

# NIH Public Access

Author Manuscript

*Brain Behav Immun*. Author manuscript; available in PMC 2009 July 1.

Published in final edited form as: *Brain Behav Immun.* 2008 July ; 22(5): 668–675.

# The Multiple Linkages of Personality and Disease

Howard S. Friedman

University of California, Riverside

# Abstract

Associations between personality and health and longevity are increasingly well-documented, but the causal inter-connections are often much more complex than originally anticipated. Multiple causal pathways may operate simultaneously as the individual travels an idiosyncratic route across the lifespan. Therefore, a straightforward model of personality, immunity, and disease may never be established and validated, because it overlooks other key elements of the causal processes. Psychoneuroimmunology research may profit from closer integration into the broader conceptual understandings of personality and health, using a new lifespan epidemiological personality approach.

### Keywords

personality; psychoneuroimmunology; longevity; lifespan mortality risk; conscientiousness

Understanding of individual differences and disease has come a long way since the early focus on syndromes like the coronary-prone behavior pattern. Not only have clear associations been documented, but there remains little doubt that modern conceptions and findings from personality psychology provide valid and useful new insights into the nature of health and health maintenance. In particular, two important phenomena have emerged, but are generally overlooked. The first is that multiple causal linkages between personality and disease may be simultaneously operating across long periods of time. The second is that understanding of individual differences in disease may often be of equal or greater importance than is understanding the general causes of disease.

Looking broadly, a curious observation has emerged from the research literature on personality, immunity, and disease. There is now substantial evidence that individual differences (in humans) in stress reactivity, chronic stress, coping, cognitive styles, emotionality, and so on are associated with a host of physiological responses and homeostasis, including a variety of immunity-related states (Cacioppo & Berntson, 2007; Kemeny, 2007). And there is of course little doubt that disruptions of the immune system affect susceptibility to and recovery from disease. Yet there is shockingly little evidence for a straightforward model of psychological dysfunction, consequent immune disruption, and subsequent disease (Segerstrom & Miller, 2004). For example, there is very thin direct evidence of susceptible persons suffering significant stress such as divorce, coping poorly, showing immune impairment, and developing rheumatoid arthritis or leukemia or pulmonary disease, as compared to a well-substantiated traditional medical model of persons being exposed to and infected by HIV, showing immune disruption, and then developing AIDS and other diseases. In fact, it may be the case that such

Dr. Howard S. Friedman, Department of Psychology, 900 University Ave., University of California, Riverside, CA 92521. Howard.Friedman@ucr.edu 951 827-3672.

Howard S. Friedman, Department of Psychology, University of California, Riverside, CA 92521. Howard.Friedman@ucr.edu

**Publisher's Disclaimer:** This is a PDF file of an unedited manuscript that has been accepted for publication. As a service to our customers we are providing this early version of the manuscript. The manuscript will undergo copyediting, typesetting, and review of the resulting proof before it is published in its final citable form. Please note that during the production process errors may be discovered which could affect the content, and all legal disclaimers that apply to the journal pertain.

a straightforward model of personality, immunity, and disease will never be established, because it overlooks other key elements of the causal processes.

Advances in animal (non-human) studies are making progress in finding animal analogs to human individual differences, which go beyond the traditional attention to genetics, temperament, and early deprivation. For example, they may use reliable behavioral coding to capture social structure and traits like sociability, while taking psychophysiological measures and hormonal assays (Capitanio, Mendoza, & Bentson, 2004; Weinstein, Capitanio, & Gosling, in press). The parallel question then arises as to the extent to which such studies can capture the full range of complex phenomena that emerge as links between personality and human health.

# **Conceptual Progress in Understanding Personality and Disease**

Modern notions of biopsychosocial homeostasis began with the psychophysiological models of Claude Bernard (1880) and the sfight-or-flight" discovery by Walter Cannon (1932). Psychosomatic approaches began in earnest soon after. For example, in classic work beginning in the 1930s', Dunbar (1955) and Alexander (1950) described conflicted patients developing ulcers, asthma, heart conditions and so on. But the concepts and assessments (often psychoanalytic case studies) were empirically unsupportable and psychometrically deficient (Friedman & Adler, 2007). In the one of the first well-designed studies, in the late 1940s, a number of medical students at Johns Hopkins University were assessed in terms of their biological and psychological characteristics, categorized as either slow and solid, rapid and facile, or irregular and uneven. The last were more likely later to develop a serious medical disorder (Betz & Thomas, 1979).

Systematic study of the association between behavior patterns and heart disease began in earnest in the 1950s, with a subsequent explosion of research (thousands of studies) of Type A behavior (Chesney & Rosenman, 1985). Importantly, persons who did not show Type A characteristics were not independently conceptualized, but simply called Type B. The paradigm eschewed the usual scientific practice of establishing construct validity by showing that (1) the assessment is related to what it should theoretically be related to (convergent validation) and (2) the assessment is not related to what it should not be related to (discriminant validation) (Campbell & Fiske, 1959). This purposeful disregard of construct validity and psychological theory did not make the phenomenon more objective and simpler to study (as was intended), but, on the contrary led to endless meandering and unspecified studies, a sad lesson still important for current research in this field. Yet by inspiring so much empirical research, the flawed Type A idea helped the establishment of a much more comprehensive approach.

One conceptual advance that followed was to place such issues into a more valid scientific framework. For example, the constructs termed "disease-prone personalities" and "self-healing personalities" (Friedman 1991/2000/1998; Friedman & Booth-Kewley, 1987) directed research away from associations of single-predictors to single-outcomes (like Type A and heart disease); instead, there was simultaneous attention to multiple predictors and multiple outcomes. There was also concern with rigorous, multi-dimensional conceptualization and assessment. With this attention to broader personality and overall well-being, research generally found that similar sorts of individual differences were simultaneously relevant to a wide array of health outcomes (Friedman, 2007; Smith & Gallo, 2001).

# The Multiple Causal Linkages Between Personality And Disease

The necessities of medical treatment and the exigencies of research design often conspire together to eliminate study of one of the most important elements of understanding health, namely pathways across long periods of time. Medical care is mostly geared to treat acute

illnesses or acute manifestations of chronic diseases rather than long-term prevention of disease and promotion of health. (Immunizations are a significant exception to this general rule.) Similarly, research designs, for reasons of cost, feasibility, and practicality, must usually focus on treating disease or on secondary prevention (measures taken once a problem begins to develop, such as interventions to reduce high blood pressure), rather than on primary prevention (measures designed to prevent illness from developing in the first place). Thus, certain sorts of more complex causal linkages tend to be under-explored or even totally overlooked. The area of stress physiology unfolding over time is one in which animal research holds promise but is under-utilized (see Koolhaas, Boer, & Buwalda, 2006).

Such matters are made worse by the organization of research and treatment by disease. Some physicians and researchers are experts in and are funded to study heart disease, while others study AIDS or diabetes, and still others deal with drug abuse or smoking or depression. Researchers of psychoneuroimmunology (PNI) are, in theory, better positioned to avoid such limitations because of a primary focus on basic processes. Yet even here it is unusual to see long-term studies of initially healthy people, and subsequent stress, immune processes, behavior, and multiple disease outcomes, including mortality risk. Longevity is arguably the best single measure of health (and indeed expected life-span is used for that reason in cross-national epidemiological comparisons of health).

With detailed study of personality and health and longevity, however, we are led to research not only on psychoneuroimmunology and stress, but also on unhealthy habits, social relations, and reactions to varying life paths, and then see how the pieces fit together in the whole person and across time. The study of personality and health also draws much-needed attention to the individual's selection and creation of health-relevant environments (Friedman, 2000). It matters whether a person's cells or organs are uninfected and functioning well, but only because these affect the (whole) person. It is the *individual* who lives a unique life path, becomes ill or stays well, and lives long or dies prematurely.

It turns out that there are a variety of valid causal linkages between personality and health, each with its own subsets and variations. A frustrating fact of life is that it is often true that more than one linkage is simultaneously causing an observed association; but most study designs cannot detect multiple causal linkages. In other words, many health outcomes are multidimensional and are multiply or complexly determined; the phenomena thus push us to the limits of model construction and research design and analysis. This state of affairs is especially worrisome because a key goal is usually to find some intervention (whether behavioral, social, pharmaceutical, immunological or genetic) to treat or prevent disease, hopefully in a straightforward and cost-effective way.

One commonly-studied model, the health behavioral model, proposes that personality leads to disease through patterns of unhealthy behaviors such as poor diet, smoking, and lack of physical activity. Mediational analyses of behavior help point to likely causal pathways, by showing whether the association between personality and disease decreases in whole or part when the unhealthy behavior is controlled (usually statistically). Such findings are evidence (but not proof) of the causal model. For example, it might also be the case (and often is the case) that other factors are correlated with the trait, the behavior, and the disease. Even if such a link is established, treatments for the personality imbalance (e.g. treatments for anxiety) will not necessarily prevent the disease (e.g. diabetes) unless the mediators (e.g. overeating, glycemia regulation) are also affected. Even if the mediator is affected, the disease risk or mortality risk many not diminish if another unhealthy behavior (such as drinking) takes its place.

Genetic endowments and early experiences can affect both later personality and later health and longevity. This is the underlying biological model, or third variable model, of personality

and health, in which changing the health would not usually affect personality, and shaping the personality would not usually alter the health risks. Although attention is usually on genetics, *in utero* and early post-natal effects are an interesting example, such as when stress during pregnancy affects later reaction patterns and health of the offspring, an effect well demonstrated in rhesus monkeys and likely in humans (Coe & Lubach, 2005; Lobel, Dunkel-Schetter, & Scrimshaw, 1992; see also Caspi et al., 1997). However, other than by locating a simple genetic defect or potent early stressor, the underlying biological model is notoriously difficult to evaluate in humans and it is often simply ignored. This is slowly changing, with increasing focus on genes, environmental challenge, and health (Caspi et al., 2003).

Commonly overlooked as well are explanations involving disease-caused personality changes. Many diseases can subtly affect personality years before the disease shows itself in clinical form. So it may appear that personality is causing disease (or is a risk factor) when the reverse is true. Many times, these are brain diseases, since changes in the brain can obviously affect one's typical response patterns. The primary problem can originate in the brain (e.g. small hemorrhagic stroke), or there could be toxins (e.g., lead, manganese), infections (e.g. syphilis), or behaviors (e.g. alcoholism) that affect the brain and personality both directly and indirectly. Or, prescription pharmaceuticals for various medical conditions can affect personality in documented and often undocumented ways. Even social reactions to disease diagnosis (such as prejudice against HIV) can precipitate alterations in personality, in turn altering one's lifepath. And, multiple such pathways of disease-affected personality can be operating simultaneously.

A less studied but very interesting model of a causal link between personality and disease involves individual differences in gravitating towards or choosing unhealthy situations. Given modern understanding of personality, the usual model of a person randomly encountering various stressful events is untenable. The fact is that the individual helps create and select situations and events. For example, neuroticism tends to predict to negative life events. (See the Example of Neuroticism below.) This phenomenon also illustrates some of the limits of non-primate animal studies of personality and disease. That is, although mice and hamsters allowed to develop social bonds may have faster wound healing and better disease recovery (DeVries et al., 2007), rodents' personalities do not generally lead them into or out of extended social networks or the rat races of Los Angeles and New York.

Finally, of course, there is the psychophysiological model, with stress and poor coping directly and indirectly affecting metabolism, immunity, and the cardiovascular system. Many health psychologists (especially those not expert in PNI), implicitly assume that this sort of causal model has been (or soon will be) established as the causal mediator between personality and disease. This model as well can benefit greatly from being placed it into the broader context of understanding individual differences in disease across time.

# The Importance Of Individual Differences In Disease and Mortality Risk Across Time

#### The Example of Neuroticism

As noted, a limit of the traditional studies of personality and health has been too little attention to multivariate assessment of predictors, controls, and outcomes; to some extent, this is redressed by taking a more epidemiological approach. However, many purely epidemiological approaches are limited by ignoring situation selection, by discounting the psychology of actual day-to-day reactions and behaviors, and by erroneously controlling away demographic factors that are rich and complex, such as socioeconomic status. Combining strengths, we need a *life-span epidemiological personality* approach.

One example concerns neuroticism, generally thought to involve anxiety, depression, hostility, and vulnerability. In 1987, a meta-analysis revealed that not only hostility, but also depression was reliably associated with cardiovascular disease (Booth-Kewley & Friedman, 1987). This finding ran counter to the prevailing wisdom about the importance of (narrowly construed) Type A behavior, and it was viewed skeptically at the time (Mathews, 1988). However, the general association between depression and risk of heart disease has since been confirmed in a many studies (Barefoot & Schroll, 1996; Barefoot et al., 2000; Ford et al., 1998; Januzzi et al., 2000; Rugulies, 2002; Suls & Bunde, 2005). When an appropriately broad frame is employed, depression also predicts other diseases.

Using a familiar approach that sees a risk factor (depression) as a direct causal agent, clinicians therefore began treating depression in a effort to prevent the further development or exacerbation of heart disease. Surprising to some, treating depression in recent heart attack patients does not necessarily reduce the risk of death or second heart attack (ENRICHD, 2003). The confusion that resulted from such a research result (and an over-reliance on pure epidemiology) is reminiscent of the confusion that surrounded failed Type A intervention studies decades earlier. How and why is depression predicting heart disease and why only in some people? Viewing depression as a simple "medical risk factor" outside the interconnected web of associations is short-sighted. The same might prove true of its correlates, such as inflammation.

Situational selection is also clearly germane. Neurotic people, including depressives, are more likely to encounter negative events and to interpret them in a more negative manner (Bolger & Zuckerman, 1995; Magnus et al., 1993; Mroczek & Almeida, 2004; Taylor, Repetti & Seeman, 1997). To detect these evocative effects requires a life-span design.

Immune processes are also relevant, but in more than one way. Although depression is often associated with immune dysfunction similar to high stress effects, immune enhancement has also been observed with depression. Further, there is some evidence of reverse causation; that is, pro-inflammatory cytokines may be a partial cause of depression (see Kemeny, 2007 for a review). As Kemeny (2007) put it, "a relationship between a psychological factor and a change in the immune system may be due to the simple impact of the mind on the immune system, the effects of the immune system on the mind, both, or neither" (p. 111).

Life-span and epidemiological work likewise suggests neuroticism can be health protective as well as harmful. One explanation for these conflicting results is that there are at least two quite different life paths characterized by neuroticism (Friedman, 2000). In one case, adults who are pessimistic, resentful, and anxious will fail to adhere to treatment regimens, engage in an unhealthy lifestyle, have disrupted homeostasis, lose sleep, and have a lack of social support, all of which increase the risk of poor health. The other pathway leads to better health as a consequence of neurotic vigilance and treatment adherence. Indeed, recent research supports the idea that factors associated with neuroticism, such as self-reports of psychological distress and mental strain, can sometimes predict *lower* mortality risk (Gardner & Oswald, 2004; Korten et al., 1999; Weiss & Costa, 2005).

Finally, there is evidence of common genetic vulnerability to depression and coronary artery disease (McCaffery et al., 2006). Here again the classic risk factor intervention ("treat depression") will not succeed, because to the extent that both depression and heart disease derive in part from a genetically based vulnerability in the serotonin system, interventions to affect depression will not necessarily have expected effects on heart disease risk.

### The Example Of The Human Termites

Health research often seems to be addressing the question, "*Why* do people become sick?" when it is actually studying "*Who* becomes sick?" There is astounding variability in susceptibility to various illnesses and in the speed and likelihood of recovery. From an evolutionary standpoint, it is not surprising that there is so much variation, given the vast number of potential threats to health and reproductive success. Yet health researchers tend to be disease-focused investigators, concerned with curing a particular scourge rather than searching for basic scientific principles of human health.

A significant problem for researchers of personality and disease is that one cannot randomly assign a person to personality or to stress. Because we are left with correlational designs (at least for human studies), we need rich data collected across many years to be able to untangle the various plausible causal linkages explained earlier in this paper. (Likewise, animal studies ideally would strive to capture elements of these long-term processes.) Highly informative studies would start with initially healthy people, follow them for many years, have repeated assessments of individual differences, and continue until the participants have died.

For more than 17 years, my colleagues and I have studied personality and longevity in the Terman cohort, derived from the Terman Gifted Children Study that began in 1921. The 1,528 Terman participants (who later called themselves "Termites") were, for the most part, first studied as elementary school children, and have been followed ever since. It is the longest study of a single cohort ever conducted so intensively, with rich data collected regularly throughout the life-span. Importantly, we have collected death certificates and have professionally coded date and cause of death (Friedman et al., 1995). We have also developed an extensive set of variables and indexes, derived from the Terman items, interviews, and questionnaires, but validated in new ways by our team.

The Terman sample was later characterized as a productive, intelligent segment of 20th century middle-class American men and women (mostly White) (Sears, 1984; Subotnik, Karp, & Morgan, 1989). Although it is non-representative of the general population, the sample is actually more representative of the population than the various well-known prospective studies of samples of physicians or nurses. In addition, examinations of the impact of IQ selection on relations among traits correlated with IQ show little distortion. Most importantly, the results are continually being validated by others in other samples.

What have we found? Beginning with childhood, we first tested whether variables representing major dimensions of personality could predict longevity across the life-span (Friedman et al., 1993). We examined all items collected by Terman in 1922 that seemed relevant to personality. In 1922, one of each participant's parents (usually the mother, or both parents together) and each participant's teacher were asked to rate the participant on 25 trait dimensions (using a 13-point scale) chosen to measure intellectual, volitional, moral, emotional, aesthetic, physical, and social functioning. The scales used are remarkably modern in their appearance, and we created reliable and valid personality measures. The most interesting and surprising results concerned conscientiousness, and those will be described here.

We constructed a childhood measure of Conscientiousness–Social Dependability with the four items of: prudence, conscientiousness, freedom from vanity/egotism, and truthfulness. This corresponds roughly to the five factor model (McCrae & John, 1992) dimension of Conscientiousness, and we have documented the correspondence between conscientiousness measured with this scale and the contemporary NEO PI-R conscientiousness (although the measure is not identical to the NEO-PI measure) (Martin & Friedman, 2000). Survival analyses revealed that childhood conscientiousness was clearly related to survival in middle to old age.

For example, a person at the 75th percentile on conscientiousness had only 77% of the risk of a person at the 25th percentile of dying in any given year (Friedman et al., 1993).

We then derived (from the archival Terman data) personality measures for adulthood that we likewise validated by showing them to be consistent with the five factor contemporary conceptions (Martin & Friedman, 2000; Martin, Friedman & Schwartz, 2007). Adult conscientiousness was "measured" when the participants were in their 30's and 40's. As of the year 2000, 70% of the men and 51% of the women in this sample had deaths verified by us. We again found conscientiousness (now measured in adulthood) to be significantly related to mortality risk. Those low on adult conscientiousness died sooner.

Some partial evidence about the casual links comes from comparing the relative benefits of higher sustained levels of conscientiousness over the life span versus higher levels only in adulthood. If high conscientiousness in adulthood is most important, then adult health behaviors are likely very relevant, whereas if conscientiousness throughout life is most predictive, then underlying biological variables also become plausible. It turned out that conscientiousness, measured independently in childhood and adulthood, predicts mortality risk across the full lifespan, and the link from childhood remains robust when adult conscientiousness and certain behavioral variables are controlled (Martin, Friedman & Schwartz, 2007). The lowest mortality risk is for those with high conscientiousness at both time points.

The Friedman et al. findings on conscientiousness and longevity have now been confirmed in follow-up studies by others. One five-year study examined the relation of personality to mortality in 883 older Catholic clergy members, and employed the NEO Five Factor Inventory. Those scoring very high on conscientiousness were about half as likely to die as those with a very low score (Wilson et al., 2004). Another study examined participants in a Medicare demonstration study with over 1000 participants, aged 65–100 (Weiss & Costa, 2005). The participants in this prospective study were older, sicker, and more representative of the elderly population. Over the five years of follow-up, persons high in conscientiousness were significantly less likely to die.

Evidence of the generality of the importance of conscientiousness comes from a study using the Midlife Development in the United States Survey, a nationally representative sample of 3,032 non-institutionalized civilian adults (Goodwin & Friedman, 2006). This study examined the association between the five-factor traits of personality and common mental and physical disorders. The results showed that Conscientiousness (protectively) was reliably associated with reduced risk of illness: those with diabetes, hypertension, sciatica, urinary problems, stroke, hernia, TB, joint problems, and a variety of mental illnesses and substance abuse problems had significantly lower levels of conscientiousness, compared to those without each disorder. There are also narrower studies such as one that focused on conscientiousness and renal deterioration in patients with diabetes. It found that time to renal failure was longer in those with high conscientiousness (Brickman et al., 1996). Another prospective study of chronic renal insufficiency found that patients with low conscientiousness had a substantially increased mortality rate over the four-year term of the study (Christensen et al., 2002).

Why is conscientiousness so relevant to health and longevity? It seems that many of the various models of personality and health and longevity are relevant. For example, a meta-analysis of 194 studies examined conscientiousness-related traits and leading behavioral contributors to mortality -- tobacco use, diet and activity patterns, excessive alcohol use, violence, risky sexual behavior, risky driving, suicide, and drug use (Bogg & Roberts, 2004). It found conscientiousness-related traits were negatively related to all the risky health-related behaviors and positively related to the beneficial health-related behaviors. In other words, the behavioral

. . \_ ...

model has good support. However, in the Terman data, although conscientious Terman children were less likely to grow up to be heavy drinkers and smokers, and less likely to suffer death from injury, health behaviors alone did not explain away the effect. As noted, conscientiousness seems to have a more far-reaching and general involvement.

In this case, later disease (and higher mortality risk) obviously could not be causing the conscientiousness personality, and so various disease-caused personality change models can be ruled out. The evidence thus points to the likelihood of some sorts of third variables affecting both personality and longevity (in a causal sense), in addition to the effects of healthy and risky behaviors. Evidence from other research suggests that this might be linked to serotonergic functioning (Carver & Miller, in press). However, it is also the case that more controlled, secure, and hard-working persons at age 18 are headed to better careers within a decade, which in turn can further enhance their health and their subsequent conscientiousness (Hogan & Ones, 1997; Judge & Ilies, 2002; Roberts, Caspi, & Moffitt, 2003). And conscientious college women experience lower rates of divorce (Roberts & Bogg, 2004), in line with models about selfselection into stressful environments. In our Terman studies, study of the association between marital history at midlife (in 1950) and longevity showed that consistently married individuals lived longer than those who had experienced marital breakup, but this was not only due to the protective effects of marriage itself (Tucker et al., 1996). Rather, participants who were currently married, but had previously experienced a divorce, were at significantly higher mortality risk compared with consistently married individuals; consistently married individuals had been more conscientious children than were inconsistently married individuals.

Our other studies with the Terman participants likewise show that personality can reliably predict health and longevity in this cohort (and be confirmed in other cohorts), but causal linkages are usually not simply summarized by a single model. For example, cheerfulness and optimism are often associated with well-being, health, and longevity, but not in simple ways, especially if methodological care is taken and all the relevant causal links are considered (Pressman & Cohen, 2005). In fact, in the Terman data, children who were rated by their parents and teachers as more cheerful/optimistic, and as having a sense of humor, died *earlier* in adulthood than those who were less cheerful, growing up to drink more alcohol, smoke more cigarettes, and engage in more risky hobbies and activities, although other (unknown) factors are likely more relevant (Friedman, 2007; Martin et al., 2002; Schulz et al., 2000).

# Conclusion

In the three or so decades since it was discovered that the immune system could be classically conditioned, scientific research in health psychology has blossomed as biological processes were revealed that linked to both psychological constructs (like personality, coping, and perceived stress) and health outcomes. Suddenly the old quest for disease-promoting psychological patterns gained credibility, as physiological mediating mechanisms potentially could be uncovered. But taking a step back from the focus on individual differences and PNI reveals that the topic has multiple dimensions. Various pathways likely co-exist, often simultaneously and with feedback loops, and it is unwise to attend to only one path.

The dominant biomedical model of disease exerts constant pressure to focus on something gone wrong inside the individual as the cause of disease, whether it is a genetic abnormality, an infection, a toxin, allostatic load, or injury (trauma). The treatment then involves eliminating or repairing the problem. When first applied in psychosomatic medicine, the goal was thus to resolve the inner conflict, or stop the worrying, or cure the hurry-sickness. However, modern understanding of personality leads to attention to a dynamic unfolding across time, as biological and temperamental predispositions encounter, select, and create varying environments that in

turn further shape the individual. This sophisticated consideration of the individual personality forces a broader and deeper analysis, closer to the true complexity of well-being.

Using data derived from the 8-decade Terman study, and collecting new information about the participants' longevity and cause of death, we have discovered that numerous, simultaneous linkages likely do exist among personality, behaviors, environments, and health and longevity. For example, conscientiousness is a key predictor of longevity across the full lifespan, and biological correlates are waiting to be fully documented, but simple interventions or "cures" for unconscientiousness are unlikely to be found or be successful. This importance of individual reaction *patterns* and changes over time is echoed in a review of studies of chronic stress and the hypothalamic-pituitary-adrenocortical (HPA) axis, which concluded that "models positing an orderly and uniform HPA response to chronic stress are no longer appropriate. A new wave of theories needs to be developed to incorporate the moderating influences of timing, nature of stress, controllability, and individual psychiatric response" (Miller, Chen & Zhou, 2007, p. 38).

Research on personality and immunity has not proved to be the conceptual panacea initially hoped for by some psychologists and immunologists (Ouellette & DiPlacido, 2001). Well-controlled studies of personality, stress, and illness fail to find simple and comprehensive psycho-immunological mediating mechanisms (Kemeny, 2007), although there are intriguing commonalities between human and animal personality research findings (e.g. Capitanio, Mendoza, & Baroncelli, 1999; Cacioppo & Berntson, 2007; Sapolsky, 2005). We do now know, however, much more about the complications and complexities. Most especially, progress is being made on developing more sophisticated models and methods for addressing the links between personality and health.

There is astounding variability in susceptibility to various illnesses and in the speed and likelihood of recovery. In an initially healthy population, traditional risk factors like blood pressure, diet, activity, amount of work, occupational stress, weight (BMI), and so on are important to health but mostly do a weak job (except at the extremes in risk levels) of predicting who will develop coronary disease, who will develop colon cancer, who will die from such disease, and when and why they will succumb while their neighbors may recover. Absent a devastating onslaught such as from HIV infection, heavy tobacco use, starvation, or certain powerful genetic diseases, variability seems diabolically multifaceted, but perhaps eventually understandable.

A half century ago, two pediatricians followed a number of families for about a year, doing throat cultures for streptococcal bacteria every few weeks. In a surprising result, they found that most of the time, the strep infections did not produce any symptoms of illness. The strep bacteria by themselves did not cause illness. But when the families were stressed, the strep illness was more likely to develop (Meyer & Haggerty, 1962). (On the other hand, no one developed the strep illness without exposure to the bacteria.) Yet the biomedical approach remained dominant, even as such studies helped lay the conceptual foundation for a biopsychosocial approach. Today, even research in health psychology that considers the full biopsychosocial model has found the phenomenon resistant to simple explication. For example, in one of the few comprehensive series of human studies with carefully (experimentally) controlled exposure to the infectious agent (virus), Cohen and colleagues have demonstrated that factors such as self-reported chronic stress and lack of a positive emotional style predict increased risk of subsequent upper respiratory infection (Cohen et al., 1998, 2006); however, it has been difficult to identify either behavioral or immunological mediators of such effects (see also Overmier & Murison, 2000, on ulcers). I suggest that this complexity may be due to the fact of multiple causal links—behavioral links, stress links, biological and temperamental underlying third variables, situational selection, and reverse causal links-- simultaneously

#### Acknowledgements

This research was supported by NIA grants AG08825 (H. S. Friedman, PI) and AG027001 (C. R. Reynolds, PI).

The author would like to thank John Capitanio for helpful comments.

### References

Alexander, F. Psychosomatic medicine. NY: W. W. Norton; 1950.

- Barefoot JC, Brummett BH, Helms MJ, Mark DB, Siegler IC, Williams RB. Depressive symptoms and survival of patients with coronary artery disease. Psychosomatic Medicine 2000;62:790–795. [PubMed: 11138998]
- Barefoot JC, Schroll M. Symptoms of depression, acute myocardial infarction, and total mortality in a community sample. Circulation 1996;93:1976–1980. [PubMed: 8640971]
- Bernard, C. Leçons de pathologie expérimentale : et leçons sur les propriétés de la moelle épinière. Paris: Librarie J.-B. Baillière et fils; 1880.
- Betz B, Thomas C. Individual temperament as a predictor of health or premature disease. Johns Hopkins Medical Journal 1979;144:81–89. [PubMed: 430948]
- Bogg T, Roberts BW. Conscientiousness and health-related behaviors: a meta-analysis of the leading behavioral contributors to mortality. Psychological Bulletin 2004;130:887–919. [PubMed: 15535742]
- Bolger N, Zuckerman A. A framework for studying personality in the stress process. Journal of Personality & Social Psychology 1995;69(5):890–902. [PubMed: 7473036]
- Booth-Kewley S, Friedman HS. Psychological predictors of heart disease: A quantitative review. Psychological Bulletin 1987;101:343–362. [PubMed: 3602244]
- Brickman AL, Yount SE, Blaney NT, Rothberg ST, De-Nour AK. Personality traits and long-term health status: The influence of neuroticism and conscientiousness on renal deterioration in Type-1 diabetes. Psychosomatics: Journal of Consultation Liaison Psychiatry 1996;37(5):459–468.
- Cacioppo, JT.; Berntson, GG. The brain, homeostasis, and health: Balancing demands of the internal and external milieu. In: Friedman, HS.; Silver, RC., editors. Foundations of health psychology. New York: Oxford University Press; 2007. p. 73-91.
- Campbell DT, Fiske DW. Convergent and discriminant validation by the multitrait-multimethod matrix. Psychological Bulletin 1959;56:81–105. [PubMed: 13634291]
- Cannon, WB. Wisdom of the body. New York: W. W. Norton; 1932.
- Capitanio JP, Mendoza SP, Baroncelli S. The relationship of personality dimensions in adult male rhesus macaques to progression of simian immunodeficiency virus disease. Brain, Behavior, and Immunity 1999;3:138–154.
- Capitanio JP, Mendoza SP, Bentson KL. Personality characteristics and basal cortisol concentrations in adult male rhesus macaques (Macaca mulatta). Psychoneuroendocrinology 2004;29:1300–1308. [PubMed: 15288709]
- Carver CS, Miller CJ. Relations of serotonin function to personality: Current views and a key methodological issue. Psychiatry Research. in press
- Caspi A, Begg D, Dickson N, Harrington H, Langley J, Moffitt TE, Silva P. Personality differences predict health-risk behaviors in young adulthood: Evidence from a longitudinal study. Journal of Personality and Social Psychology 1997;73(5):1052–1063. [PubMed: 9364760]
- Caspi A, Sugden K, Moffitt TE, Taylor A, Craig IW, Harrington H, McClay J, Mill J, Martin J, Braithwaite A, Poulton R. Influence of life stress on depression: moderation by a polymorphism in the 5-HTT gene. Science 2003;301(5631):291–3. [PubMed: 12869733]
- Chesney, MA.; Rosenman, RH., editors. Anger and hostility in cardiovascular and behavioral disorders. New York: Hemisphere; 1985.

- Christensen AJ, Ehlers SL, Wiebe JS, Moran PJ, Raichle K, Ferneyhough K, Lawton WJ. Patient personality and mortality: A 4-year prospective examination of chronic renal insufficiency. Health Psychology 2002;21:315–320. [PubMed: 12090673]
- Coe CL, Lubach GR. Prenatal origins of individual variation in behavior and immunity. Neuroscience and Biobehavioral Reviews 2005;29:39–49. [PubMed: 15652253]
- Cohen S, Alper CM, Doyle WJ, Treanor JJ, Turner RB. Positive emotional style predicts resistance to illness after experimental exposure to rhinovirus or influenza a virus. Psychosomatic Medicine 2006;68:809–15. [PubMed: 17101814]
- Cohen S, Frank E, Doyle WJ, Skoner DP, Rabin BS, Gwaltney JM Jr. Types of stressors that increase susceptibility to the common cold in healthy adults. Health Psychology 1998;17:214–23. [PubMed: 9619470]
- DeVries AC, Craft TKS, Glasper ER, Neigh GN, Alexander JK. Social influences on stress responses and health. Psychoneuroendocrinology 2007;32:587–603. [PubMed: 17590276]
- Dunbar, F. Mind and body: Psychosomatic medicine. New York: Random House; 1955.
- ENRICHD Investigators. Effects of treating depression and low perceived social support on clinical events after myocardial infarction: The enhancing recovery in coronary heart disease patients (ENRICHD) randomized trial. Journal of the American Medical Association 2003;289:3106–3116. [PubMed: 12813116]
- Ford DE, Mead LA, Chang PP, Cooper-Patrick L, Wang N, Klag MJ. Depression is a risk factor for coronary artery disease in men. Archives of Internal Medicine 1998;158:1422–1426. [PubMed: 9665350]
- Friedman, HS. Self-Healing Personality: Why Some People Achieve Health and Others Succumb to Illness. New York, NY: Holt; 19912000. Re-published by: (www.iuniverse.com)
- Friedman, HS. Self-healing personalities. In: Friedman, HS., editor. Encyclopedia of Mental Health. 3. San Diego: Academic Press; 1998. p. 453-459.
- Friedman HS. Long-term relations of personality and health: Dynamisms, mechanisms, tropisms. Journal of Personality 2000;68:1089–1108. [PubMed: 11130733]
- Friedman, HS. Personality, disease, and self-healing. In: Friedman, HS.; Silver, RC., editors. Foundations of Health Psychology. NY: Oxford University Press; 2007. p. 172-199.
- Friedman, HS.; Adler, NE. The history and background of health psychology. In: Friedman, HS.; Silver, RC., editors. Foundations of Health Psychology. NY: Oxford University Press; 2007. p. 3-18.
- Friedman HS, Booth-Kewley S. The "disease-prone personality": A meta-analytic view of the construct. American Psychologist 1987;42:539–555. [PubMed: 3619180]
- Friedman HS, Tucker JS, Schwartz JE, Tomlinson-Keasey C, Martin LR, Wingard DL, Criqui MH. Psychosocial and behavioral predictors of longevity: The aging and death of the "Termites. American Psychologist 1995;50:69–78. [PubMed: 7879989]
- Friedman HS, Tucker J, Tomlinson-Keasey C, Schwartz J, Wingard D, Criqui MH. Does childhood personality predict longevity? Journal of Personality and Social Psychology 1993;65:176–185. [PubMed: 8355139]
- Gardner J, Oswald A. How is mortality affected by money, marriage and stress? Journal of Health Economics 2004;23:1181–1207. [PubMed: 15556242]
- Goodwin RG, Friedman HS. Health status and the Five Factor personality traits in a nationally representative sample. Journal of Health Psychology 2006;11:643–654. [PubMed: 16908463]
- Hogan, J.; Ones, DS. Conscientiousness and integrity at work. In: Hogan, R.; Johnson, JA.; Briggs, SR., editors. Handbook of Personality Psychology. San Diego, CA: Academic Press; 1997. p. 849-870.
- Januzzi JL, Stern TA, Pasternak R, DeSanctis RW. The influence of anxiety and depression on outcomes of patients with coronary artery disease. Archives of Internal Medicine 2000;160:1913–1921. [PubMed: 10888966]
- Judge TA, Ilies R. Relationship of personality to performance motivation: A meta-analytic review. Journal of Applied Psychology 2002;87(4):797–807. [PubMed: 12184582]
- Kemeny, ME. Psychoneuroimmunology. In: Friedman, HS.; Silver, RC., editors. Foundations of Health Psychology. NY: Oxford University Press; 2007. p. 92-116.

- Koolhaas JM, de Boer SF, Buwalda B. Stress and adaptation. Toward ecologically relevant animal models. Current Directions in Psychological Science 2006;15:109–112.
- Korten AE, Jorm AF, Jiao Z, Letenneur L, Jacomb PA, Henderson AS, Christensen H, Rodgers B. Health, cognitive, and psychosocial factors as predictors of mortality in an elderly community sample. Journal of Epidemiology and Community Health 1999;53:83–88. [PubMed: 10396468]
- Lobel M, Dunkel-Schetter C, Scrimshaw SCM. Prenatal maternal stress and prematurity: A prospective study of socioeconomically disadvantaged women. Health Psychology 1992;11:32–40. [PubMed: 1559532]
- Magnus K, Diener E, Fujita F, Payot W. Extraversion and neuroticism as predictors of objective life events: A longitudinal analysis. Journal of Personality & Social Psychology 1993;65:1046–1053. [PubMed: 8246112]
- Martin LR, Friedman HS. Comparing personality scales across time: An illustrative study of validity and consistency in life-span archival data. Journal of Personality 2000;68:85–110. [PubMed: 10820682]
- Martin LR, Friedman HS, Tucker JS, Tomlinson-Keasey C, Criqui MH, Schwartz JE. A life course perspective on childhood cheerfulness and its relation to mortality risk. Personality and Social Psychology Bulletin 2002;28:1155–1165.
- Martin LR, Friedman HS, Schwartz JE. Personality and mortality risk across the lifespan: The importance of conscientiousness as a biopsychosocial attribute. Health Psychology 2007:428–436. [PubMed: 17605562]
- Matthews KA. Coronary Heart Disease and Type A Behaviors: Update on and alternative to the Booth-Kewley and Friedman (1987) quantitative review. Psychological Bulletin 1988;3:373–380. [PubMed: 3062658]
- McCaffery JM, Frasure-Smith N, Dubé MP, Théroux P, Rouleau GA, Duan Q, Lespérance F. Common genetic vulnerability to depressive symptoms and coronary artery disease: A review and development of candidate genes related to inflammation and serotonin. Psychosomatic Medicine 2006;68:187– 200. [PubMed: 16554382]
- McCrae RR, John O. An introduction to the five-factor model and its applications. Journal of Personality 1992;60:175–215. [PubMed: 1635039]
- Miller GE, Chen E, Zhou ES. If it goes up, must it come down? Chronic stress and the hypothalamicpituitary-adrenocortical axis in humans. Psychological Bulletin 2007;133:25–45. [PubMed: 17201569]
- Mroczek DK, Almeida DM. The effects of daily stress, age, and personality on daily negative affect. Journal of Personality 2004;72:354–378.
- Meyer R, Haggerty R. Strepococcal infections in families. Pediatrics 1962;29:539–549. [PubMed: 14473162]
- Ouellette, SC.; DiPlacido, J. Personality's role in the protection and enhancement of health: Where the research has been, where it is stuck, how it might move. In: Baum, A.; Revenson, TA.; Singer, J., editors. Handbook of health psychology. Lawrence Erlbaum Associates; 2001. p. 175-194.
- Overmier JB, Murison R. Anxiety and helplessness in the face of stress predisposes, precipitates, and sustains gastric ulceration. Behavior and Brain Research 2000;110:161–74.
- Pressman SD, Cohen S. Does positive affect influence health? Psychological Bulletin 2005;131(6):925– 971. [PubMed: 16351329]
- Roberts BW, Bogg T. A longitudinal study of the relationships between conscientiousness and the social environmental factors and substance use behaviors that influence health. Journal of Personality 2004;72:325–353. [PubMed: 15016067]
- Roberts BW, Caspi A, Moffitt T. Work experiences and personality development in young adulthood. Journal of Personality and Social Psychology 2003;84:582–593. [PubMed: 12635918]
- Rugulies R. Depression as a predictor for coronary heart disease: A review and meta-analysis. American Journal Of Preventive Medicine 2002;23:51–61. [PubMed: 12093424]
- Sapolsky RM. The influence of social hierarchy on primate health. Science 2005;308(5722):648–52. [PubMed: 15860617]
- Sears, RR. The Terman gifted children study. In: Mednick, SA.; Harway, M.; Finello, KM., editors. Handbook of longitudinal research. 1. NY: Praeger; 1984. p. 398-414.

Friedman

- Schulz R, Martire LM, Beach SR, Scheier MF. Depression and mortality in the elderly. Current directions in psychological science 2000;9:204–208.
- Segerstrom SC, Miller GE. Psychological stress and the human immune system: A meta-analytic study of 30 years of inquiry. Psychological Bulletin 2004;104:601–630. [PubMed: 15250815]
- Smith, TW.; Gallo, LC. Personality traits as risk factors for physical illness. In: Baum, A.; Revenson, T.; Singer, J., editors. Handbook of health psychology. Hillsdale, NJ: Lawrence Erlbaum; 2001. p. 139-172.
- Subotnik RF, Karp DE, Morgan ER. High IQ children at midlife: An investigation into the generalizability of Terman's Genetic Studies of Genius. Roeper Review 1989;11(3):139–145.
- Suls J, Bunde J. Anger, Anxiety, and Depression as Risk Factors for Cardiovascular Disease: The Problems and Implications of Overlapping Affective Dispositions. Psychological Bulletin 2005;131:260–300. [PubMed: 15740422]
- Taylor SE, Repetti RL, Seeman T. Health psychology: What is an unhealthy environment and how does it get under the skin? Annual Review of Psychology 1997;48:411–447.
- Tucker JS, Friedman HS, Wingard DL, Schwartz JE. Marital history at mid-life as a predictor of longevity: Alternative explanations to the protective effect of marriage. Health Psychology 1996;15:94–101. [PubMed: 8681925]
- Weinstein, TAR.; Capitanio, JP.; Gosling, SD. Personality in animals. In: John, OP.; Robins, R.; Pervin, L., editors. Handbook of Personality. 3. in press
- Weiss A, Costa PT. Domain and facet personality predictors of all-cause mortality among Medicare patients aged 65 to 100. Psychosomatic Medicine 2005;67:724–733. [PubMed: 16204430]
- Wilson RS, Mendes de Leon CF, Bienias JL, Evans DA, Bennett DA. Personality and mortality in old age. Journal of Gerontology B: Psychological Sciences and Social Science 2004;59:110–6.