Detection of the *Klebsiella pneumoniae* Carbapenemase Type 2 Carbapenem-Hydrolyzing Enzyme in Clinical Isolates of *Citrobacter freundii* and *K. oxytoca* Carrying a Common Plasmid^{∇}

J. Kamile Rasheed,¹* James W. Biddle,¹ Karen F. Anderson,¹ Laraine Washer,² Carol Chenoweth,² John Perrin,² Duane W. Newton,² and Jean B. Patel¹

Division of Healthcare Quality Promotion, National Center for the Prevention, Detection and Control of Infectious Diseases, Centers for Disease Control and Prevention, Atlanta, Georgia 30333,¹ and University of Michigan Hospitals and Health Centers, Ann Arbor, Michigan 48109²

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The Klebsiella pneumoniae carbapenemase (KPC) was detected in carbapenem-resistant isolates of *Citrobacter freundii* and *Klebsiella oxytoca* recovered from different patients in a Michigan hospital. Restriction analysis and hybridization with a KPC-specific probe showed the $bla_{\rm KPC-2}$ genes of these two genera of the family *Enterobacteriaceae* are carried on a common plasmid.

The numbers of carbapenem-hydrolyzing β -lactamases in members of the family *Enterobacteriaceae* are increasing in the United States (4, 11, 19). The most frequently encountered are the plasmid-encoded Ambler class A *Klebsiella pneumoniae* carbapenemase (KPC)-type enzymes found in isolates predominantly from the eastern United States (2, 5, 11, 15, 24), particularly from the New York City region (4). More recently, the geographical distribution of KPC-producing isolates within the United States has widened to include Pennsylvania, Ohio, and Arkansas (11, 18) and Georgia, Colorado, New Mexico, Arizona, and California (CDC, unpublished data). KPC-producing *Escherichia coli* and *K. pneumoniae* isolates that are thought to have originated outside of the United States have been reported in Israel (17), Colombia (21), Greece (10), and China (23).

KPC was first identified in a *K. pneumoniae* isolate from North Carolina (24), and the enzyme has been found the most frequently in *K. pneumoniae* (2, 5, 6). In addition, KPC enzymes have been detected in multiple genera and species of the *Enterobacteriaceae*, including *Salmonella enterica* serotype Cubana (14), *K. oxytoca* (2, 11, 25), *Enterobacter* spp. (3, 11, 13), *Citrobacter freundii, E. coli*, and *Serratia marcescens* (11, 12). A recent report from Colombia also describes KPC-producing isolates of *Pseudomonas aeruginosa* (22).

In this report, we describe the characterization of two carbapenem-resistant isolates, a *C. freundii* isolate and a *K. oxytoca* isolate, obtained from two different patients in a Michigan hospital and found to produce the KPC type 2 (KPC-2) enzyme encoded by a common plasmid.

In November 2004, C. freundii 13692 was isolated from the urine of a patient hospitalized for complications of chronic

* Corresponding author. Mailing address: Anti-Infectives Investigation Section (G08), Centers for Disease Control and Prevention, 1600 Clifton Rd. NE, Atlanta, GA 30333. Phone: (404) 639-3247. Fax: (404) 639-1381. E-mail: jkr1@cdc.gov. liver disease. This isolate was found, by the reference broth microdilution method (7), to be susceptible to amikacin but resistant to all other antibiotics tested, including meropenem (MIC = $16 \mu g/ml$). The patient was treated with intravenous amikacin, and subsequent urine cultures were negative for C. freundii. Due to underlying disease and multisystem organ failure, the patient died on hospital day 75. Prior to culture, this patient had received multiple antibacterial agents for treatment and prophylaxis, including piperacillin-tazobactam, ampicillin-sulbactam, cefepime, ceftriaxone, levofloxacin, vancomycin, metronidazole, and aminoglycosides. In August 2005, K. oxytoca 15002 was isolated in the same hospital from the sputum of a second patient who had undergone cardiac transplantation and who developed pneumonia. This isolate was tested by disk diffusion (8) and was found to be susceptible to gentamicin, tobramycin, amikacin, and trimethoprim-sulfamethoxazole; intermediate to ciprofloxacin and levofloxacin; and resistant to all other antibiotics tested, including meropenem. The patient was treated with inhaled colistin (25 days), intravenous gentamicin (18 days), and intravenous tigecycline (18 days); and the pneumonia resolved. Subsequent sputum cultures showed meropenem-susceptible K. oxytoca on one occasion without associated clinical respiratory disease. The patient was discharged from the hospital on hospital day 104. Prior to the collection of samples for culture, the patient was exposed to the following antibacterials: piperacillin-tazobactam, cefepime, cefuroxime, cefazolin, aztreonam, levofloxacin, linezolid, metronidazole, clindamycin, rifampin, and dapsone. Both patients had multiple previous hospitalizations, and their hospital stays overlapped during 2 weeks in October 2004. Both patients developed renal failure requiring hemodialysis; the first patient received continuous renal replacement therapy (CRRT) administered in the hospital intensive care unit (ICU) room from 21 October through 13 December 2004, and the second patient received intermittent hemodialysis (HD), which began on 24 July 2005 and which was administered in an ICU different from that where the first patient was located. The

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Antimicrobial agent	MIC (µg/ml)				
	C. freundii 13692	E. coli TF/13692	K. oxytoca 15002	E. coli TF/15002	E. coli ^a
Ertapenem	>16	>16	>16	>16	≤0.5
Imipenem	16	>16	8	32	≤ 1
Meropenem	16	>16	>16	>16	≤0.25
Amikacin	2	2	≤1	2	2
Amoxicillin-clavulanate	>32/16	>32/16	>32/16	>32/16	4/2
Ampicillin	>64	>32	>64	>32	4
Aztreonam	>64	>64	>64	>64	≤1
Cefepime	>32	>32	>32	>32	≤0.5
Cefotaxime	>256	256	256	256	2
Cefotaxime-clavulanate	128/4	32/4	2/4	32/4	
Cefoxitin	>32	>32	32	>32	4
Cefpodoxime	>16	>16	>16	>16	1
Ceftazidime	>256	64	32	128	≤ 4
Ceftazidime-clavulanate	256/4	64/4	16/4	64/4	$\leq 2/4$
Ceftriaxone	>64	>64	>64	>64	≤1
Chloramphenicol	>16	≤2	8	≤2	≤2
Ciprofloxacin	>8	≤0.25	8	≤0.12	≤0.12
Colistin	1	0.5	1	2	1
Gentamicin	16	0.5	≤0.25	0.5	1
Levofloxacin	>8	≤0.25	8	≤0.25	≤0.25
Piperacillin-tazobactam	>128/4	>128/4	>64/4	>128/4	2/4
Polymyxin B	1	0.5	1	≤0.5	1
Tigecycline	1	0.12	1	0.12	0.12
Tobramycin	16	0.5	≤0.25	0.5	0.5
Trimethoprim-sulfamethoxazole	>8/152	≤0.25/4.75	≤0.25/4.75	≤0.25/4.75	≤0.25/4.75

TABLE 1. Antimicrobial susceptibilities of clinical isolates and transformants

^a E. coli EP-Max 10B competent cells were used for transformation by electroporation.

patients shared no equipment or personnel, as different machines and dialysates are used for CRRT and HD. No other common procedures, wards, personnel, or epidemiological links were identified. Neither patient was treated with carbapenems.

Antimicrobial susceptibility testing at the CDC by the CLSI reference broth microdilution method (7, 9) confirmed that *C. freundii* 13692 was highly resistant to all three carbapenems tested (MICs \geq 16 µg/ml) (Table 1) and that *K. oxytoca* 15002 was resistant to ertapenem and meropenem (MICs > 16 µg/ml) but intermediate to imipenem (MIC = 8 µg/ml). Both isolates were also resistant to all other β-lactams and fluoroquinolones tested; but the two isolates demonstrated different susceptibilities to aminoglycosides, chloramphenicol, and trimethoprim-sulfamethoxazole. Both isolates were susceptible to colistin and tigecycline.

The β -lactamases of both isolates were characterized by isoelectric focusing (20) of cell extracts. C. freundii 13692 produced two β -lactamases with isoelectric points (pIs) of >8.4 and 6.9, consistent with AmpC and KPC-type enzymes, respectively. K. oxytoca 15002 produced only one detectable enzyme with a pI of 6.9. The presence of the $bla_{\rm KPC}$ gene in both isolates was confirmed by amplification of a 1,011-bp PCR product by using forward primer 5'-TGT CAC TGT ATC GCC GTC-3' and reverse primer 5'-GTC AGT GCT CTA CAG AAA ACC-3'. The DNA sequences of both strands of the coding region of the $bla_{\rm KPC}$ gene were determined from a 989-bp PCR product amplified with forward primer 5'-GCT ACA CCT AGC TCC ACC TTC-3' and reverse primer 5'-ACA GTG GTT GGT AAT CCA TGC-3'. The DNA sequence, determined from independent amplification reactions with previously described oligonucleotide primers (24), confirmed the presence of the $bla_{\text{KPC-2}}$ gene in both *C. freundii* 13692 and *K. oxytoca* 15002.

Plasmid analysis revealed that C. freundii 13692 contained three plasmids with molecular sizes of approximately 165, 145, and 95 kb, as well as an additional one of <2.1 kb (data not shown); K. oxytoca 15002 contained two plasmids of approximately 145 and 95 kb. To isolate the KPC-producing plasmid, E. coli EP-Max 10B cells (Bio-Rad, Hercules, CA) were transformed with plasmid DNA from C. freundii 13692 and K. oxytoca 15002 by electroporation (Gene Pulser Xcell; Bio-Rad). Transformants of both C. freundii 13692 (TF/13692) and K. oxytoca 15002 (TF/15002) were selected on LB agar containing 4 μg/ml meropenem. Transformants TF/15002 and TF/13692 each produced only one β -lactamase with a pI of 6.9, consistent with that of the KPC enzyme, and were positive for $bla_{\rm KPC}$ by PCR. Both also contained the ca. 95-kb plasmid seen in the clinical isolates, but the latter also contained the smallest plasmid found in C. freundii 13692, which was <2.1 kb. Only β -lactam resistance was transferred in each transformation, including resistance to imipenem, meropenem, and ertapenem (MICs \geq 16 µg/ml) (Table 1).

Plasmid DNA from both transformants was compared by restriction digestion with EcoRI and HindIII (Fig. 1A). The <2.1-kb plasmid from TF/13692 was not cut by either enzyme (Fig. 1A, lanes 2 and 4). The restriction profiles of the ca. 95-kb plasmid DNA prepared from TF/13692 and TF/15002 were identical (Fig. 1A, lanes 2 and 3, respectively [EcoRI], and lanes 4 and 5 [HindIII], respectively). To localize the *bla*_{KPC} gene, digested plasmid DNA from transformants TF/13692 and TF/15002 was hybridized with a *bla*_{KPC} probe in a Southern blot analysis. Briefly, by using the Genius nonradioactive nucleic acid labeling and detection system (Roche, Indianap-

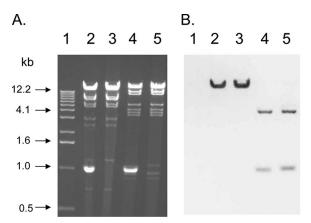


FIG. 1. (A) Restriction digests of plasmid DNA from *E. coli* transformants of *C. freundii* 13692 and *K. oxytoca* 15002 obtained with EcoRI (lanes 2 and 3, respectively) and HindIII (lanes 4 and 5, respectively). Lane 1 contains a 1-kb ladder size standard. (B) Southern blot hybridized with a $bla_{\rm KPC}$ -specific probe.

olis, IN), a 552-bp digoxigenin-labeled $bla_{\rm KPC}$ fragment generated with forward primer 5'-CACACCCATCCGTTACGG-3' and reverse primer 5'-GCCTCGCTGTGCTTGTCA-3' was hybridized overnight at 65°C to EcoRI- or HindIII-digested plasmid DNA which had been transferred to a nylon membrane (Zeta-Probe; Bio-Rad). The $bla_{\rm KPC}$ probe hybridized with a single fragment larger than 12.2 kb in the EcoRI-restricted plasmid DNA from both TF/13692 and TF/15002 (Fig. 1B, lanes 2 and 3, respectively). The probe also hybridized with two fragments of approximately 4.1 kb and 1.1 kb in the HindIII-restricted DNA (Fig. 1B, lanes 4 and 5, respectively). These results are consistent with the restriction profile of the $bla_{\rm KPC-2}$ gene and flanking sequences reported from *S. enterica* serotype Cubana (GenBank accession number AF481906) (14).

In this report we described a ca. 95-kb, KPC-producing plasmid that was common to two different genera of Enterobacteriaceae from two different patients in a single hospital. These findings demonstrate the potential for the horizontal transfer of carbapenemase-producing plasmids between clinically relevant gram-negative bacilli. We were not able to conjugate this resistance plasmid to E. coli in the laboratory, as has been demonstrated for other KPC plasmids (13-16, 25). This means that plasmid transfer occurred either by transformation or by a conjugative event that could not be duplicated in vitro. No other KPC-producing isolates have been identified at this institution, so these two isolates could be considered a "warning signal" that more resistance could emerge. There was no clear epidemiological link between the two patients described in this report, which makes the identification of prevention strategies difficult. However, neither of the patients described in this report was treated with a carbapenem. This suggests that restricting carbapenem use may have little impact on limiting the dissemination of this carbapenemase. In any case, it is important to recognize that the KPC enzyme can occur in Enterobacteriaceae other than K. pneumoniae and that these isolates have the potential to transmit the carbapenemase.

Hospital laboratories should suspect carpbapenemase (e.g., KPC) production in an isolate of *Enterobacteriaceae* when it

tests nonsusceptible to a carbapenem or when the carbapenem MIC is elevated but the isolate remains susceptible (e.g., a meropenem or imipenem MIC of >1 μ g/ml) (9). Carbapenemase production can be confirmed by performing a phenotypic carbapenemase test, such as the modified Hodge test, and/or by detection of the carbapenemase gene by PCR (1). Clinical microbiology laboratories should promptly report any isolates with a carbapenemase phenotype to the hospital infection control department so that the use of appropriate contact isolation precautions may be considered.

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