variation in relative efficacy in two examples. In the first example, the relative efficacies of a range of agonists at the D_2 dopamine receptor were determined using $\left[^{35}S\right]GTP\gamma S$ binding in the presence of $Na⁺$ ions or in their absence with NMDG as a cation substitute (Lin et al[., 2006](#page-10-0)). If the data are plotted together as

Figure 6 Relation between agonist efficacy and different parameters used experimentally to quantify efficacy: analysis of real data. The data for a set of imidazolines for contraction of rat aortic rings via α_{1D} -adrenoceptors (Ruffolo *et al.*, 1979b) have been analysed in terms of the different parameters shown in [Figure 5.](#page-6-0) These functional data are very complete in that a large set of agonists of differing efficacies was used and maximal agonist effect (\bar{E}_{max}) , K_{obs} and EC₅₀ were reported. Additionally, functional relative efficacy estimates were obtained using occupancy/response curves. Further data from the same lab examining contraction of aorta from different species (Ruffolo et al[., 1979a; Ruffolo and Waddell, 1982, 1983\)](#page-10-0) provide additional support for these results. In each of the studies, there is a good correlation between $log E_{\text{max}} K_{\text{obs}} / EC_{50}$ and the log functional efficacy ($P < 0.05$). Similar relationships are seen for the set of data for the inhibition of cAMP production via m2 muscarinic receptors ([McKinney](#page-10-0) et al., 1991). If these are analysed in this manner, $E_{\text{max}}K_{\text{obs}}/EC_{50}$ provides a good estimate of the functional efficacy. K_{obs} , experimentally determined agonist dissociation constant.

an x/y plot (Figure 7) it is clear that there is a nonlinear relationship between the two sets of data and although the rank order is unchanged, some compounds are more affected by the removal of $Na⁺$ than others. This is presumably hinting at mechanistic differences.

Figure 7 The multidimensional nature of efficacy. Data for the maximal agonist effect (E_{max}) values for agonists in different assays are plotted as an x/y plot. In (a), data for stimulation of $[^{35}S]GTP\gamma S$ binding by agonists at the D_2 dopamine receptor in the presence of Na $^+$ and in the absence of Na $^+$ (with N-methyl-D-glucamine (NMDG) substitution) are given as $E_{\rm max}$ relative to dopamine ([Lin](#page-10-0) et al[., 2006](#page-10-0)). In (b), data for stimulation of phospholipase C and arachidonic acid (AA) release via agonists at the serotonin 5-HT2C receptor (Moya et al[., 2007\)](#page-10-0) are given as E_{max} relative to 5hydroxytryptamine.

In the second example, the relative efficacies of a range of compounds for stimulation of two assay read-outs (phospholipase C and arachidonic acid release) via the serotonin 5-HT2C receptor expressed in CHO cells (Moya et al[., 2007\)](#page-10-0) are shown, again plotted as an x/y plot. This plot highlights the pathway dependence of efficacy. In particular, the nonlinear relationship between efficacies in the two assay read-outs is clear from this plot, highlighting the differential efficacy profiles in the two assays.

These two-dimensional plots provide excellent representations of differences in efficacy in different pathways and the multidimensional nature of efficacy. In future it may be desirable to represent more than two dimensions of efficacy for compounds acting at one receptor.

Conclusion

On the basis of the discussion above it seems that affinity can be measured for agonists at several G protein-coupled receptors with some accuracy. Values for agonist dissociation constants measured experimentally (K_{obs}) may deviate from the true ground-state affinity for full agonists (K_A) , although these differences are mostly not great providing assay conditions are carefully controlled. In consequence, experimentally determined affinity values may be used to guide drug discovery and may be used in efficacy determinations.

I have also described several ways to determine efficacy for agonists and some of these allow scales of efficacy to be constructed. One problem here is the potential dependence of efficacy on the assay read-out. There are many examples of differences in pharmacological profile for one receptor when different assay read-outs are used (Kenakin, 2005). It may be necessary, therefore, to construct efficacy scales for each assay read-out but this only emphasizes the importance of having accurate and easily quantifiable measures of efficacy.

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Conflict of interest

The authors state no conflict of interest.

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