

Metabolic syndrome and sleep apnea

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Abstract

Metabolic syndrome is a disorder characterized by abdominal obesity, hypertension, increased triglycerides, decreased HDL cholesterol and increased blood glucose. Accumulating evidence strongly indicates that insulin resistance and an increased amount of abdominal fat are the pathogenic factors for the characteristics of metabolic syndrome. The metabolic syndrome is characterized by an increased risk for the development of cardiovascular disease and type 2 diabetes mellitus. Studies indicate that sleep apnea may be a manifestation of the metabolic syndrome. It has also been suggested that the metabolic syndrome or "syndrome X" should also comprise obstructive sleep apnea and should then be called syndrome "Z". It appears that obstructive sleep apnea and the metabolic syndrome are characterized by the same pathophysiologic environment, which increases the risk for the development of cardiovascular disease. The increased amount of visceral fat and the accompanying insulin resistance seem to be the main characteristics responsible for the development of obstructive sleep apnea and the metabolic syndrome. Hippokratia 2008; 12 (2): 81-86

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The metabolic syndrome is a disorder characterized by abdominal obesity, arterial hypertension, increased blood triglycerides, decreased HDL cholesterol and increased blood glucose^{1,2}. Accumulating evidence indicates that insulin resistance and an increased amount of abdominal fat may be the pathogenic factors responsible for the constellation of symptoms of the metabolic syndrome³⁻⁵. The metabolic syndrome is characterized by an increased risk for the development of cardiovascular disease and type 2 diabetes mellitus^{6,7}. Recent studies show that sleep apnea may be a manifestation of the metabolic syndrome^{8,9}. It has been suggested that the metabolic syndrome "syndrome X" may include obstructive sleep apnea and must then be called "syndrome Z"¹⁰.

The metabolic syndrome

The metabolic syndrome is correlated with an increased risk for cardiovascular disease and type 2 diabetes mellitus^{6,7}. The National Cholesterol Education Program's Adult Treatment Panel III report (ATP III) identified the metabolic syndrome as a multiplex risk factor for cardiovascular disease that deserves more clinical attention^{11,12}. ATP III viewed cardiovascular disease as the primary clinical outcome of metabolic syndrome. Most individuals who develop cardiovascular disease have multiple risk factors. In 1988, Reaven¹³ noted that several risk factors, namely dyslipidemia, hypertension and hyperglycemia cluster together. He called this clustering Syndrome X and he recognized it as a multiplex risk factor for cardiovascular disease. Reaven¹³ and subsequently others postulated that insulin resistance underlies Syndrome X. Other researchers, as well as ATP III

use the term metabolic syndrome for this clustering of metabolic risk factors. Although ATP III identified cardiovascular disease as the primary clinical outcome of the metabolic syndrome, most people with this syndrome have insulin resistance, which confers an increased risk for type 2 diabetes. Abdominal obesity is the form of obesity strongly associated with the metabolic syndrome. It presents clinically as increased waist circumference. Atherogenic dyslipidemia is a characteristic of the metabolic syndrome and manifests in routine lipoprotein analysis by raised triglycerides and low concentrations of HDL cholesterol. A more detailed analysis usually reveals other lipoprotein abnormalities, such as increased remnant lipoproteins, elevated apolipoprotein B, small LDL particles and small HDL particles. All of these abnormalities have been implicated as being independently atherogenic. Elevated blood pressure strongly associates with obesity and commonly occurs in insulin-resistant persons. Insulin resistance is present in the majority of people with the metabolic syndrome. A proinflammatory state, recognized clinically by elevated C-reactive protein (CRP), is commonly present in individuals with the metabolic syndrome¹⁴⁻¹⁶. Multiple mechanisms seem to contribute to elevated CRP. One cause is obesity, because excess adipose tissue releases inflammatory cytokines that may elicit higher CRP levels¹⁷. The metabolic syndrome is characterized by a prothrombotic state, increased plasminogen activator inhibitor-1¹⁸⁻²⁰ and fibrinogen¹⁹⁻²². Fibrinogen, an acute-phase reactant like CRP, rises in response to a high-cytokine state. Thus, prothrombotic and proinflammatory states may be metabolically interconnected. The Third Report of the National Cholesterol Education Pro-

Table 1. Adult Treatment Panel III (ATP III) clinical criteria of the metabolic syndrome^{11,12}

Measure (Any 3 of 5 criteria constitute diagnosis of metabolic syndrome)	Defining level
Waist circumference*	>102 cm (>40 in) in men >88 cm (>35 in) in women
Triglycerides	≥150 mg/dl (≥1.7 mmol/l)
HDL Cholesterol	<40 mg/dl (<1.03 mmol/l) in men <50 mg/dl (<1.3 mmol/l) in women
Blood pressure	≥130 / ≥85 mm Hg
Fasting glucose	≥100 mg/dL*

* The American Diabetes Association has established a cutpoint of ≥100 mg/dL, above which persons have either prediabetes (impaired fasting glucose) or diabetes. This new cutpoint should be applicable for identifying the lower boundary to define elevated blood glucose as one criterion for the metabolic syndrome.

gram Expert Panel on Detection, Evaluation and Treatment of High Blood Cholesterol in Adults-ATP III established criteria^{11,12} for the diagnosis of the syndrome (Table 1). Adults with 3 or more criteria, including abdominal obesity, arterial hypertension, increased blood triglycerides, decreased HDL cholesterol and increased blood glucose are considered as having the syndrome. Clinically, the aim is the recognition of individuals with increased risk for the development of cardiovascular disease. These people need increased surveillance. The World Health Organization (WHO) also recommended clinical criteria²³ for the diagnosis of the metabolic syndrome, agreeing, on the core components of obesity, hyperglycemia, dyslipidemia and hypertension. The ATP III criteria for the metabolic syndrome have been widely used in both clinical practice and epidemiological studies. The American Heart Association and the National Heart, Lung, and Blood Institute affirmed the overall utility and validity of the ATP III criteria and proposed that they continue to be used with minor modifications and clarifications²⁴. These modifications and clarifications refer to waist circumference, triglyceride, HDL-C levels, blood pressure and elevated fasting glucose. In particular, lower levels for waist circumference have been introduced for cases or groups prone to insulin resistance. Triglyceride, HDL-C levels and blood pressure are considered abnormal when there is drug treatment for them. Additionally, blood pressure is considered as elevated if it exceeds the threshold for either systolic or diastolic blood pressure and the threshold for counting elevated fasting glucose was reduced from >110 mg/dl to >100 mg/dl in accordance with the American Diabetes Association's (ADA's) revised definition of impaired fasting glucose. The International Diabetes Federation (IDF) proposed a set of clinical criteria that are similar to those of the updated ATP III criteria (Table 2)²⁵. In fact, thresholds are identical for triglycerides, HDL-C, blood

pressure, and plasma glucose. The major difference is that the IDF proposed that waist circumference thresholds should be different for different ethnic groups. This suggestion is consistent with emerging information on the variable relationship between waist circumference and metabolic risk factors in different populations. Abdominal obesity is highly correlated with and easier to measure than other indicators of insulin resistance. The IDF therefore concluded that abdominal obesity incorporates both concepts of obesity and insulin resistance as being the 2 major underlying risk factors of the metabolic syndrome. Thus, they included increased waist circumference a required element for diagnosing the metabolic syndrome.

Steadily increasing evidence strongly indicates that insulin resistance may be the common pathogenic factor for the characteristics of the metabolic syndrome and explain the constellation of symptoms¹⁻⁵. Within the context of the metabolic syndrome, researchers have suggested that insulin resistance may be the common factor responsible for the development of type 2 diabetes mellitus and atherosclerosis^{6,7,11,12}.

Teleological views have given rise to the hypothesis that the metabolic syndrome is a remnant of evolutionary development under the pressure of a "feast-or-famine" existence²⁶. The theory holds that one or more "thrifty" genes emerged that act to conserve energy during times of famine. Examples of these include reducing thermogen-

Table 2. The 2005 International Diabetes Federation diagnostic criteria²⁵ of the metabolic syndrome

Measure (elevated waist circumference plus any 2 of the other 4 criteria constitute diagnosis of metabolic syndrome)	Defining level
Waist circumference*	≥94 cm for European men ≥80 cm for European women*
Triglycerides	≥150 mg/dl (≥1.7 mmol/l) Or drug treatment for elevated triglycerides
HDL Cholesterol	<40 mg/dl (<1.03 mmol/l) in men <50 mg/dl (<1.3 mmol/l) in women Or drug treatment for reduced HDL-C
Blood pressure	≥ 130 mm Hg systolic blood pressure Or ≥ 85 mm Hg diastolic blood pressure Or Drug treatment for hypertension
Fasting glucose	Fasting plasma glucose ≥ 100 mg/dL or previously diagnosed type 2 diabetes

* South Asian and South-East Asian men ≥ 90cm, women ≥ 80cm, Japanese men ≥ 85cm, women ≥ 90cm.

esis or inhibiting pregnancy and lactation. The genotype also should enable the maximal storage of energy during times of plenty in the form of adipose tissue rather than glycogen since this type of energy storage provides more sustenance during periods of starvation²⁷. The “thrifty” genes thus afford a survival advantage when the food supply is highly variable. However, this theory holds that the survival advantages of the genotype become liabilities when energy supplies are abundant and remain so. The result is metabolic syndrome and impaired survival. Several putative mediators have been identified in support of this theory. One of them is leptin, which is a 167-amino acid protein produced by adipocytes and a variety of other tissues. Leptin has been shown to suppress hypothalamic neuropeptide Y in mice²⁸, and, since neuropeptide Y stimulates appetite and thermogenesis, it is involved in an useful negative feedback mechanism. The *ob* gene has been identified as encoding for leptin, and the *db* gene as encoding for a hypothalamic leptin receptor in mice, and similar genes have been located in humans²⁹. Interestingly, mice that are heterogenous for defective *ob* or *db* genes live longer when fasted than do normal mice, thus demonstrating the kind of survival advantage necessary for a “thrifty” gene²⁹. Other possible mediators of the “thrifty” genotype are insulin receptor substrates (particularly insulin receptor substrate-1), phosphoinositide 3-kinase, hormone sensitive lipase, endothelial lipoprotein lipase, mitochondrial uncoupling proteins, tumor necrosis factor- α , glycogen synthase, and others^{29,30}. The evolutionary pressure of a feast-or-famine existence lasting millennia opposed to the constant abundance of (at most) the last few hundred years, gives rise to metabolic syndrome quite often.

Sleep apnea

Sleep apnea refers to the temporary absence or cessation of breathing during sleep³¹. Airflow must be absent for a period of time longer than the usual inter-breath interval. This is traditionally defined as 10 seconds for adults, and 8 seconds, or more than two times the normal respiratory cycle time for infants. Airflow, in and out of the lungs, can stop for several reasons. In central apnea, no effort to breathe is made. In obstructive apnea³², there is ventilatory effort, but no airflow because the upper airway is closed. In typical mixed apnea, there is initially no ventilatory effort, but an obstructive sleep apnea pattern is evident when effort resumes. Dividing the total number of apneas during a recording period by the total sleep time yields the apnea index, the average number of apneas per hour of sleep. A variety of other indices can be measured, including the apnea/hypopnea index, which is the number of apneas plus hypopneas per hour of sleep. The obstructive sleep apnea syndrome is defined by an apnea/hypopnea index of 5 or higher in association with excessive daytime somnolence³³.

Obstructive apneas and hypopneas are terminated by an arousal, a transient partial or complete return to awake physiology, this not necessarily being the case with cen-

tral apneas and hypopneas. Arousals resulting in sleep fragmentation appear to be the primary cause of daytime hypersomnolence³⁴. They may play a role, along with hypoxemia, in the long-term cardiovascular consequences of sleep apnea. The frequency of events that result in arousals becomes an important descriptor of sleep-disordered breathing. Morbidity may be related to a patient's autonomic response to the obstructive events. It is evident that people who spend all of their sleep time apneic and who fall asleep driving have an important medical problem potentially associated with significant morbidity and mortality.

Sleep apnea and the cardiovascular system

Patients with obstructive sleep apnea experience repetitive hemodynamic oscillations during the night³⁵. Changes in systemic arterial blood pressure, pulmonary arterial blood pressure, heart rate and cardiac function occur in association with sleep state and respiration. These hemodynamic changes may be impressive, with post-apneic systolic arterial pressure exceeding 300 mmHg in patients who are normotensive while awake during the day. Because of these extreme changes after upper airway obstruction during sleep, investigations have attempted to examine the relationship of obstructive sleep apnea to cardiovascular morbidity and mortality.

Multiple studies have shown that obstructive sleep apnea may be an independent risk factor for systemic arterial hypertension³⁶⁻³⁹. In multiple studies the relationship between obstructive sleep apnea and systemic arterial hypertension was found to be independent from body mass index, age and cholesterol level. It has also been observed that the management of obstructive sleep apnea may lead to a decrease in arterial pressure^{40,41}.

Patients with obstructive sleep apnea may be in an increased risk for the development of congestive heart failure, myocardial infarction and stroke⁴². This increased risk for cardiovascular morbidity and specifically myocardial infarction or cerebrovascular disease is under investigation.

Obstructive sleep apnea may be associated with cardiac arrhythmias. The most prominent and significant rhythm disturbances associated with obstructive sleep apnea include extreme bradycardia and ventricular asystole lasting longer than 10 seconds⁴³⁻⁴⁵. When present, bradycardia and asystole in patients with obstructive sleep apnea appear to be the result of enhanced vagal tone and not of structural disease of the conduction system^{45,46}. Activation of the parasympathetic nervous system in this setting can be the result of multiple physiologic abnormalities including hypoventilation, hypoxemia, respiratory acidosis and vigorous inspiratory effort against a closed airway. Therapy with nasal continuous positive airway pressure abolishes all episodes of ventricular asystole in most such patients. In a study of 10 patients with sleep apnea induced asystole it was found that the effective nasal continuous positive airway pressure restored normal cardiac rhythm in eight⁴⁷.

Thus, it appears that the obstructive sleep apnea may be a risk factor for the development of systemic arterial hypertension and may lead to the development of coronary artery and cerebrovascular disease.

Metabolic syndrome and sleep apnea

Morbid obesity is correlated to the syndrome of hypoventilation⁴⁸⁻⁵⁰. The syndrome of obesity hypoventilation may be accompanied by obstructive sleep apnea and may lead to significant clinical problems⁵⁰. The syndrome of obesity and hypoventilation is characterized by findings from the history and physical examination. Patients suffer from sleepiness and they sleep during the day when they are not involved in any specific activity. Patients with coexisting sleep apnea snore so heavily that their snoring is characterized as heroic by their partners. Morbid obesity is the main physical finding. Other findings are the plethoric facies, the short and thick neck, the small oropharynx, rales, cyanosis and symptoms of right cardiac insufficiency, such as increased pressure in the jugular veins, hepatomegaly and pedal oedema.

Patients with the syndrome of obesity hypoventilation have by definition alveolar hypoventilation, hyperkapnia and hypoxemia when they are awake and breathe room air. Obstructive sleep apnea is frequently observed in these patients⁵¹. Obstructive sleep apnea may contribute to the development of systemic arterial hypertension in obese patients⁵² through activation of the sympathetic nervous system, blood leptin increase, insulin resistance, angiotensin II and aldosterone increase, oxidative and inflammatory stress and endothelial dysfunction. If obstructive sleep apnea exists the patients should be treated by different measures such as the application of positive pressure in the airway.

Studies have been performed suggesting that sleep apnea may be a manifestation of the metabolic syndrome^{8,9}. It has also been proposed that the metabolic syndrome or "syndrome X" might include obstructive sleep apnea and then might be called "syndrome Z"¹⁰. The mechanisms which contribute to the development of cardiovascular disease in the metabolic syndrome and obstructive sleep apnea are similar. Patients with obstructive sleep apnea appear to suffer from the disorders which characterize the metabolic syndrome^{53,54}. Thus, patients with obstructive sleep apnea have hypertension, high fasting blood glucose levels, increased waist circumference, low HDL cholesterol and high triglycerides and many other characteristics, including sympathetic activation, endothelial dysfunction, systemic inflammation, hypercoagulation and insulin resistance. A positive relationship was observed between the apnea/hypopnea index, body weight, body mass index, skinfold thickness, lipid percentage in whole body weight, blood glucose levels, uric acid, fibrinogen levels and leptin levels in men examined for possible apnea hypopnea⁵⁵. The relationship between the apnea/hypopnea index and leptin levels disappeared when it was corrected for factors, which are indices of obesity. The exogenous administration of testosterone exacerbates ob-

structive sleep apnea while hormone replacement therapy with oestrogens in postmenopausal women may protect from obstructive sleep apnea⁵⁶.

In a recent study it was found that the prevalence of the metabolic syndrome according to the ATP-III criteria is almost 40% greater in patients with obstructive sleep apnea⁵⁷. It is not clear whether the syndrome of obstructive sleep apnea is observed as part of the basic pathophysiology of the metabolic syndrome or whether the syndrome of obstructive sleep apnea through repetitive night hypoxemia and other mechanisms induces the appearance of the characteristics of the metabolic syndrome. The size of the risk for the development of cardiovascular disease that can be attributed to the coexistence of the metabolic syndrome and obstructive sleep apnea may be cumulative, synergic or smaller.

It appears that the successful management of obstructive sleep apnea with the application of positive pressure in the airways decreases arterial blood pressure⁵⁸ increases insulin sensitivity^{56,59} and improves testicular function in man⁵⁶. Thus, it appears that the successful management of obstructive sleep apnea may decrease morbidity and mortality from cardiovascular diseases.

A study that included fifty four patients with CAD and SAS showed that the patients who accepted therapy for the obstructive sleep apnea finally had one third of the risk for the development of a major incident from the cardiovascular system and especially from the coronary arteries compared to patients who did not receive therapy for obstructive sleep apnea⁶⁰.

Obese men with sleep apnea had higher plasma leptin levels and higher levels of inflammatory cytokines, such as tumor necrosis factor- α and interleukin-6, which promote the development of daytime sleepiness and insulin resistance than obese men without apnea and normal weight men⁶¹. In this study it was found that obese men with sleep apnea had statistically significantly higher amount of abdominal fat than obese men without sleep apnea. It was shown that apnea indices correlated positively with the amount of abdominal fat. In the same study, in the group of men with sleep apnea, a higher degree of insulin resistance was observed compared to obese men without sleep apnea. Higher levels of tumor necrosis factor- α and interleukin-6 have been detected in patients with disorders inducing sleepiness during the day^{62,63}. It has been suggested that these cytokines are responsible for the development of sleepiness during the day.

In another study patients with obstructive sleep apnea the successful management of apnea decreased plasma leptin levels⁶⁴ that correlated with the change in the apnea/hypopnea index. Parish et al⁶⁵ found that the prevalence of metabolic syndrome and hypertension was significantly greater in patients with obstructive sleep apnea compared to those without obstructive sleep apnea. In another study it was observed that obstructive sleep apnea was correlated with hypertension, dyslipidemia and hyperglycemia⁶⁶. The authors concluded that obstructive

sleep apnea may predispose even not obese patients to the development of metabolic syndrome.

It appears that obstructive sleep apnea and metabolic syndrome are characterized by the same pathophysiologic environment which increases the risk for the development of cardiovascular diseases^{54,67}. The metabolic syndrome may be the final common pathway connecting sleep apnea with cardiovascular diseases²⁶. Currently there is an epidemic of obesity resulting in an increase of the prevalence of the metabolic syndrome and obstructive sleep apnea increases³¹. The effect of this increase on the development of cardiovascular diseases may be very significant.

Conclusion

Obstructive sleep apnea and the metabolic syndrome are characterized by the same pathophysiologic environment which increases the risk for the development of cardiovascular diseases. The increased amount of abdominal fat and the accompanying insulin resistance are the main characteristics responsible for the pathophysiology of obstructive sleep apnea and the metabolic syndrome.

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