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# **Transcriptional Signatures in Huntington's Disease**

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# Abstract

While selective neuronal death has been an influential theme in Huntington's disease (HD), there is now a preponderance of evidence that significant neuronal dysfunction precedes frank neuronal death. The best evidence for neuronal dysfunction is the observation that gene expression is altered in HD brain, suggesting that transcriptional dysregulation is a central mechanism. Studies of altered gene expression began with careful observations of post-mortem human HD brain and subsequently were accelerated by the development of transgenic mouse models. The application of DNA microarray technology has spurred tremendous progress with respect to the altered transcriptional processes that occur in HD, through gene expression studies of both transgenic mouse models as well as cellular models of HD. Gene expression profiles are remarkably comparable across these models, bolstering the idea that transcriptional signatures reflect an essential feature of disease pathogenesis. Finally, gene expression studies have been applied to human HD, thus not only validating the approach of using model systems, but also solidifying the idea that altered transcription is a key mechanism in HD pathogenesis. In the future, gene expression profiling will be used as a readout in clinical trials aimed at correcting transcriptional dysregulation in Huntington's disease.

# Keywords

Huntington's disease; transcription; gene expression; transgenic mouse; mRNA; microarray

# 1. Introduction

# 1.1 The cycle of discovery

The 'cycle of discovery' is well-demonstrated in considering Huntington's disease (Wexler et al., 1991). In this cycle, fundamental observations about a disease are made in human patients. These clinical and pathologic observations form the foundation from which scientific hypotheses can be constructed. These hypotheses in turn lead to identification of defective genes or environmental causes, development of animal models, and preclinical testing. *In vitro* models, particularly those involving cell biology, as well as transgenic animal models provide additional insight into mechanisms of disease pathogenesis. The cycle is completed when these advances are brought to bear upon the initial human malady. In the example of Huntington's disease, fundamental observations including field studies in Venezuela led ultimately to the discovery of the *HD* gene in 1993 (Huntington's Disease Collaborative Research Group, 1993). Discovery of the molecular defect then led to the development of transgenic HD mice, followed subsequently by model systems including yeast, *Drosophila, C.* 

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*elegans*, and transgenic rats. Preclinical therapeutics which have been screened on transgenic animal models have now been introduced into human clinical trials, thus completing the cycle of discovery.

#### 1.2 Transcriptional dysregulation as an example of the cycle of discovery

The idea of transcriptional dysregulation in Huntington's disease thus follows a similar trajectory. Starting from initial observations in post-mortem human HD brain, subsequent observations were first confirmed and then expanded in transgenic mouse models, and finally, the human HD brain has been re-interrogated. Along the way, we have gained significant perspective on how the mutant form of the huntingtin (Htt) protein causes selective neuronal death in the brain. In addition, these transcriptional studies have fundamentally revolutionized our view of the role of normal huntingtin. These steps through the cycle of discovery confirm that transcriptional dysregulation is a key central feature of HD pathogenesis, and may therefore serve as a target for rational therapy. In this review, I focus on the transcriptional signatures, those studies that have detailed the alteration of mRNA expression in Huntington's disease.

# 2. Early evidence of altered gene expression

#### 2.1 Neurodegeneration of Huntington's disease is region- and cell-specific: human studies

The pathologic hallmark of Huntington's disease is a regionally distinct pattern of cell loss, with the caudate and putamen (nuclei of the basal ganglia, collectively called the striatum in non-human mammals) showing the highest degree of cell loss (Vonsattel et al., 1985). The basal ganglia are a set of subcortical gray matter structures which are involved in various aspects of motor control, cognition, and sensory pathways (Graybiel, 1990). Pathologic changes have also been described in cortex, thalamus, and subthalamic nucleus (Hedreen et al., 1991). Recently, there has been increasing recognition that there is progressive cortical atrophy, and that cortex may be affected in an important way (Cudkowicz and Kowall, 1990; Rosas et al., 2005; Rosas et al., 2003; Rosas et al., 2002). The recognition that cortex is involved has given rise to the idea that striatal neurons are in part susceptible because they receive glutamatergic input from corticostriatal afferents, a model of non-cell-autonomous cell death (Gu et al., 2005).

A major conceptual advance was the idea that cell loss was not indiscriminate, but rather that there was selective neuronal vulnerability. Within the caudate-putamen, medium spiny neurons — neurons that send projections to other nuclei—were preferentially lost, while interneurons were relatively spared (Ferrante et al., 1985). Moreover, this pattern of death of medium spiny projection neurons with relative sparing of NADPH-diaphorase-containing interneurons could be reproduced with intrastriatal injections of glutamate receptor agonists (Beal et al., 1986; Coyle and Schwarcz, 1976; McGeer and McGeer, 1976). This seminal observation gave rise to the idea that certain neuronal populations were especially sensitive to toxicity in Huntington's disease. Moreover, it was these observations in the context of Huntington's disease that gave rise to the notion that a neurotransmitter—namely, glutamate—could have toxic effects if its actions were abnormally regulated.

**2.1.1 Neurotransmitter receptors in human HD caudate**—Around this time, there were numerous observations of altered neurochemical measures in HD (reviewed in Yohrling and Cha, 2002). Most of these decreases in neurotransmitter levels, neurotransmitter metabolites, or neurotransmitter receptors were interpreted as a consequence of loss of medium spiny projection neurons. For example, Young and colleagues postulated that loss of NMDA receptors in the caudate-putamen of HD patients resulted from excitotoxic cell death, with NMDA-receptor-bearing cells being preferentially targeted (Young et al., 1988). This observation was in keeping with the idea that NMDA receptor mediated toxicity was a primary

etiologic factor in HD pathogenesis. A subsequent study from the same group actually refuted the idea that there was preferential involvement of NMDA receptors, as Dure and colleagues found evidence of decreased receptor binding not only to NMDA receptors but also to quisqualate and kainate subtypes of glutamate receptors (Dure et al., 1991). Using receptor binding autoradiography, Dure et al. found that measures of NMDA receptors (NMDAdisplaceable [<sup>3</sup>H]glutamate, [<sup>3</sup>H]glycine and [<sup>3</sup>H]MK-801 binding) were decreased to a similar extent (50-60%) as measures of other non-NMDA ionotropic receptors ([<sup>3</sup>H]kainate and [<sup>3</sup>H] AMPA binding). However, binding to metabotropic glutamate receptors was decreased to a lesser extent (26-31%), suggesting that glutamate receptors were not all affected to the same degree. Also, Dure et al. noted that the binding pattern of all of these glutamatergic ligands was different from the patterns seen in normal human caudate, with more patchiness observed in the HD samples. There was no clear relationship between the matrix/patch compartments that had been described by acetylcholinesterase histochemistry (Graybiel, 1983). Even so, there were indications that neurochemical alterations were not simply explained by cell loss. These observations helped form the basis for the idea that there was selective impact on neurotransmitter systems in the HD brain.

2.1.2 Early human in situ hybridization studies—There were early reports that certain mRNA species were specifically decreased. Augood et al. applied the then-new technique of in situ hybridization to measure messenger RNA (mRNA) encoding the signaling neuropeptides enkephalin and substance P (Augood et al., 1996). In the neuropathological grading scheme derived by Vonsattel and colleagues, grade 0 refers to brains that have no recognizable neuropathologic abnormality, whereas grade 1 implies that neuropathological abnormalities are only apparent microscopically (Vonsattel et al., 1985). Even in early grade 0/1 HD brains, there was decreased expression of preproenkephalin and substance P mRNA, suggesting that one could not invoke cell loss as a cause. Further, within the caudate-putamen, there was a heterogeneous distribution of mRNA loss, reinforcing the idea of selective neuronal susceptibility. However, the susceptibility here applies not to the phenomenon of cell death but of downregulation of target genes. Reminiscent of the receptor binding studies, there were regions of caudate that were preferentially affected, with the dorsal regions demonstrating more decreases of these mRNA species. This finding seemed to presage the observation that neuropathological damage, as assessed by immunoreactivity for glial fibrillary acidic protein (GFAP), seems to progress in a dorsal to ventral direction, affecting the striosomal compartment first (Hedreen and Folstein, 1995). There was also decreased numbers of substance P and preproenkephalin mRNA on a per-cell basis.

Augood and colleagues followed up with a similar study showing that dopamine receptors were altered in early HD brain (Augood et al., 1997). In this study, the authors studied mRNA encoding dopamine D1 receptor (D1) and dopamine D2 receptor (D2) in the caudates of HD brains of different pathological grade. For the dopamine D1 receptor mRNA, in early stage cases, they observed decreased numbers of cell expressing the message, although those cells expressing the dopamine D1 receptor message did so at normal levels (as assessed by number of mRNA molecules per cell). In later grade brains, they actually observed *increased* D1 message per cell, which they attributed to a shift in cell populations. As medium spiny projection neurons disappear, there are relatively more interneurons, which contain high levels of D1 mRNA. Dopamine D2 receptor mRNA, in contrast, was apparently regulated in a different way. In early grade cases, there were not only fewer cells expressing D2 mRNA but also the number of D2 messages per cell was decreasing. This finding suggested that cell loss alone was insufficient to account for the decrease in D2 message. Importantly, given the differentially in HD brain.

Norris et al. investigated the interneurons of the caudate-putamen (Norris et al., 1996). These authors found levels of mRNA encoding neuronal nitric oxide synthase (nNOS) and somatostatin were decreased on a per-cell basis, despite survival of neurons containing neuropeptide Y, nNOS, NADPH diaphorase, and somatostatin. These authors concluded that these striatal neurons survive, but are damaged, and they inferred a progressive downregulation of nNOS messenger RNA.

Arzberger et al. studied mRNA encoding NMDA subunits of the glutamate receptor in human HD brain (Arzberger et al., 1997). Again, these authors used *in situ* hybridization with radioactive oligonucleotides in order to obtain quantitative results. Messenger RNA encoding the NR1 and NR2B subunits were decreased in accordance with disease severity, as was mRNA encoding the glutamate transporter GLT1. Decreases in mRNA were more prominent in the putamen than the caudate, even though there was more gross atrophy in the caudate, again suggesting that decreases in mRNA levels did not simply mirror cell loss.

Analyzing mRNA in human brain samples is notoriously difficult, as RNA is rapidly degraded, and post-mortem intervals can vary widely, the problem being magnified if the number of cases interrogated is small. However, these early mRNA studies were reinforced by a number of measures of neurotransmitter receptor proteins, neurotransmitters, and neurotransmitter metabolites (reviewed in Yohrling and Cha, 2002). In general, these studies focused on specific decreases in particular markers, with relative sparing of other markers. Again, these studies pointed out the possibility that there were regional- or cell-specific decreases in expression of these important signaling molecules. All of these studies were confounded by the issue of cell death. That is, if one observed a decrease in a particular marker, how could one be sure that this decrease was not simply a reflection of loss of cells? The arguments that there were relative decreases in certain markers over others were less than convincing. More informative were the studies of Augood and colleagues (Augood et al., 1997; Augood et al., 1996), in that they looked at early stage brains and measured mRNA levels on a per-cell basis, but the confounding issue of cell death still lingered.

#### 2.2 Transgenic mice: a window into transcriptional dysregulation

A major breakthrough in the HD field was the creation of a mouse model by Gillian Bates and colleagues (Mangiarini et al., 1996). These mice, named R6 lines, were created by inserting exon 1 of the human HD gene, under the control of the human HD promoter, into mouse embryonic stem cells. The human HD gene is comprised of 67 exons, but the polymorphic CAG region that encodes the polyglutamine moiety in the huntingtin protein is contained within exon 1. Moreover, since Bates and colleagues were interested in studying trans-generational CAG repeat instability, they engineered an extremely high number of repeats. The most common abnormal CAG repeat number among HD patients is 44; R6 mouse lines were created with up to 150 repeats. Surprisingly, despite expressing a construct encoding only about 3% of the full-length huntingtin protein, R6 mice displayed an abnormal phenotype that was, in many respects, reminiscent of human Huntington's disease. R6 mice appear normal at birth, but then develop progressive problems in movement as well as defects in learning and memory (Carter et al., 1999; Lione et al., 1999; Mangiarini et al., 1996). In addition, R6 mice lose brain weight and body weight, which is again reminiscent of human patients with HD (Mangiarini et al., 1996). R6/2 mice died at 12 weeks of age, at which time, their brains were significantly atrophied. Thus, the amino terminus of the huntingtin protein with expanded polyglutamine is sufficient to cause many HD-like abnormalities.

In their initial report, Mangiarini et al. failed to demonstrate any significant neuroanatomical abnormalities in brains of R6/2 mice, as assessed by immunohistochemistry for glial fibrillary acidic protein (GFAP) or the mouse macrophage and microglial marker F4/80, Nissl staining, and thionin staining (Mangiarini et al., 1996). Surprisingly, although the brain size was smaller

than wild-type littermates, there were apparently normal numbers of striatal neurons and no clearly degenerating neurons were seen. Subsequently, a subset of neurons have been identified that undergo so-called dark cell degeneration, but these cells are not numerous and they are most detectable in the anterior cingulate (Turmaine et al., 2000). Thus, while some degenerating neurons have been detected in these mice, it is fair to say that widespread neuronal death is not a predominant neuropathological feature of R6 transgenic mice. These mice thus put front and center the controversy between neuronal death versus neuronal dysfunction. Despite expressing a very short piece of the *HD* gene, R6 mice recapitulate many of the features of HD, including apparently normal development, progressive neurologic abnormalities, and premature death. These findings, however, could not be explained by invoking large-scale neuronal death, and, moreover, there was not an obvious clue as to any neurochemical derangements.

Other lines of transgenic mice have also been created, and important lessons have been gleaned by comparing different mouse models. In general, mouse lines that contain a truncated form of the *HD* gene tend to show abnormal symptoms before lines containing full-length huntingtin (Menalled and Chesselet, 2002). The N171-82Q transgenic mouse contains a truncated human *HD* gene under the control of the mouse prion promoter, with a longer transgene than was used in the R6 lines. N171-82Q mice express a transprotein of 171 amino acids and 82 glutamines, show an abnormal neurological phenotype, accumulate ubiquitinated inclusions, and have shortened lifespan, although they survive longer than R6 mice. HD94 is another line of exon1 mice, but in this case, the transgene, which has 94 glutamines, is tetracycline-regulatable (Yamamoto et al., 2000). In HD94 mice, turning off transgene expression resulted in reversal of neuropathological and behavioral measures, suggesting that some of the pathology in HD is potentially reversible.

Mouse models expressing full-length versions of huntingtin have also been created, both as transgenics (Hodgson et al., 1999; Reddy et al., 1998; Slow et al., 2003) and as knock-in models in which extra CAG repeats are inserted into the endogenous mouse locus (Menalled et al., 2002; Shelbourne et al., 1999; Wheeler et al., 2000). Full-length models have the conceptual advantage of more faithfully replicating the genetic mutation in human HD, but these mice have much slower onset of abnormal behavioral and neuropathologic abnormalities, compared to mice expressing truncated versions of huntingtin.

#### 2.2.1 Altered mRNA expression in transgenic mice: single gene studies

**2.2.1.1 Initial studies in R6 mouse lines:** Despite apparently normal numbers of neurons, the R6/2 mice clearly had an abnormal phenotype. Moreover, the preliminary survey of neurochemical markers had been unrevealing. Cha et al. embarked on an investigation of glutamate receptors in these mice, as glutamate excitotoxicity had historically been a leading idea regarding HD pathogenesis (Albin and Greenamyre, 1992; Beal et al., 1991; Bruyn and Stoof, 1990; Coyle and Schwarcz, 1976; DiFiglia, 1990). The strongest evidence for the involvement of the neurotransmitter glutamate was the observation that injection of glutamate analogues into the striatum of rodents or primates could reproduce the pattern of neuropathological damage seen in HD, namely, death of medium spiny projection neurons with relative sparing of interneurons. Indeed, many were surprised upon the discovery of the gene to find that the *IT-15* transcript, subsequently related to glutamatergic neurotransmission. Nonetheless, in the absence of a clear neuropathological abnormality in R6 mice, measuring glutamate receptors was a reasonable initial step.

In R6/2 mice, glutamate receptors, as well as other neurotransmitter receptors, were altered in a fashion that was remarkably similar to that seen in human HD caudate-putamen (Cha et al., 1998). That is, certain neurotransmitter receptors seemed to be quite selectively downregulated

—for example, dopamine D1 and D2 receptors. Receptor binding autoradiography also revealed decreases in AMPA and metabotropic glutamate receptors, with relative preservation of NMDA receptor binding. Muscarinic cholinergic receptors were also decreased, but there was not difference in GABA receptor binding. Interestingly, decreases in D1 dopamine receptor binding and expression could be observed by just four weeks of age, well before the onset of behavioral and motor symptoms in these mice. These findings indicated that mouse models of HD replicated the pattern of receptor alterations that have been observed in human HD. In addition, receptor alterations were specific, in that certain receptors were altered while others were not. The reminiscent pattern of neurotransmitter receptor losses in R6/2 mice argued that receptor downregulation was not simply reflective of neuronal loss, since there was not widespread striatal cell death in these mice.

Receptor binding autoradiography is a measure of the amount of receptor protein, and decreased protein could result from a number of mechanisms, including disrupted trafficking or accelerated protein degradation. An alternate explanation was that the decreased protein levels actually reflected a failure to generate adequate amounts of the corresponding mRNA. In order to discriminate between these possibilities, Cha and colleagues measured levels of mRNA encoding neurotransmitter receptors, and found that those receptors that had decreased proteins levels had decreased mRNA levels as well (Cha et al., 1999; Cha et al., 1998). For example, there were decreased mRNA encoding the mGluR1, mGluR2, and mGluR3 subtypes of the metabotropic glutamate receptors, as well as dopamine D1 and D2 receptors. In contrast, mRNA encoding the NR1 subunit of the NMDA receptor and  $\beta$ -actin were expressed at normal levels. These studies confirmed that there was selective alteration of certain mRNA molecules in R6/2 mice, thereby implicating a transcriptional problem in HD.

One could argue that decreases in mRNA encoding neurotransmitter receptors were a developmental effect, as the mutant transgene is expressed throughout development. Indeed, deletion of the huntingtin-encoding gene in mice results in embryonic lethality (White et al., 1997; Zeitlin et al., 1995). However, the observation that young R6/2 mice were phenotypically indistinguishable from wild-type littermates argues against the notion of a developmental defect. In addition, time course experiments demonstrate that 4-week-old transgenic mice start off with nearly normal levels of neurotransmitter mRNA, with levels decreasing when measured at 8 or 12 weeks of age (Cha et al., 1999). This situation parallels what occurs in human subjects. Positron emission tomography (PET) scanning reveals decreases in dopamine D2 receptor binding potential in HD gene-positive human subjects who are clinically asymptomatic, supporting the notion that functional changes can occur before overt clinical symptoms develop (Andrews et al., 1999). These studies suggest that levels of key molecules decline over time in the HD brain.

Similar studies were performed to characterize the neurotransmitter changes in different lines of R6 mice (Cha et al., 1999). Decreases in adenosine A2a, dopamine D1 and dopamine D2 receptor binding were observed in the R6/1, R6/2 and R6/5 HD mouse lines. All of the lines develop an abnormal phenotype, albeit at different time points (Mangiarini et al., 1996). Transgenic mice expressing exon 1 of the human HD gene with 18 CAG repeats did not show any significant changes in neurotransmitters, indicating that receptor alterations were caused by the presence of the abnormally expanded CAG repeats present in the R6 lines. Receptor binding decreases were preceded by selective decreases in the corresponding mRNA species; significant decreases in D1 mRNA were observed in 4-week-old R6/2 mice without a corresponding decrease in D1 binding. Thus, mRNA changes may not always be accompanied by changes in protein levels, which appear to follow later. Again, this early-stage decrease in dopamine receptor mRNA is reminiscent to what has been reported for human HD cases (Augood et al., 1997). These observations suggested that the altered transcription of specific

genes might contribute to the development of clinical symptoms in HD, and that transcriptional dysregulation may be a key pathological mechanism in HD (Cha, 2000).

**2.2.2 Categories of downregulated genes**—Following these initial studies, there were a number of genes that were shown to be aberrantly expressed in transgenic R6/2 mice as well as other lines of transgenic HD mice. Interestingly, the first genes to be identified as being downregulated fell into several distinct categories including neurotransmitter receptors, intracellular signaling molecules, and cytoskeletal proteins. The first sets of genes that were identified as being altered in transgenic HD mice were informed by prior observations of neurochemical changes in postmortem human HD brain, and thus focused heavily on molecules involved in neurotransmission. In addition, second messengers and synaptic proteins have been found to be altered in transgenic R6 mice.

**2.2.2.1 Neurotransmitter receptors:** Other neurotransmitter receptors were found to be decreased in transgenic mouse models. For example, decreases have been found in CB1 receptors in R6/1 and R6/2 mice (Denovan-Wright and Robertson, 2000; McCaw et al., 2004), as well as in HD94 mice (Lastres-Becker et al., 2002), reminiscent of what had been found in human HD brain (Glass et al., 2000; Glass et al., 1993; Richfield and Herkenham, 1994).

Glutamate receptors have historically been important in the field of HD (DiFiglia, 1990). In addition to the metabotropic glutamate receptors, other subtypes of glutamate receptors have been identified as downregulated in R6 mouse lines, including AMPA receptors (Cha et al., 1998) and the NR2a and NR2b subunits of the NMDA receptor (Luthi-Carter et al., 2003). Interestingly, in terms of NMDA receptors, there was more downregulation in the hippocampus as opposed to the striatum. This observation calls into question whether the striatum is uniquely affected in HD. One of the most puzzling realizations has been that the huntingtin protein is expressed throughout the brain, and not primarily in the striatum. Clearly, the striatum is the brain region that demonstrates the most severe neuronal loss, but at least in terms of downregulation of NMDA receptors, other areas are affected to a greater degree. One possibility that emerges is that the hippocampus, by virtue of downregulation of NMDA receptors, is actually relatively protected from glutamate excitotoxicity compared to the striatum, and as a result manifests less atrophy. Alteration of glutamate receptor mRNA is not limited to the striatum, as the cortex of R6/2 also demonstrates selective decreases of certain mRNA (Cha et al., 1998; Luthi-Carter et al., 2003).

**2.2.2.2 Neurotransmitters:** The GABAergic projection neurons of the striatum are further classified into two groups, one group co-containing substance P and projecting to the internal globus pallidus and the substantia nigra (striatonigral neurons) and the second group co-containing enkephalin and projecting to the external globus pallidus (striatopallidal neurons) (Albin et al., 1989). Of these, there seems to be preferential impact on the enkephalinergic striatopallidal neurons (Reiner et al., 1988). The expression of several neurotransmitters, neuropeptides, and GAD has also been examined in the R6/2 and CAG71 and CAG94 HD mice (Menalled et al., 2000). Both types of mice showed significant decreases in enkephalin mRNA in the striatum with quantitative *in situ* hybridization. Immunohistochemistry, itself not a quantative approach, did not reveal any significant protein changes for enkephalin, substance P, GAD<sub>65</sub>, GAD<sub>67</sub>, somatostatin or ChAT, indicating that the distribution and number of cells expressing these proteins are not altered in transgenic mice.

Reynolds and colleagues characterized the levels of many neurotransmitters in the R6/2 mice (Reynolds et al., 1999). The concentrations of GABA, glutamate, and the monoamine neurotransmitters were measured by HPLC in four brain regions, at times before and after the emergence of a HD-like phenotype. When compared to the human post-mortem findings,

several noteworthy disparities were observed. Striatal GABA in the R6/2 mice was normal. This finding suggests that loss of GABA-containing neurons is not an important early pathogenic event in the transgenic mice, and that the GABA decreases described in human HD are likely simply reflective of cell loss. This disparity between R6/2 and human HD brain suggests that such comparisons can be informative in terms of being able to ascribe certain alterations as a *consequence* of neuronal loss, as opposed to being a *cause* of neuronal loss. In the striatum of 12 week old R6/2 mice, there were significant decreases in dopamine and serotonin. A significant drop in striatal 5-hydoxyindolacetic acid (5-HIAA) levels, the major metabolite of serotonin, was seen in 4-, 8-, and 12-week-old animals. Similar decreases were observed at 8 and 12 weeks of age only in the hippocampus and brain stem. These data appear to indicate that the R6/2 model of HD has severely disrupted serotonergic and dopaminergic systems. This study did not look specifically at mRNA levels of neurotransmitter synthetic enzymes, focusing rather on measurements of the actual neurotransmitters themselves.

A particular story has emerged regarding brain-derived neurotrophic factor (BDNF). This peptide neurotrophic factor is decreased in the brains of HD mice and human HD brains (Zuccato et al., 2001). Striatal BDNF is actually produced in cortical neurons and transported through cortical efferents into the striatum. This group has found that wild-type Htt is a positive regulator of BDNF transcription in neurons, a function that is impaired in mutant Htt (Zuccato et al., 2001). In addition, there is reduced expression of trkB, which forms part of the cell surface receptor for BDNF (Gines et al., 2006). In all, these findings predict a defect in BDNF function, including consequences for dopaminergic neurotransmission (Pineda et al., 2005).

While the strtiatum has been the best-studied region, gene expression is altered in other brain regions. In the hypothalamus of transgenic mice expressing N-terminal huntingtin with expanded polyQ fused to eGFP, there was decreased expression of a number of hypothalamic neuropeptides, including oxytocin, vasopressin and cocaine-amphetamine-regulated transcript (Kotliarova et al., 2005), indicating that transcriptional dysregulation induced by exon 1 huntingtin can produce brain region-specific effects, again, affecting also non-striatal regions.

**2.2.2.3 Neurotransmitter synthesis:** In addition to receptors, neurotransmitters themselves as well as their synthetic enzymes have been identified as being downregulated. Tyrosine hydroxylase is the rate-limiting enzyme for catecholamine synthesis, and both transgenic HD mice and human HD brain demonstrate decreases in TH mRNA (Yohrling et al., 2002). *In situ* hybridization revealed decreased tyrosine hydroxylase mRNA in human HD substantia nigra neurons. In addition, mutant Htt disrupted transcription of tyrosine hydroxylase and dopamine beta-hydroxylase promoter constructs, pointing to a direct repressive role of mutant Htt. Dopamine content is reduced in the R6/2 brain by about 9 weeks of age, but long-term replacement of dopamine worsened motor function in these mice (Hickey et al., 2002).

**2.2.2.4 Intracellular signaling:** Also affected were molecules involved in intracellular signaling, particularly molecules involved in calcium signaling. Harris et al. identified protein kinase C beta II as downregulated in R6/2 mice (Harris et al., 2001). Bibb et al. identified severe reductions of dopamine- and cAMP-regulated phosphoprotein, M(r) 32 kDA (DARPP-32) in the brains of R6/2 mice (Bibb et al., 2000), and van Dellen et al. identified similar decreases in R6/1 mice (van Dellen et al., 2000). At 4 weeks of age, the R6/2 mice displayed severe deficiencies in dopamine- and cAMP-regulated phosphoprotein, DARPP-32, as well as other dopamine-regulated phosphoprotein markers of medium spiny neurons (Bibb et al., 2000). At 6 weeks of age, the R6/2 mice resembled DARPP32 knockout mice, which also have abnormal dopamine signaling (Greengard et al., 1999). Dopamine

signaling is known to regulate gene transcription (Berke et al., 1998). The DARPP-32 defect also appears to be region-specific, in that R6 mice show decreased expression in the striatum, but normal levels in the kidney (Gomez et al., 2006).

**2.2.2.5 Synaptic, cytoskeletal and structural proteins:** Structural proteins comprise another important group of downregulated molecules. Tenascin-C is an extracellular matrix glycoprotein involved in cell migration, which is downregulated in R6/2 mouse brain (Kusakabe et al., 2001). These authors found normal expression of tenascin-C in astrocytes, but reduced expression in the cortex and thalamus. At later ages (12 weeks), neuronal expression of tenascin-C disappeared in several thalamic nuclei (e.g., the ventromedial, parafascicular, lateral posterior, and posterior thalamic groups) as well as in frontal cortex. At this age, there was an accompanying astrogliosis within the thalamus. These observations suggest that neurons are more susceptible to mRNA downregulation than astrocytes.

Another important category of downregulated molecules includes those involved in synaptic function. PSD-95 is a major organizing protein at the postsynaptic density, functioning as a scaffolding protein (Kennedy, 1997). Huntingtin binds directly to PSD-95 (Sun et al., 2001), and PSD-95 levels decreased in R6/2 mice (Luthi-Carter et al., 2003). Citron is a protein that binds to PSD-95 that is involved in dendritic spine formation (Zhang et al., 1999). Citron expression is decreased in the brains of N171-82Q mice (Jarabek et al., 2004). In addition, another glutamate receptor anchoring protein, alpha-actinin-2, is decreased in R6/2 mouse brain (Luthi-Carter et al., 2003). These observations of altered expression of postsynaptic proteins imply dysfunctional postsynaptic responses, particularly at excitatory NMDA receptor synapses, with important implications in terms of NMDA-receptor-mediated excitotoxicity (Jarabek et al., 2004; Luthi-Carter et al., 2003; Sun et al., 2001).

Complexin II is a component of the SNARE complex, and is likely to be involved in the control of exocytosis. Complexin II levels are progressively decreased in the brains of R6/2 mice (Morton and Edwardson, 2001). Complexin II was also found to co-aggregate with huntingtin to form intranuclear inclusions. This observation suggests that mutant huntingtin may disrupt neurotransmitter release by sequestering complexin II into aggregates and rendering the presynaptic vesicles unable to release neurotransmitter. Alpha-SNAP and complexin I are also decreased in R6/2 brain, but these changes lag behind the decrease in complexin II. Rabphilin 3A, another protein involved in exocytosis, is decreased in the brains of R6/2 mice (Smith et al., 2005). Taken together, these decreases predict abnormal exocytotic function in R6/2 mice, a prediction that has been confirmed electrophysiologically (Cepeda et al., 2003).

**2.2.2.6 Other molecules:** Other molecules aside from neurotransmitters, receptors, and cytoskeletal proteins have been shown to have decreased expression at the mRNA level in transgenic HD mice. de Chaldee et al. used Serial Analysis of Gene Expression (SAGE) to identify capucin (caudate-and putamen-enriched sequence) (de Chaldee et al., 2006). Capucin mRNA distribution exhibits a strikingly restricted pattern, detectable only in brain and, to a lesser extent, in testis, and essentially confined to the striatum. Capucin expression was decreased not only in R6/2 brain but also in rat striatal neurons infected with a lentiviral mutant Htt construct.

One of the surprising findings has been the lack of change in mRNA levels encoding mitochondrial proteins or proteins involved in apoptosis. There have been some reports of mRNA encoding mitochondrial proteins being affected. In striatal neurons transduced with a mutant huntingtin-containing lentivirus, there is decreased expression of Ip and Fp, two components of the mitochondrial electron transport chain (Benchoua et al., 2006). However, overall, the mRNA encoding mitochondrial proteins are expressed at normal levels. Similarly, there is a lack of changes for caspases, the apoptotic proteases that have been implicated in

HD neuronal death processes (Friedlander, 2003). Lack of mRNA expression changes does not mean that these processes are unimportant in HD pathogenesis, however. For example, much of the regulation of caspases occurs post-translationally, either through proteolytic cleavage or through intracellular trafficking (Sanchez et al., 2003).

Htt-induced transcriptional dysregulation is not limited to brain. Many lines of transgenic mice develop diabetes (Hurlbert et al., 1999), and in the pancreatic beta cells, there is diminished expression of key regulators of insulin gene transcription, including the pancreatic homeoprotein PDX-1, E2A proteins, and the coactivators CBP and p300 (Andreassen et al., 2002). Decreased expression of these key pancreatic transcription factors may lead to insulin deficiency and diabetes in these mice.

## 2.2.3 Summary of single gene studies in transgenic mice-Informed by

neurochemical changes that had been previously observed in postmortem human HD brain, researchers have been able to confirm that many of these same changes also occur in the brain of transgenic HD mice. The implication of these neurochemical studies is that numerous neurotransmitter systems are impacted, affecting other regions in addition to the striatum. Widespread derangement of neurotransmitter systems contributes to the neuronal dysfunction that precedes neuronal death. Since many of these changes were observable in transgenic mice that expressed only exon 1 of the human *HD* gene, these studies also focused more attention to the polyglutamine moiety of the huntingtin protein. One of the implications is that such transcriptional changes may be related to polyglutamine, and, as such, may be applicable to other polyglutamine diseases (Ross, 2002). However, these 'candidate gene' approaches suffer from the bias of ascertainment, in that one can only measure what one sets out to detect. The possibility is that other sets of genes aside from those involved in neurotransmission might be similarly affected, but that these genes had yet to be investigated.

# 3. Microarray analysis of gene expression

While single-gene studies offered a tantalizing insight into how mutant huntingtin perturbed the normal functioning of neurons, these experiments could not measure all of the gene changes that occur. A logical extension of early single-gene studies was to harness the power of recently developed DNA microarray technology. Using nanolithography techniques originally developed for computer chip, manufacturers, notably Affymetrix, developed high-density arrays in which oligonucleotides corresponding to thousands of transcripts were arrayed onto a single 'gene chip' or glass slide. In contrast to the candidate gene approach, the use of DNA microarrays is an unbiased approach that promises a more comprehensive reckoning of all gene expression changes. By fluorescently labeling mRNA derived from tissues of interest, one could simultaneously assess expression levels of thousands of genes, thus permitting an instantaneous snapshot of all transcripts. Huntington's disease was the first human neurologic disease to which DNA microarray technology was applied (Luthi-Carter et al., 2000). Subsequently, gene expression abnormalities have been found in a number of neurodegenerative diseases, including Alzheimer's Disease (Wu et al., 2006), amyotrophic lateral sclerosis (Dangond et al., 2004), Parkinson's disease (Grunblatt et al., 2004; Miller et al., 2004; Noureddine et al., 2005), prion diseases (Xiang et al., 2004), frontotemporal dementia and progressive supranuclear palsy (Hauser et al., 2005), and multiple sclerosis (Steinman and Zamvil, 2003), suggesting that impacting the genome is another common feature of these disorders. The use of DNA microarray has proved especially powerful in the study of Huntington's disease pathogenesis. A special issue of Human Molecular Genetics was devoted largely to expression profiling studies of HD models (Orr, 2002). This collection of papers was remarkable in that researchers around the world freely joined the Huntington's Disease Array Group (HDAG), agreeing to perform experiments on a common platform, analyze data using similar tools, and share data.

The power of such high-throughput analysis brings with it some considerations. Strict statistical methodologies must be employed to account for the huge number of comparisons that are being made (Kooperberg et al., 2002). For example, in the current generation of DNA microarrays, one could easily compare expression levels for 11,000 genes simultaneously. The 'false discovery rate' thus becomes an indispensable measure in performing these studies (Pan, 2002). Certain types of statistical corrections can be introduced, but these corrections also suffer from the assumption that each gene is an independent entity, an assumption that is clearly not true. An obvious example is the case in which a particular transcription factor has an altered expression levels; one would expect that the genomic targets of this transcription factor may be altered as well, but not as independent occurrences. The statistics that have been applied to analyze these data continue to undergo an evolution to address these issues. There is general agreement that critical results obtained from microarray datasets ought to be confirmed by more traditional single gene methods, such as quantitative RT-PCR, Northern blot or *in situ* hybridization.

#### 3.1 Microarray studies in transgenic mouse models of HD

Luthi-Carter and colleagues published the first study using DNA microarrays to measure gene expression in the striatum of R6/2 mice (Luthi-Carter et al., 2000). In this study, these authors used first-generation mouse chips, measuring the expression of 6,000 genes. Remarkably, only a small number were decreased, and these were restricted to genes encoding neurotransmitter, calcium and retinoid signaling pathway components. Overall, 1.7% of transcripts were altered at 6 weeks of age, and 1.2% at 12 weeks of age. Decreases outnumbered increases by a ratio of 3:1. Again, this study pointed to disruptions of gene transcription that would prove especially deleterious to the functioning of medium spiny striatal neurons. As suggested by previous studies, this study indicated that decreases in the expression of neuronal signaling genes was not due to large shifts in striatal cell populations, as some mRNAs known to be expressed in medium spiny neurons (e.g., preprotachykinin) were unchanged. Decreases in mRNAs predominated; at 12 weeks of age, only 21 were increased in R6/2 striatum. Many of the increased genes were related to inflammation, and these were likely compensatory changes. This pioneering study not only demonstrated the power of using DNA microarrays, but also produced a comprehensive survey of all gene changes that occur in the R6/2 striatum. In short, numerous genes were affected, but there was a measure of selectivity, in that fewer than 2% of the transcripts had altered expression. Downregulation of genes appeared to be a more important mechanism in that gene decreases predominated, and decreases in expression could be detected at earlier time points. This study thus gave rise to the notion that mutant huntingtin could produce a progressive downregulation of susceptible genes. While certain groups of genes seemed to be affected, the rule determining which genes would be downregulated was not immediately apparent.

**3.1.1 Microarray studies of other R6 mice**—Benn et al. created lines of transgenic mice which were modifications of the original R6/2 mouse line (Benn et al., 2005). In order to determine the importance of nuclear localization of polyglutamine-expanded exon 1 huntingtin, Benn and colleagues made versions of R6/2 mice, modified in that they expressed transgenes bearing either a nuclear localization signal (NLS) or a nuclear export signal (NES). These mice have distinct transgene genomic integration sites, and yet the expression profiling of these lines, as assessed by DNA microarray, were quite similar, arguing that the transcriptional profile seen in R6/2 mice is not simply the result of the genomic locus of integration. Interestingly, directing expanded polyglutamine to the nucleus reliably worsens phenotype in transgenic mice, whereas excluding polyglutamine from the nucleus delays the phenotype (Benn et al., 2005; Jackson et al., 2003; Schilling et al., 2004). These observations bolster the argument that disruption of transcription is a critical pathogenic event in polyglutamine diseases.

**3.1.2 Microarray studies: other lines of transgenic mice**—Other diseases share the same causative mechanisms as HD: expansion of a CAG trinucleotide repeat encoding an abnormally large polyglutamine moiety within the host protein (Paulson and Fischbeck, 1996). Expression profiling studies have shown that many of these transgenic mouse models show mRNA expression changes, including other transgenic mouse models of HD (Chan et al., 2002; Kotliarova et al., 2005), dentatorubropallidal luysian atrophy (DRPLA, Luthi-Carter et al., 2002b), and spinocerebellar ataxia type 7 (SCA-7 Abou-Sleymane et al., 2006; La Spada et al., 2001). These studies suggest that altered gene expression is a common feature of these polyglutamine diseases. Certain genes changes were common to numerous of these diseases. For example, 6 of the gene expression changes common to both huntingtin and atrophin-1 transgenic mice were also observed in the cerebella of mouse models expressing full-length mutant ataxin-7 or the androgen receptor (Luthi-Carter et al., 2002b). However, most of the particular genes that are affected differ between disease models. The longer the polyglutamine portion, the more marked the gene changes, and conversely, the longer the fragment of huntingtin, the less severe the gene changes (Chan et al., 2002).

Fossale and colleagues hybridized mRNA from *Hdh* knock-in mice to a filter array containing human genes, in order to detect altered expression of conserved genes (Fossale et al., 2002). They identified increased expression of *Rrs1*, a ribosomal protein. While this result is interesting, the use of human gene filter arrays raises the possibility that some of the expression changes in these mice were missed.

#### 3.2 Expression profiling studies of cell models

Cellular models have been created that express different portions of the Htt molecule. Some cellular models express a truncated transgene, sometimes with inducible expression. 'Knock-in' models that express a full length version of mouse huntingtin have also been developed. Some of these cell models have been subjected to gene expression analysis. In comparison to intact brain, the signal to noise ratio that can be achieved in cultured cells is much higher. However, cells in culture lack the appropriate synaptic and neurochemical environment that neurons *in vivo* experience. Nevertheless, the use of cellular models has been tremendously helpful. As an example, use of the inducible models allows one to detect the earliest changes that occur, something that is not practically achievable with transgenic mice.

Wyttenbach et al. performed expression profiling on PC-12 cells that inducibly express exon 1 of the human *HD* gene containing either 23 or 74 glutamines (Wyttenbach et al., 2001). These authors observed a pattern of gene expression that was consistent with impaired cyclic AMP response element (CRE) signaling, which they confirmed using a CRE reporter construct. A subsequent report from the same group also identified altered expression of molecules in pathways regulated by retinoic acid response element, and nuclear factor kappaB (Sugars et al., 2004). Apostol et al. also performed expression profiling on another PC-12 cell model, again expressing human exon 1 containing either 18 or 103 glutamines (Apostol et al., 2006). In this study, there were more gene increases than decreases, and impairment of the mitagenactivated protein kinase (MAPK) pathway was identified. In their expression profiling study of PC-12 cells expressing either 23 or 74 glutamines, Kita identified 5 genes with altered expression that could suppress neuronal death, implying that some of these gene changes are compensatory and possibly relevant to polyglutamine pathogenesis (Kita et al., 2002).

Sipione et al. performed expression profiling on ST14A cells, immortalized striatal cell lines that stably express a relatively long piece of huntingtin, 548 amino acids (Sipione et al., 2002). These authors found genes involved in cell signaling, transcription, lipid metabolism and vesicle trafficking, with some genes being altered within 12 hours of mutant protein induction. A novel target in HD pathology also emerged from this work, as this report identified genes involved in cholesterol biogenesis as being downregulated. This group has gone on to

confirm alteration of the cholesterol biosynthetic pathway in human HD samples (Valenza et al., 2005). Cholesterol, or the molecules that are involved in cholesterol biosynthesis, such as the master transcriptional activator SREBP, may serve both as a biomarker and as a novel target in HD therapeutics.

## 4. Mechanisms of transcriptional dysregulation

Now that mRNA expression abnormalities have been demonstrated across several types of HD models, the questions remain as to how exactly these changed expression profiles come about. Numerous mechanisms have been proposed for the mechanisms by which mutant Htt alters the pattern of gene expression (reviewed in Luthi-Carter and Cha, 2003). Not all mRNA decreases reflect a transcriptional mechanism. For example, in R6 mice several of the chaperone proteins, including Hdj1, Hdj2, Hsp70, alphaSGT and betaSGT, are decreased at the protein levels, with no difference in corresponding mRNA levels (Hay et al., 2004).

#### 4.1 mRNA changes reflect neuronal pathology

Most of the decreases in mRNA measured in the R6/2 striatum likely reflect neuronal, as opposed to glial, expression changes, as neuronal populations obtained through laser capture microdissection (LCM) recapitulate the findings found for striatal homogenates (Sadri-Vakili et al., 2006; Zucker et al., 2005). Other data arguing that mRNA changes represent primarily neuronal pathology includes the expression profiling studies of neuronal and neuronal-like cell lines (Apostol et al., 2006; Kita et al., 2002; Sipione et al., 2002; Sugars et al., 2004; Wyttenbach et al., 2001). These findings do not obviate the possibility that glial cells also can manifest altered mRNA expression. For example, R6/2 mice demonstrate decreased mRNA levels of GLT-1, the major astroglial glutamate transporter (Lievens et al., 2001).

#### 4.2 Nuclear inclusions do not cause mRNA downregulation

The role of neuronal intranuclear inclusions (NII) has been hotly debated in HD pathogenesis. Although NII have been identified in the brains of R6/2 transgenic HD mice (Davies et al., 1997) as well as in human HD brain (DiFiglia et al., 1997), their role in HD pathogenesis is controversial. NII appear before the onset of symptoms and neurodegeneration in R6/2 mice, suggesting that aggregation of mutant Htt into NII is a requisite step in neuropathogenesis (Davies et al., 1998; Ross, 1997). Others have proposed a protective role, arguing that NII may represent the cellular response to polyglutamine-induced toxicity (Arrasate et al., 2004; Kim et al., 1999; Saudou et al., 1998). Sadri-Vakili et al. have recently analyzed NII-positive and NII-negative striatal neurons from R6/2 mice, and found that there was no difference in the degree of mRNA downregulation between these two cell populations (Sadri-Vakili et al., 2006). Although techniques such as double-label in situ hybridization and immunohistochemistry, or laser capture microdissection, cannot eliminate the possibility that "NII-negative" cells actually contain small microaggregates or oligomers, these findings indicate that visible NII do not account for downregulation of mRNA. These findings also argue against the idea that NII are protective with respect to transcription. As visible aggregates have been shown to be protective in an *in vitro* transient transfection model (Arrasate et al., 2004), one possibility is that mRNA downregulation lies in a different pathogenic pathway than cell death in vitro.

#### 4.3 Htt interactions with transcription factors

Huntingtin, in both normal and polyglutamine-expanded forms, can interact with a number of transcription factors (reviewed in Luthi-Carter and Cha, 2003). Htt binding interactors include CREB-binding protein (CBP) (Nucifora et al., 2001; Steffan et al., 2000), TATA-binding protein (TBP) (Huang et al., 1998), p53 (Steffan et al., 2000), Sp1 (Dunah et al., 2002), and p53 (Bae et al., 2005; Steffan et al., 2000), raising the possibility that NII cause transcriptional

dysregulation by sequestering transcription factors. However, Yu et al. reported no change in transcription or sequestration of transcription factors by mutant Htt aggregates (Yu et al., 2002). More recent studies report the idea that soluble Htt can interfere with transcription factor activity (Schaffar et al., 2004), or may function to disrupt coactivator complexes on susceptible gene promoters (Zhai et al., 2005). Several transcription factors have been studied in detail.

**4.3.1 CBP**—CREB Binding Protein (CBP) is a transcription factor that contains a stretch of 19 glutamines. In 1999, it was recognized that aggregates of polyglutamine-containing proteins could recruit CBP into aggregates (Kazantsev et al., 1999; McCampbell et al., 2000; McCampbell et al., 1999). Steffan et al. showed that mutant huntingtin could interact with CBP and mSin3a, raising the possibility that huntingtin orchestrated abnormal transcriptional complexes at the promoters of susceptible genes (Steffan et al., 2000). Sequestration of CBP may be a common feature of polyglutamine diseases, as interaction with CBP has been demonstrated not only with huntingtin (Nucifora et al., 2001; Steffan et al., 2000), atrophin-1, the protein mutated in dentatorubropallidoluysian atrophy (Nucifora et al., 2001), ataxin-3, the protein mutated in spinocerebellar ataxia type 3 or Machado-Joseph disease (McCampbell et al., 2000). As CBP possesses histone acetyl transferase (HAT) activity, one possibility is that mutant polyglutamine proteins alter the landscape of histone modifications by aberrantly interacting with HATs such as CBP (Sadri-Vakili and Cha, 2006).

**4.3.2 Sp1**—Sp1 ('specificity protein 1') was the first human transcription factor to be isolated (Kadonaga et al., 1987). Inspection of gene expression profiling studies of R6/2 mice suggested that Sp1-dependent genes were especially impacted (Luthi-Carter et al., 2000). Mutant huntingtin interacts with Sp1 *in vitro*, with mutant huntingtin binding more avidly than wild-type huntingtin (Dunah et al., 2002; Li et al., 2002). Mutant huntingtin interferes with Sp1-dependent transcription (Dunah et al., 2002; Li et al., 2002), and co-expression of Sp1 and the transcriptional mediator TAFII130 inhibits huntingtin toxicity (Dunah et al., 2002). Genes that have decreased expression levels have decreased Sp1 bound to their promoters, whereas normally-expressed genes had normal Sp1 association, as assessed using chromatin immunoprecipitation (ChIP) (Chen-Plotkin et al., 2006). While these data suggest Sp1 hypofunction, exactly how Sp1 is involved in HD is still not clear. It is worth noting that many Sp1-driven genes have normal levels of expression. Decreasing Sp1 using RNA interference or crossing R6/2 mice with Sp1 heterozygote knockout mice actually improves the abnormal neurologic phenotype (Qiu et al., 2006).

**4.3.3 NCoR**—One of the first binding interactors of huntingtin that was identified was nuclear co-repressor (NCoR) (Boutell et al., 1999). NCoR is a protein that serves as a transcriptional co-repressor (Hollenberg, 1998). Mutant huntingtin enhances the transcriptional repression effect of NCoR, raising the possibility that aberrant huntingtin-NCoR binding could occasion inappropriate gene repression (Yohrling et al., 2003). However, while some studies have shown increased binding by mutant huntingtin (Boutell et al., 1999), others have shown a similar extent of binding between wild-type and mutant huntingtin (Yohrling et al., 2003).

**4.3.4 p53**—p53 is a tumor suppressor that functions within the cell to integrate stress signals. The N-terminal portion of huntingtin resembles p53 in that both molecules possess a transcriptional activation domain flanked by a polyproline moiety (Steffan et al., 2000). Exon 1 of the huntingtin protein containing the polyproline regions (httex1p) was found to interact with p53 *in vitro* and *in vivo*, and p53 was found to be recruited into polyglutamine aggregates. In addition, expanded httex1p repressed transcription of p53-responsive promoters (Steffan et al., 2000). Both p53 and one of its regulators, mdm2, have been found within intracellular polyQ aggregates (Steffan et al., 2000; Suhr et al., 2001). Again, while these observations

suggest that there is hypofunction of p53 in HD, the relationship may be more complex. A recent study shows that p53 levels are increased in HD tissues, and that decreasing p53 protects against Htt toxicity (Bae et al., 2005). The *HD* promoter itself has multiple p53 response elements, and activation of p53 leads to increased expression of huntingtin (Feng et al., 2006).

**4.3.1 REST/NRSF**—Brain-derived neurotrophic factor (BDNF) is a pro-survival factor. A particular link has been made to repression of BDNF expression (Zuccato et al., 2001; Zuccato et al., 2003). In the cytoplasm, wild-type Htt binds the transcriptional repressor element-1 transcription factor/neuron restrictive silencer factor (REST/NRSF), which is less bound by mutant huntingtin. The theory is that, due to a loss of cytoplasmic sequestration of REST, there is inappropriate nuclear entry of REST in the presence of mutant huntingtin. Zuccato and colleagues have argued that this loss-of-function results in inappropriate repression of BDNF expression, as unbound REST is liberated to travel into the nucleus and repress the BDNF promoter. Consistent with this view is the observation of decreased transcription of REST-controlled genes in the presence of mutant huntingtin (Zuccato et al., 2003). Importantly, this schema postulates a loss of function of mutant huntingtin—failure to sequester REST in the cytoplasm—as an additional mechanism by which huntingtin disrupts transcription.

**4.3.6 Core Transcriptional Machinery**—There are numerous interactions between mutant huntingtin and components of the basal transcriptional machinery. Huntingtin binds TAFII130 (Dunah et al., 2002; Shimohata et al., 2000), which binds to the TATA-binding protein (TBP). Both RNA polymerase II large subunit and TBP can be detected in Htt aggregates (Huang et al., 1998; Suhr et al., 2001). TBP is itself a polyglutamine-containing protein, and polyglutamine expansion of TBP causes spinocerebellar ataxia 17 (SCA17) (Nakamura et al., 2001). Zhai et al. identified TFIID and TFIIF, components of the core transcriptional meachinery, as direct targets of mutant Htt (Zhai et al., 2005). Specifically, these authors found that the RAP30 subunit of the TFIIF complex can specifically interact with mutant Htt, and overexpression of RAP30 protects against Htt toxicity. Huntingtin's effect on the core transcriptional machinery may also involve RNA polymerases. RNA pol II large subunit can be recruited to polyglutamine inclusions in cell lines expressing mutant Htt (Luthi-Carter et al., 2002b). These observations suggest that mutant Htt can disrupt the core transcriptional machinery, but they do not explain the regional specificity that is seen in gene expression profiles (Desplats et al., 2006; Hodges et al., 2006).

**4.3.7 PGC-1** $\alpha$ —There may be links to huntingtin-induced transcriptional dysregulation and mitochondrial dysfunction. Peroxisome proliferator activated receptor  $\gamma$  (PPAR©) coactivator 1 $\alpha$  (PGC-1 $\alpha$ ) is considered a master regulator of mitochondrial biogenesis and function. Weydt et al. observed that HD transgenic mice had impaired thermoregulation and had lower levels of PGC-1 $\alpha$  (Weydt et al., 2006). Cui et al. found that mutant huntingtin inhibits expression of PGC-1 $\alpha$  by associating at the promoter and interfering with CREB/TAf4-mediated expression of PGC-1 $\alpha$  (Cui et al., 2006). Expression of PGC-1 $\alpha$  knockout mice exacerbates striatal degeneration (Cui et al., 2006). These findings suggest that mutant Htt interferes with energy production by inhibiting the expression of PGC-1 $\alpha$ .

#### 4.4 Decreased transcription or accelerated degradation?

Most measures of mRNA levels represent stead-state levels. Hence, decreased levels could reflect either decreased rates of transcription or accelerated rates of degradation. However, where it has been studied, decreases in mRNA stability appear to reflect decreased transcription, as opposed to accelerated degradation (McCaw et al., 2004). In addition, mutant Htt appears to disrupt transcriptional machinery at the promoters of susceptible genes,

suggesting that the primary locus of mutant's transcriptional effects is at specific gene promoters (Chen-Plotkin et al., 2006; Cui et al., 2006; Dunah et al., 2002; Zhai et al., 2005). Accelerated degradation has not been excluded as a potential mechanism. Interestingly, many of the genes whose expression is altered are concerned with RNA metabolism, including elongation factors and factors involved in splicing.

## 5. Microarray analysis of gene expression in human HD brain

The study of altered mRNA expression found its beginnings in the observations from human post-mortem HD brain. Recently, Hodges et al. completed the scientific cycle of discovery by applying DNA microarray techniques to human HD brain (Hodges et al., 2006). In this tour de force, 44 human HD brains were compared to 36 unaffected controls. Of note, this effort also represents a collaboration among several groups, reminiscent of the first wave of DNA microarray profiling studies performed on transgenic mouse models. As the number of available human HD brains is limited, combining available samples greatly increases the statistical power of such a study. Four brain regions were analyzed: caudate nucleus, cerebellum, prefrontal association cortex, and motor cortex. The caudate and motor cortex manifested the greatest number of changes, followed in number by the cerebellum, with the prefrontal association cortex showing no changes. This pattern of gene changes parallels the pattern of neuropathology. It should be emphasized that this regional pattern of altered gene expression cannot be adequately explained simply by the regional expression of Htt; both the cerebellum and prefrontal association cortex express Htt. Recent studies from transgenic mouse models suggest that there are striatal-specific factors which may render the striatum more susceptible to transcriptional dysregulation (Desplats et al., 2006; Gomez et al., 2006).

Of interest, in the Hodges et al. study there were many genes among those showing the greatest downregulation that had also been identified in expression profiling studies of transgenic mice, including cannabinoid CB1 receptor, dopamine D1 receptor and enkephalin. A considerable number of  $Ca^{2+}$ -binding protein and  $Ca^{2+}$ , K<sup>+</sup> and Na<sup>+</sup> channel mRNAs are downregulated, consistent with previously published studies of R6/2 mice (Luthi-Carter et al., 2002a; Luthi-Carter et al., 2000; Luthi-Carter et al., 2002b). The similarity between human HD brain and a transgenic mouse model that bears such an extremely truncated portion of the *HD* gene is nothing short of remarkable and argues strongly that polyglutamine-expanded exon 1 accounts for a significant portion of the transcriptional changes seen in HD. Undoubtedly, other portions of the Htt molecule could also produce transcriptional changes. For example, Hodges et al. also identified novel changes in vacuolar proton channel complex subunits, and they postulate that these changes could affect a number of neuronal processes, including synaptic vesicle release.

# 6. Expression profiling as a therapeutic readout

Expression profiling of mRNA also provides a rich phenotype which may be used to monitor therapy. Transcriptionally active therapies would be predicted to normalize the aberrant transcriptional profiles found in HD tissues. Clearly, expression profiling with current methodologies would not be practical for the human HD brain. However, if altered mRNA expression were present in more accessible tissues, one could then measure expression profiles in these tissues as a biomarker. An ideal biomarker reflects a critical aspect of disease pathology, such as serum cholesterol in the study of heart disease. Additionally, such a biomarker, in the presence of a treatment, may serve as a measure of therapeutic efficacy, such as one is able to assess the effect cholesterol-lowering effects of statin drugs.

#### 6.1 Accessible tissues

One exciting possibility is tissues other than brain may yield fundamental information that mirrors what is going on in brain. For years, a metabolic abnormality has been identified in HD skeletal muscle (Jenkins et al., 1993; Koroshetz et al., 1997). R6/2 mice manifest gene expression changes not only in brain, but also in muscle (Luthi-Carter et al., 2002a). Strand et al. compared gene expression profiles from skeletal muscle of R6/2 mice, Hdh(CAG150) knock-in mice and human HD patients (Strand et al., 2005). These authors observed an HD-related expression phenotype that was consistent with a transition from fast-twitch to slow-twitch muscle fiber types. Gene expression changes reflected disease progression. One can imagine that punch biopsy of muscle might be used as a biomarker for clinical trials.

Even a punch biopsy of muscle is not without discomfort to patients. Borovecki et al. used peripheral blood samples to measure mRNA expression in blood samples from human HD patients (Borovecki et al., 2005). These authors identified 322 mRNAs with altered expression in HD blood samples, compared with controls. Most of the genes that were changed were different than those that had been identified as changed in previous expression profiling studies of brain. This disparity is not surprising in that neurons and peripheral blood cells express distinct sets of genes; one would not a priori that the same genes would be affected by mutant Htt. A subset of up-regulated mRNAs selected from this group was able to distinguish controls, presymptomatic individuals carrying the HD mutation, and symptomatic HD patients. Early presymptomatic subjects showed gene expression profiles similar to those of controls, whereas late presymptomatic subjects showed altered expression that resembled that of symptomatic HD patients. These findings suggested that expression profiles of peripheral blood had a relationship with disease severity, and that these profiles might be a useful measure in both measuring disease severity as well as response to therapy. Importantly, many of the genes that were upregulated in peripheral blood were found to have increased expression in human HD caudate, indicating that examination of the blood could reflect some of what was going on in the central nervous system.

#### 6.2 Does treatment improve expression profiles?

Decreasing expression of the mutant transgene ameliorates behavioral and neuropathologic measures in a transgenic mouse model of HD, attesting to the regenerative potential of the HD brain (Yamamoto et al., 2000). Targeting expression of the mutant *HD* gene is thus an area of active interest, with potential approaches including antisense oligonucleotides, intrabodies and RNA interference. An important issue is whether decreasing the levels of mutant Htt will correct transcriptional abnormalities. Indeed, decreasing Htt expression using an siRNA approach reversed gene expression abnormalities of enkephalin and DARPP-32 and improved a paw-clasping phenotype (Rodriguez-Lebron et al., 2005).

Treatment may be reflected in improved expression profiles. A multidrug regimen (tacrine, moclobemide and creatine) partially corrected gene expression abnormalities in R6/2 mice, although some other genes were further dysregulated (Morton et al., 2005a). The effect of HDAC inhibitors on gene expression profiles has also been examined (Ferrante et al., 2003). Microarray analysis showed increased expression of alpha- and beta-globins and MAP kinase phosphatase-1 in sodium butyrate-treated R6/2 mice, indicative of improved oxidative phosphorylation and transcriptional regulation. This study suggests that the benefits of HDAC inhibitors lies not only in correcting the expression of aberrantly dysregulated genes, but also in increasing the expression of other salutary genes.

In human patients, in a small dose-finding study, treatment with the histone deacetylase (HDAC) inhibitor phenyl butyrate was able to decrease expression of some genes that were abnormally increased in peripheral blood cells of human HD samples (Borovecki et al.,

2005). In human HD subjects dosed with the nutritional supplement creatine, there is an overall decrease in levels of 8-hydroxydeoxyguanosine, a measure of oxidative damage to DNA (Hersch et al., 2006). The relationship between oxidative DNA damage to altered gene expression has not been elucidated in Huntington's disease, although there does seem to be a direct relationship in the aging brain (Lu et al., 2004).

# 7. Future directions

Measuring transcriptional dysregulation has been tremendously useful in a number of ways. First, the remarkable similarity between expression profiling changes between human HD brain and transgenic mice largely validates the utility of these valuable models in understanding transcriptional dysregulation in HD. Certain features of human HD, notably selective striatal neuronal death, are not well-replicated by transgenic mice expressing truncated forms of human huntingtin. These mice may thus be most useful for learning more about the transcriptional phenotype in HD. Expression profiling has also generated novel targets, molecules that were not previously suspected in HD pathogenesis, such as the cholesterol biosynthetic pathway and PGC-1 $\alpha$ . The use of microarrays has already demonstrated potential as a therapeutic readout for clinical trials.

Important issues remain, however. Even though DNA microarray studies demonstrate a measure of selectivity in which genes are affected, it is clear that many genes are affected. Focusing on any single protein may therefore be a short-sighted strategy, and one ought to think of more upstream mechanisms.

The mechanisms producing these transcriptional signatures are largely unknown, although they certainly emanate from a single molecule: polyglutamine-expanded versions of the huntingtin protein. Several inroads have been advanced. Using a comparative approach Chen-Plotkin et al. found that downregulated genes have decreased binding of the transcription factor Sp1 to their promoters, compared to genes expressed at wild-type levels (Chen-Plotkin et al., 2006). Similarly, at the promoters of susceptible genes, mutant Htt disrupts the assembly of transcriptional complexes (Zhai et al., 2005). A systematic investigation of transcription factors and histone proteins will undoubtedly shed light on the causative mechanisms. Histones associated with downregulated genes are hypo-acetylated compared to histones associated with normally expressed genes (G. Sadri-Vakili and J.-H.J. Cha, unpublished data).

A looming issue is one of causality. While altered transcription is clearly a phenotype, it is not clear if these gene changes are causative or epiphenomenal. The critical test will be to see if correcting this abnormal phenotype produces clinical benefit. In this regard, recent experience with HDAC inhibitors has been instructive (reviewed in Sadri-Vakili and Cha, 2006). Transgenic HD mice are improved when treated with HDAC inhibitors (Ferrante et al., 2003; Gardian et al., 2005; Hockly et al., 2003), and some of these compounds are now being tested in human HD patients.

Overall, transcriptional signatures illustrate the cycles of discovery in Huntington's disease, in which initial observation from postmortem human brain informs preliminary experiments in animal and cellular models. Additional insights developed in these models, fueled by biotechnological innovation such as the development of DNA microarrays, can then be brought to bear on the human patient. The ultimate progress of this cycle will be measured not only in new ideas and new targets but, ultimately, in new therapies.

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Cha

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# Abbreviations

BDNF	brain-derived neurotrophic factor			
СВР	CREB binding protein			
CRE	cyclic AMP response element			
DARPP-32	dopamine- and cAMP-regulated phosphoprotein, M(r) 32 kDA			
НАТ	histone acetyl transferase			
HD	Huntington's disease			
HDAC	histone deacetylase			
Htt	huntingtin			
httex1p	huntingtin exon 1 containing polyproline region			
mRNA	messenger RNA			
NCoR				
NII				
NMDA	neuronal intranuclear inclusion			
PGC-1a	N-methyl-D-aspartate			
Sp1	peroxisome proliferator activated receptor $\gamma$ (PPAR©) coactivator $1\alpha$			
TBP	specificity protein 1			
	TATA-binding protein			

Cene	Transgenic Mouse	Comment
BDNF	YAC72 (Hermel et al. 2004: Zuccato et al.	Decreased BDNF contributes to donamine
	2001)	function (Pineda et al., 2005)
	R6/2(Zuccato et al., 2005)	
	R6/1 (Pang et al., 2006)	
	BACHD (Gray et al., 2006)	
Enkephalin	R6/2, (Menalled et al., 2000) (Luthi-Carter et al.,	
	2000)	
	CAG71, CAG94 (Menalled et al., 2000)	
	N1/1-82Q (Luthi-Carter et al., 2000)	
Display to the second s	Inducible HD transgenic line (Kotharova et al.,	
CAD67	$\frac{2003}{P6/2}$ (Courfinkel An et al. 2003)	Synthetic enzyme for $GABA$
JAD07	$R_{0/2}$ (Goulling et al. 2002)	Synthetic enzyme for estacholominos
yrosine nydroxylase	K0/2 (Tollining et al., 2002)	including donamine
Adenosine A2a receptor	R6/1 R6/2 and R6/2 (Cha et al. 1999)	merading dopainine
	N171-82Q (Luthi-Carter et al., 2000)	
CB1 receptors	HD94 (Lastres-Becker et al., 2002)	
X	R6/1, R6/2 (Denovan-Wright and Robertson,	
	2000) (McCaw et al., 2004)	
Oopamine D1 receptor	R6/2 (Cha et al., 1998) (Cha et al., 1999)	
	R6/1, R6/5 (Cha et al., 1999)	
	N171-82Q (Jarabek et al., 2004)	
Dopamine D2 receptor	R6/2 (Cha et al., 1998)	
	N171-82Q (Luthi-Carter et al., 2000)	
nGluR1 glutamate receptor	R6/2 (Cha et al., 1998)	
mGluR2 glutamate receptor	R6/2 (Cha et al., 1998)	Striatal mGluR2 protein actually resides or
		detected in cortical puramidal neurons
nCluP2 glutamata recentor	$P_{6/2}$ (Chapter 1, 1008)	detected in cortical pyrainidal neurons.
MDA NB2a subunit	$R_{0/2}$ (Cila et al., 1998) $R_{0/2}$ (Luthi Contor et al. 2002)	Depresses more prominent in hippesempty
NVIDA INK2a subulit	R0/2 (Lutin-Carter et al., 2005)	than striatum
JMDA NR2h subunit	R6/2 (Luthi-Carter et al. 2003)	Decreases more prominent in hippocampus
WIDA WK20 subunit	K0/2 (Luni-Carter et al., 2005)	than striatum
Kainate receptors	R6/2 (Cha et al., 1998)	
AMPA receptors	R6/2 (Cha et al., 1998)	
Auscarinic cholinergic receptors	R6/2 (Cha et al., 1998)	
IrkB receptor	R6/1 (Gines et al., 2006)	TrkB decrease not simply a consequence of
*		decreased BDNF
GLT glutamate transporter	R6/2 (Lievens et al., 2001)	Glutamate transporter that is primarily
		astrocytic; other glutamate transporters
		expressed at normallevels
rotein kinase beta II	R6/2 (Harris et al., 2001)	
lopamine- and cAMP-regulated	R6/2 (Bibb et al., 2000)	
bhosphoprotein, M(r) 32 kDA	R6/1 (van Dellen et al., 2000)	
DARPP-32)	N171-82Q (Luthi-Carter et al., 2000)	
Phosphodiesterase 10A	R6/1, R6/2(Hu et al., 2004) (Hebb et al., 2004)	Htt decreases transcription of PDE10A
	DC/1 DC/2 (II 11 - + -1 - 2004)	transcripts, a striatum-specific PDE
Nos	$R_0/1, R_0/2$ (Hebb et al., 2004)	
INOS	R6/1 (Perez-Severiano et al., 2002) R6/2 (Deckel et al. 2002)	
nBmol1	(Morton et al. 2005b)	Cleak protein whose altered expression me
пыпан	(Morton et al., 2005b)	underlie disrupted sleep in HD
A donvlata ovelasa	P6/2 N171 820 (Luthi Cartor at al. 2000)	undernie disrupted steep in TID
Synantia autoskalatal and structural p	R0/2, N1/1-82Q (Lutili-Carter et al., 2000)	
Cono	Transgonico Mouso	Commont
Tenessin C	B6/2 (Kusakaba at al. 2001)	Extracellular matrix protein
Rahnhilin 3a	$\frac{1}{R6/1} (\text{Smith at al} 2005)$	rabphilin 3A a protain involved in
Kaopinini Sa	$\mathbf{K}0/1$ (Simulated al., 2003)	exocytosis is substantially decreased in R6/
		synapses
Alpha-actinin-?	R6/2 (Luthi-Carter et al. 2003)	Involved in clustering of NMDA receptors
Connexin 36 and Connexin 45	R6/2 retina (Petrasch-Parwez et al. 2004)	
PSD-95	R6/2 (Luthi-Carter et al. 2003)	Involved in clustering of NMDA receptors
	N171-820 (Jarabek et al., 2004)	interved in clustering of realizer receptors
Citron	N171-820 (Jarabek et al. 2004)	PSD-95 binding partner involved in dendriti
		spine formation
lpha-SNAP	R6/2 (Freeman and Morton, 2004)	Component of SNARE complex. Decrease i
-		alpha-SNAP lags behind decrease in
		Complexin II

Table 1 Specific mRNA alterations in transgenic mouse models of HD

Cha

Neurotransmitters, Neurotransmitter synthesis, Intracellular Signaling:					
Gene	Transgenic Mouse	Comment			
Complexin I	R6/2 (Freeman and Morton, 2004)	Component of SNARE complex. Decrease in alpha-SNAP lags behind decrease in Complexin II			
Complexin II	R6/2 (Freeman and Morton, 2004; Morton and Edwardson, 2001)				
Other:					
Gene	Transgenice Mouse	Comment			
Ip and Fp subunits of mitochondrial complex II	Striatal neurons transfected with Lentiviral injection of N171-82Q (Benchoua et al., 2006)				
Capucin	R6/2 (de Chaldee et al., 2006)	Novel striatal specific gene downregulated in HD			
PDX-1, E2A proteins, and the coactivators CBP and p300	R6/2 and N171-82Q (Andreassen et al., 2002)	Altered gene expression may account for diabetes phenotype in transgenic mice			

# Table 2

# Summary of Microarray Profiling Studies

I Fansgenic Mouse Mo			<i>a</i> , ,
Study (L. d.) Contact of	Tissue Profiled	Form of mutant huntingtin	
(Luthi-Carter et al., 2000)	R6/2 mouse striatum	human exon 1 with ~150Q	<ol> <li>/% of genes are changed, with decrease: outnumbering increases by 3:1. Decreased genes include: neurotransmitters and receptors, second messengers, calcium homeostasis and nuclear receptors.</li> </ol>
(Iannicola et al., 2000)	R6/1 whole brain	Transgenic expression of human exon 1 with ~115Q	Whole brain mRNA hybridized to filters containing cDNA for 588 genes. 7 genes increased; 2 decreased.
(Chan et al., 2002)	Striatum from R6/2, N171-82Q, HD46, YAC72 transgenic mice	Transgenic mice expressing different lengths of human Htt	Mice with shorter fragments demonstrate more pronounced gene expression changes Strength of conclusions undermined by the many differences in the models used.
(Luthi-Carter et al., 2002b)	Striatum of mouse models of HD, SCA-7, DRPLA, and SBMA	Different polyglutamine disease transgenes	Many shared gene changes bolster the idea that polyglutamine moiety is responsible fo gene changes
(Ferrante et al., 2003)	R6/2 striatum	Transgenic expression of human exon 1 with ~150Q	Treatment with the HDAC inhibitor sodiun butyrate partially reverses transcriptional dysregulation
(Benn et al., 2005)	Striatum of NLS- and NES versions of R6 mouse lines	Transgenic expression of human exon 1 with ~140Q	Nuclear localization produces more mor pronounced changes. R6/2 and R6/2 mice chare similar transcriptional profiles.
(Oyama et al., 2006)	Transgenic HD190QG mice	Transgenic expression of human exon 1 with 190Q, fused to eGFP	Overall pattern similar to that seen in R6/2 mice, additional ESTs identified. Identifie sodium channel beta4 subunit as downregulated, possible involvement in neurite retraction
(Morton et al., 2005a)	R6/2 mouse striatum	Transgenic expression of human exon 1 with ~150Q	Treatment of with a combination of tacrine moclobemide and creatine partially reverse gene expression abnormalities
(Desplats et al., 2006)	R6/1 mouse striatum	Transgenic expression of human exon 1 with ~140Q	Pattern of downregulated genes explains striatal specificity
(Crocker et al., 2006)	R6/2 mouse striatum	Transgenic expression of human exon 1 with ~150Q	170/15000 (1.1%) genes changed. More genes upregulated than downregulated.
Cell Models:			
Study	Tissue Profiled	Form of mutant huntingtin	Comment
(Wyttenbach et al., 2001)	PC12 cells	Human exon 1, inducible expression, 23Q, or 74Q	Evidence of compromised CRE-mediated transcription, partially resuced by cAMP o forskolin.
(Sipione et al., 2002)	ST14a immortalized striatal cells	N-terminal 548-amino-acid huntingtin fragments with 26, 67, 105 or 118 glutamines	Inducible system allows for temporal resolution of gene changes. More genes decreased than increased. Cholesterol metabolism implicated.
(Kita et al., 2002)	PC12 cells	Stable Human exon 1 with 23Q or 74Q	126/1824 (6.9%) genes changed. Some of the genes with identified changes involved with cell death.
(Sugars et al., 2004)	PC12 cells	Exon 1, inducible	Disrupted pathways controlled by cAMP response element (CRE), retinoic acid response element, and nuclear factor kappaB,
(Apostol et al., 2006)	PC12 cells	Truncated form of human exon 1	85 genes increased, 53 genes decreased. Suggests activation of MAP kinase pathway.
Human:			
Study	Tissue Profiled	Form of mutant huntingtin	Comment
(Borovecki et al., 2005)	Human peripheral blood cells	Mutant human <i>HD</i> alleles	More genes increased than decreased. Sets of genes could discriminate control, presymptomatic and symptomatic patients
(Hodges et al., 2006)	Human HD brain	Mutant human <i>HD</i> alleles	Results remarkably similar to that seen in truncated transgenic mouse models. Gene changes most prominent in striatum and motor cortex, with few changes in cerebellum and none in frontal association cortex.

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