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## 3 $\beta$ -HSD ACTIVATES DHEA IN THE SONGBIRD BRAIN

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### Abstract

Dehydroepiandrosterone (DHEA) is an abundant circulating prohormone in humans, with a variety of reported actions on central and peripheral tissues. Despite its abundance, the functions of DHEA are relatively unknown because common animal models (laboratory rats and mice) have very low DHEA levels in the blood. Over the past decade, we have obtained considerable evidence from avian studies demonstrating that (1) DHEA is an important circulating prohormone in songbirds and (2) the enzyme 3 $\beta$ -hydroxysteroid dehydrogenase/isomerase (3 $\beta$ -HSD), responsible for converting DHEA into a more active androgen, is expressed at high levels in the songbird brain. Here, we first review biochemical and molecular studies demonstrating the widespread activity and expression of 3 $\beta$ -HSD in the adult and developing songbird brain. Studies examining neural 3 $\beta$ -HSD activity show effects of sex, stress, and season that are region-specific. Second, we review studies showing seasonal and stress-related changes in circulating DHEA in captive and wild songbird species. Third, we describe evidence that DHEA treatment can stimulate song behavior and the growth of neural circuits controlling song behavior. Importantly, brain 3 $\beta$ -HSD and aromatase can work in concert to locally metabolize DHEA into active androgens and estrogens, which are critical for controlling behavior and robust adult neuroplasticity in songbirds. DHEA is likely secreted by the avian gonads and/or adrenals, as is the case in humans, but DHEA may also be synthesized de novo in the songbird brain from cholesterol or other precursors. Irrespective of its source, DHEA seems to be an important neurohormone in songbirds, and 3 $\beta$ -HSD is a key enzyme in the songbird brain.

### Keywords

3beta-HSD; adrenal; aggression; aromatase; brain; DHEA; estrogen; neurosteroid; season; song; sparrow; stress; testosterone; zebra finch

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## 1. Introduction

### 1.1. Hormone Action on Brain

Sex steroid hormones are critical for the development and function of the nervous system in all vertebrate species. Traditionally, the brain and spinal cord have been considered to be recipients of sex steroids produced by the gonads. This idea is based on a large body of evidence, starting with castration experiments in birds by Arnold Berthold in 1849. About 30 years ago, this idea was slightly modified when cytochrome P450 aromatase, or CYP19, was found in the brain (Naftolin et al., 1971,1975). Aromatase converts testosterone (T) into estradiol-17 $\beta$ (E<sub>2</sub>) (Fig. 1a), and this metabolism of T represents the final stages of T action. The same is true of brain 5 $\alpha$ -reductase, which converts T to 5 $\alpha$ -dihydrotestosterone (5 $\alpha$ -DHT; Celotti et al., 1992). Although these developments no longer portray the brain as a passive recipient of gonadal steroids, they still assume that the brain can only metabolize T that is produced elsewhere.

Dehydroepiandrosterone (DHEA; Fig. 1b) is an abundant circulating hormone in humans and, together with DHEA-S, constitutes the most abundant steroid hormone secreted by the human adrenal cortex (Migeon et al., 1957; Odell and Parker, 1984). DHEA has gained increased attention, and a vast array of effects of DHEA or DHEA-S have been documented on many tissues either by acting directly (Baulieu, 1997) or by serving as important prohormones (Labrie et al., 2005). DHEA is also situated in a critical position in the biosynthetic pathway of androgens and estrogens (Fig. 1) that act by traditional intranuclear receptor systems (Mellon and Griffin, 2002; Payne and Hales, 2004). The enzyme 3 $\beta$ -hydroxysteroid dehydrogenase (3 $\beta$ -HSD) catalyzes the conversion of DHEA into androstenedione (AE) (Fig 1b). If acted upon by one isoform of 17 $\beta$ -HSD (Labrie et al., 1997), this AE can then be converted into T and then to potent metabolites of T, such as 5 $\alpha$ -DHT and E<sub>2</sub>. Labrie and colleagues have coined the term “intracrinology” to describe the actions of 3 $\beta$ -HSD and downstream enzymes within target cells that utilize DHEA to synthesize active steroidal molecules (Labrie et al., 1995). In humans, the high concentration of DHEA(S) in blood and the conspicuous presence of steroid metabolizing enzymes in many peripheral tissues establishes the “intracrine” mechanism as a critical feature of human endocrine physiology.

DHEA has numerous actions on many tissues, including the prostate, mammary tissue, the immune system, bone and skin (Petrovsky, 2001; Labrie et al., 2005). More recently, attention has focused on DHEA actions on the brain. Some of these actions can be directly related to the capacity of DHEA and DHEA-S to modulate NMDA and GABA-A receptors (Compagnone and Mellon, 1998; Baulieu and Robel, 1998). However, DHEA has other reported functions that likely involve DHEA conversion into active androgens and/or estrogens. Prominent among these actions on brain is that DHEA and/or DHEA-S can influence developmental neurogenesis and neuronal survival and possibly protect the brain after neural injury in adults (Compagnone and Mellon, 1998; Kimonides et al., 1998; Cardounel et al., 1999; Marx et al., 2000; Li et al., 2001; Karishma and Herbert, 2002; Fiore et al., 2004). As we already conceive of circulating T functioning as a prohormone in the vertebrate brain (Naftolin et al., 1975; Balthazart, 1990; McEwen and Alves, 1999), it is a relatively small step to conceive of DHEA as functioning similarly. One impediment to achieving this conceptual breakthrough has been the absence of an appropriate animal model to explore this hypothesis. Outside of humans and some other primates, DHEA circulates at low levels in most species studied to date (Labrie et al., 2005).

Over the past 10 years, DHEA has been found to be an important circulating hormone in some bird species (Soma and Wingfield, 2001; Hau et al., 2004; Soma et al., 2004). Importantly, DHEA also has been shown to exert significant effects on the avian brain, activating behaviors and stimulating growth of some adult neural circuits (Soma et al., 2002). We have evidence

that that DHEA influences the avian brain as a prohormone. First, we have found that the enzyme  $3\beta$ -HSD is expressed and active in the songbird brain at surprisingly high levels. Together with aromatase and  $5\alpha$ -reductase,  $3\beta$ -HSD can convert DHEA into active androgens and estrogens. Second, treatments with  $E_2$  replicate some of the actions of DHEA. In the following sections, we review general properties of DHEA in vertebrates and then  $3\beta$ -HSD physiology and biochemistry in songbirds.

## 1.2. Dehydroepiandrosterone (DHEA) and its behavioral and neural effects

In humans, plasma levels of DHEA and DHEAS, together referred to as DHEA(S), are high during fetal life, low after birth, rise again at 6-10 years (“adrenarche”), peak during young adulthood, and decline dramatically thereafter (Rainey et al., 2002). High circulating DHEA (S) levels in children have been associated with aggressive behavior, specifically conduct disorder and oppositional defiant disorder (van Goozen et al., 1998; van Goozen et al., 2000). Prepubertal boys with conduct disorder have elevated plasma DHEAS but normal T levels (T levels are low prior to puberty in controls and boys with conduct disorder). Moreover, DHEAS levels are significantly correlated with the intensity of aggression. As discussed below, avian studies also indicate a role for DHEA in aggressive behavior (Soma, 2006; Demas et al., 2007).

In addition to their behavioral effects, DHEA and DHEAS have neuroprotective and neurotrophic effects in a variety of animal models (Bastianetto et al., 1999; Wolf and Kirschbaum, 1999; Schumacher et al., 2002). The mechanism for this DHEA action on the brain is not fully understood. The classic intracellular steroid receptors (e.g., androgen receptor) bind DHEA with very low affinity, and there is no conclusive evidence for an intracellular steroid receptor that is DHEA-specific (Mellon and Griffin, 2002). Nevertheless, many effects of DHEA on the nervous system, including neuroprotection, may depend on its conversion to sex steroids. For example, DHEA can protect the hippocampus from excitatory amino acid toxicity, but the effect can be blocked by administration of an aromatase inhibitor (Veiga et al., 2003). Estrogen is already known to be neuroprotective in many animal models (Wise, 2002; Garcia-Segura et al., 2003). We infer from these studies that  $3\beta$ -HSD and aromatase may function together in the mammalian brain to confer neuroprotective functions to DHEA.

## 1.3. $3\beta$ -HSD in brain

$3\beta$ -HSD is a membrane-bound enzyme responsible for the oxidation and the isomerization of the inactive  $\Delta^5$ - $3\beta$ -hydroxy steroids, pregnenolone and DHEA, into active  $\Delta^4$ -keto steroids, progesterone and androstenedione (AE) respectively (Payne and Hales, 2004). Humans have two isoforms of  $3\beta$ -HSD, whereas mice have six. Some of these isoforms catalyze the forward reaction described above, whereas others function as 3-ketosteroid reductases and likely inactivate some steroidal molecules. Isoforms that catalyze the conversion of DHEA to AE are dependent on the presence of nicotinamide adenine dinucleotide (NAD<sup>+</sup>) as a cofactor. It is this NAD-dependent reaction that we have identified and studied in the songbird brain, and it is the reaction (or isoform) that will be our focus for the remainder of this review.

$3\beta$ -HSD is critical for the synthesis of mineralocorticoids, glucocorticoids, androgens and estrogens in the classic steroidogenic tissues, such as gonads, adrenals, and placenta. It is also expressed in other tissues, including mammary tissue, skin, heart, fat, kidney, liver and the brain (Payne and Hales, 2004; Oh et al., 1998; Bumke-Vogt et al., 2002; Labrie et al., 2005; Mensah-Nyagan, 1994). In brain,  $3\beta$ -HSD activity and/or mRNA have been reported in neurons, astrocytes and oligodendrocytes in vitro (Zwain and Yen, 1999a, b). Perhaps the most widely recognized reaction catalyzed by  $3\beta$ -HSD involves the formation of progesterone from pregnenolone. Progesterone is known to influence neuronal myelination (Schumacher et al., 2001) and metabolites of progesterone can bind to GABA<sub>A</sub> receptors, thereby potentiating

neuronal hyperpolarization (Majewska, 1992). Consequently, most studies of neural 3 $\beta$ -HSD have focused on its role in progesterone synthesis (Mensah-Nyagan et al., 1998; Coirini et al., 2002; Coirini et al., 2003b; Coirini et al., 2003a; Tsutsui et al., 2003; Soma et al., 2005). The metabolism of DHEA by neural 3 $\beta$ -HSD has received much less attention. We hypothesize that DHEA is an important substrate for brain 3 $\beta$ -HSD, providing a mechanism for the local synthesis of neurally active androgens and estrogens in the songbird brain

#### 1.4. Songbirds as models for neuroendocrine research

Songbird research is well-established in the behavioral neuroscience community (Konishi et al., 1989; Wingfield, 2005; Goodson et al., 2005). Singing is a learned behavior, as is human speech, and song is used in reproductive and aggressive contexts to attract mates and repel intruders, respectively. Importantly, singing is a natural behavior with clear functions and biological relevance. Numerous studies have examined the hormonal and neural bases of song production (Schlinger and Brenowitz, 2002). Singing is controlled by a network of discrete, steroid-sensitive brain regions (song nuclei; see Fig 2b). Androgen receptors are expressed in several song nuclei, and estrogen receptors are expressed in the HVC of some species. Interestingly, there is dramatic and natural neuroplasticity in the adult songbird brain, including the growth and shrinkage of entire song nuclei across the seasons and robust adult neurogenesis in much of the forebrain (Tramontin and Brenowitz, 2000). Adult neurogenesis in a homeothermic vertebrate was first conclusively demonstrated in songbirds, eventually leading to similar discoveries in rodents and humans (Nottebohm, 1996). In addition, adult neural progenitors were first identified as fully differentiated glia in songbirds (Alvarez-Buylla et al., 1990), again leading to similar discoveries in mammals (Alvarez-Buylla et al., 2001). Other key studies in songbirds have shown high levels of aromatase in the telencephalon, including the region surrounding HVC, and clarified the functions and regulation of brain aromatase (Schlinger, 1997; Saldanha et al., 2000). These discoveries and the first demonstration of gross sex differences in the vertebrate brain (Nottebohm and Arnold, 1976) are just some of the important contributions of this system to the study of behavior and neurobiology.

Many researchers studying DHEA actions agree that progress has been severely hampered by the lack of small animal models e.g. (Allolio and Arlt, 2002). Non-human primates, like humans, have high levels of plasma DHEA(S) (Sapolsky et al., 1993), but primate studies can be very difficult logistically. Traditional small animal models, such as rats and mice, have very low or non-detectable levels of plasma DHEA(S) and no adrenal DHEA production (Baulieu and Robel, 1996). Importantly, almost all rat and mouse studies looking at the effects of DHEA treatment have used DHEA doses far above the physiological range of these species, making the results difficult to interpret (Thijssen and Nieuwenhuyse, 1999). In contrast, several lines of evidence indicate that songbirds and other birds are good small animal models in which to investigate the actions and mechanisms of DHEA in the nervous system (Tsutsui and Yamazaki, 1995; Miguez et al., 2002).

#### 1.5. Songbird hormones and behavior in the field

Many songbird species are seasonal breeders (Wingfield and Farner, 1993). In seasonally breeding birds, the gonads grow prior to the breeding season and regress after the termination of breeding. During the spring (breeding season), the gonads are active, and plasma sex steroid levels are high. During the autumn and winter (non-breeding season), the gonads are regressed, and circulating sex steroids are generally low or non-detectable. Singing and territorial behavior, which are sex steroid-dependent, are often elevated during the breeding season and reduced or absent during the non-breeding season (Schlinger and Brenowitz, 2002). However, there are also many avian species in which singing and territorial aggression are robustly expressed in the non-breeding season, when circulating sex steroids are low (see below).

Free-living songbirds are often more aggressive and typically have higher circulating hormone levels than captive songbirds (Wingfield and Farner, 1993). In addition, testing conditions in the laboratory can have large unexpected effects on behavior, particularly when animals are forced to interact in constrained spaces. For these reasons, field studies can be an important complement to laboratory studies when studying behavior and neuroendocrinology.

## 2. $3\beta$ -HSD in the songbird brain

### 2.1. $3\beta$ -HSD activity in songbird brain dissociated cell cultures

$3\beta$ -HSD activity was first identified in the avian brain using primary cell cultures of the developing zebra finch telencephalon (Vanson et al, 1996). Previously, similar cultures prepared from zebra finches (1-5 days posthatching) and grown for 7 to 30 days *in vitro*, were found to contain a mixture of neurons and glia. When they were incubated with  $^3\text{H}$ -AE, considerable amounts of  $^3\text{H}$ -estrogens, as well as  $^3\text{H}$ -5 $\alpha$ - and  $^3\text{H}$ -5 $\beta$ -reduced metabolites of  $^3\text{H}$ -AE were formed (Schlinger et al, 1994; Wade et al., 1995). Upon exposure to  $^3\text{H}$ -DHEA, not only was  $^3\text{H}$ -AE detected in the culture medium, but the products of  $^3\text{H}$ -AE metabolism were also identified, including  $^3\text{H}$ -estrogens (Vanson et al, 1996). Product identities were confirmed after biochemical and chromatography separation followed by recrystallization procedures.  $^3\text{H}$ -Estrogens formed from  $^3\text{H}$ -DHEA were substantially reduced or eliminated by the inclusion of a radioinert AE "cold trap" that substantially reduces further metabolism of newly formed  $^3\text{H}$ -AE. The inclusion of an effective aromatase inhibitor also blocked the formation of  $^3\text{H}$ -estrogens from  $^3\text{H}$ -DHEA. These data clearly showed that  $3\beta$ -HSD was active in these cultures and functioned coordinately with other steroid metabolic enzymes like 5 $\alpha$ -reductase and aromatase, to create potent androgens and estrogens. We also isolated  $^3\text{H}$ -progesterone from the culture medium after cells were exposed to  $^3\text{H}$ -pregnenolone (Vanson et al, 1996). At the time, we knew little about pregnenolone availability to the songbird brain, so we did not examine this pathway further. Nevertheless, this result provided additional confirmation of  $3\beta$ -HSD activity in the cell cultures and suggests  $3\beta$ -HSD in the songbird brain may naturally metabolize pregnenolone in addition to DHEA.

These studies confirmed  $3\beta$ -HSD activity in dissociated cell cultures, but it remains critical to measure  $3\beta$ -HSD *in vivo*. This issue was particularly important because we had identified aromatase in astrocytes cultured from the songbird brain (Schlinger et al., 1994) and these cells might only express aromatase *in vivo* after neural injury (Peterson et al., 2001). These results raised the concern that steroid metabolism in dissociated cell cultures might not reflect steroid metabolism in the uninjured brain. Subsequent experiments using brain tissue that was not cultured confirmed  $3\beta$ -HSD activity in songbird brain (see below)

### 2.2. $3\beta$ -HSD activity in songbird brain homogenates

There is strong evidence that DHEA is converted *in vitro* to androgens and estrogens by songbird brain homogenates (Soma et al 2004; London et al, 2006). In these studies, brain tissue was homogenized and then incubated with  $^3\text{H}$ -DHEA. The  $3\beta$ -HSD cofactor, NAD<sup>+</sup>, was provided to brain homogenates. In some studies, a "cold trap" of radioinert AE was used during incubations. The AE cold trap prevents subsequent metabolism of formed  $^3\text{H}$ -AE. After the incubation, steroids are extracted and then separated using thin layer chromatography (TLC) or high performance liquid chromatography (HPLC).

Adult and developing zebra finches demonstrate high  $3\beta$ -HSD activity in brain homogenates (Soma et al, 2004; London et al, 2007). In adult zebra finches, brain homogenates metabolize  $^3\text{H}$ -DHEA to  $^3\text{H}$ -AE. In the absence of an AE cold trap, the formed  $^3\text{H}$ -AE is subsequently aromatized to  $^3\text{H}$ -estrone (small amounts of  $^3\text{H}$ -estradiol were also detected). Trilostane, a specific  $3\beta$ -HSD inhibitor, was added to the incubation, and trilostane abolished

the metabolism of  $^3\text{H}$ -DHEA to  $^3\text{H}$ -AE and  $^3\text{H}$ -estrogens (Fig 2a). Fadrozole, an aromatase inhibitor, reduced the formation of  $^3\text{H}$ -estrogens but not  $^3\text{H}$ -AE. Lastly, tritiated products were recrystallized (3 times) to constant specific activity to confirm product identity. Recently, we have confirmed and extended these results using HPLC coupled to flow scintillation detection (Pradhan and Soma, 2006). Interestingly, recent studies indicate that brain  $3\beta$ -HSD activity is much higher in supernatants (following 1000g centrifugation to pellet whole cells and cell nuclei), compared to whole homogenates (as in Coirini et al., 2003b; Soma et al., 2005).

Wild adult song sparrows also have high  $3\beta$ -HSD activity in brain homogenates. Song sparrow brain homogenates convert  $^3\text{H}$ -DHEA to  $^3\text{H}$ -AE and  $^3\text{H}$ -estrogens, with highest levels of  $3\beta$ -HSD activity in the diencephalon and telencephalon (unpublished results). Similar to the above studies in zebra finches, trilostane reduced  $^3\text{H}$ -AE production, and fadrozole reduced  $^3\text{H}$ -estrogen production.

### 2.3. $3\beta$ -HSD activity in songbird brain slices

$3\beta$ -HSD activity can also be measured in freshly prepared slices of the adult and developing zebra finch brain that contain no added co-factors (Fig 2c; Tam and Schlinger, 2007). Because these slices represent a relatively intact condition, with cells not disturbed or artificially mixed by homogenization, we believe they reflect the activity most likely to be found in vivo. For example, requisite cofactors must be co-localized with enzymes to drive reactions in cells within the slices. In addition, for sequential reactions to occur, the enzymes catalyzing steroid-metabolism must be in cells that are sufficiently close to each other to be captured together within the slice. Under these conditions, we can readily detect conversion of DHEA to estrogens as well as  $5\alpha$ - and  $5\beta$ -reduced androgens. The activities of  $3\beta$ -HSD isoforms that catalyze the forward reaction ( $^3\text{H}$ -DHEA to  $^3\text{H}$ -AE) are dependent on the presence of nicotinamide adenine dinucleotide (NAD<sup>+</sup>) as a cofactor (Payne and Hales, 2004). Our results indicate that the hydroxysteroid dehydrogenase/isomerase isoform of  $3\beta$ -HSD occurs in brain and that NAD<sup>+</sup> is present in brain cells that express  $3\beta$ -HSD. Aromatase, on the other hand, utilizes NADPH as an electron donor to catalyze the formation of an aromatic ring on the androgenic substrate (Payne and Hales, 2004). Because tritiated substrates are acted upon by both  $3\beta$ -HSD and aromatase in these slices, these results suggest that either the same cells express both enzymes and co-factors, or the enzymes are present in separate cells that are spatially co-localized within the slice.

### 2.4. Regulation of songbird brain $3\beta$ -HSD activity

The regulation of brain  $3\beta$ -HSD in vertebrates has received little attention. This is a critical gap in our knowledge, because identifying the environmental and endocrine factors that regulate the metabolism of DHEA will provide important clues to its neural functions.

In adult zebra finches, baseline brain  $3\beta$ -HSD activity is higher in females than males (Soma et al., 2004), and this is the first report of a sex difference in brain  $3\beta$ -HSD. Interestingly, acute stress (10 min restraint) rapidly decreases  $3\beta$ -HSD activity in females but not males. Thus, in stressed zebra finches, the sex difference is reversed, and males have higher  $3\beta$ -HSD activity than females (Soma et al., 2004). This is the first report of rapid regulation of  $3\beta$ -HSD activity in any tissue. In breeding male song sparrows, stress also rapidly affects  $3\beta$ -HSD activity. However, in male song sparrows, acute stress increases brain  $3\beta$ -HSD activity (unpublished results).

In wild song sparrows under natural conditions, there are seasonal changes in brain  $3\beta$ -HSD activity. Male song sparrows are interesting in that they are aggressive year-round, except briefly during molt (annual replacement of feathers) after breeding (Wingfield and Hahn, 1994; Soma and Wingfield, 1999). In particular, song sparrows are aggressive during the non-

breeding season (autumn and winter), when plasma T, AE, and E<sub>2</sub> levels are non-detectable. Nonetheless, plasma DHEA levels are detectable during the non-breeding season (see below). We examined brain 3 $\beta$ -HSD activity in free-living male song sparrows caught during the breeding season, molt, and non-breeding season. Interestingly, brain 3 $\beta$ -HSD activity was upregulated during the non-breeding season, when aggression is high and plasma T levels are low.

Ongoing studies are examining endocrine factors that regulate 3 $\beta$ -HSD activity under controlled conditions *in vitro*. Such studies have been useful in understanding the regulation of 3 $\beta$ -HSD in gonads and adrenals, and should also prove useful for studying brain 3 $\beta$ -HSD. For example, endogenous steroids can regulate their synthesis via local feedback loops (Gower and Cooke, 1983). Since E<sub>2</sub> is a potent downstream product of DHEA metabolism, we studied the *in vitro* effects of E<sub>2</sub> on 3 $\beta$ -HSD activity in zebra finch brain homogenates and supernatants (Pradhan and Soma, 2006). We found a dose-dependent inhibitory effect of E<sub>2</sub> on 3 $\beta$ -HSD activity. These effects of E<sub>2</sub> occurred within only 10 min, indicating a rapid effect of E<sub>2</sub> on 3 $\beta$ -HSD activity. Moreover, these rapid E<sub>2</sub> effects were present in supernatants that lack intact cells and cell nuclei, which also argues against a genomic effect of E<sub>2</sub>. Interestingly, E<sub>2</sub> was more effective at inhibiting 3 $\beta$ -HSD in females than males, similar to the effects of acute stress (Soma et al., 2004). In the future, songbird studies should examine the *in vitro* effects of GABA, endozepines, and Neuropeptide Y, as in previous frog studies (Mensah-Nyagan et al., 1999).

Taken together, these studies of songbirds indicate that brain 3 $\beta$ -HSD can be regulated on a short timescale (minutes) and longer timescale (days to weeks). Rapid regulation could involve changes in the phosphorylation of 3 $\beta$ -HSD, similar to aromatase (Balthazart et al., 2001) and P450c17 (Zhang et al., 1995). Long-term regulation might involve changes in gene transcription and translation (as in Soma et al., 2005).

## 2.5. Molecular biology of songbird 3 $\beta$ -HSD

Using primers based on chicken 3 $\beta$ -HSD sequence, we amplified 3 $\beta$ -HSD cDNA from several adult and developing zebra finch brain regions (London et al., 2006). Based on these PCR products, we generated zebra finch specific subclones and used these as templates for probes used to screen zebra finch cDNA libraries, for Northern blots and for *in situ* hybridization experiments. Full-length zebra finch 3 $\beta$ -HSD cDNAs were then isolated from both a testicular and a whole brain cDNA libraries. The nucleotide sequences of these cDNAs were 96% identical and likely coded for the same mRNA. They contained an open reading frame of 1134 bps and a predicted amino acid sequence of 377 amino acids, similar to 3 $\beta$ -HSD of other species. The clones shared 86% nucleotide sequence similarity with the chicken, the only other avian 3 $\beta$ -HSD sequence available.

Neural 3 $\beta$ -HSD expression was confirmed by Northern blot analyses. Five transcripts were identified in the ovary and testes, with at least two of these transcripts (1.6 and 3.0 kb) visible in brain. Multiple 3 $\beta$ -HSD transcripts are present in mammalian tissues (Bain et al., 1991; Zhao et al., 1991; Guennoun et al., 1995) and these correspond with multiple protein isoforms (Payne and Hales, 2004). We have no evidence for more than one 3 $\beta$ -HSD protein in the zebra finch.

*In situ* hybridization showed, as expected, 3 $\beta$ -HSD expression over testicular interstitial cells and ovarian follicular cells in tissues from both adult and developing zebra finches (Freking et al., 2000; London et al., 2006; London and Schlinger, 2007). In brain, 3 $\beta$ -HSD hybridization was also present in juvenile (Fig 3) and adult birds of both sexes. At posthatch day 20 and in adults, hybridization patterns were similar with low to moderate levels of expression seen in specific nuclei in the telencephalon, diencephalon, midbrain and hindbrain (London et al., 2006). Hybridization was conspicuous in the hippocampus, the lateral striatum, and nucleus

taeniae (homologue for the mammalian medial amygdala), the preoptic area (POA), and ventromedial nucleus (VMN). Many of these areas also express aromatase (Shen et al., 1995; Saldanha et al., 2000) but we do not know if these enzymes are expressed in the same, or in different cells. In addition, several nuclei of the avian song control system expressed 3 $\beta$ -HSD including HVC, RA, IMAN and area X. Nucleus HVC does not itself express aromatase, but is innervated by aromatase-positive fibers (Saldanha et al., 2000) and synapses (Peterson et al., 2005). It is possible that cells expressing 3 $\beta$ -HSD are innervated by aromatase-positive synapses and in this way can produce estrogens from DHEA.

Notably, at posthatch days 1 and 5, ages when the brain is growing markedly and the neural song system is beginning to form, hybridization was most conspicuous along the lateral margins of the lateral ventricles (Fig 3; London and Schlinger, 2007). It is the lateral ventricles that contain the proliferative layers of the developing avian telencephalon. The subventricular zone contains active neural stem cells and the cell bodies of radial glia (Goldman et al., 1996). Mitotic activity is high at P1-P5 (DeWulf and Bottjer, 2002,2005) so 3 $\beta$ -HSD is positioned to metabolize or synthesize steroids that could assist with appropriate proliferation or with the differentiation, migration or survival of newly born cells.

## 2.6. 3 $\beta$ -HSD as part of a neurosteroid synthetic pathway in songbirds

It is important to recognize that the songbird brain expresses several other enzymes and transporters in the steroidogenic pathway giving it the capacity to synthesize steroids de novo (Schlinger et al., 2001). Steroids synthesized entirely within the nervous system have been called “neurosteroids” (Baulieu, 1991). We have evidence for the mRNA expression of StAR (steroidogenic acute regulatory protein), CYP11A1 (side-chain cleavage enzyme), CYP17 (17 $\alpha$ -hydroxylase enzyme) and aromatase, as described above (Shen et al., 1995; London et al., 2003, 2006; London and Schlinger, 2007). Expression of these factors is seen in brains of developing and adult zebra finches of both sexes. In several brain regions, these factors exhibit spatial overlap with each other and with 3 $\beta$ -HSD. Consequently, the zebra finch brain likely has the capacity to synthesize steroids de novo with 3 $\beta$ -HSD as a component of a more complex neurosteroidogenic environment. Studies are underway to determine the extent to which these enzymes and transporters control local neural steroid concentrations.

## 3. Dehydroepiandrosterone (DHEA) in songbirds

### 3.1. Circulating DHEA levels

As mentioned above, in some songbirds, such as the male song sparrow, singing and territorial aggression are robustly displayed during the non-breeding season, even though plasma sex steroid levels are non-detectable in winter (Soma and Wingfield, 1999). Moreover, castration does not decrease aggressive behavior in non-breeding song sparrows (Wingfield, 1994). In contrast, inhibition of aromatase does decrease non-breeding aggression, indicating a role for estrogens (Soma et al., 1999, 2000, 2003). Because T and AE (aromatizable androgens) are not detectable in the circulation of non-breeding song sparrows, adrenal DHEA might provide an indirect source of sex steroids for the brain in winter.

In song sparrows, plasma DHEA is indeed detectable and several times higher than plasma T during the non-breeding season (Fig 4a; Soma and Wingfield, 2001; Newman and Soma, 2006). DHEA concentrations are high in the adrenals and regressed testes of non-breeding birds, suggesting that both adrenals and testes may secrete DHEA at this time of year (Soma and Wingfield, 2001). However, neither acute restraint stress nor GnRH injections increased plasma DHEA concentrations, leaving the question of DHEA regulation unresolved. Interestingly, seasonal changes in plasma DHEA in song sparrows correlate with seasonal changes in male aggressive behavior (Soma et al., 2001), with lowest levels of both at molt.



Such neuroendocrine mechanisms may be present in many other avian species. For example, many birds that breed in the tropics defend territories year-round and have very low levels of circulating sex steroids throughout the year (Goymann et al., 2004). One such species is the spotted antbird. In this species, both sexes sing and aggressively defend territories year-round (Hau et al., 2000). Spotted antbirds have basal plasma T and E<sub>2</sub> levels, even during the breeding season, except for transient increases during territorial encounters (Hau et al., 2000). Combined treatment with an aromatase inhibitor (ATD) and an androgen receptor antagonist (flutamide) decreased male aggressive vocalizations in the breeding season, even though plasma T was non-detectable in control subjects. In the non-breeding season, male and female spotted antbirds show high levels of territorial aggression towards same-sex intruders (Hau et al., 2004). Relative to plasma concentrations of T and E<sub>2</sub> (low or non-detectable), plasma concentrations of DHEA are elevated in males and females. In males, plasma DHEA levels are positively correlated with aggressive vocalizations and/or the duration of territorial intrusions (Hau et al., 2004). Other avian species that display aggression during the non-breeding season may use similar mechanisms (Spinney et al., 2006).

### 3.2. Behavioral and neuroanatomical effects of DHEA treatment

The effects of DHEA treatment on adult songbirds have been examined. Like breeding birds, non-breeding song sparrows defend territories and use songs in that context. These birds were given a *physiological* dose of DHEA for two weeks (Soma et al., 2002). DHEA treatment increased territorial singing behavior but not other typical territorial behaviors. This is the first demonstration of DHEA effects on male-male aggression in any animal. DHEA treatment also had marked effects on the adult brain (Fig 4b) and increased the size of a forebrain song nucleus (HVC) by ~50% (to maximal spring size). This is one of the largest reported effects of DHEA on the adult brain. The effects of DHEA treatment on neuroanatomy are similar to the effects of T or E<sub>2</sub> treatment (Soma et al. 2004), suggesting that DHEA stimulates growth of nucleus HVC after metabolism by 3 $\beta$ -HSD and aromatase. Importantly, unlike T treatment, DHEA treatment does not increase growth of a peripheral secondary sex character used during reproduction (the cloacal protuberance) or suppress immune function (Soma et al., 2002; Owen-Ashley et al., 2004). Thus, exogenous DHEA has pronounced effects on behavior and the central nervous system, with reduced effects on peripheral steroid targets.

The effects of DHEA treatment on developing songbirds have also been examined. In zebra finches, we have detected circulating DHEA during the first week post-hatch. Developing female zebra finches (1 to 3 days post-hatch) were implanted subcutaneously with either DHEA or control pellets. Subjects were sacrificed during adulthood (100 days post-hatch) for neuroanatomical measurements. Volumes of song nuclei and cell sizes and neuronal density were determined. DHEA-treated females had a greater density of HVC neurons and tended to have larger HVC neurons (unpublished data). Although modest, these effects suggest developmental roles for DHEA in songbirds.

## 4. Conclusions

Models of steroid action have become increasingly complex as we have come to appreciate the steroid metabolic and synthetic properties of the brain (Fig. 5). Following the identification of aromatase and 5 $\alpha$ -reductase in the male brain as well as masculine neural estrogen and androgen actions, we now consider circulating T as a prohormone. In songbirds, brain 3 $\beta$ -HSD and aromatase can function together to convert DHEA into active androgens and estrogens, and some neural actions of DHEA can be mimicked by E<sub>2</sub>. We conclude that circulating DHEA, like T, functions as a prohormone that is activated in the brain by appropriate steroid metabolic reactions (Fig. 5).

Because of its position within the larger steroidogenic pathway, DHEA provides several advantages as a steroidal signaling molecule. DHEA may affect the brain but have little impact on other steroid target tissues that lack 3 $\beta$ -HSD. As a consequence, DHEA can function as an important prohormone during the non-breeding season to stimulate aggressive behavior without inappropriately stimulating peripheral reproductive tissues. This appears to be a critical function of DHEA in some species that aggressively defend territories during non-breeding seasons (Wingfield et al., 2001).

Many questions about the physiology of DHEA and the role of brain 3 $\beta$ -HSD remain unanswered. For example, regulation of DHEA metabolism by 3 $\beta$ -HSD in the avian brain remains largely unknown. Studies in amphibians provide critical insights into the regulation of 3 $\beta$ -HSD activity by GABA and endozepines, which are important regulators of aggression and anxiety (Mensah-Nyagan et al., 2001). In addition, little is known about the sites of synthesis and regulation of DHEA in songbirds, and these remain critical issues for future studies. Direct neural application of trilostane, the 3 $\beta$ -HSD inhibitor, to freely behaving birds should yield important insights as well.

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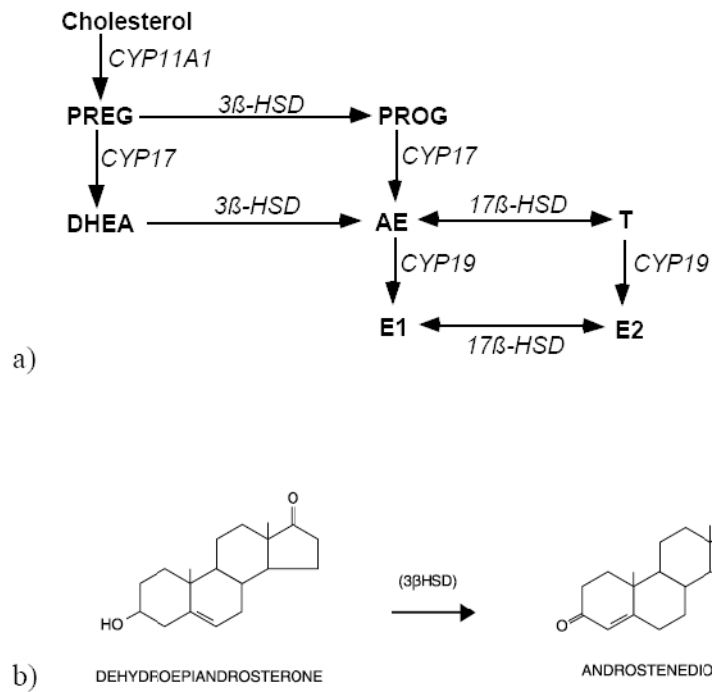
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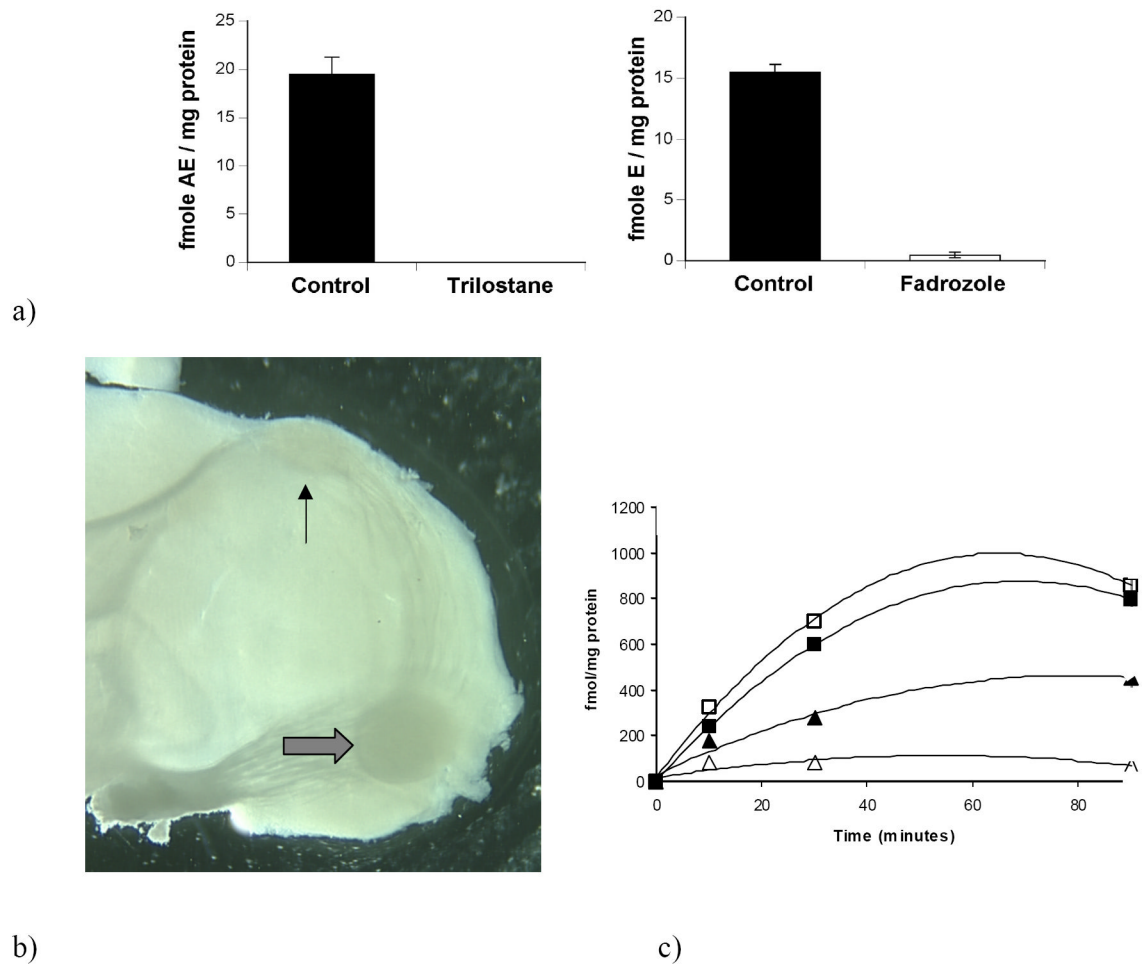
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**Figure 1.**

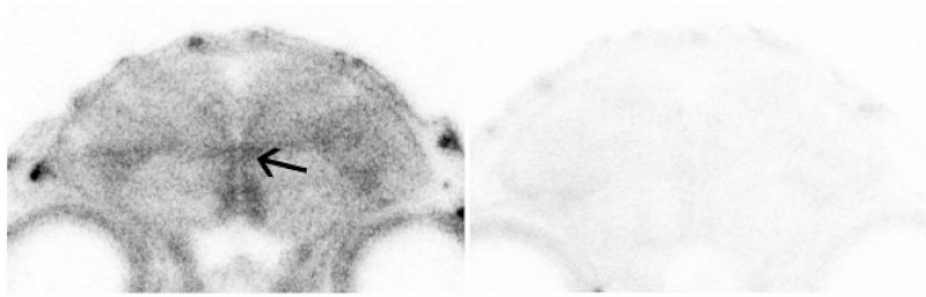
Simplified diagrams of sex steroid synthesis. a) Steroids are in bold; enzymes are in italics. Steroids: pregnenolone=PREG; progesterone=PROG; dehydroepiandrosterone=DHEA; androstenedione=AE; testosterone=T; estrone=E<sub>1</sub>; estradiol=E<sub>2</sub>. Enzymes: cytochrome P450 side-chain cleavage=CYP11A1; cytochrome P450 17 $\alpha$ -hydroxylase/C17,20 lyase=CYP17; 3 $\beta$ -hydroxysteroid dehydrogenase/isomerase=3 $\beta$ -HSD; 17 $\beta$ -hydroxysteroid dehydrogenase=17 $\beta$ -HSD; aromatase=CYP19; b) 3 $\beta$ -HSD catalyzes the oxidation and isomerization of DHEA into AE.



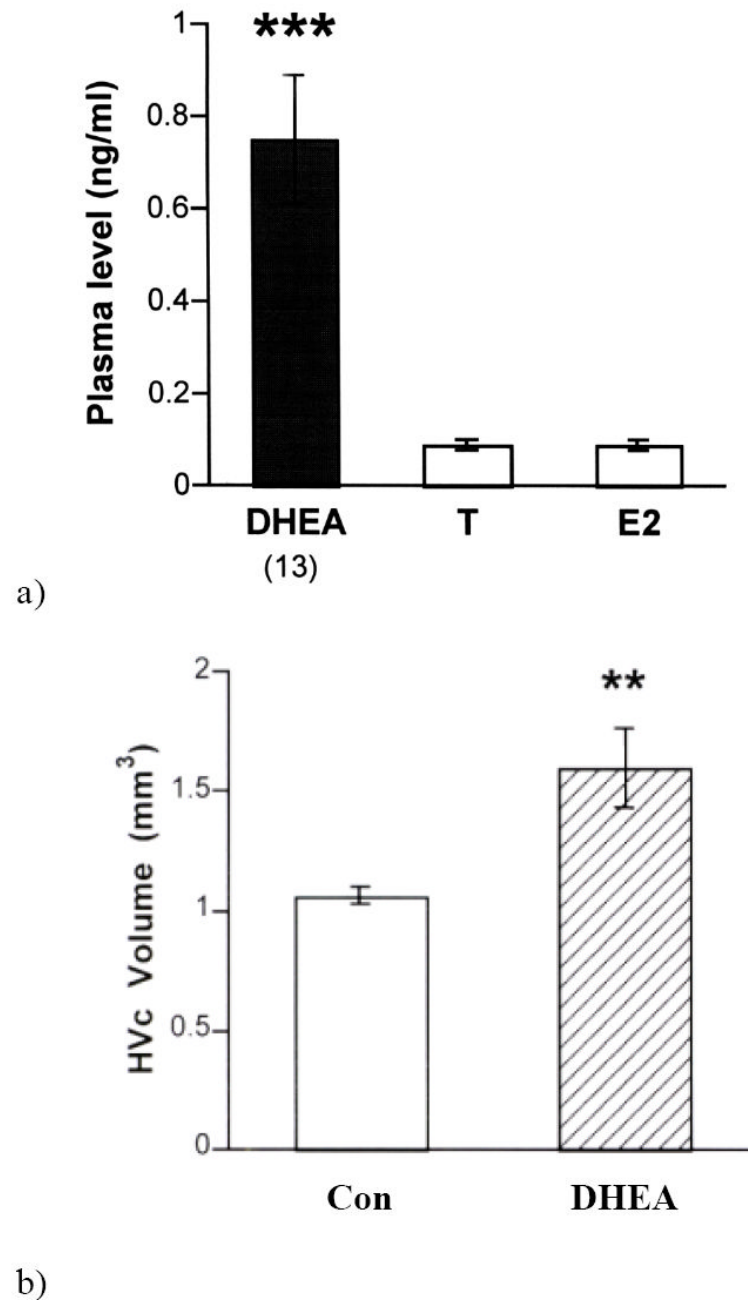


**Figure 2.**

a) Conversion of  $^3\text{H}$ -DHEA into  $^3\text{H}$ -AE (left) and  $^3\text{H}$ -estrogens (right) by homogenates of the adult zebra finch telencephalon. The  $3\beta$ -HSD inhibitor trilostane abolished  $^3\text{H}$ -AE production (left); the aromatase inhibitor Fadrozole decreased  $^3\text{H}$ -estrogen production by 97% (right; from Soma et al., 2004); b) Caudal view of a 300 $\mu\text{m}$  thick parasagittal slice of an adult male zebra finch telencephalon containing two song system motor nuclei: robust nucleus of the arcopallium (RA, thick arrow) and HVC (thin arrow). Note the tract that runs from HVC to RA; c) Incubation of male telencephalic slices with 200nM  $^3\text{H}$ -DHEA for 10, 30, and 90 mins in the presence or absence of the  $3\beta$ HSD inhibitor trilostane. Tritiated estrogens and 5 $\alpha$ -androstane-3-one (5 $\alpha$ -A) were isolated and quantified: ▲, estrogens; △, estrogens + trilo; ■, 5 $\alpha$ A; □, 5 $\alpha$ A + trilo (from Tam and Schlinger, 2007).

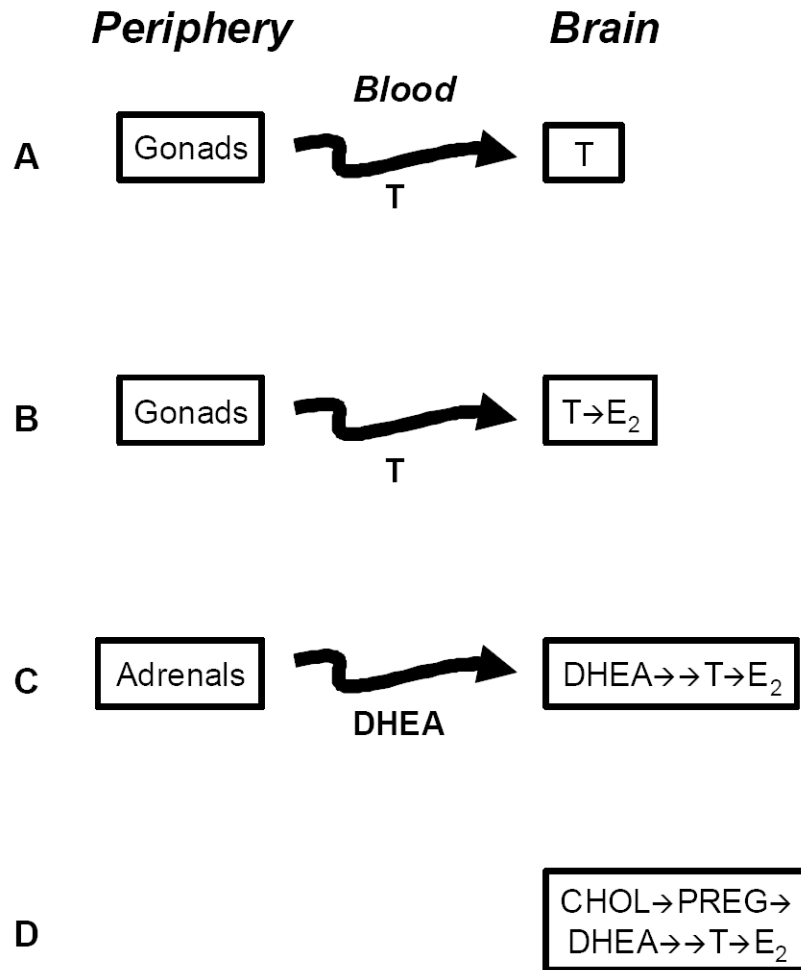
**Figure 3.**

In situ hybridization for 3 $\beta$ -HSD mRNA in the anterior part of the brain of a juvenile male zebra finch (posthatch day 5). Expression is observed along the lateral ventricle (arrows point to intermediate portion of right hemisphere lateral ventricle) with antisense (left) but not sense (right) hybridizations (from London et al., 2007).



**Figure 4.**

a) Plasma dehydroepiandrosterone (DHEA) levels in wild adult male song sparrows in the non-breeding season (autumn and winter). Plasma levels of DHEA were significantly higher than plasma testosterone (T) and estradiol (E2) levels. Plasma concentrations of T and E2 were non-detectable (< 0.1 ng/ml). From Soma et al. (2001); b) Effect of DHEA treatment on adult song system neuroanatomy. Compared to controls (CON), DHEA treatment significantly increases the volume of HVC. Overall telencephalon volume is not affected by DHEA treatment \*\* $p < 0.01$ . (from Soma et al., 2002).



**Figure 5.**

Changing concepts of sex steroid actions on the vertebrate brain. A) Brain as a simple target of gonadal testosterone (T); B) T as a prohormone: the brain expresses aromatase to metabolize T into estradiol (E<sub>2</sub>); C) DHEA as a prohormone secreted by the adrenals: the brain expresses 3β-HSD and aromatase to metabolize DHEA into active androgens and estrogens; D) the brain expresses all steroidogenic enzymes and transporters needed to convert cholesterol (Chol) into pregnenolone (Preg), DHEA, and active androgens (T) and estrogens (E<sub>2</sub>) (From Soma 2006).