

Viral escape mechanisms – escapology taught by viruses

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Summary. Viruses have 'studied' immunology over millions of years of coevolution with their hosts. During this ongoing education they have developed countless mechanisms to escape from the host's immune system. To illustrate the most common strategies of viral immune escape we have focused on two murine models of persistent infection, lymphocytic choriomeningitis virus (LCMV) and murine cytomegalovirus (MCMV). LCMV is a fast replicating small RNA virus with a genome prone to mutations. Therefore, LCMV escapes from the immune system mainly by two strategies: 'speed' and 'shape change'. At the opposite extreme, MCMV is a large, complex DNA virus with a more rigid genome and thus the strategies used by LCMV are no option. However, MCMV has the coding capacity for additional genes which interfere specifically with the immune response of the host. These escape strategies have been described as 'camouflage' and 'sabotage'. Using these simple concepts we describe the spectrum of viral escapology, giving credit not only to the researchers who uncovered this fascinating area of immunology but also to the viruses themselves, who still have a few lessons to teach.

Keywords: lymphocytic choriomeningitis virus, murine cytomegalovirus, virus, persistence, T lymphocyte, CD8, CD4, escape, HCV, HIV

Introduction

While the biology of viruses and that of the host's immune system are both complex, the governing principle underlying their interaction is simple – natural

selection. Natural selection has led to the evolution of the pathogens we confront today, and natural selection has also shaped, over a different time scale, the human immune system (Zinkernagel 1996).

Many viral strategies for survival are phenomenally successful; most of those reading this will be infected with at least one, if not several, viruses from the herpesvirus family, commonly without clinical consequences. Dissection of the strategies used by this group of viruses has provided a detailed map of the molecular

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battleground on which the host-virus war is fought. These viruses persist, usually at low levels, and the biology of their persistence represents one set of linked evolutionary strategies. These are DNA-based pathogens, with large genomes by viral standards, containing hundreds of genes. Their major weapons could be described as 'camouflage' and 'sabotage', possession of highly evolved molecules, which are encoded with the incoming virus and which have evolved to disrupt conventional host defence mechanisms. The other mechanism employed by these invaders is targeting sites for replication in regions of the body perhaps less readily accessible to host defence.

In contrast, there are multiple viruses with RNA-based genomes, often much smaller, which also manage to set up persistent infection, and survive within hosts in the face of ongoing immune responses. The strategies used by this group of organisms, which have much less 'technology' at their disposal, are quite different. Unlike their more stable DNA counterparts, the mutability of these RNA genomes allows this group, potentially, to evolve within their host, and to set up 'high level' persistence. The principle strategies employed here could be described as 'speed' and 'shape-change'.

This scheme of viral escapology is illustrated in Fig. 1. Naturally, as a simplified scheme, there are many viruses or strategies that are not so easily pigeonholed. For example, HIV encodes the *tat* gene, whose modulation of molecules at the T cell surface might be considered a 'camouflage' function. Alternatively, parvovirus B19 possesses a tiny DNA genome encoding only 3 genes, but may still manage to persist in the face of host immunity. There is an overlap here between mechanisms of viral persistence and immune escape. Not all viruses with known escape mechanisms (such as poxviruses) are conventionally classified as persistent. Tipping the balance in favour of the host may influence

the establishment or extent or transmission of an infection, rather than always leading to long-term carriage. Nevertheless, the idea behind this review is to put the mechanisms of viral evasion of host defence into some simple categories, which would then allow individual biochemical or immunological phenomena to be placed into some overall perspective.

Antiviral defence

Basic mechanisms of antiviral defence

To understand immune escape, we must first outline host defence. In simple terms there are three phases of immune responses against replicating pathogens such as viruses. Firstly, immune induction, followed by an effector phase, and finally a prolonged state of memory, or, in the case of persistent viruses 'infection/immunity' (i.e. immune responses in a stable state maintained by ongoing infection, or low level viral replication controlled by immune responses). The dynamics of such immune control on viral load are illustrated in Figs 2(a–c); 2b highlights an example of escape leading to high level persistence.

Immune induction

Antigen presentation Antigen from replicating pathogens is presented to the immune system by specialized antigen presenting cells, principally dendritic cells (DCs). These may take up antigen exogenously and present it either through the Class I (Fig. 3) or Class II (Fig. 4) pathways to T cells. Infection of the DC is not a necessary prerequisite for antigen presentation. Since antigen presentation through the Class I pathway is a key area of interference by some viruses it is worth focusing on it in more detail (Fig. 3). In this simplified scheme, antigen is cleaved into shorter peptides, by the multicatalytic proteasome complex, transported to the TAP transporter, and then passed through to the ER. Here interactions with Class I molecules, accompanied by tapasin and other important chaperone molecules such as calreticulin and calnexin, lead to loading of peptides of the appropriate length (9–11 amino acids), and appropriate motif into stable complexes with Class I-MHC molecules and beta-2 microglobulin (Townsend & Bodmer 1989; Grandea & Van Kaer 1991). The 'motif' is the possession of residues by the peptides, in particular 'anchor' positions, which are able to interact favourably with molecules in the groove of the MHC Class I molecule alpha chain, and promote strong binding (Rammensee *et al.* 1995).

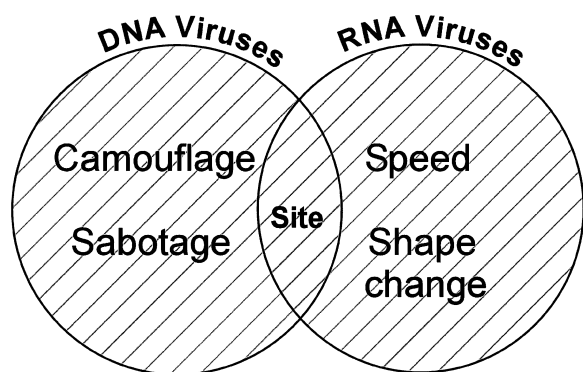


Figure 1. A simple model for understanding viral persistence.

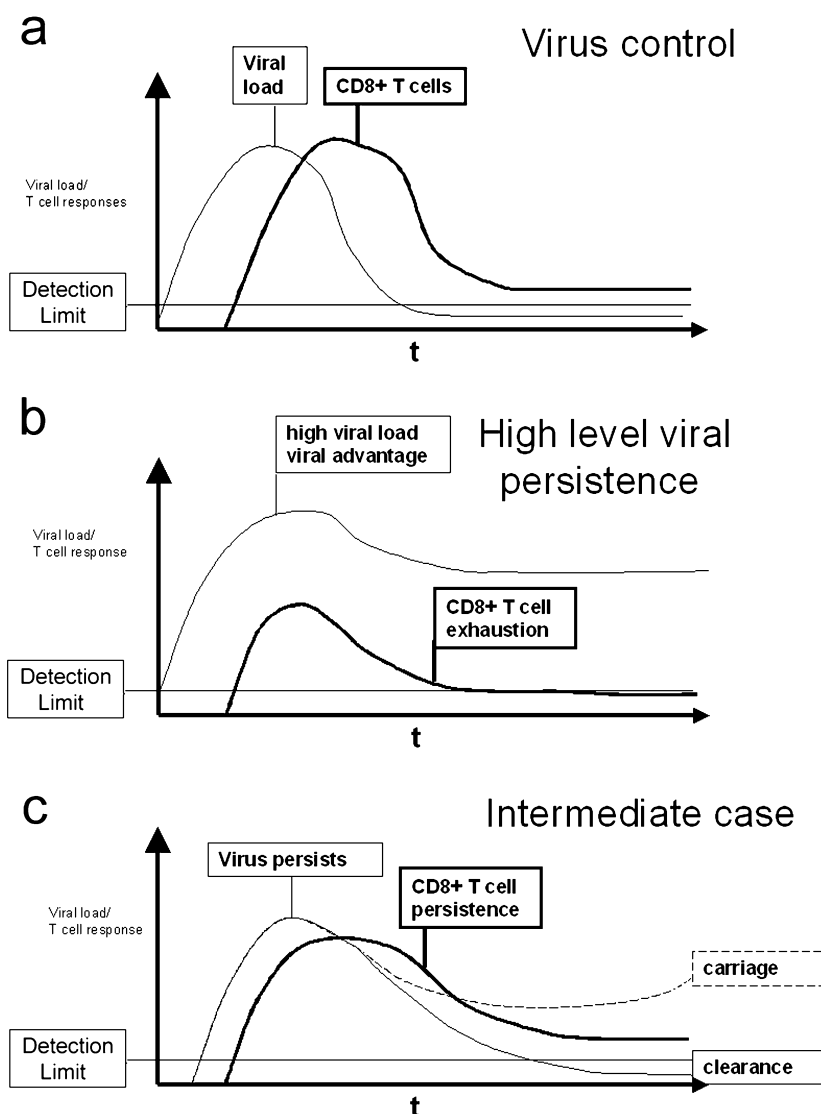


Figure 2. (a–c) Viral dynamics and T cell responses. Viral control or escape depends on the dynamics of the CD8+ T cells responses and the virus kinetics. Three different examples and their outcomes are illustrated in this figure. a) Early and broad effector response controls virus levels and prevents ‘escape through speed’. b) Disadvantaged effector response leads to viral escape and T cell exhaustion. c) ‘Intermediate’ effector response can lead to carriage, immunopathology or clearance.

CD8 T cell triggering The interaction of the CD8+ T cells with such Class I-peptide-complexes occurs via a T cell receptor (TCR). TCRs are generated with considerable diversity from combinations of host germline encoded genes. TCRs combining specific alpha and beta chains are able to bind specific combinations of host MHC Class I molecule and viral (or other) peptide. Binding is very weak by conventional antibody-antigen standards, and the contribution of CD8 to recognition of Class I is also weak, but the combination of many of these events integrated over time, with condensation of TCRs into a localized complex (‘immunological synapse’), leads to triggering of intracellular signalling (Bromley *et al.* 2001). Because activation of CD8+ T lymphocytes by viral antigen is a multistep process, it is clearly easy for viruses to disrupt it at many points.

CD4 T cell triggering Class II presentation similarly utilizes peptides but these are derived not from cytoplasmic proteins via the proteasome, but via a separate pathway involving lysosomes acting on exogenous proteins. Loading of Class II complexes requires tight binding between peptides and Class II molecules, and similar T cell recognition and triggering events are thought to occur, although the key surface molecule involved in these interactions is CD4 in combination with TCR (Fig. 4).

These recognition events for naïve T cells occur on the surface of DCs, which have picked up antigen and acquired potent antigen presenting function (‘mature’ DCs). Priming, it is thought, occurs optimally in the environment of secondary lymphoid organs, where such cells home after picking up antigen. In addition to the

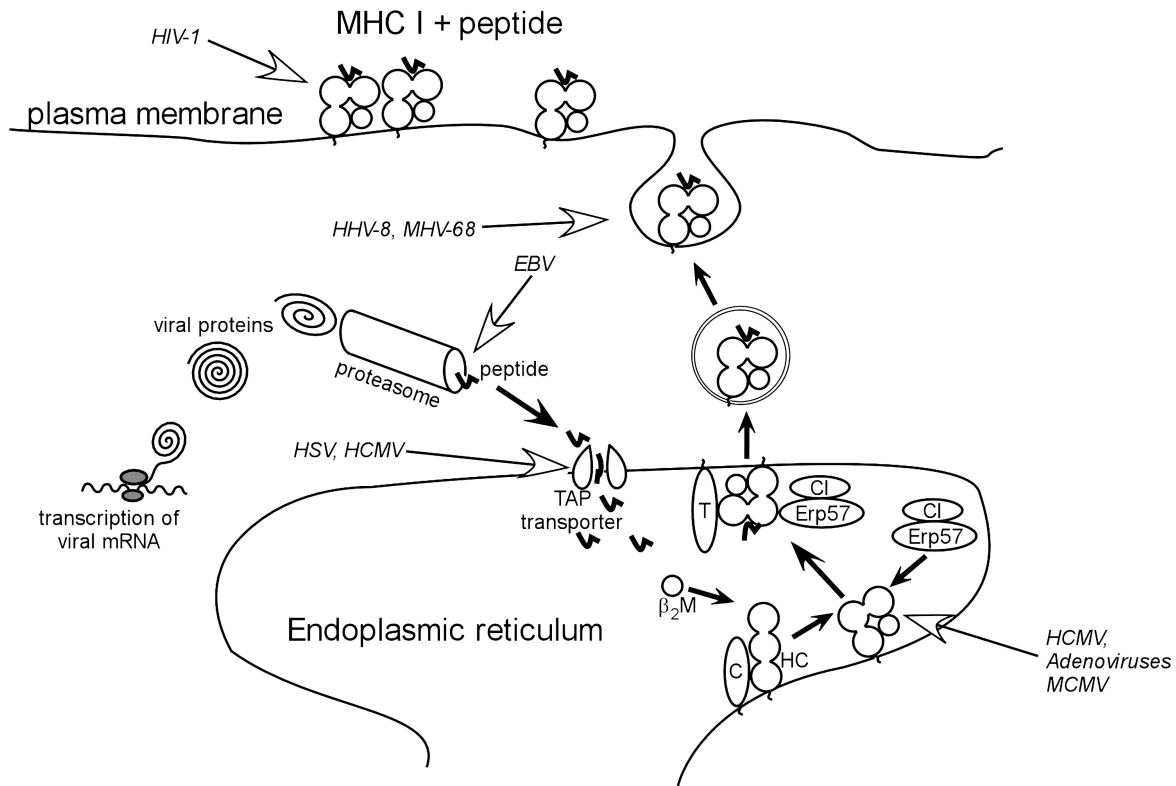


Figure 3. Overview of viral interference with MHC Class I presentation. Open arrows indicate steps interfered with by viruses ('camouflage' strategies). Abbreviations: β_2M – beta 2-microglobulin; C – calreticulin; Erp57 – thiol oxidase reductase ERp57; HC – heavy chain; T – tapasin; TAP transporter – TAP peptide transporter associated with antigen processing; HCMV – human cytomegalovirus; HSV – herpes simplex virus; MCMV – murine cytomegalovirus; HIV-1 – human immunodeficiency virus 1; HHV-8 – human herpes virus 8; MHV-68 – murine herpes virus 68.

appropriate anatomical environment, cytokine and chemokine milieu, such DCs, also express important costimulatory molecules which can provide help in triggering T cells, through, again, multiple low-affinity surface interactions (Ochsenbein *et al.* 1999).

B cell triggering This also occurs optimally in secondary lymphoid tissue. B cell responses to replicating pathogens, particularly viruses with repetitive, organized antigen on their surface, may be triggered directly in the absence of T cell help to produce IgM. In certain situations, antibodies encoded by germline sequences may be sufficiently avid to provide effective antiviral activity (Kalinke *et al.* 1996; Bachmann *et al.* 1997). However conventionally, maturation of the antibody response involves a switching of antibody class from IgM to IgG, usually dependent on activity from T helper cells, and a process of 'affinity maturation' leads to evolution of the humoral response.

Innate responses The above cellular subsets are important in providing sophisticated antigen-specific responses. However, these are only part of the story. Many other functions are induced during acute antiviral responses. Firstly, the interferon pathway is activated potently by double-stranded (ds) RNA. Mice deficient in interferon alpha/beta receptors are highly susceptible to viral infections, despite having an initially intact lymphocyte repertoire (van den Broek *et al.* 1995; Huang *et al.* 1993). Secondly, natural killer (NK) cells are able to respond to stimulation not specific for a particular antigen and they emerge with rapid kinetics. Finally other subsets of T cells such as gamma delta T cells and NKT cells are commonly found in some sites. Such subsets include T cells with highly restricted T cell receptors able to recognize non-protein antigens, such as lipids, presented by nonpolymorphic MHC-like molecules, such as CD1. They appear to have particular tissue distributions, such as an enrichment in the liver and the gut, and may be involved in innate responses in

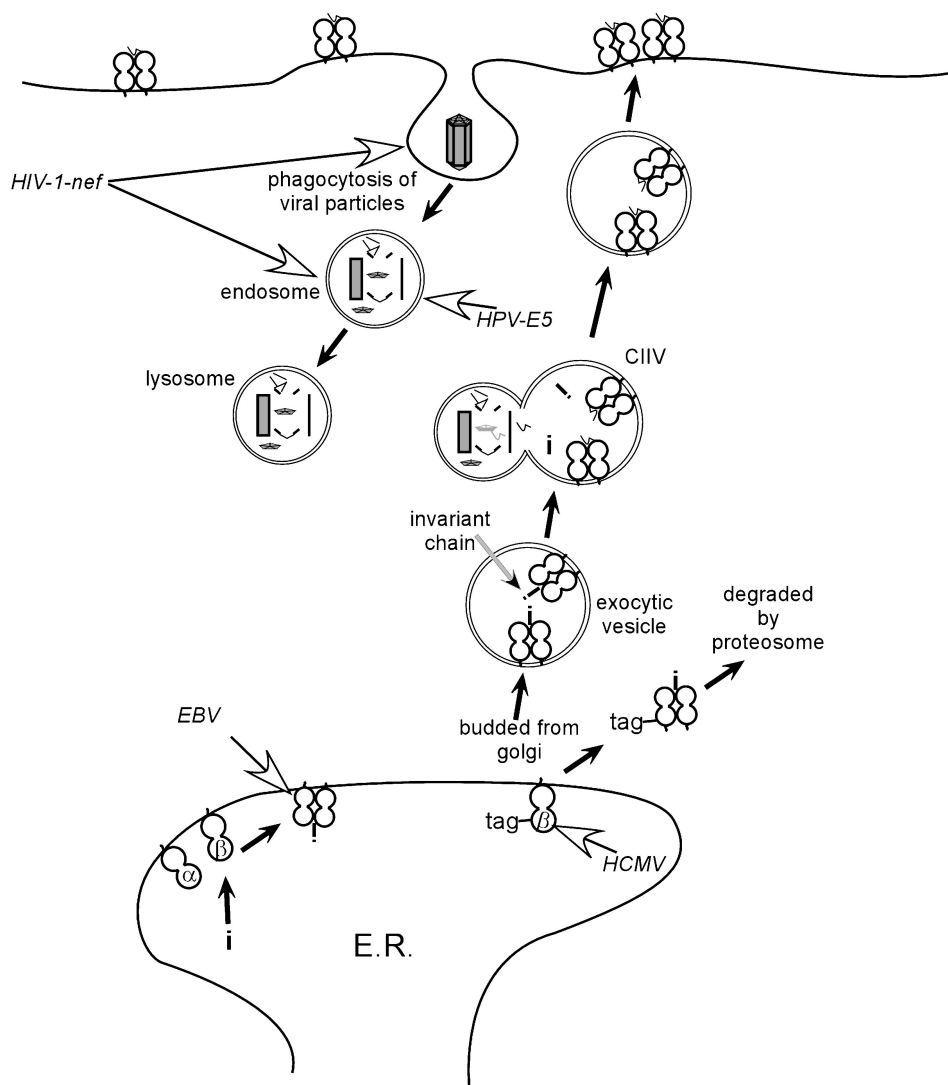


Figure 4. Overview of viral interference with MHC Class II presentation. Open arrows indicate steps interfered with by viruses ('camouflage' strategies). Abbreviations: CIIV – major histo-compatibility class II vesicle; α – alpha chain; β – beta chain; HCMV – human cytomegalovirus; EBV – Epstein-Barr-virus; HPV-E5 – human papilloma virus E5 protein; HIV-1-nef – human immunodeficiency virus 1, nef protein.

such organs, although also in regulation of antigen-specific responses (Biron *et al.* 1999; Raulet *et al.* 2001).

Effector functions

Activated CD8⁺ T cells are able to migrate to infected tissues and recognize infected cells through the same mechanism as described above. Once triggered, CD8⁺ T cells perform multiple effector functions, and it is likely that the dominant function varies for different viruses and also over time. The classical function is killing, which can be mediated by release of lytic granules such as perforin, or

through the Fas/Fas ligand pathway (Kagi *et al.* 1994; Kagi *et al.* 1995). Such cells also secrete cytokines, notably interferon-gamma (IFN- γ), which has important antiviral activity, as well as acting as an inflammatory mediator. Finally, such cells also secrete chemokines, such as RANTES, which have inhibitory activity against HIV (Cocchi *et al.* 1995), but more commonly provide signals for recruitment of other inflammatory or antiviral cells.

CD4⁺ T lymphocytes play a central role in initiating, coordinating and maintaining antiviral immune responses. CD4⁺ T lymphocytes may provide many of the same effector functions as CD8⁺ T lymphocytes,

Table 1. Recognition functions of the immune response in viral infection

CD8	Virus-infected cell; monitor intracellular pathogens
CD4	APC or class II expressing virus infected cell; monitor circulating viral proteins
B Cell	Circulating virions or viral proteins
NK	Class I low cells
Innate responses	Pathogen-dependent 'patterns' as opposed to specific antigens

particularly release of antiviral cytokines. In addition, CD4 cells may 'condition' DCs for enhanced antigen presentation via interactions through CD40-CD40L, thus providing indirect help for CD8 T cells, as well as release of supportive cytokines such as IL-2 (Ridge *et al.* 1998; Schoenberger *et al.* 1998). Th2 type CD4 + lymphocytes are thought to modulate immune responses by secretion of anti-inflammatory cytokines such as IL-10, although the distinctions between different subsets are not always clear-cut in human disease.

As far as B cells are concerned, the principal activity in this virus-centred scheme is mediated via the action of neutralizing antibodies, which inhibit infection of target cells. The action of neutralization may be simple, masking effects on binding molecules, but in other cases may involve opsonization of complement, Fc receptor binding, etc. Antibodies to internal or nonstructural viral components would be considered non-neutralizing (Zinkernagel 1996).

NK cells possess inhibitory receptors, which are triggered by engagement of MHC class I molecules on the surface of target cells. Cells low in MHC class I are NK targets. There are also activation signals involved, although the exact nature of these is not as well worked out as for conventional CD8+ T lymphocytes (but will have been explored fully by viruses).

Memory Activation, followed by effector function, is

Table 2. Effector functions of the immune response during viral infection

CD8	Kill virus infected cells (cytotoxicity) Induce apoptosis Secrete inflammatory cytokines
CD4	Recruit other cells via chemokines Co-ordination of immune responses Induction and maintenance of CD8 responses Induction and maintenance of B cell responses Potentially, killing of virus infected cells
Innate responses	Suppression of viral replication Secretion of inflammatory cytokines Lysis of viral infected cells

followed usually by a prolonged phase described as memory, or alternatively 'infection-immunity' if the pathogen still persists. Very large numbers of T cells may be induced during the acute phases of viral infection, but after effector function is displayed, the numbers dwindle rapidly, partly because of a reduced drive to expand by antigen, and partly due to 'activation-induced' cell death. The total number of cells left, and their function, are matters of considerable current interest and debate amongst immunologists, since antigen is not absolutely required to maintain T cell memory, but the functional state of the memory depends on the extent to which it is continuously exposed to antigen (Ahmed & Gray 1996; Zinkernagel *et al.* 1996). For most of the viruses we are considering, this is not an issue, since antigen will always be present to some extent.

One important determinant of the number of T cells left in the memory phase is thought to be the initial burst size (Hou *et al.* 1994). Viral escape mechanisms acting early to inhibit presentation, and therefore expansion, of virus-specific T cells could have a large downstream effect on the total number of cells in the long term. This issue is actually quite complicated, since on the other hand, impaired effector function leads to more viral replication and thus a stronger antigenic drive. The simple observation that herpesviruses, which contain numerous camouflage genes, nevertheless invoke and sustain the most vigorous acute and chronic T cell responses ever described, suggests that escape does not necessarily imply weaker host defence (Callan *et al.* 1998; Gillespie *et al.* 2000; Lechner *et al.* 2001).

Example 1: LCMV

To illustrate mechanisms of viral persistence *in vivo* we have chosen 2 murine models, the first of which is Lymphocytic Choriomeningitis Virus (LCMV). This virus represents, in the scheme outlined above, a small RNA based mutable virus capable of low level or high-level persistence.

The closest human homologues of LCMV are arenaviruses, which tend to cause acute haemorrhagic disease, rather than persistence. However in the mouse, its natural host, it is relatively noncytopathic, and the various high level infections set up have been compared to human infection by HIV, HBV or HCV (Klenerman & Zinkernagel 1997). More recently established is the persistence of this virus at a low level over long periods. The biology of this and the mechanisms of immune evasion involved are much less well studied (Ciurea *et al.* 1999). The immune factors which control LCMV infection, and which influence at what level the

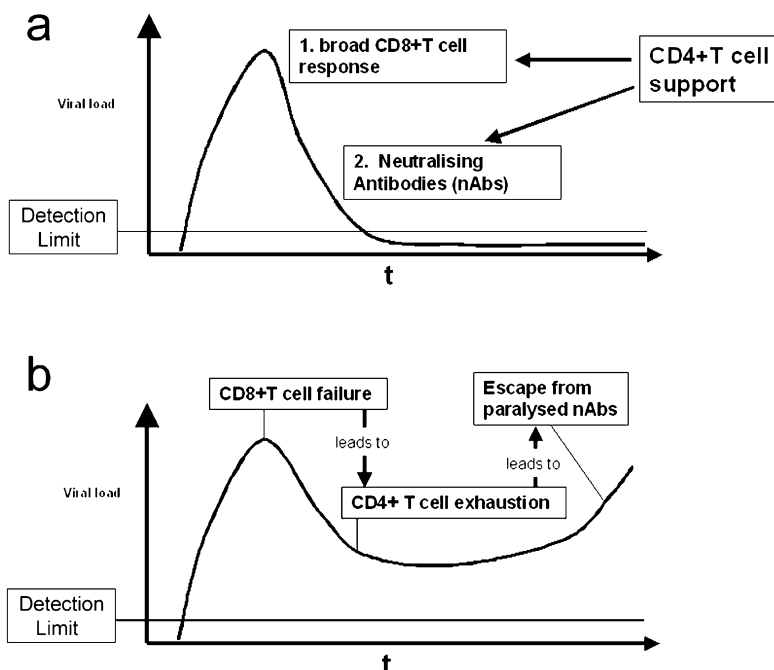


Figure 5. (a–b) Viral dynamics and the immune response (humoral and cellular). a) Viral kinetics under strong cellular and antibody responses – viral control. Early efficient cellular response (CTL with T cell help) followed by neutralizing antibody response prevents mutational escape due to low viral replication rate. b) Viral kinetics during escape from sequential immune responses. A chain reaction causing a failure of all three arms of the immune system leads to mutational escape of the virus.

virus persists have been established (Figs 5a, b). These are as follows:

CD8+ T lymphocytes provide the major early defence against infection (with very large expansions peaking at about 1 week after infection) (Gallimore *et al.* 1998).

CD8+ T lymphocytes also cause the early immunopathology associated with infection (Althage *et al.* 1992).

Perforin mediated killing is needed to clear infection, although IFN- γ plays an important role (Kagi *et al.* 1995).

In the long term (i.e. after 6–8 weeks), CD8+ T cells cannot act without support from CD4+ T cells and neutralizing antibody-producing B cells. If these responses are not present, the virus re-emerges (Planz *et al.* 1997).

Even when the virus is cleared from the blood, in the face of normal memory responses, viral genes and proteins are still often detectable in organs at very low level (Ciurea *et al.* 1999).

Under circumstances where the initial CD8 + response is incomplete, high level carriage ensues, with disappearance of the T cells (exhaustion or deletion) (Moskophidis *et al.* 1993).

The simple lessons from this model and those like it are that the kinetics and distribution of the viral replication and host response are major factors in determining outcome. Also host defence must be considered in an holistic way – different responses

play different roles at different times, the overall balance of which will also affect outcome.

Speed LCMV demonstrates one paradigm of the RNA viral use of speed to escape from host defence. This strategy is only really sustainable by relatively non-cytolytic viruses – since persistence of highly lytic viruses, perhaps with the exception of lactate-dehydrogenase-virus (LDV) (van den Broek *et al.* 1997), a virus with highly restricted host cell range, will lead to host death. The development of high-level persistence by LCMV has been well explored using different strain/mouse combinations (Moskophidis *et al.* 1994). Although the mechanism of T cell exhaustion is not defined, the phenomenon appears to develop under conditions where the virus is present in high load, and in a widespread distribution. Cell tropism may also affect the induction of exhaustion. Some viral strains do not induce exhaustion even if given intravenously in high dose.

Features that impair the maintenance of host CD8 + responses promote escape through speed. These include lack of CD4 T cells (Battegay *et al.* 1994); interestingly, lack of CD4 cells under some circumstances does not lead to complete exhaustion, but rather, maintenance of CD8+ T lymphocytes with reduced effector function (Zajac *et al.* 1998). It is likely that exposure of CD8+ T lymphocytes to high levels of antigen in circumstances where presentation is not on

specialized DCs (i.e. in nonlymphoid organs) and without CD4 help, promotes antigen-induced cell death. Under similar conditions of prolonged antigenic exposure, CD4 exhaustion may also be observed, although the kinetics of induction are somewhat slower, perhaps because presentation is limited to Class II bearing cells (Oxenius *et al.* 1998; Ciurea *et al.* 2001).

Escape through speed leads to high level antigen persistence in the mouse – thus this model is relevant only to a few human viruses such as HIV, HBV and HCV. There is some evidence for exhaustion in all of these infections.

In later stage HIV, HIV-specific CTL precursors disappear in preference to those specific for other infections (Carmichael *et al.* 1993). An inverse correlation has been observed between the numbers of HIV-specific CTL and viral load, which may indicate that high viral loads lead to suppression of such CTL (although the interpretation of this is complex) (Ogg *et al.* 1998). Also, early large expansions of CD8⁺ T lymphocytes bearing particularly restricted V β chains (i.e. of restricted specificity) has been associated with rapid progression, perhaps through a similar mechanism of activation-induced cell death (Pantaleo *et al.* 1994). On the other hand, T cells may persist, indeed individual clones may persist for many years in the face of continued viral replication (Kalams & Walker 1995). Also large expansions of activated T cells with maintained effector function are commonly seen in chronic HIV (Goulder *et al.* 2001), so if exhaustion occurs, it is not commonly the very rapid picture seen in experimental models. It is likely that it is one part of the ongoing dynamic interaction between host and virus.

In HBV and HCV, in contrast, high levels of virus are commonly associated with low levels of specific CD8⁺ T lymphocytes, and also low levels of specific CD4⁺ T lymphocytes (Guidotti *et al.* 1999; Lechner *et al.* 2000a, b; Takaki *et al.* 2000). Evidence that high viral loads are associated with suppression of CD4⁺ T cells in HBV comes from studies where treatment with the antiviral drug lamivudine leads to emergence of specific CD4⁺ T cells, with similar data from HCV (although the treatment is more complex) (Ferrari *et al.* 1998; Cramp *et al.* 2000). In acute disease, CD8⁺ T cell responses are seen early, but may not be sustained, particularly in chronic HCV infection, as opposed to those who clear virus (Lechner *et al.* 2000a, b). Thus exhaustion of responses appears to be more likely in these infections.

The role of the liver as an immune modulator is well known from transplant studies, and further studies of the role of the intrahepatic environment *per se* as an

'exhaustogenic' site are warranted. There is emerging evidence that activated T cells go to the liver at the time of down-regulation of acute responses, and that apoptosis occurs in this environment (Crispe *et al.* 2000). Thus this mechanism of immune escape may overlap with 'site'.

Shape-changing Mutation of viral species within individuals infected by RNA-based viruses is now held up as a paradigm of Darwinian evolution (Jones 2000). The role of the immune response in natural selection of 'escape mutants' has, however, been contentious, although consistent data are now emerging. The LCMV model paved the way for understanding of the role of T cells in this selection. Wild-type mice of various strains do not display immune selection and escape. However, a mouse in which the majority of T cells were directed toward a single epitope through insertion of TCR transgenes led to rapid escape under certain conditions (Pircher *et al.* 1990; Klenerman & Zinkernagel 1998). If the levels of infection were low, virus infection was rapidly cleared – as the dose of infection was increased, selection occurred rapidly leading to the emergence of viruses which were not recognized by the host TCR. This indicates that a high viral load and a strong/focused selective force are required to see escape under these artificial conditions. Similar effects were observed *in vitro* (Aebischer *et al.* 1991).

Infection of normal mice with such wild-type virus may influence subsequent immune responses to variant viruses, a phenomenon described as 'original antigenic sin' (Klenerman & Zinkernagel 1998). This may be due to partial cross-reactivity between epitopes, which act as 'altered peptide ligands' (APL) and partially trigger T cell responses (Klenerman *et al.* 1995). This may play some role in the ability of hosts to mount sequential immune responses against sequentially emerging mutants (see below).

Escape from antibodies may also occur, as can be demonstrated using a similar transgenic strategy. Mice transgenic for only the heavy chain of a neutralizing antibody directed at LCMV glycoprotein generate high levels of antibodies very rapidly after infection. If doses of the infecting virus are high enough to lead to T cell exhaustion, antibody then becomes the primary immune effector, and antibody escape is rapid (Seiler *et al.* 2000). Interestingly, in this system, modest effects of an antiviral drug act synergistically with the antibody to prevent escape and lead to resolution of infection (Seiler *et al.* 2000). More recently, escape from polyclonal antibody has been observed. In experiments where CD8⁺ T lymphocytes are not present, once again high

levels of virus ensue, and antibody becomes the dominant antiviral effector – and selector. The emergence of neutralization resistant virus is somewhat slower than for CD8 T cell mediated selection (10 weeks v 2 weeks), but is inevitable. In this model, escape occurs only once – after this the CD4 responses are exhausted and the new virus, although immunogenic in new hosts, fails to elicit new antibody responses in the infected host (Ciurea *et al.* 2001). There is thus a 'domino' effect – failure of or escape from one arm of the immune response can lead on to failure or escape from other arms (Fig 5b).

How does this relate to human infection? Mutational escape of HIV from T cells (both CD8 and CD4) has been observed, in chronic infection (Phillips *et al.* 1991; Goulder *et al.* 1997; Harcourt *et al.* 1998) and, more rarely, during acute infection (Borrow *et al.* 1997; Price *et al.* 1997). In some cases, escape has been accompanied by clinical and virological deterioration, and this is confirmed by work in the SIV model (Dzuris *et al.* 2000; Vogel *et al.* 2001). Exactly what sort of immune responses lead to escape is by no means clear as the phenomenon is not universal. It is likely that focused responses are the most efficient at immune selection, but outside monkey models, information as to the breadth of the response, and, most importantly, the sequence of the infecting strain, is not always available.

Original sin for T cells has also been observed in HIV (McAdam *et al.* 1995; Klenerman *et al.* 1998), and mutants persist which are immunogenic, but which do not elicit new CD8 T lymphocyte responses. Also, APL may affect T cell recognition in 'antagonist' assays, whereby low levels of variants may interfere with recognition of wild-type peptide (Klenerman *et al.* 1994). How this might work *in vivo* has not been established, although it also occurs in HBV (Bertoletti *et al.* 1994).

Mutational escape has also been observed in HCV and HBV, but may occur less commonly than in HIV (Chang *et al.* 1997). Again, mutation leading to loss of T cell recognition has been observed in acute disease and in chronic disease (Chang *et al.* 1997), but clinical deterioration as a result of escape is not clearly reported. Part of the difficulty may be that of identifying the immunodominant response in any one individual at any one time. It should be pointed out that HBV is a DNA virus, but mutation may occur during the RNA intermediate phase, and particularly since replication rates are high. Drug resistance mutants, for example, emerge rapidly (Pichoud *et al.* 2000). Many more data are required before we can assess the role of shape-changing v speed or even camouflage in persistence of these infections.

Given the highly active and sometimes highly focused

CD8+ T lymphocyte responses seen in acute HCV (Lechner *et al.* 2000c), and its propensity to persist, one might predict that escape mutation might occur most readily at this point; (this might in fact be the case for antibody responses in this infection, Farci *et al.* 2000). Such issues are not entirely academic as they have important impact on how one might design vaccines – if escape occurs very readily, strategies targeting multiple epitopes and multiple variants are essential.

The model of LCMV, although excellent for analysing factors which affect host-virus balance *in vivo*, is a poor one for understanding 'camouflage' based escape strategies. These have been primarily identified in low level persistence of larger more stable viruses. In the next section we describe a range of mechanisms which could interfere with host defence – induction, effector function or co-ordination. A better model for understanding the basis of this is murine cytomegalovirus (MCMV).

Example 2: MCMV

Camouflage MCMV is a cytopathic β -herpesvirus with a large double-stranded DNA genome of about 230 kb to encode an estimate of 170–200 viral proteins, all of which might be potential antigenic targets for the host's immune system. Replication is a rather slow (24–36 h) multistep process subdivided into immediate early (IE), early (E) and late (L) phase of gene expression and therefore 'speed' is no option for immune escape of CMV. Nevertheless, lifelong persistent infection without overt disease is the usual outcome of CMV-infection indicating the high degree of adaptation of the virus to the immune system of the host and vice versa due to millions of years of coevolution. Only in the rare event of immunosuppression is serious harm caused to the host. The size of the CMV genome provides the coding capacity for many proteins capable of modulating the host's immune response, instrumental in establishing this subtle balance (Mocarski 1996).

The major strategy of MCMV to persist is to hide from the immune system by latency. After productive primary infection has eventually been cleared by the immune system the viral genome is not eliminated but persists as episomal DNA. In latency, viral proteins, which are the

Table 3. Characteristics of LCMV escape

Camouflage	Not clearly important
Site	May persist in kidney
Shape changing	If CD8 + responses are highly focused Escape from B cells/CD4 cells if CTL fail
Speed	Leads to CTL exhaustion and high-level carriage

major target for the immune system, are not produced (or only to a very limited extent). From latent genomes productive replication and virus shedding can be reinitiated to allow virus transmission to a new host (Yu *et al.* 1995; Kurz & Reddehase 1999).

Primary MCMV-infection is controlled mainly by CD8⁺ T cells and NK cells, but complete termination of productive MCMV replication in salivary glands also requires CD4⁺ T cells (Reddehase *et al.* 1985; Shellam *et al.* 1981; Reddehase *et al.* 1987; Jonjic *et al.* 1989). Remarkably, not only resolution of productive infection but also maintenance of latency seems to be under immune control. Again CD8⁺ T cells have been shown to play a major role but CD4⁺ T cells, NK cells and antibodies contribute to the maintenance of MCMV-latency (Jonjic *et al.* 1994; Polic *et al.* 1998).

Escape from CD8⁺ T cell control Since CD8⁺ T cells seem to be major players in MCMV-control, it is not surprising that potent immune evasive mechanisms are directed against activation of CD8⁺ T cells. To date mainly mechanisms directed against the class I antigen presentation pathway have been identified – a set of mechanisms aimed at camouflage (Hengel *et al.* 1999). MHC I down-regulation occurs *in vitro* within a few hours after infection when E-genes of the virus start to be expressed. During the E-phase of viral replication *m152/gp37/40* expression leads to retention of newly formed MHC-peptide complexes in the ER-Golgi intermediate compartment (ERGIC) preventing them from reaching the cell surface (Ziegler *et al.* 1997). Deletion of *m152* from the viral genome had no effect on virus replication *in vitro*, whereas replication was significantly reduced *in vivo* due to enhanced virus clearance by CD8⁺ T cells demonstrating the effectiveness of this camouflage mechanism in the natural host (Krpmotic *et al.* 1999).

In addition, *m06/gp48*, which is expressed later in the E-phase than *m152/gp37/40* and during the L-phase of MCMV replication, has been shown to bind MHC class I/β2m in the ER and after transfer through the Golgi the complex is redirected to the endosomal/lysosomal compartment for degradation leading to further reduction of MHC I expression on the surface of MCMV-infected cells and to reduced surveillance by CD8⁺ T cells (Reusch *et al.* 1999).

Escape from NK cells The down-regulation of MHC I on the cell surface of MCMV infected cells should make these cells particularly vulnerable for NK cell mediated effector mechanisms recognizing the 'missing self'. Again, the virus has found appropriate answers to this challenge during evolution. *m144*, a MCMV-encoded

homologue of mouse MHC I, seems to serve as a decoy receptor for NK cells substituting the down-regulated MHC I molecule. Although direct evidence of *m144* expression on the cell surface of MCMV-infected cells and interaction of *m144* with inhibitory receptors on NK cells is still lacking, *m144*-deletion mutants were shown to replicate significantly less than wild type virus during the early stages of infection. This is due to enhanced NK cell control. Depletion of NK cells abrogated the attenuated phenotype of the mutant virus *in vivo* and, in addition, *m144* transfected RMA-S cells (MHC I deficient due to TAP-deficiency) were resistant to lysis by activated NK cells (Farrell *et al.* 1997; Cretney *et al.* 1999; Farrell *et al.* 1999).

Another MCMV protein, *m04/gp34*, which is expressed late in the E-phase of viral replication, was shown to bind to certain MHC I molecules in the ER. Thereafter, the complex is transported to the cell surface bypassing the effect of *m152/gp37/40*. It is speculated that the complexed MHC I molecules would thereby silence the host's NK cell response (Kleijnen *et al.* 1997).

Escape from CD4⁺ T cells Although CD4⁺ T cells are dispensable for resolution of systemic primary MCMV infection, they seem to have a unique role in the clearance of productive virus infection from the salivary glands and therefore they may limit the spread of the virus from host to host (Jonjic *et al.* 1989; Lucin *et al.* 1992). Recently, several mechanisms of MCMV that seem to interfere with activation of CD4⁺ T cells by macrophages have been identified. Infection of macrophages with MCMV leads to early production of IL-10 which down-regulates MHC class II expression in a paracrine fashion (Redpath *et al.* 1999). In addition, MCMV counteracts IFN-γ-induced up-regulation of MHC class II in macrophages (Heise *et al.* 1998a; Heise *et al.* 1998b).

Overall, MCMV seems to be a master in the art of biological camouflage to ensure persistent infection. However, as a cytopathic organism complete avoidance of host defence would lead to continuous replication with significant tissue destruction and this would not be beneficial for its own survival due to host mortality. Therefore, despite a whole range of immune evasive mechanisms the virus seems to allow an amount of immune control, which is optimal for its own survival.

Other examples of camouflage There are numerous other examples of viral interference with MHC Class I presentation to CD8 lymphocytes (Lalani *et al.* 2000). The adenovirus early protein E3/19K binds directly to MHC

Table 4. Escape mechanisms

Escape mechanisms	Genes responsible		
	<i>MCMV</i>	<i>HCMV</i>	Other examples
Restricted gene expression in latency			
Infection occurs at sites that are difficult to access by the immune response			
Escape from T cell recognition			
CD8+ T cells: interference with MHC	m152	US2, US3,	EBV: EBNA1
Class I Ag presentation pathway	m06	US6, US11	AV: E3/19K
			HSV: ICP47
CD4+ T cells: interference with MHC	m144	US2	EBV: BZLF2
Class II Ag presentation			
Escape from NK cell recognition			
Expression of a decoy Class I molecule	m04		
Controlled expression of defined peptide/Class I complexes		UL40	
Up-regulation of HLA-E			
Interference with the expression and	m129/	UL144	
Function of antiviral cytokines and cytokine receptors	m131	US27,US28	
TNF-R homology		UL146	
Viral chemokine receptors			
CXC chemokine agonist		UL111a	
CC chemokine agonist			
viral IL-10 homologue			EBV: BCRF1

AV: Adenovirus; EBV: Epstein-Barr-Virus; HCMV: Human cytomegalovirus; HSV: Herpes simplex virus; MCMV: Murine cytomegalovirus

class I molecules, inhibiting proper glycosylation and processing of the MHC Class I molecule, eventually leading to its decreased expression on the cell surface (Beier *et al.* 1994). Interestingly, this same molecule also binds to tapasin, and may have two sites of action to prevent Class I peptide expression (Bennett *et al.* 1999).

The herpesviridae utilize a range of different viral proteins to interfere with multiple steps in Class I presentation in order to escape T cell detection (further evidence that CD8+ T lymphocytes are major antiviral effectors for this group). HCMV has developed two major mechanisms to evade MHC class I dependent immune responses, using several genes in the *unique short* (US) region that is nonessential for *in vitro* propagation of the virus. From this gene family, four glycoproteins US2, US3, US6 and US11 are known to down-regulate MHC class I expression. US2 and US11 interfere with the MHC class I pathway immediately after translocation of the heavy chain into the lumen of the ER (Jones *et al.* 1996; Jones & Sun 1997). They relocate the glycosylated heavy chain by an unknown mechanism into the cytosol and consequently cause its proteasomal degradation. US3 uses a different strategy interfering with the MHC class I assembly pathway, perhaps through an indirect effect on transport of MHC class I molecules (Colberg-Poley 1996; Jones *et al.* 1996). Finally, US6 targets a different checkpoint in the MHC class I assembly pathway, namely the TAP transport machinery. Biochemical studies revealed that US6 is a glycoprotein that resides in the ER (Ahn *et al.* 1997). It inhibits peptide transport through the TAP pore

during late stages of infection through the inhibition of ATP binding to TAP1 (Hewitt *et al.* 2001).

Herpes simplex virus (HSV) inhibits TAP transport using the protein ICP-47. In contrast to US6, ICP-47 affects peptide binding to TAP (Hill *et al.* 1995; Goldsmith *et al.* 1998), since it is recognized by TAP in a manner similar to peptides. Once bound, ICP-47 prevents peptide binding further by inducing conformational changes in the TAP heterodimer (Tomazin *et al.* 1996). MHC class I molecules, which are not loaded with translocated peptide, are retained in a tapasin-dependent manner in the ER lumen. These are at later stages targets for cytosolic relocation and proteasomal degradation.

The above molecules illustrate examples of modification of maturation, assembly and export of MHC-peptide complexes (Fig. 3). Other herpesviruses employ strategies to interfere with antigen processing at an earlier stage. EBV nuclear antigen (EBNA 1) is the dominant antigen expressed in latent EBV infection, yet is poorly processed when expressed intracellularly (Levitsky *et al.* 1997). This is due to its C-terminus containing a long glycine-alanine repeat, which renders the protein indigestible to proteasomes (Levitskaya *et al.* 1995). Interestingly, although these were missed in earlier studies, responses to this protein do occur, but in this case through cross-presentation (i.e. uptake by an uninfected DC and presentation through the Class I pathway) (Ferlazzo *et al.* 2000; Subklewe *et al.* 2001).

As described above many viruses down-regulate MHC class I surface expression and therefore become

a target for NK cells. To escape CTL recognition and also circumvent NK detection, viruses have responded with exquisite subtlety. NK cells are normally under constant repression induced by NK inhibitory receptors (KIR) including those specific for HLA-C and HLA-E (Lanier 2001). When these receptors bind their ligand they transmit inhibitory signals which prevent lysis of the cell. In contrast, the majority of CTL recognition of peptides occur in the context of HLA-A and HLA-B proteins (Littau *et al.* 1991). HIV-1, for example selectively down-regulates HLA-B and HLA-A molecules which makes it invisible to CTL, but does not interfere significantly with HLA-C and HLA-E surface expression thus appearing to be unaffected when subjected to NK cell scrutiny (Cohen *et al.* 1999). Using a slightly different approach, HCMV encodes for UL40 protein that provides a peptide selectively required for HLA-E maturation and up-regulation. Infected cells preferentially express this Class I molecule and again appear 'normal' to NK cells.

Most viral escape mechanisms affecting Class II antigen presentation are indirect, affecting the responsiveness of the cell to exogenous factors which would lead to increased Class II expression rather than perturbing the intracellular pathways of synthesis or antigen loading. These mechanisms are discussed within the following virokines section. There are however, a number of viruses that may directly affect MHC class II expression (Fig. 4). One such example is EBV, which encodes a protein, BZLF2, that interferes directly with MHC class II expression. BZLF2 is a type II glycoprotein that is expressed at late timepoints of infection and binds to the HLA-DR heterodimer leading to its retention in the ER. Furthermore it has been shown that BZLF2 can be also transported to the cell surface when coexpressed with other EBV proteins, where it might facilitate viral infection of MHC class II positive cells (Spriggs *et al.* 1996). Another example is the US2 protein encoded by HCMV that targets DR and DM β -chains for proteasomal degradation (Tomazin *et al.* 1999). The HIV-1 regulatory protein nef has been shown to have a direct effect on CD4 cells. It becomes expressed on the surface of infected cells (Fujii *et al.* 1996) and leads to an increased susceptibility to apoptosis due to the reduction in the antiapoptotic proteins Bcl-2 and BCL-X (Rasola *et al.* 2001). Interestingly its presence on the surface of CD4 cells may also be responsible for viral persistence within long lived productively infected CD4 cells, as interactions with membrane TNF expressing macrophages reverses the nef triggered apoptosis of the CD4 cells (Mahlknecht *et al.* 2000).

Sabotage of immune responses – interference with cytokines and chemokines

MCMV encodes not only numerous host-derived genes, which it uses for camouflage, but also others utilized for sabotage. By sabotage in this context we imply synthesis of molecules designed to disrupt or manipulate host inflammatory/immune responses. This differs from camouflage, which is mainly a strategy concerned with invisibility. The prime targets for sabotage strategies are chemokines and cytokines, small secreted molecules that have potent effects on the activity of cells close by. Cells expressing specific receptors can be stimulated to drastically change their behaviour and in the case of chemoattractant cytokines stimulate migration towards their source. Given their central role in coordinating the complex network of cells involved in inflammatory responses to infectious agents, it is not surprising that many viruses have developed techniques to prevent and interfere with the biological activities of cytokines. We are currently aware of three different ways viruses twist immune responses to their advantage using the language of cytokines.

1) They may encode secreted cytokine homologues (vCks) or virokines that can act in an agonistic or antagonistic manner;

2) They may produce soluble chemokine scavengers known as cytokine binding proteins (vCkBs) that sequester free chemokines;

3) They may produce dummy or virally encoded cell-surface cytokine receptor homologues (vCkRs) which alter cell responsiveness to a cytokine. These receptors are known as viroceptors.

Secreted cytokine homologues (vCks) Several herpesviruses and poxviruses encode for vCks that have a striking homology with open reading frames (ORF) of human cytokines. Cytokines can be divided, in general into two functional groups, those that support inflammation and those that help the immune system to return into a quiescent state.

Proinflammatory cytokines, e.g. interleukin 1 (IL1) and tumour necrosis factor alpha (TNF α) support leucocyte activation and recruitment. Anti-inflammatory cytokines such as interleukin 10 (IL10) and transforming growth factor beta (TGF β) may inhibit major tissue damage. In the following paragraphs we will apply this functional division to the virally secreted cytokine homologues, aka virokines.

Proinflammatory vCks Herpesviruses express functional agonistic virokines for recruiting susceptible target cells

for infection. During the course of infection, murine cytomegalovirus (MCMV) expresses 2 soluble virokines called MCK-1 and 2 that act as CC chemoattractant cytokines, i.e. ligands for cells such as monocytes and macrophages (Saederup *et al.* 1999). MCMV expressing mutant MCK-1 or MCK2 resulted in a reduced inflammatory response and reduced monocyte-associated viremia. (Kapasi & Rice 1988; Fleming 1999; Saederup *et al.* 1999). Thus, the expression of these chemokines attracts cells that promote viral replication and dissemination. A similar example maybe the action of vCXC/UL146, a CXC chemokine agonist, in HCMV infection, which appears to recruit neutrophils to the site of infection as opposed to monocytes (Lalani *et al.* 2000; Penfold *et al.* 1999).

HIV-1 may also take advantage of the host defence system in a similar fashion. HIV-1 tat protein shows partial similarity with monocyte chemotactic protein (MCP), a potent chemoattractant for monocytes (Albini *et al.* 1998). Interestingly M-trophic HIV-1 infected cells release gp120 that acts as a chemoattractant for uninfected DCs (Lin *et al.* 2000). These few examples show how viruses are able to regulate leucocyte trafficking to the site of infection and therefore enhance the density of possible target cells for viral spread as well as potentially modulating the inflammatory response.

Anti-inflammatory vCks Analysis of the sequences of many herpesvirus and poxvirus genomes has also revealed viral open reading frames that encode for anti-inflammatory virokines. One clear example is vMCC-1, a virokinin expressed during Molluscum contagiosum virus (MCV) infection. MCV belongs to the family of poxviruses that induce benign skin papules in humans. An exacerbation of the disease is often seen in immunocompromised patients and children. VMCC-1 shows structural homology to CC chemokines but contains a N-terminal amino acid deletion at a site that is crucial for receptor activation. It exhibits highly specific binding to the CC chemokine receptor CCR8 (Luttichau *et al.* 2000) and therefore inhibits receptor signalling. *In vitro* studies using a recombinant vMCC-1 protein indicate interference with the response of inflammatory cells to chemokines without being chemoattractant itself. Consistent with this, MCV lesions are typically devoid of infiltration of leucocytes (Gottlieb & Myskowski 1994).

So far four viral IL10 homologues have been identified. IL10, a classical anti-inflammatory cytokine, has been shown recently to convert proinflammatory signalling receptors to anti-inflammatory decoy receptors and therefore functions as a regulatory cytokine

(Sozzani *et al.* 1998). HCMV encodes for a biological active IL10 (UL111a) which shows low sequence similarity to other vIL10 molecules (Kotenko *et al.* 2000). The BCRF1 gene of EBV exhibits 78% similarity with human IL10 (Hsu *et al.* 1990). This is potentially a very potent means of viral sabotage but *in vivo* evidence for its role is still sparse.

Cytokine binding proteins (vCkBP) There are other virally encoded proteins secreted in order to down modulate host immune responses. Viral infection of mammalian cells is accompanied by the induction of interferons, along with other cytokines (IL1, TNF α , IL6, IL8). Large DNA viruses often encode proteins whose function is to inactivate released cytokines or chemokines. The Molluscum contagiosum virus (MCV) described above encodes 2 genes, MC53L and MC54L, which have high homology with human IL-18 binding proteins, and which bind IL18 *in vitro* (Xiang & Moss 1999). Other viruses such as the ectromelia and cowpox viruses also encode IL18 binding proteins (Calderara *et al.* 2001). All of these potentially interfere with a critical action of IL18 in pro-inflammatory immune responses.

Ironically there are many examples of host-encoded soluble cytokine receptors, products of alternate splicing (Mosley *et al.* 1989) or produced by cleavage from the cell surface (Nophar *et al.* 1990), whose purpose is believed to down-regulate an active immune response as an infection is resolved or to extend the half life of a cytokine (Mohler *et al.* 1993). Viruses may well have simply exploited these mechanisms for their own advantage.

Conclusions

In this review we have explored the mechanisms of viral persistence in the face of host immunity based on a simple model. Some viruses follow the LCMV pattern and may escape through a combination of speed and shape-changing, whilst others may follow the MCMV/herpes family pattern and escape through camouflage or sabotage. The former is an attractive route for smaller, mutable genomes, while the latter is clearly exemplified by larger pathogens, which have stolen cDNAs from their hosts in many cases. (Both sets of viruses may also use 'site' to evade, persist and spread; Fig. 1). The method of acquisition of these cDNAs in human pathogens is not always clear, but generally, endogenous reverse transcriptases may play a role in this process. One might predict that the even larger pathogens (intracellular bacteria, mycobacteria and

yeasts) will be found to exploit similar stealth strategies, although the evolution of their genes may be different.

One of the main issues in this area is defining which of the phenomena are biologically relevant. This relies on an understanding not just of the biochemical processes involved in antigen processing or T cell recognition, but also on the physiology and anatomy of complex host immune responses. It is very easy to identify *in vitro* phenomena that influence T cell recognition, but often it is very difficult to demonstrate that such phenomena play an important role *in vivo*. Partly this may be because some effects are simply quantitative advantages in viral survival, which only become manifest over long periods in the host. This differs from, for example, drug escape strategies, which result from very intense selective pressures. The effect of the local environment, e.g. within salivary glands on the fine balance between host and pathogen requires more investigation.

More work needs to be done using *ex vivo* immune responses in human disease, and relevant *in vivo* animal models to define the relevance of viral escape strategies and how they contribute to viral persistence. We can feel confident, however, that such work will reveal not only details of any given viral life cycle, but the biologically important functions of the antiviral immune response.

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