

# Complementary functions of ATM and H2AX in development and suppression of genomic instability

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**Upon DNA damage, histone H2AX is phosphorylated by ataxia-telangiectasia mutated (ATM) and other phosphoinositide 3-kinase-related protein kinases. To elucidate further the potential overlapping and unique functions of ATM and H2AX, we asked whether they have synergistic functions in the development and maintenance of genomic stability by inactivating both genes in mouse germ line. Combined ATM/H2AX deficiency caused embryonic lethality and dramatic cellular genomic instability. Mechanistically, severe genomic instability in the double-deficient cells is associated with a requirement for H2AX to repair oxidative DNA damage resulting from ATM deficiency. We discuss these findings in the context of synergies between ATM and other repair factors.**

DNA repair | embryonic lethality | oxidative DNA damage

**D**NA double-strand breaks (DSBs) can result from normal cellular metabolism, exogenous DNA-damaging agents, or as programmed events during lymphocyte development. Because unrepaired and misrepaired DSBs can lead to cell death or transformation, a complex protein network has evolved to monitor them and lead to their repair. Ataxia-telangiectasia mutated (ATM) is a member of the phosphoinositide 3-kinase-related protein kinase (PIKK) family, which also includes the DNA-dependent protein kinase (DNA-PK) and ataxia-telangiectasia and Rad3-related kinase (ATR). ATM is activated immediately upon DSBs and acts as a master regulator of the DSB response by phosphorylating a host of downstream proteins, including repair factors and checkpoint proteins, such as p53. Thus, ATM-deficient cells have DNA repair defects; spontaneous genomic instability; hyperradiosensitivity; defects in G<sub>1</sub>/S, intra-S, and G<sub>2</sub>/M checkpoints; and compromised p53-mediated apoptosis. Consequently, Atm-deficient mice are predisposed to thymic lymphomas with clonal translocations (1–4). In addition, ATM also suppresses the accumulation of intracellular reactive oxygen species (ROS) (5–7). Correspondingly, antioxidant treatment significantly delays thymic lymphoma onset in Atm-deficient mice (8, 9), suggesting that increased ROS levels contribute to lymphomagenesis in the ATM-deficient background.

ATM rapidly phosphorylates histone H2AX, a mammalian histone H2A variant, on its carboxyl-terminal SQE motif to generate “ $\gamma$ -H2AX” over large chromatin domains flanking DSBs (10). ATM also phosphorylates other DSB response proteins including MDC1, 53BP1, and NBS1, which bind  $\gamma$ -H2AX to form macromolecular complexes (foci) (11). Deficiency for H2AX or other DSB response proteins leads to a subset of ATM-deficient phenotypes, including genomic instability, DNA repair defects, and radiosensitivity (11, 12), potentially reflecting their role as ATM substrates. However, H2AX-deficient cells have largely normal G<sub>1</sub>/S and intra-S checkpoints and p53-mediated apoptosis (13), reflecting H2AX-independent ATM functions. In this context, although thymic lymphomas with clonal translocations are common in an ATM-deficient background, they are rare in the H2AX-deficient background unless p53 is also eliminated (14, 15). However, H2AX can be phosphorylated by DNA-PK and, potentially ATR in response to replication-related DNA damage, implying ATM-independent

functions of H2AX (16–18). Spontaneous genomic abnormalities observed in metaphases of H2AX-deficient B cells are about equally divided between chromosome and chromatid breaks, whereas those from ATM-deficient B cells are mainly chromosome breaks (19). Because chromosome breaks mainly derive from prereplication lesions, whereas chromatid breaks generally represent postreplication lesions, H2AX may have postreplication repair functions distinct from those of ATM. Thus, although H2AX is an ATM substrate, H2AX and ATM likely have independent functions that theoretically could synergize in DNA repair and maintenance of genomic integrity.

Although ATM is not required during embryonic development, ATM deficiency has synergistic impacts on deficiencies for several DNA repair factors, among them poly(ADP-ribose)polymerase (PARP) 1/2 and DNA ligase IV (20, 21). Double deficiency for ATM and PARP1/2 leads to embryonic lethality, which is thought to result potentially from severe genomic instability (20). Although ATM deficiency actually rescued the neuronal apoptosis and late embryonic lethality of ligase IV-deficient mice, likely via its role in eliminating p53-dependent apoptosis in neuronal cells, mouse embryonic fibroblasts (MEFs) that lack both ATM and ligase IV displayed severe growth defects and more severe genomic instability than MEFs singly deficient for either ATM or ligase IV (21). To date, the molecular mechanisms that underlie the synergistic effects of combined defects for ATM and various DNA repair factors have not been elucidated.

*Atm* and *H2ax* are very closely linked in both human and mouse and map to a cytogenetic region (11q23) often deleted in human cancers (22). Here, we have directly tested whether H2AX and ATM have synergistic functions in mouse development and maintenance of genomic stability by generating and analyzing H2AX and ATM double-deficient cells and mice. We find a dramatic synergy in both processes and implicate increased oxidative DNA damage associated with ATM deficiency, coupled with defective repair of this damage in the absence of H2AX, as an underlying mechanism.

## Results and Discussion

**Deficiency for Both H2AX and ATM Results in Midgestation Embryonic Lethality with Pleiotropic Developmental Defects.** *H2ax* and *Atm* are located within 4 cm of each other on mouse chromosome 9. We

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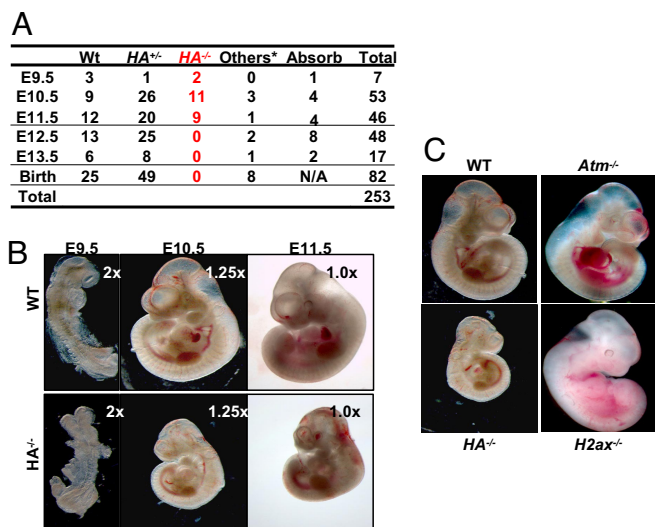
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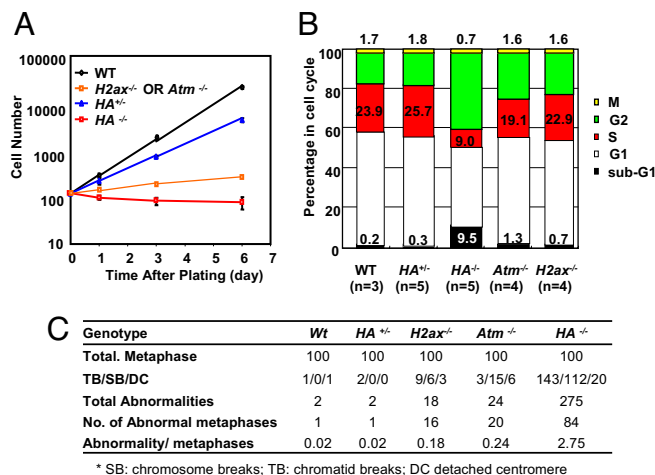


**Fig. 1.** *H2ax/Atm* double-deficient mice are embryonic lethal at E12.5. (A) Genotype of embryos obtained from timed breeding between *HA*<sup>+/-</sup> parental mice. The other genotypes include *H2ax*<sup>+/-</sup>, *Atm*<sup>+/-</sup>, *H2ax*<sup>+/-</sup>*Atm*<sup>+/-</sup>, and *H2ax*<sup>-/-</sup>*Atm*<sup>+/-</sup> generated because of mitotic cross-over. (B) Morphology of representative E9.5, E10.5, and E11.5 WT and *HA*<sup>-/-</sup> embryos. The magnifications for the objective lenses used are marked at the upper right corner of each picture. (C) Morphology of representative E10.5 WT, *HA*<sup>-/-</sup>, *Atm*<sup>-/-</sup>, and *H2ax*<sup>-/-</sup> embryos. Right side view is shown. (Magnification,  $\times 1.25$ .)

bred mice heterozygous for an *H2ax*-inactivating mutation (*H*<sup>+/-</sup> mice; ref. 14) with mice heterozygous for an *Atm*-inactivating mutation (*A*<sup>+/-</sup> mice; ref. 2) to generate mice that carried the *H2ax*- and *Atm*-inactivating mutations on different chromosomes (*H*<sup>+/-</sup>*A*<sup>+/-</sup> mice). *H*<sup>+/-</sup>*A*<sup>+/-</sup> mice were crossed to wild-type (WT) mice to generate mice that carried the *H*<sup>-</sup> and *A*<sup>-</sup>-inactivating mutations on the same chromosome (*HA*<sup>+/-</sup> mice), which were then crossed to obtain mice homozygous for the linked *H2ax*- and *Atm*-inactivating mutations [*HA*<sup>-/-</sup> mice; supporting information (SI) Fig. S1A]. Although *H*<sup>-/-</sup> and *A*<sup>-/-</sup> mice were born at expected Mendelian frequencies, no *HA*<sup>-/-</sup> pups were found in >100 pups analyzed, implying that H2AX and ATM have complementary roles required for mouse embryonic development (Fig. 1A).

The stage at which the development of *HA*<sup>-/-</sup> embryos arrests was determined from timed heterozygous crosses (Fig. 1A). At embryonic day 10.5 (E10.5), *HA*<sup>-/-</sup> embryos were alive, but smaller than WT littermates, *H*<sup>-/-</sup>, and *A*<sup>-/-</sup> embryos (Fig. 1B and C). *HA*<sup>-/-</sup> embryos had pleiotropic developmental defects (Fig. S1B and C, and Fig. 1D), associated with increased cell death (Fig. S1E) and decreased mitotic index (Fig. S1F). Only 50% of E11.5 *HA*<sup>-/-</sup> embryos analyzed were alive, as judged by the presence of heartbeats, and no *HA*<sup>-/-</sup> embryos were detected at E12.5 (Fig. 1A). Thus, *HA*<sup>-/-</sup> embryos died between E11.5 and  $\approx 12.5$ . Despite the severe defects of *HA*<sup>-/-</sup> embryos, *HA*<sup>-/-</sup> placentas had normal size, morphology, and structure (Fig. S1G), and *HA*<sup>-/-</sup> embryonic red blood cells were indistinguishable from those of WT littermates (Fig. S1H). Therefore, placental defects likely do not explain the embryonic lethality in *HA*<sup>-/-</sup> mice. Based on these and various other considerations (see below), we argue the embryonic lethality of *HA*<sup>-/-</sup> is likely caused by intrinsic defects in the embryonic cells.

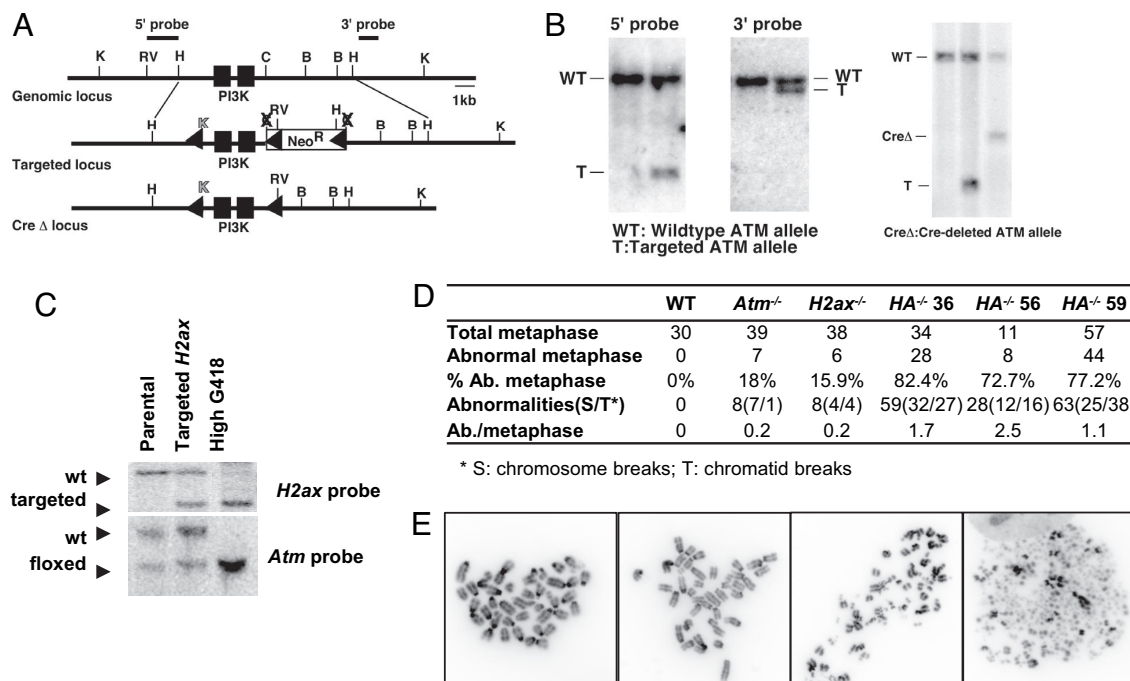
**H2AX and ATM Double-Deficient Embryonic Fibroblasts Have Dramatic Genomic Instability.** To elucidate further potential causes of developmental failure in *HA*<sup>-/-</sup> mice, we derived E10.5 MEFs. E10.5 *H*<sup>-/-</sup> or *A*<sup>-/-</sup> MEFs proliferated *in vitro*, albeit more slowly than WT MEFs (Fig. 2A), similar to what has previously



**Fig. 2.** Proliferation defects and genomic instability in H2AX and ATM double deficient MEF. (A) Proliferation of WT, *HA*<sup>-/-</sup>, *Atm*<sup>-/-</sup> and *H2ax*<sup>-/-</sup> MEFs. Approximately  $2.5 \times 10^2$  p0 MEF were plated in each well of a 24 well plate at day 0, trypsinized and counted every other day by using trypan blue exclusion. The standard deviations are calculated based on the results from at least three independent experiments performed on independent MEF lines of each genotype. (B) Cell cycle analyses of overnight culture of p0 E10.5 MEF of indicated genotypes. The cells were incubated with BrdU and colcemid (100 ng/ml) for 2 h before collection for cell cycle assay. The sub-G<sub>1</sub>, G<sub>1</sub>, S, and G<sub>2</sub>/M phase percentages were calculated from BrdU-PI staining. Mitotic cells were identified through histone H3 Ser-10-phosphorylation staining. G<sub>2</sub> phase percentage was obtained by subtracting the M phase percentage from the G<sub>2</sub>/M phase percentage. The bar graphs represent the average of three to five independent MEF lines from each genotype. (C) Summary of cytogenetic analyses of p0 MEFs. DAPI staining was used to quantify the abnormalities.

been reported for E13.5 MEFs from these genetic backgrounds (1, 13). In contrast, total cell numbers of p0 *HA*<sup>-/-</sup> MEFs decreased during culture, implying continued cell death even in freshly isolated MEFs (Fig. 2A). Cell cycle analyses of p0 *HA*<sup>-/-</sup> MEF cultures revealed a dramatic increase in the sub-G<sub>1</sub> population, indicative of massive cell death (Fig. 2B). Cell cycle analyses of p0 *HA*<sup>-/-</sup> MEFs also revealed a significantly decreased fraction of cells in S phase and a corresponding increase in relative cell numbers in the G<sub>1</sub> and G<sub>2</sub> fractions (Fig. 2B), a cell cycle distribution that has been observed in irradiated WT MEFs that harbor a high level of genomic instability (23). Therefore, we assayed p0 *HA*<sup>-/-</sup> MEFs for potential genomic instability. Although only 2–3% of WT or *HA*<sup>+/-</sup> and 18–25% of *H*<sup>-/-</sup> or *A*<sup>-/-</sup> metaphases had cytogenetic aberrations,  $\approx 80\%$  of *HA*<sup>-/-</sup> metaphases showed cytogenetic aberrations (Fig. 2C). Furthermore, most of the *HA*<sup>-/-</sup> metaphases contained numerous breaks per metaphase (average more than three abnormalities per abnormal metaphase), in contrast to one or, rarely, two abnormalities per abnormal metaphase observed in *H*<sup>-/-</sup> or *A*<sup>-/-</sup> MEFs (Fig. 2C). Thus, the severe proliferation defects of *HA*<sup>-/-</sup> MEFs are associated with severe genomic instability, which may be a basis for the observed embryonic lethality of *HA*<sup>-/-</sup> mice.

**H2AX and ATM Double-Deficient Embryonic Stem (ES) Cells Have Dramatic Genomic Instability.** To explore the effect of loss of H2AX and ATM on genomic instability in other cell types, we generated H2AX/ATM double-deficient ES cells. We used conditionally targeted alleles for each gene to avoid potential adverse effects of sequentially inactivating *H2ax* and *Atm* in cells. We used the *H2ax* conditional allele previously generated in our laboratory (24). We generated an *Atm* conditional targeting allele by flanking exons 57 and 58 of *Atm* gene, which encode the core PIKK kinase domain of murine *Atm*, with two *loxP* sites (Fig. 3A and B). Cre-dependent removal of exons 57 and 58 of



**Fig. 3.** Generation and characterization of H2AX and ATM double-deficient ES cells. (A) Schematic diagram of mouse *Atm* genomic locus, *Atm* conditional targeting vector, and targeted *Atm* conditional target allele. Restriction site designations: K, KpnI; B, BamHI; H, HindIII; C, ClaI; RV, EcoRV. (B) Southern blot analysis of WT (left lane), *Atm*-targeted allele (second lane) and *Atm*<sup>Δ</sup> allele (third lane). (C) Southern blot analysis of *H2ax* and *Atm* locus of parental *Atm*<sup>+/-</sup> ES cells, *H2ax*<sup>+/-</sup>*Neo*<sup>+</sup> *Atm*<sup>+/-</sup>*C*-targeted ES cells, and *H2ax*<sup>Neo/Neo</sup>*Atm*<sup>C/C</sup> HighG418-selected clone. (D) Summary of cytogenetic analyses of WT, *HA*<sup>-/-</sup>, *Atm*<sup>-/-</sup>, and *H2ax*<sup>-/-</sup> ES cells. Three independent clones of *HA*<sup>-/-</sup> ES cells were analyzed. Cytogenetic abnormalities were quantified with DAPI staining. Only metaphases with countable abnormalities ( $n < 10$ ) were quantified. (E) Representative metaphases from *HA*<sup>-/-</sup> ES cells with different levels of genomic instability.

the *Atm* gene on the *A*<sup>c</sup> allele generates an *A*<sup>Δ</sup> allele that is similar to a previously published *Atm*-null allele (Fig. 3A and B) (25) and led to the generation of *A*<sup>Δ/Δ</sup> ES cells that are identical to previously characterized *Atm*<sup>-/-</sup> cells in all phenotypic aspects (data not shown). We then generated *HA*<sup>-/-</sup> ES cells through sequential targeting and high G418 selection (26) (Fig. 3C). Although proliferation of *H*<sup>-/-</sup> or *A*<sup>-/-</sup> ES cells was indistinguishable from that of WT ES cells, *HA*<sup>-/-</sup> ES cells grew poorly and exhibited frequent cell death (data not shown).

Metaphase analyses of three independent clones showed that >70% of *HA*<sup>-/-</sup> ES cells exhibited cytogenetic abnormalities (Fig. 3D), ranging from mild (<10 cytogenetic abnormalities per metaphase; Fig. 3E Left two panels) to extensive (e.g., major chromosomal fragmentation occurred in ≈10% of metaphases Fig. 3E Right two panels). Notably, the general genomic instability in *HA*<sup>-/-</sup> ES cells (only breaks from metaphases with mild genomic instability were counted and categorized) was approximately evenly distributed between chromosome and chromatid breaks, a pattern similar to that observed in *H*<sup>-/-</sup> ES cells but different from that of *A*<sup>-/-</sup> ES cells, which show mainly chromosome breaks (Figs. 3D and 4D). Together, these results indicate that H2AX and ATM synergistically suppress general genomic instability both before and after DNA replication, even though ATM deficiency alone leads to chromosomal anomalies that reflect damage generated in prereplicative stages. We also used the conditionally targeted *Atm* and *H2ax* alleles to generate mature B and T cells that lacked both ATM and H2AX, and we found them to have similarly increased genomic instability, compared with B cells deficient for ATM or H2AX alone (data not shown). Thus, the synergistic functions of these proteins in maintaining genomic stability are not limited to embryonic cell types.

**Increased ROS-Induced DNA Damage and Decreased Repair Lead to Increased Genomic Instability in *HA*<sup>-/-</sup> Cells.** Because ATM-deficient cells have increased intracellular ROS (5), we asked

whether *HA*<sup>-/-</sup> cells also had increased ROS. We measured intracellular ROS in *HA*<sup>-/-</sup> ES cells by using 2',7'-dichlorofluorescein-diacetate (DFC), which is converted to a fluorophore upon exposure to free radicals (5). ROS levels in *HA*<sup>-/-</sup> ES cells were similar to those in *A*<sup>-/-</sup> cells and significantly higher than those in WT or *H*<sup>-/-</sup> ES cells (Fig. 4A Upper). To test whether H2AX deficiency confers hypersensitivity to increased oxidative stress, we exposed *H*<sup>-/-</sup> ES cells to different levels of H<sub>2</sub>O<sub>2</sub> in parallel with WT ES cells. Although 25 μM H<sub>2</sub>O<sub>2</sub> had no significant impact on WT cells, it caused a 50% decrease in the number of colonies from *H*<sup>-/-</sup> ES cells (Fig. 4B). Thus, *H*<sup>-/-</sup> ES cells are hypersensitive to H<sub>2</sub>O<sub>2</sub>, indicating that H2AX is required for the repair of ROS-induced DNA damage. Together, these findings support the notion that H2AX deficiency may lead to hypersensitivity to the high cellular ROS levels associated with ATM deficiency and, thereby, cause the proliferation defects of *HA*<sup>-/-</sup> cells. We note that ES cells deficient for either H2AX or ATM alone have similar genomic instability, even though H2AX-deficient ES cells lack the elevated ROS levels observed in ATM-deficient ES cells, suggesting that the ROS defect associated with ATM deficiency is not necessarily caused by a DNA repair defect *per se*. However, current studies have not excluded a role for a DSB repair defect in the generation of increased ROS found in the context of ATM deficiency (7). To elucidate further the relationship between increased ROS and DNA repair, it would be of interest to measure ROS levels in other DNA repair-deficient cells, including nonhomologous end joining (NHEJ)-deficient cells.

To test whether ROS hypersensitivity of *H*<sup>-/-</sup> ES cells leads to genomic instability, we treated these cells with 100 μM H<sub>2</sub>O<sub>2</sub> for 24 h and analyzed metaphase spreads. Indeed, we observed markedly increased cytogenetic abnormalities in H<sub>2</sub>O<sub>2</sub>-treated *H*<sup>-/-</sup> ES cells (15% ± 1.7% before H<sub>2</sub>O<sub>2</sub> treatment and 41% ± 5.1% after H<sub>2</sub>O<sub>2</sub> treatment;  $P < 0.01$ , Fig. 4C Left), compared



