# **OBSTRUCTIVE SLEEP APNEA**

# Coronary Blood Flow Becomes Uncoupled from Myocardial Work during Obstructive Sleep Apnea in the Presence of Endothelial Dysfunction

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**Study Objectives:** Patients with obstructive sleep apnea (OSA) and coronary artery disease have a poor long-term prognosis. It is unknown whether the coronary blood flow (CBF) response to OSA is appropriate for myocardial metabolic requirements. Therefore, CBF was assessed during OSA, before and after the development of coronary artery endothelial dysfunction.

Setting: University Hospital Animal Laboratory.

Patients or Participants: Newborn lambs.

**Interventions:** Lambs were surgically instrumented for invasive hemodynamic monitoring and sleep-wake EEG recordings. A tracheostomy was inserted to control the upper airway and model OSA during sleep. Coronary artery endothelial dysfunction was created using infusions of lipopolysaccharide (LPS). The CBF response during OSA was assessed and compared to changes in myocardial work (rate-pressure product [RPP]), O<sub>2</sub> saturation, and cortical arousal, before and after the LPS infusions.

OBSTRUCTIVE SLEEP APNEA (OSA) IS CHARACTER-IZED BY REPETITIVE COLLAPSE OF THE UPPER AIR-WAY DURING SLEEP. THIS COLLAPSE MAY BE COM-PLETE, leading to apnea, or partial, leading to hypopnea. It is a common condition affecting 24% of men and 9% of women aged 30 to 60 years<sup>1</sup> and has been associated with a variety of cardiovascular disorders, including coronary artery disease (CAD).<sup>2</sup> There is clinical evidence suggesting that patients with both OSA and CAD have a worse prognosis than those with either disorder alone. In patients with CAD, the presence of untreated OSA is an independent predictor of mortality during prospective follow-up,<sup>3,4</sup> and nocturnal ischemia is common if OSA and CAD coexist.<sup>5</sup> Furthermore, it has recently been reported that patients with OSA have a greater likelihood of nocturnal sudden cardiac death than a control population.<sup>6</sup>

The mechanisms underlying this poor cardiac prognosis are incompletely understood, but abnormalities of coronary blood flow during OSA are likely be involved. The myocardium has limited anaerobic capacity, and under resting conditions a high

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**Measurements and Results:** During OSA, CBF increased by 8.6% ± 2.4% above baseline in the pre-LPS condition and 8.8% ± 1.9% post-LPS, peaking following termination of the respiratory event. Pre-LPS, change in CBF post-apnea was independently correlated with change in RPP (R<sup>2</sup> = 0.50), minimum SpO<sub>2</sub> (R<sup>2</sup> = 0.11) and the presence of cortical arousal (R<sup>2</sup> = 0.04) (P < 0.01, forward stepwise regression analysis). Following LPS, the only predictor of CBF was degree of O<sub>2</sub> desaturation (R<sup>2</sup> = 0.14, P < 0.05).

**Conclusion:** Under baseline conditions, CBF correlates well with myocardial work following the termination of apnea in lambs. After the creation of coronary artery endothelial dysfunction with LPS, there is uncoupling of the normal CBF-myocardial work relationship.

**Keywords:** Sleep apnea, obstructive; coronary circulation; endothelium, vascular

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proportion of delivered  $O_2$  is extracted from the coronary blood. Therefore, whenever there is elevated myocardial  $O_2$  consumption, such as from rises in heart rate or blood pressure, myocardial  $O_2$  requirements are largely met via increases in coronary blood flow.<sup>7</sup> In OSA there are repetitive increases in myocardial  $O_2$  utilization (commonly referred to as myocardial work), with rises in heart rate and blood pressure occurring predominantly following termination of the apnea.<sup>5,8,9</sup> Therefore, this post-apneic period may represent a time of elevated risk for patients if coronary blood flow (CBF) does not increase adequately to match myocardial work.

Whether CBF increases appropriately to match myocardial work during OSA is unclear, as is the role of the coronary endothelium in this setting. Patients with CAD (or risk factors such as diabetes and hypertension) have coronary artery endothelial dysfunction,<sup>10-12</sup> and the effect of this on the CBF response in OSA is unknown. The coronary endothelium is a source of vasoactive mediators and is important in regulating CBF at rest and following metabolic stimulation.<sup>13</sup> Endothelial dysfunction results in impaired release of vasodilator substances, such as nitric oxide, and may therefore potentially affect CBF regulation during OSA. This may result in patients with CAD and endothelial dysfunction being more at risk of adverse outcomes from OSA than those who have normal coronary arteries.

The roles played by cortical arousal and, particularly, hypoxia in driving the hemodynamic responses to OSA are also uncertain. Prior animal models have shown conflicting results as to the most important mediator of CBF change with OSA – hypoxia or activation of the sympathetic nervous system (with rises in heart rate and blood pressure).<sup>14,15</sup> Clarifying this area

is important, particularly given that the bulk of clinical disease is mild to moderate in severity, and only associated with minimal  $O_2$  desaturation.<sup>1</sup> If the coronary hemodynamic changes of OSA occur independently of the associated hypoxia, then milder forms of the disease potentially take on more significance, particularly in patients with underlying cardiac disease.

To evaluate these questions we designed a study to assess CBF during OSA in lambs before and after the development of coronary artery endothelial dysfunction. We examined the relationship of CBF to myocardial work, hypoxia, and arousal during apneas and hypopneas of varying severity, and thereafter, repeated these measurements following the creation of endothelial dysfunction. This was performed with a recently developed model of coronary artery endothelial dysfunction in sleeping lambs, using treatment with bacterial lipopolysaccharide (LPS).<sup>16</sup>

# MATERIALS AND METHODS

Five newborn lambs (Merino/Border-Leicester cross) were separated from their ewes within 24-48 h of birth and housed in a Plexiglas cage. They were then taught to feed independently from a nipple connected to a continuous supply of lamb milk replacer (Veanaivite Pty. Ltd., Shepparton, Australia). Once the lambs had learned to feed and were gaining weight normally, they were surgically prepared for chronic study. All surgical and experimental procedures were performed in accordance with the guidelines of the Australian Code of Practice for the Care and Use of Animals for Scientific Purposes, established by the National Health and Medical Research Council of Australia, and were approved by the Monash Medical Centre's Committee on Ethics in Animal Experimentation.

#### **Surgical Preparation and Instrumentation**

Each lamb was anesthetized (2% Halothane, 50% O<sub>2</sub>, balance N<sub>2</sub>O), intubated, and then ventilated. Using sterile surgical techniques, we instrumented each lamb to record coronary blood flow (CBF). An incision was made through the fourth intercostal space, followed by blunt dissection through the intercostal muscles down to the parietal pleura. After breaching the pleura, the lungs were then retracted to expose the pericardium, which was opened with forceps and surgical scissors. The left circumflex coronary artery was identified and subsequently blunt dissected partially away from the myocardium, to expose the outer wall circumferentially. A transit-time ultrasonic flow probe (2-mm diameter, Transonic Systems Inc., Ithaca, NY) was positioned around the exposed section of the left circumflex coronary artery. This flow probe provides a quantitative beat-by-beat measurement of CBF in lambs.17 A fluid-filled manometer was left inside the thoracic cavity for subsequent monitoring of intrathoracic pressure (ITP), and the thoracic cage was then sutured closed. We also inserted nonocclusive saline-filled catheters (0.86 mm id, 1.52 mm od) into the left atrium, the carotid artery (to record arterial blood pressure [ABP]), and into the jugular vein (to record central venous pressure [JVP]).

Following 48 h recovery, a second operation was performed. The lambs were again anesthetized (2% Halothane, 50%  $O_2$ , balance N<sub>2</sub>O), intubated and then ventilated. In order to mea-

sure sleep-wake state, pairs of Teflon-coated stainless steel wires were surgically implanted on the parietal cortex (electrocorticogram, ECoG), at the inner and outer canthus of the left eye (electrooculogram, EOG), and in the dorsal musculature of the neck (nuchal electromyogram, EMG). An incision was then made in the mid trachea, and a cuffed, fenestrated tracheostomy tube was inserted and sutured into place. An extra piece of tubing was added over the tracheostomy fenestration to ensure it would remain patent and help anchor the tracheostomy within the trachea. Following surgery, the tracheostomy opening was covered with a removable cap and the cuff was deflated, so the lamb could breathe via its own upper airway until the time of the chronic study.

#### **Experimental Protocol**

Each lamb was allowed a minimum of 72 h to recover from the second surgery and then studied over 5 consecutive days. A flow chart summarizing the experimental protocol is shown in figure 1. During the study period, the lambs' cages were partitioned to prevent them from turning around, while still allowing freedom to move forward and backward and to stand up and lie down. All studies took place between 09:00 and 17:00 and room temperature was maintained between 22°C and 25°C. Food was available ad libitum throughout the study.

Studies were performed over 5 consecutive days. On day 1, a sleep study was performed and OSA was modelled. Apneas and hypopneas were created by manually occluding the tracheostomy during sleep. On days 2-4, endothelial damage and dysfunction was created using a daily infusion of LPS ( $2 \mu g/kg$ ) over 30 min. This protocol of LPS infusion in lambs has been shown in our lab to lead to coronary artery endothelial dysfunction.<sup>16</sup> On day 5 (one day after the final LPS infusion), a second sleep study was performed under the same conditions as the first, with repeated modelling of OSA.

### Sleep Study with Obstructive Sleep Apnea Modelling

Data were acquired at 400 Hz, converted from analogue to digital signals and stored on a personal computer using Chart5 acquisition software (ADInstruments, Sydney, Australia). Continuous measurements of CBF, ABP, JVP, ITP, and pulse rate (PR, calculated from the blood pressure tracing) were recorded, as well as electrophysiological signals from the sleep electrodes. Quiet wakefulness (QW) was defined as periods where the lamb was lying down, when the ECoG displayed a pattern of low-voltage and high-frequency activity and when eye movements and EMG tone were present. Quiet (or NREM) sleep was defined when the ECoG displayed a pattern of high-voltage and low-frequency activity, eye movements were absent, and EMG tone was reduced compared with that in QW. Active (or REM) sleep was defined as a pattern of low-voltage and high-frequency activity, with absent EMG tone and intermittent phasic eve movements.<sup>18</sup>

During the sleep study,  $O_2$  saturation was monitored with an oximetry probe situated on the tail. The tracheostomy cuff was inflated and the external cap removed. A rubber disc, attached around a thin wire, was inserted into the internal catheter of the tracheostomy. The disc was the same diameter as the tracheost



tomy lumen and thus occluded it when sitting inside. Throughout most of the sleep study the disc was situated proximal to the fenestration of the tracheostomy, thus the lamb was able to breathe via its own upper airway, with air traversing the fenestration. During sleep and at times of hemodynamic stability, the disc was intermittently moved distally to create upper airway obstruction. This was confirmed by the presence of a more negative ITP than baseline. The degree of obstruction depended on whether the rubber disc lay completely distal to the fenestration (creating an apnea) or whether the disc traversed the fenestration, allowing some residual airflow (creating a hypopnea). This was randomly determined. If the lamb aroused, then the obstruction was immediately relieved by moving the rubber disc proximally again. Other apneas and hypopneas were randomly terminated prior to arousal to allow an assessment of the differential effect of cortical arousal and hypoxia on the hemodynamic responses to OSA.

At the end of all experimental procedures, lambs were killed using a lethal dose of anaesthetic (150 mg/kg sodium pentobarbitone intravenously).

# **Data Analysis**

Values for mean CBF, mean arterial blood pressure (MAP), JVP, and PR were calculated using the internal analysis software of Chart5. Two further hemodynamic parameters were calculated offline: rate-pressure product (RPP) and coronary vascular resistance (CVR). RPP was calculated as the product of systolic arterial blood pressure and heart rate. This has been validated as an excellent noninvasive correlate of myocardial work.<sup>19,20</sup> CVR was calculated by the formula: (MAP – JVP)/CBF.

During the periods of airway occlusion, data were averaged over the following 5 time periods for each obstructive event: baseline (5 sec prior to onset of obstruction), early apnea (first 5 sec of the obstruction), late apnea (last 5 sec of the obstruction), post-apneal (first 5 sec following end of obstruction) and post-

	Pre-LPS	Post-LPS	P value						
Obstructions per lamb	$14 \pm 4.8$	$11 \pm 3.4$	0.26						
Mean duration (s)	$41 \pm 12$	$45 \pm 22$	0.74						
% with arousal	54	52	0.81						
Mean SpO <sub>2</sub> min (%)	$91 \pm 5$	$92 \pm 3$	0.29						
Mean $O_2$ desaturation (%)	$7 \pm 4$	$6 \pm 2$	0.37						
Table showing the characteristics of the obstructive events during the sleep studies performed at baseline and following LPS infu-									
sion. Values are mean $\pm$ SI	D. There wa	is no significa	ant difference						
between the baseline and post LPS conditions for any parameter.									

apnea2 (subsequent 5 sec following end of obstruction). Data points for each of these epochs were expressed as percentage change from baseline. Almost all obstructive events occurred during quiet (NREM) sleep. The rare obstructive events occurring during active (REM) sleep were excluded from the analysis to avoid any confounding effect of sleep stage on the results.

#### Statistical Analysis

Data summarizing the characteristics of the obstructive events are expressed as mean  $\pm$  SD. Paired t tests were used to compare these characteristics before and after LPS administration. Haemodynamic data are expressed as mean  $\pm$  SEM. Baseline mean values during wake and sleep, both pre and post-LPS, were compared using 2-way repeated measures analysis of variance. Two-way repeated measures analysis of variance was also used to assess changes over time in CBF through the obstructive event, both at baseline and following LPS infusion. If significant differences were found, analysis of multiple pairwise comparisons were performed using the Student-Newman-Keuls method. In the post-apneal period, the mean CBF responses with and without a cortical arousal were compared with a student's t test, for both pre and post-LPS. Simple linear regression was used to demonstrate the univariate relationships between CBF and myocardial work (RPP) and hypoxia in the post-apneal period. Forward stepwise multilinear regression analysis was used to determine the multivariate predictors of CBF. Statistical testing was performed using SigmaStat software version 3.0 (Systat Software Inc, www.systat.com), and a P value of < 0.05 was considered to be statistically significant.

#### RESULTS

The characteristics of the obstructive events are summarized in table 1. Minimum SpO<sub>2</sub> was  $91\% \pm 5\%$  pre-LPS and  $92\% \pm 3\%$  post-LPS. Degree of O<sub>2</sub> desaturation with respiratory events was  $7\% \pm 4\%$  pre-LPS and  $6\% \pm 2\%$  post-LPS. Proportion of events terminating in arousal was 54% pre-LPS and 52% post-LPS. There were no significant differences between the pre and post-LPS conditions for any of the parameters.

Table 2 summarizes the baseline hemodynamic parameters during the different sleep stages (both before and after the administration of LPS), prior to any upper airway obstruction. Following LPS, baseline systolic blood pressure and RPP were significantly lower than the pre-LPS values (P < 0.05). There



**Figure 2**—Mean ( $\pm$  SEM) change in coronary blood flow (CBF) and mean arterial blood pressure (MAP) from baseline over the course of the apnea cycle, at baseline ( $\bullet$ ) and post treatment ( $\circ$ ) with lipopolysaccharide (LPS). \* P < 0.05 compared to baseline for each condition. No difference between pre-LPS and post-LPS (2-way repeated measures ANOVA).

was a trend to lower MAP post LPS (P = 0.1), but a nonsignificant difference in CBF pre and post-LPS (P = 0.22). CVR and PR were not different pre- and post LPS (P = ns). There were no significant differences between wake and NREM sleep for any hemodynamic parameter, either before or after the administration of LPS.

#### **Coronary Blood Flow**

The mean CBF and mean arterial blood pressure changes during the obstructive events are summarized in Figure 2. Following termination of the apnea (post-apneal phase) the mean CBF peaked  $8.6\% \pm 2.4\%$  above baseline in the pre-LPS condition and  $8.8\% \pm 1.9\%$  above baseline in the post-LPS condition (P = ns). In both pre and post-LPS conditions, CBF was significantly greater in the late apnea, post-apnea1 and post-apnea2 periods when compared to baseline and the early apnea period (P < 0.05). There was a nonsignificant trend for CBF to be maximal in the post apnea1 period. There was no difference in the CBF response to upper airway obstruction between the pre- and post-LPS conditions.

In the post-apneal period, the univariate relationships between CBF and myocardial work (RPP), hypoxia (SpO, min), and arousal are shown in Figures 3-5. Before the administration of LPS, change in CBF with obstructive events correlated closely with change in RPP (R = 0.67, P < 0.001) and also SpO<sub>2</sub> min (R = 0.48, P < 0.001). The presence of arousal with the event was associated with a greater CBF response than if no arousal occurred ( $13\% \pm 7\%$  v  $5\% \pm 7\%$ , P < 0.05). Following LPS, with obstructive events there were no longer significant relationships between change in CBF and RPP (R = 0.03, P = ns) nor SpO<sub>2</sub> min (R = 0.26, P = 0.055). The presence or absence of arousal did not affect the CBF response (9%  $\pm$  7% v 7%  $\pm$  5%, P = ns). When lambs were analyzed individually, the results were similar. Pre LPS, there was a significant correlation between CBF and RRP for each lamb, with R values ranging from 0.96 to 0.64 (average 0.80). Post LPS, there were significant correlations for only 2 out of 5 lambs.

The multivariate relationships between change in CBF (dependent variable) and RPP, SpO<sub>2</sub> min, extent of O<sub>2</sub> desaturation, and arousal were assessed with a forward stepwise regression analysis. The identity of each lamb was forced into the model to take account for any individual animal effects. Before LPS, the change in CBF with obstructive events can be predicted by a linear regression model (R = 0.81, R<sup>2</sup> = 0.64, *F* = 30.04, P < 0.001). Independent predictors of CBF were RPP (R = 0.71, R<sup>2</sup> = 0.50, P < 0.001), SpO<sub>2</sub> min (R = 0.33, R<sup>2</sup> = 0.11, P < 0.01) and the presence of arousal (R = 0.20, R<sup>2</sup> = 0.04, P < 0.01). Following LPS the predictors of CBF was extent of O<sub>2</sub> desaturation with obstructive events (R = 0.38, R<sub>2</sub> = 0.14, P < 0.05). Change in RPP or presence of arousal were not independent predictors of CBF after LPS administration.

# DISCUSSION

There are two major new findings in this study. Firstly, we have demonstrated that in normal lambs, CBF increases modestly with obstructive sleep apnea hypopnea syndrome and is

Table 2—Baseline Hemodynamic Data in Pre-LPS Sleep Study										
	MAP (mm Hg)	Systolic BP (mm Hg)	Diastolic BP (mm Hg)	HR (bpm)	CBF (mL/min)	CVR (mg mL min <sup>-1</sup> )	SpO <sub>2</sub> (%)	RPP (mm Hg bpm)		
Wake pre-LPS	$84.0\pm4.7$	$104.8\pm5.2$	$66.8\pm4.6$	$153 \pm 14$	$21.2 \pm 2.3$	$4.04\pm0.4$	$98\pm0.9$	$16116\pm1697$		
Wake post-LPS	$73.3 \pm 1.3$	$88.7 \pm 1.4$	$60.7 \pm 1.5$	$151 \pm 9$	$19.2 \pm 2.8$	$4.03 \pm 0.7$	$98\pm0.5$	$13367\pm989$		
Sleep pre-LPS	$83.6\pm4.6$	$104.3\pm5.5$	$66.6\pm4.6$	$154 \pm 14$	$21.0\pm2.3$	$4.03\pm0.4$	$98\pm0.6$	$16103\pm1712$		
Sleep post-LPS	$76.2\pm2.9$	$91.3\pm3.4$	$63.3\pm2.9$	$147 \pm 7$	$19.2\pm2.8$	$4.18\pm0.7$	$97\pm0.2$	$13510\pm1068$		

Table showing hemodynamic data (prior to the modelling of obstructive sleep apnea) in the baseline (pre-LPS) sleep study and post-LPS sleep study. MAP = mean arterial pressure; HR = heart rate; CBF = coronary blood flow; CVR = coronary vascular resistance; RPP = rate-pressure product; Sleep refers to NREM sleep. Values are mean  $\pm$  SEM.



**Figure 3**—Correlation (simple linear regression) between change in coronary blood flow (CBF) and rate-pressure product (RPP) during the post-apnea 1 period, both at baseline and post LPS.

closely matched to changes in myocardial work. We demonstrated an increase in CBF of  $8.6\% \pm 2.4\%$  over baseline in the early post-apneic period. Forward stepwise regression analysis showed this rise in CBF was predominantly dependent upon the degree of increase in myocardial work (RPP), but also, to a smaller degree, correlated with the minimum SpO<sub>2</sub> reached and the presence of cortical arousal. Secondly, following the creation of coronary artery endothelial dysfunction, the increase in CBF with obstructive respiratory events no longer correlated with myocardial work, and the degree of hypoxia associated with the obstruction was the only predictor of CBF change.

The apneas and hypopneas that characterize obstructive sleep apnea are associated with significant effects on the cardiovascular system. Towards the end of an obstructive event, there is an increase in heart rate and blood pressure and usually a decrease in arterial O<sub>2</sub> saturation.<sup>9</sup> These changes peak in the period following termination of the apnea, when there is a rapid resumption of ventilation, and result in increases in myocardial work.<sup>8,9</sup> As the myocardium has limited anaerobic capacity,<sup>7</sup> an increase in CBF is required to maintain adequate O<sub>2</sub> delivery as myocardial work increases, and any situation that impairs CBF may lead to relative ischemia. This has clinical relevance in OSA, as it has been shown that when there is nocturnal ischemia in the presence of OSA, the ischemia occurs predominantly during the postobstructive hyperventilation phase of the apnea cycle.<sup>5</sup> Because of this, we specifically looked at this time to determine if CBF responds appropriately to rises in myocardial work, and also to determine the relative influences of hypoxia and cortical arousal on the CBF change.

Previous animal studies have assessed the effect of obstructive apneas on CBF. In a naturally sleeping tracheostomized pig model, the effect of apnea with arousal on heart rate, blood pressure, and CBF was measured.<sup>14,21</sup> The influence of hypoxia was not assessed in these studies. In NREM sleep, CBF increased by 12%<sup>21</sup> and 13%<sup>14</sup> following the termination of obstructive apnea with cortical arousal. These changes are in keeping with the rise in CBF demonstrated in our study. We demonstrated a mean increase in CBF of 8.6%, but notably only 54% of events ended in arousal. In those events where arousal was present, the mean increase in CBF in our study was greater, averaging 13%. The studies by Pinto<sup>21</sup> and Kirby<sup>14</sup> assessed the role of the sympathetic nervous system in mediating these hemodynamic changes. α-Adrenoreceptor blockade prevented any change in blood pressure<sup>21</sup> with obstructive apnea, and  $\beta$ -adrenoreceptor blockade prevented any increase in heart rate or CBF.14 These results indicated that activation of the sympathetic nervous system was the major mediator of hemodynamic change during obstructive apneas. This conclusion is supported by other studies that demonstrate no significant effect of supplemental oxygen (adequate to prevent hypoxia) at inhibiting the blood pressure increases seen in OSA.9,22

However, other data show some conflicting results to those discussed above. Chen et al studied the effect of obstructive apneas on CBF in anaesthetized pigs.<sup>15,23</sup> In this study CBF increased at the end of and immediately following the termination of apnea, in association with significant hypoxia (mean PaO<sub>2</sub> 46.7 mm Hg). Blocking the sympathetic nervous system had no effect on CBF, whereas the administration of supplemental O<sub>2</sub> prevented any increase in CBF. The conclusion was therefore that hypoxia is the major mediator of CBF with obstructive apneas. This study was unable to assess the effect of cortical arousal due to the use of an anesthetized pig model.

Given that these studies discussed above show conflicting results, our data provide important clarification. Due to our study design, we were able to assess the independent effects of myocardial work (driven by sympathetically mediated increases in heart rate and blood pressure), cortical arousal, and hypoxia on CBF during obstructive apneas and hypopneas. Using forward stepwise regression, we showed that increase in myocardial work is the major mediator of the CBF response, with 50% of

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the variance in CBF being explained by changes in RPP. Once this is taken into account, the effects of hypoxia and arousal are relatively small, explaining only 11% and 4% respectively of the variance in CBF. The main caveat of this is that the degree of O<sub>2</sub> desaturation in our study was mild, and we cannot exclude that hypoxia plays a more prominent role in the CBF response with more severe degrees of O, desaturation. In order to more precisely define the relationship between hypoxia and CBF in OSA, additional studies that administer varying degrees of hypoxia and normoxia during upper airway obstruction would be required. Nevertheless, our finding is of clinical importance, as it underlines that substantial hypoxia with OSA is not necessary in order to have significant cardiovascular effects. Obstructive events with only mild O<sub>2</sub> desaturation will still lead to increases in heart rate, blood pressure,9 and subsequently, CBF. If there are impediments to CBF increase, such as a coronary artery stenosis, then myocardial ischemia may result from OSA.

A novel aspect of our study is the investigation of CBF during upper airway obstruction following the creation of coronary artery endothelial dysfunction. We used a model of LPS infusion that has been previously shown to lead to coronary artery endothelial dysfunction in sleeping lambs.<sup>16</sup> The overall magnitude of CBF change post-LPS was the same as in our pre-LPS study, with CBF peaking at  $8.8\% \pm 1.9\%$  above baseline in the early post apneic period (post-apneal). There was no difference in the nature of the obstructive events following the creation of endothelial dysfunction (see Table 1), but importantly, the hemodynamic response was significantly altered. The strong correlation between CBF and RPP was no longer present, either on univariate (see Figure 3) or multivariate analysis. On forward stepwise regression analysis the only significant predictor of CBF increase was the extent of O<sub>2</sub> desaturation with the event, and this effect of hypoxia was modest, explaining only 14% of the variance in CBF. Although the range of RRP change post LPS is slightly smaller than that pre LPS (see Figure 3),

this does not influence the results or conclusions. When the pre LPS analysis is repeated after removing outlying values (such that the range of RPP is identical to that seen post LPS), the significant correlation between CBF and RPP persists, with an R value of 0.55.

CBF is normally closely matched to myocardial energy requirements and the coronary endothelium plays a vital role in this regulation. In normal coronary arteries, sympathetic stimulation (with a rise in heart rate and blood pressure) leads to an overall increase in CBF with vasodilatation of both conduit and resistance coronary vessels.<sup>24,25</sup> Endothelial derived mediators, such as nitric oxide, are crucially involved in both the flow-mediated dilatation of large arteries and the metabolic vasodilatation of smaller resistance vessels.<sup>13,24,26</sup> In the presence of endothelial dysfunction, such as with atherosclerosis, there is reduced production of these vasodilating substances and evidence for impaired CBF regulation-vasoconstriction of large epicardial arteries and attenuated vasodilatation in the resistance vessels.<sup>13,25,27,28</sup> The precise role the endothelium plays in CBF regulation remains controversial, however, as there are also data suggesting that pharmacologically blocking nitric oxide does not change the CBF response to increased myocardial metabolic demand.<sup>29,30</sup> Whether the presence of coronary artery endothelial dysfunction affects CBF regulation during upper airway obstruction with apnea has been unknown until now. The results from our study demonstrate uncoupling of the normal CBF - metabolic work relationship following the creation of endothelial dysfunction. We hypothesize that this may contribute to the poor prognosis seen in patients with both OSA and coronary artery disease by contributing to the progression of atherosclerosis (via increased oxidative stress) or increasing the risk of cardiac ischemia, particularly if there is a coronary stenosis and marginal coronary flow reserve. In support of this hypothesis is that the presence of endothelial dysfunction in non-sleep apneic populations is an independent risk factor for cardiovascular events.10,31,32





Lambs are well validated for the measurement of hemodynamic parameters during sleep, <sup>17,33,34</sup> however, there are a number of potential limitations to our study. The main limitation is that we used LPS to create the endothelial dysfunction, rather than atherosclerosis and were unable to assess the endothelial function directly on the animals studied. However, LPS is well validated as a cause of endothelial dysfunction, including of the coronary arteries, with a similar functional impact as is seen in atherosclerosis.<sup>35-37</sup> Moreover, we have previously demonstrated that our protocol of LPS infusion leads to a functionally significant reduction in coronary artery endothelial function, similar to that seen in disease states such as atherosclerosis.<sup>16</sup> The fact that we demonstrated differences in CBF regulation pre- and post-LPS administration also supports the concept that we effectively created coronary artery endothelial dysfunction. A second potential limitation is that baseline systolic blood pressure and RPP (measured prior to upper airway obstruction) were slightly lower in the post-LPS sleep study than at baseline. This is unlikely to have influenced our results for the following reasons. In this study we were interested in the way CBF was regulated during the changing hemodynamic responses seen during upper airway obstruction, rather than the absolute baseline values during stable sleep. A slightly lower baseline blood pressure would not influence our results, as there is evidence that vascular mechanisms regulating coronary blood flow remain intact until the coronary perfusion pressure reaches critically low levels (<45 mm Hg)—pressures far lower than those seen in our study.<sup>38,39</sup> This process of maintaining metabolically appropriate CBF despite changes in coronary perfusion pressure is known as autoregulation. In addition, the CVR was no different pre-LPS compared to post-LPS, confirming a stable balance between coronary artery vasodilators and vasoconstrictors. A final point to emphasize with our study is that all results are from NREM sleep. Although there is no reason to suspect CBF would be any different during REM sleep, this point cannot be assumed, and specific studies would be required in REM to address that question.

In summary, we have demonstrated that in normal lambs, CBF during OSA increases in proportion to myocardial metabolic demands. Moreover, CBF is tightly matched to increases in myocardial work. However, in the presence of coronary artery endothelial dysfunction there is uncoupling of the normal CBF – myocardial work relationship. Furthermore, substantial hypoxia with obstructive apneas and hypopneas is not necessary in order to have significant cardiovascular effects. We conclude that an intact coronary endothelium is important in regulating CBF during OSA, which supports the concept that abnormalities of CBF associated with endothelial dysfunction contribute to the poor cardiovascular prognosis seen in patients with both atherosclerosis and OSA.

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