

# Impact of Diabetes Susceptibility Loci on Progression From Pre-Diabetes to Diabetes in At-Risk Individuals of the Diabetes Prevention Trial–Type 1 (DPT-1)

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**OBJECTIVE**—The unfolding of type 1 diabetes involves a number of steps: defective immunological tolerance, priming of anti-islet autoimmunity, and destruction of insulin-producing  $\beta$ -cells. A number of genetic loci contribute to susceptibility to type 1 diabetes, but it is unclear which stages of the disease are influenced by the different loci. Here, we analyzed the frequency of type 1 diabetes–risk alleles among individuals from the Diabetes Prevention Trial–Type 1 (DPT-1) clinical trial, which tested a preventive effect of insulin in at-risk relatives of diabetic individuals, all of which presented with autoimmune manifestations but only one-third of which eventually progressed to diabetes.

**RESEARCH DESIGN AND METHODS**—In this study, 708 individuals randomized into DPT-1 were genotyped for 37 single nucleotide polymorphisms in diabetes susceptibility loci.

**RESULTS**—Susceptibility alleles at loci expected to influence immunoregulation (*PTPN22*, *CTLA4*, and *IL2RA*) did not differ between progressors and nonprogressors but were elevated in both groups relative to general population frequencies, as was the *INS* promoter variant. In contrast, *HLA DQB1\*0302* and *DQB1\*0301* differed significantly in progressors versus nonprogressors (DQB\*0302, 42.6 vs. 34.7%,  $P = 0.0047$ ; DQB\*0301, 8.6 vs. 14.3%,  $P = 0.0026$ ). Multivariate analysis of the factors contributing to progression demonstrated that initial titers of anti-insulin autoantibodies (IAAs) could account for some ( $P = 0.0016$ ) but not all of this effect on progression ( $P = 0.00038$  for the independent effect of the number of DQB\*0302 alleles). The *INS-23* genotype was most strongly associated with anti-IAAs (median IAA levels in TT individuals, 60 nU/ml; AT, 121; and AA, 192;  $P = 0.000037$ ) and only suggestively to the outcome of oral insulin administration.

**CONCLUSIONS**—With the exception of *HLA*, most susceptibility loci tested condition the risk of autoimmunity rather than the risk of failed immunoregulation that results in islet destruction. Future clinical trials might consider genotyping *INS-23* in addition to *HLA* alleles as disease/treatment response modifier.

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**T**ype 1 diabetes is an autoimmune disease characterized by destruction of the insulin-producing  $\beta$ -cells in the pancreatic islets. Although its etiology is not yet understood, strong genetic and environmental components appear to modulate individual disease susceptibility in patients and in animal models (1). The major histocompatibility complex (MHC) is the primary genetic determinant of susceptibility to type 1 diabetes in human patients and in the NOD mouse model (2). In addition, numerous genetic studies in humans and mice have led to the description of additional susceptibility loci (*IDDM* and *Idd*, respectively), for which the causative genes have yet to be fully defined in most cases. The best evidence exists for polymorphisms in the promoter region of the *Insulin* gene, which may impact ectopic expression of this locus in the thymus and thereby modulate immunological tolerance through clonal deletion of autoreactive thymocytes (3,4). More recently, polymorphisms in *PTPN22* and *CTLA4*, two genes key in the fine-tuning of immune responses, have been associated with type 1 diabetes (5,6). Several other susceptibility loci have recently been described in genome-wide association studies performed in large cohorts (7–9). Although their identity, biological significance, and functional impact remain to be elucidated, some of these susceptibility loci appear to be shared across several autoimmune diseases, suggesting the existence of common regulatory steps whose dysfunction may lead to autoimmunity (e.g., *PTPN22* is associated with Graves' thyroiditis, type 1 diabetes, and Rheumatoid Arthritis, among others).

This complex genetic determinism matches the multiple steps and checkpoints involved in the pathogenesis of type 1 diabetes. A likely first step is the defective induction of tolerance to self-antigens in immature thymocytes, as demonstrated in the NOD mouse model (10–12) and suggested by the impact of *INS* promoter polymorphisms in human patients (3,4). A second phase involves activation of autoreactive cells in the periphery, followed by lymphocytic infiltration of pancreatic islets and the production of autoantibodies. Although clinically silent, metabolic studies can demonstrate impaired insulin secretion or altered first-phase insulin release after intravenous glucose challenge (IVGTT) and a flattening of the physiological increase in C-peptide with age (13,14).

This prodromic phase can persist for long periods of time in mice and in humans; many such pre-diabetic individuals may never progress to overt diabetes. In the final disease stage, the insulinitic infiltration results in massive destruction/functional incapacitation of  $\beta$ -cells, culminating in loss of glycemic control. In animal models, several factors appear to impact on these checkpoints, in

particular the timing of self-antigen availability for presentation in the pancreatic lymph nodes, the functionality of regulatory T-cells, and infectious or related environmental challenges (rev. in 15,16). In such models, loci that affect the breakdown of immunological tolerance could be distinguished from others that control later steps of immunoregulation or the aggressivity of the attack on the islets (10,11,17,18). Whether such checkpoints occur in humans is unknown, although the long prodromic phase that precedes onset in at-risk individuals suggests the existence of similar immunological and genetic steps.

The notion of checkpoints controlled by different mediators raised the hope that pre-diabetic individuals might be prevented from developing full-blown diabetes by reestablishing tolerance and halting the autoimmune process, allowing islet regeneration to take place naturally. The Diabetes Prevention Trial–Type 1 (DPT-1) was set-up with the specific goal of identifying anti-islet cytoplasmic antibody–positive (ICA<sup>+</sup>) first-degree relatives of type 1 diabetic patients at risk for developing type 1 diabetes themselves and treating them with daily low-dose subcutaneous and yearly 4-day intravenous insulin (parenteral insulin trial) or oral insulin to prevent loss of glycemic control (19). This study was based on results from NOD mice (although the protocol used was very different) and small pilot studies in human patients (20–23). Neither the parenteral nor the oral insulin treatments resulted in significant modification of diabetes incidence, although post hoc analysis suggested a slight treatment effect in the subgroup with the highest insulin autoantibody (IAA) titers at baseline (19,24). In the context of this trial, a large amount of high-quality longitudinal data was collected, representing a unique opportunity to study the genetic factors underlying progression to overt diabetes in antibody-positive individuals.

The primary goal of the present study was to gauge the contribution of type 1 diabetes susceptibility loci to the transition to full-blown diabetes and thus to elucidate which stage of the disease is impinged on by such loci. We genotyped single nucleotide polymorphisms (SNPs) in a number of known or putative type 1 diabetes susceptibility loci and also reconsidered the HLA data (19) from the particular angle of distinguishing progressor from non-progressor individuals. Beyond the implications for our understanding of the pathogenic processes in type 1 diabetes, this distinction could have direct clinical consequences, by allowing a more accurate definition of the risk of progression in pre-diabetic individuals. Improved prediction would facilitate the design of prevention trials, and/or define individuals at particularly high risk for whom more aggressive therapeutic regimens might be warranted.

## RESEARCH DESIGN AND METHODS

**Identification and randomization of subjects.** This study is based on the data published by the DPT-1 Study Group (19) and Skyler et al. (24). Briefly, relatives of type 1 diabetic patients were screened for ICAs. In case of a positive result, IAAs,  $\beta$ -cell function, glucose tolerance status, and HLA genotype were determined. ICA<sup>+</sup> and IAA<sup>+</sup> individuals with preserved islet function without HLA DQB1\*0602 alleles were deemed to be at intermediate risk and eligible for randomization to oral insulin or placebo, whereas similar individuals with an abnormal response to IVGTT were considered high risk and were randomized in the parenteral insulin trial (intravenous and subcutaneous).

In this study, all demographic, clinical, biochemical, and HLA data were obtained from the original study database after de-identification of the subjects (the 30 April 2003 release of the clinical and phenotypic data, with antibody data from the 1 November 2004 release). Allele frequencies of

parents of type 1 diabetic patients were retrieved from the Type 1 Diabetes Genetic Consortium (T1DGC) study (25).

**SNP typing.** Type 1 diabetes susceptibility SNPs were chosen based on the available literature (5,7–9,26–32; V.B., C.C., D.M., and C.B., unpublished data). Starting from amplified genomic DNA provided by the National Institute of Diabetes and Digestive and Kidney Diseases (NIDDK) Central Repository, SNPs were genotyped with fluorogenic allele-discrimination chemistry, as described previously (11). Primers and probes are described in Supplementary Table 1 (available in an online appendix at <http://dx.doi.org/10.2337/db07-1736>).

**Statistical analyses.** Categorical variables were analyzed by the  $\chi^2$  test or Fisher's exact test if the counts were less than five in one of the cells. Survival differences among groups were assessed by the log-rank test. Cox proportional hazard modeling was applied to multivariate analysis of survival parameters. Kaplan-Meier survival probability function was used to plot risk of diabetes onset among subgroups. Impact of SNP genotype classes on IAA levels was assessed by linear regression on log-transformed initial IAA values. Data were analyzed with the survival package in the R statistical environment (<http://cran.r-project.org>). Because most showed no significance, *P* values reported here are not corrected for multiple sampling; those tests showing potential significance were reconsidered with a simple Bonferroni correction, based on the number of SNPs or alleles tested (for HLA alleles, we only corrected for frequent alleles or haplotypes, ignoring those present in <10 individuals). DPT-1 parental HLA allele frequencies were estimated based on published transmission disequilibrium of HLA alleles to type 1 diabetes probands (33–35), according to the following formula:  $\text{ProAF} = \text{ParAF}^2 + [\text{ParAF} \times (1 - \text{ParAF}) \times (\% \text{transmission to probands}/50\%)]$ , where ProAF and ParAF are the proband and parental allele frequencies, respectively. Hazard ratios for *INS*-23 genotype subsets were computed by Cox proportional modeling. Subgroups of individuals showing maximal treatment efficacy were identified by computing survival differences across individual subsets ranked according to the distribution of log-transformed initial IAA quantiles.

**Haplotype reconstruction.** *CTLA4* haplotypes were reconstructed using PHASE2.1 (36) and pooling data from progressors and nonprogressors. The algorithm was run according to the authors' recommended procedure, and the output was checked for consistency with different seeds for random numbers generation.

## RESULTS

The goal of this study was to test whether any of the loci so far associated with susceptibility to type 1 diabetes might condition progression from the pre-diabetic to the diabetic state, as opposed to influencing the autoimmune deviation that results in pre-diabetes. To that end, DNA samples and full clinical information were obtained from individuals randomized into the DPT-1 study. As described previously (19), >100,000 relatives of type 1 diabetic patients were screened for autoantibodies (ICA test), identifying 3,483 positive individuals (Supplementary Fig. 1). To be further considered in the study, ICA<sup>+</sup> individuals had to lack protective HLA-DQA1\*0102/DQB1\*0602 alleles. Individuals with an abnormal IVGTT or insulin-release assay were eligible for randomization into the high-risk/parenteral insulin group, whereas subjects with high IAA titers and preserved IVGTT/oral glucose tolerance test were eligible for the medium-risk oral insulin trial. Four hundred eighteen high-risk and 388 medium-risk individuals were identified, who were randomized between the arms of the study and for whom excellent follow-up was performed during the years of the DPT-1 trial. Of these, 258 individuals progressed to clinical diabetes during the follow-up period. The age distribution (Supplementary Fig. 2) showed the existence of one group of individuals ( $n = 638$ ) whose mean age at screening was 3,461 days (9.5 years, range 372–9,628 days) and a second group ( $n = 70$ ) with a mean age at randomization of 13,597 days (37.3 years, range 10,075–16,340 days), leading to a bimodal distribution (goodness-of-fit to normality by Kolmogorov-Smirnov  $P = 10^{-70}$ ). More importantly, the incidence of progression for the individuals screened after

TABLE 1  
Allele frequencies in DPT-1 participants and comparative cohorts

Chromosome	Gene name	Polymorphism	Alleles		rs number	Allele counts 0/1/2	
			0	1		Nonprogressor	Progressor
6	<i>Lymphotoxin-α</i>	+10	G	A	rs1800683	137/173/39	115/95/17
11	<i>Insulin</i>	-23 HphI	T	A	rs689	16/109/221	7/63/153
1	<i>PTPN22</i>	R620W	C	T	rs2476601	253/86/9	155/66/6
10	<i>IL-2 Ra (CD25)</i>	Intron 1	G	A	rs706778	106/175/64	57/124/45
10	<i>IL-2 Ra (CD25)</i>	Intron 1	T	C	rs3118470	145/160/41	85/115/26
10	<i>IL-2 Ra (CD25)</i>	IL-2 Ra region	C	A	rs41295061	307/36/5	194/28/1
10	<i>IL-2 Ra (CD25)</i>	IL-2 Ra region	T	A	rs11594656	204/119/20	141/67/13
2	<i>IFIH1</i>	A946T	C	T	rs1990760	43/139/143	25/104/93
1	<i>Fc receptor-like 3</i>	Prom -169	A	G	rs7528684	99/174/67	74/107/42
6	<i>C6orf118</i>	M256I	C	A	rs510579	144/158/42	88/111/25
5	<i>CAPSL</i>	R75Q	A	G	rs1445898	55/184/94	41/103/68
2	<i>CTLA4</i>	Prom -1577	G	A	rs11571316	129/176/42	90/102/32
2	<i>CTLA4</i>	Prom -318	T	C	rs5742909	0/60/278	2/34/188
2	<i>CTLA4</i>	T49A	G	A	rs231775	57/175/111	43/115/66
2	<i>CTLA4</i>	3'UTR 6230 (CT60)	G	A	rs3087243	117/181/48	84/105/35
2	<i>CTLA4</i>	JO31	C	A	rs11571302	101/176/47	74/108/38
2	<i>CD28</i>	Intron 1	C	T	rs10932017	74/182/90	43/116/64
2	<i>CD28</i>	Intron 1	A	T	rs2013278	39/145/148	25/98/97
2	<i>CD28</i>	3'UTR	G	T	rs3181113	313/35/0	211/11/1
2	<i>CD28</i>	3'UTR	T	A	rs11681201	22/117/210	12/87/128
2	<i>ICOS</i>	Prom -1817	T	C	rs4452124	263/76/5	182/38/2
2	<i>ICOS</i>	Intron 1	C	T	rs4335928	0/79/269	4/52/170
2	<i>ICOS</i>	Intron 1	C	T	rs4675377	20/146/179	13/92/121
12	<i>VDR</i>	BsmI Intron3	T	C	rs1544410	142/146/56	86/99/38
4	<i>TLR2</i>	S450S	T	C	rs3804100	302/38/1	197/25/2
19	<i>KIR 2DS3</i>		Abs	Pres		252/80/0	158/60/0
10	<i>TCF7L2</i>	Intron 3	C	T	rs7903146	182/134/31	115/90/21
8	<i>SLC30A8</i>	R325W	C	T	rs13266634	187/131/30	116/86/24
10	<i>HHEX</i>	HHEX region	A	G	rs7923837	48/160/136	33/112/81
10	<i>HHEX</i>	HHEX region	G	A	rs1111875	115/179/54	73/108/45
11	<i>LOC387761</i>	Intron 5	G	A	rs7480010	189/139/21	115/92/19
11	<i>EXT2</i>	Intron 14	A	G	rs3740878	200/124/21	119/96/11
12	<i>ERBB2</i>	Intron 7	A	C	rs2292239	46/191/109	35/100/90
18	<i>PTPN2</i>	Intron 7	T	C	rs1893217	236/101/8	149/62/14
12	<i>SH2B3</i>	Exon 3	C	T	rs3184504	85/168/89	54/111/58
12	<i>C12orf30</i>	Intron 15	A	G	rs17696736	99/174/72	72/105/47
16	<i>CLEC16A</i>	Intron 19	A	G	rs12708716	160/147/33	100/90/30

\*Allele frequencies from the Diabetes in Adolescents and the Very Young (DAVY) study (31). †Allele frequency in Koreans. Abs, absent; MAF, minor allele frequency; Pres, present.

10,000 days of age was distinctly lower (14 vs. 39%). Thus, we did not include this subgroup to avoid diluting true genetic effects. The remaining group of 638 individuals included in our studies encompassed 485 children screened at age <4,500 days (12.3 years, 76%). In the 258 individuals who progressed to type 1 diabetes, the median time from screening to diagnosis of type 1 diabetes was 3.7 years (5–95%, 1.2–7 years).

**Non-HLA diabetes susceptibility loci and progression.** We first addressed the impact of convincingly associated, non-HLA type 1 diabetes susceptibility polymorphisms on diabetes progressor/nonprogressor outcome. These included the *INS-23* promoter polymorphism, the *PTPN22* R620W coding-region change, and several 3'-untranslated and intronic polymorphisms in the *CTLA4* costimulatory gene (the CT60 marker and other SNPs, because the causal polymorphism in the costimulatory region remains in question). We also genotyped a number of variants for which the evidence is more recent or less substantially replicated, including some discovered in the context of genome-wide association studies (acknowledging that the low effect of these recently

described variants would likely make the present cohort underpowered) (5,7–9,26–32,37).

These SNPs were genotyped using fluorogenic PCR with a success rate of 98.3% (96% of individuals with 93% or more genotyping success and 100% concordance rate on repeated genotyping of a handful of markers in all individuals). Because some of these markers have shown strong population differentiation (e.g., the absence of *PTPN22* R620W T in Asian populations), we restricted our analyses to Caucasian individuals screened at <10,000 days ( $n = 575$ ), who constitute the majority of subjects recruited into DPT-1. As depicted in Table 1, no polymorphism showed a significant difference between DPT-1 progressors and non-progressors, with the exception of a SNP in Lymphotoxin- $\alpha$ , likely reflecting HLA haplotypes (see below). For a number of SNPs, the allele frequencies in the progressors and in the nonprogressor groups matched well those usually reported in type 1 diabetic patients. For example, the *PTPN22* R620W T variant is present in ~8% of nonaffected U.S. Caucasian populations, 15–20% of type 1 diabetic patients, and 15 and 17% of nonprogressor and



TABLE 1  
Continued

MAF		OR (95% CI)	P value	Control subjects MAF			Case subjects MAF	
Nonprogressor	Progressor			Lit.	HapMap CEU	DCGS	Lit.	DCGS
0.36	0.28	1.41 (1.1–1.83)	9.4E–03	0.36		0.34		0.44
0.20	0.17	1.23 (0.9–1.67)	0.22	0.32	0.24	0.28	0.12	0.18
0.15	0.17	1.18 (0.86–1.63)	0.35	0.06–0.15	0.14	0.08	0.15–0.17	0.19
0.44	0.47	1.15 (0.91–1.46)	0.28	0.04–0.45	0.45	0.39*	0.45	0.48*
0.35	0.37	1.09 (0.8–1.39)	0.54	0.32–0.34	0.29	0.25*	0.36	0.38*
0.07	0.07	1.02 (0.63–1.64)	0.96	0.11			0.07	
0.23	0.21	1.13 (0.85–1.51)	0.44	0.25	0.21		0.22	
0.35	0.35	1.00 (0.78–1.29)	0.97	0.39	0.39		0.35	
0.45	0.43	1.11 (0.87–1.41)	0.45	0.45	0.44	0.49		0.44
0.35	0.36	1.03 (0.81–1.33)	0.84	0.35		0.36		0.38
0.44	0.44	1.02 (0.8–1.31)	0.92	0.44	0.39		0.41	
0.37	0.37	1.02 (0.8–1.3)	0.94	0.42	0.47	0.46	0.35	0.42
0.09	0.08	1.05 (0.69–1.61)	0.90	0.11	0.06	0.06	0.09	0.08
0.42	0.45	1.12 (0.88–1.42)	0.40	0.43	0.38	0.38	0.29	0.42
0.40	0.39	1.04 (0.82–1.33)	0.79	0.48	0.46	0.47	0.37	0.43
0.42	0.42	1.01 (0.79–1.29)	0.99	0.50		0.49	0.39	0.47
0.48	0.45	1.10 (0.87–1.4)	0.47	0.44	0.42	0.43		0.45
0.34	0.34	1.00 (0.78–1.29)	0.96	0.36		0.23		0.26
0.05	0.03	1.76 (0.92–3.37)	0.11	0.03	0.03	0.04		0.02
0.23	0.24	1.08 (0.82–1.42)	0.64	0.13	0.20	0.15		0.18
0.13	0.09	1.37 (0.93–2.02)	0.14	0.08	0.06	0.08		0.07
0.11	0.13	1.20 (0.84–1.71)	0.38	0.11	0.10	0.10	0.13	0.12
0.27	0.26	1.04 (0.8–1.37)	0.80	0.17	0.18	0.22		0.26
0.38	0.39	1.08 (0.84–1.37)	0.60	0.12–0.25	0.44	0.38	0.12–0.23	0.38
0.06	0.06	1.11 (0.68–1.82)	0.77	0.41†	0.05	0.07	0.39†	0.07
0.12	0.14	1.16 (0.81–1.67)	0.46	0.38	0.38	0.32	0.21	0.41
0.28	0.29	1.05 (0.81–1.36)	0.78	0.29	0.25	0.31	0.41	0.30
0.27	0.30	1.11 (0.86–1.45)	0.46	0.30	0.25	0.29	0.25	0.31
0.37	0.39	1.10 (0.86–1.4)	0.50	0.38	0.38	0.37	0.34	0.40
0.41	0.44	1.11 (0.87–1.41)	0.42	0.40	0.44	0.39	0.36	0.41
0.26	0.29	1.15 (0.88–1.5)	0.32	0.30	0.25	0.30	0.34	0.32
0.24	0.26	1.12 (0.85–1.47)	0.48	0.27	0.30	0.27	0.24	0.27
0.41	0.38	1.14 (0.89–1.45)	0.32	0.36	0.30		0.41	
0.17	0.20	1.22 (0.9–1.66)	0.22	0.17	0.19		0.21	
0.49	0.49	1.01 (0.8–1.29)	0.97	0.49	0.41		0.56	
0.46	0.44	1.07 (0.84–1.36)	0.62	0.43	0.35		0.49	
0.31	0.34	1.13 (0.88–1.46)	0.37	0.35	0.29		0.31	

progressor DPT-1 individuals, respectively. A similar distribution was found for the *INS-23* A variant (unaffected, 24–32%; type 1 diabetes, 12–18%; nonprogressor, 20%; and progressor, 17%) and for *CTLA4* CT60 G (unaffected, 52%; type 1 diabetes, 57–63%; nonprogressor, 60%; and progressor, 61%).

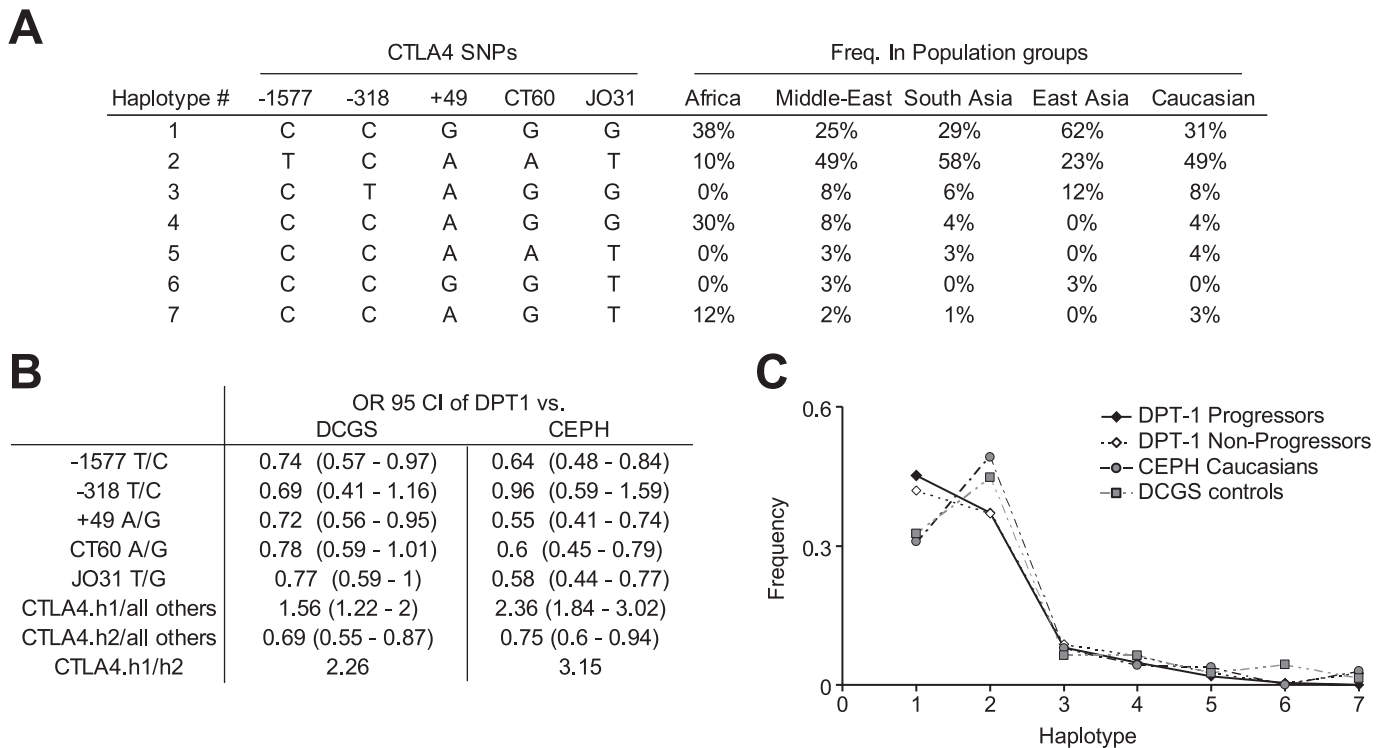
Recently described polymorphisms in the *TCF7L2*, *HHEX*, and *SLC30A8* loci that predispose to type 2 diabetes (38) were also tested, because islet dysfunction could precipitate diabetes; no difference in these markers was observed between progressors and nonprogressors (Table 1).

Skewed allele frequencies were observed across most *CTLA4* SNPs, in addition to CT60, and in the neighboring *CD28* and *ICOS* genes. Building on a recent population genetics study of the *CD28* costimulatory locus (28), we computationally reconstructed haplotypes across *CTLA4* in progressor and nonprogressor individuals. As depicted in Fig. 1, the CTLA4.h1 haplotype carries all high-risk *CTLA4* alleles (CT60 G, +49 G, JO31 G), whereas CTLA4.h2 regroups most low-risk alleles and is part of a very homogeneous extended haplotype that spans the whole costimulatory locus from *CD28* to the *ICOS* pro-

moter region (28). Among DPT-1 individuals, CTLA4.h1 haplotype was enriched in progressors and in nonprogressors, leading to an inversion of the h1-to-h2 frequency ratio relative to the general population (Fig. 1B). CTLA4.h2 appeared to be more underrepresented in DPT-1 subjects compared with a U.S. Caucasian control cohort (DCGS) than any of its individual allelic SNP components (Fig. 1B). Similarly, a recent study of the costimulatory locus in patients with celiac disease showed that extended haplotypes in the region demonstrated stronger association with disease susceptibility than individual SNPs (39).

Thus, these results suggest that the strongest non-HLA susceptibility alleles impact type 1 diabetes pathogenesis at an early stage, conditioning whether tolerance is broken and autoimmunity sets in, but have less or no influence on the course of disease and the probability that this autoimmunity will lead to terminal  $\beta$ -cell destruction.

**HLA.** Class II genes at the HLA locus represent the strongest genetic determinant of type 1 diabetes susceptibility (1,2). We chose to analyze the distribution of HLA alleles and their combinations in a stepwise fashion, to avoid dilution of effect by multiple genotypic combinations. Tables 2 and 3 represents the distribution of HLA-



**FIG. 1.** *CTLA4* haplotype representation in DPT-1 individuals. **A:** Allelic composition of major *CTLA4* haplotypes computationally reconstructed in DPT-1 individuals. For reference, corresponding frequencies in various population groups are indicated (CEPH-HGDP DNA panel [28]). **B:** Skewing (OR) of *CTLA4* SNPs/haplotype frequency in DPT-1 individuals when compared with ethnicity-matched control cohorts. **C:** Frequencies of major *CTLA4* haplotypes in DPT-1 and control cohorts.

DQB alleles among 638 individuals randomized into the DPT-1 trial (association with single DQA alleles showed no strong signal by themselves or only that expected from their linkage disequilibrium to DQB alleles; see below). Because DPT-1 was based on first-degree relatives of type 1 diabetic patients, allele frequencies in such families were bound to be enriched in susceptibility alleles, thus precluding the use of frequency data in healthy control subjects as a comparator. Thus, we inferred allele frequencies in the parental population of DPT-1 individuals based on the HLA allele frequencies of progressors (i.e., type 1 diabetic patients) and the known transmission disequilibrium biases of alleles to type 1 diabetes probands (33–35). As an additional comparison, parental data from the T1DGC were retrieved (25). In comparison with these frequencies, which represent a null-hypothesis baseline for no association, a strong enrichment for DQB1\*0201

was observed in progressors and in nonprogressor individuals, with a weaker trend in DQB\*0302. The frequency of \*0201 alleles was the same in both groups, but DQB1\*0302 was enriched in progressors relative to non-progressors (progressor 42.6% vs. nonprogressor 34.7%; odds ratio [OR] 1.39;  $P = 0.0047$  or  $P \sim 0.042$  after correcting for multiple sampling). The reverse held true for DQB1\*0301, as this protective allele was significantly less frequent in progressors than in nonprogressors (progressor 8.6% vs. nonprogressor 14.3%; OR 0.57;  $P = 0.0026$ , corrected  $P \sim 0.023$ ). None of the other alleles appeared differentially represented in progressors versus non-progressors, with frequencies comparable with that of the parental population. These results suggest that type 1 diabetes susceptibility loci in the MHC can impact several levels of the disease process. Not only can they increase the probability of autoimmunity, but some haplotypes also

**TABLE 2**  
HLA DQ $\beta$  alleles and progression to diabetes

DQ $\beta$ Alleles	N		%		Parental frequency			OR (95% CI)*	Median (y)†
	Progressor	Nonprogressor	Progressor	Nonprogressor	T1DGC	DPT-1	P value*		
0201	158	245	31.7	31.5	23.2	22.1	0.93	1.01 (0.79–1.29)	12.3
0301	43	111	8.6	14.3	13	13.7	2.59E–03	0.57 (0.39–0.82)	11.9
0302	212	270	42.6	34.7	32.8	28.7	4.70E–03	1.39 (1.11–1.76)	12.6
0303	4	9	0.8	1.2	3.5	1.4	0.54	0.69 (0.21–2.26)	13.1
0402	11	15	2.2	1.9	1.9	2.4	0.73	1.15 (0.52–2.52)	11.2
0501	33	54	6.6	6.9	7.1	7.3	0.83	0.95 (0.61–1.49)	13.1
0502	5	10	1.0	1.3	1.1	—	0.65	0.78 (0.26–2.29)	6.7
0603	11	19	2.2	2.4	3.1	6.5	0.79	0.9 (0.43–1.91)	12.0
0604	16	27	3.2	3.5	4.7	2.6	0.80	0.92 (0.49–1.73)	16.6

\*For progressor versus nonprogressor comparisons. †Age of onset in progressors.

TABLE 3  
HLA DQ $\beta$  alleles and progression to diabetes among 0302-, 0301-, or 0201-positive individuals

DQ $\beta$ Alleles	N		%		P value*	OR (95% CI)*	Median (y) <sup>†</sup>	Survival P value
	Progressor	Nonprogressor	Progressor	Nonprogressor				
0302/0201	87	121	48.1%	50.6%	0.60	0.9 (0.61–1.33)	12.07	0.27
0302/0301	21	30	11.6%	12.6%	0.77	0.91 (0.5–1.66)	11.77	0.93
0302/0302	31	31	17.1%	13.0%	0.72	1.39 (0.81–2.38)	13.05	0.05
0302/0402	6	5	3.3%	2.1%	0.44	1.6 (0.48–5.34)	11.04	0.38
0302/0501	18	17	9.9%	7.1%	0.30	1.44 (0.72–2.88)	12.89	0.72
0302/0603	6	11	3.3%	4.6%	0.51	0.71 (0.26–1.96)	11.85	0.74
0302/0604	5	11	2.8%	4.6%	0.33	0.59 (0.2–1.73)	16.36	0.55
0301/0201	5	36	12.5%	35.6%	6.4E–03	0.26 (0.09–0.72)	14.16	5.5E–03
0301/0301	3	10	7.5%	9.9%	0.65	0.73 (0.19–2.84)	11.57	0.58
0301/0501	4	11	10.0%	10.9%	0.88	0.91 (0.27–3.04)	10.72	0.69
0301/0604	5	6	12.5%	5.9%	0.19	2.26 (0.65–7.88)	11.36	0.41
0301/0302	21	30	52.5%	29.7%	0.01	2.62 (1.23–5.56)	11.77	2.8E–03
0201/0201	20	25	14.6%	11.4%	0.38	1.33 (0.71–2.51)	11.23	0.13
0201/0301	5	36	3.6%	16.4%	2.5E–04	0.19 (0.07–0.51)	14.16	2.3E–04
0201/0302	87	121	63.5%	55.0%	0.11	1.42 (0.92–2.21)	12.07	0.29
0201/0402	4	7	2.9%	3.2%	0.89	0.92 (0.26–3.19)	13.71	0.74
0201/0501	8	6	5.8%	2.7%	0.14	2.21 (0.75–6.52)	10.38	0.17
0201/0603	4	3	2.9%	1.4%	0.30	2.18 (0.48–9.87)	12.71	0.50
0201/0604	4	8	2.9%	3.6%	0.71	0.8 (0.24–2.7)	14.09	0.95

\*For progressor versus nonprogressor comparisons within a given HLA-DQB positive group. <sup>†</sup>Age of onset in progressors.

appear to condition the chance of progression from pre-diabetes to diabetes.

We also performed log-rank survival analyses to com-

pare the kinetics of progression to type 1 diabetes of individuals carrying different HLA alleles. As seen in Fig. 2A, the time-to-type 1 diabetes onset was markedly

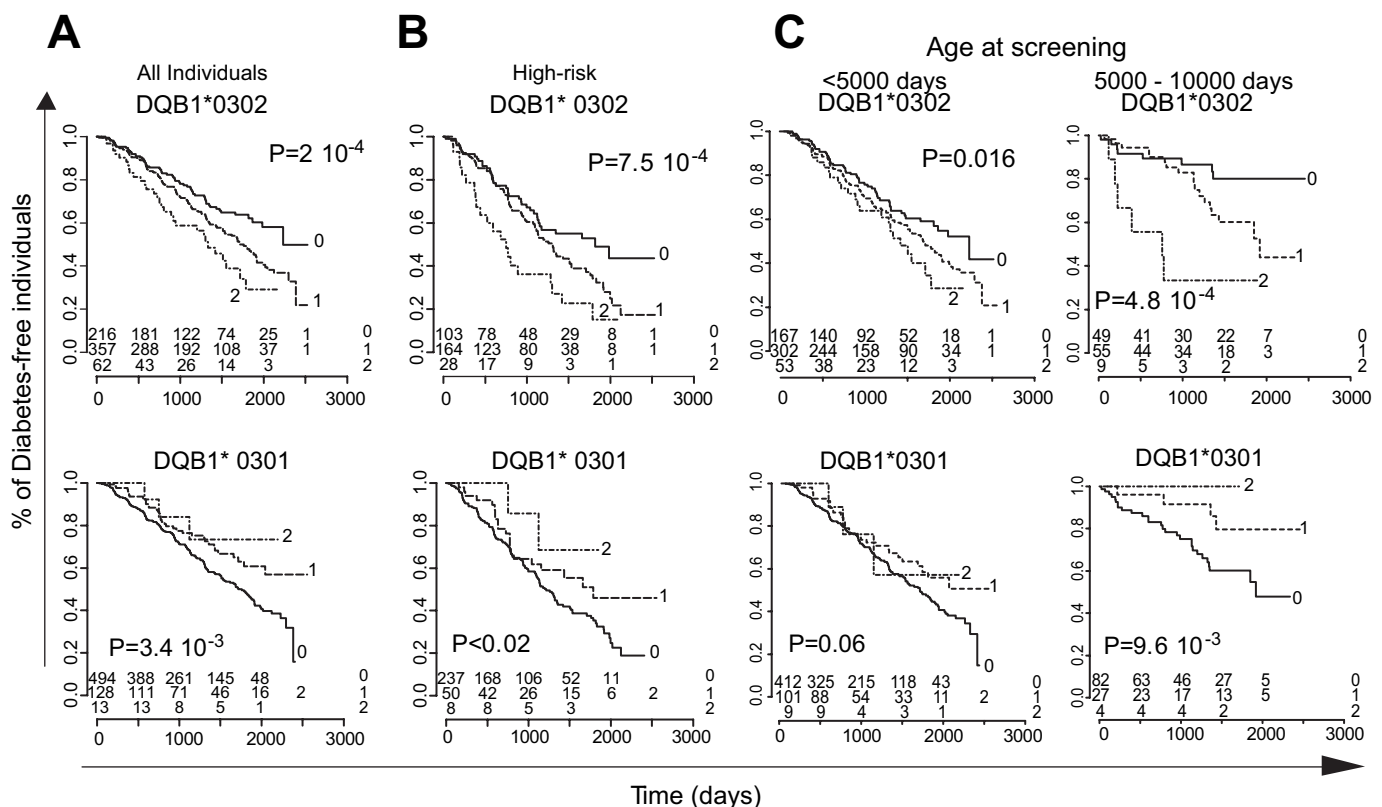


FIG. 2. Diabetes-free survival and HLA-DQB1 genotypes. Diabetes-free survival in all ( $n = 638$ ) (A) or high-risk ( $n = 295$ ) (B) individuals screened at age  $<10,000$  days according to their HLA-DQB1\*0302 or HLA-DQB1\*0301 genotypes. Significance of differences in survival is evaluated by log-rank test. The number of diabetes-free individuals in each category and at distinct time point is shown on the bottom of the figure. C: Disease-free survival in individuals screened at age  $<5,000$  or between 5,000 and 10,000 days, stratified based on their HLA-DQB\*0302 or \*0301 genotypes.

influenced by the presence of \*0302 alleles, in a dose-dependent manner: Median onset with no \*0302 allele was 6.12 years, with a single \*0302 allele was 4.71 years, and with two alleles was 3.65 years ( $P = 2 \times 10^{-4}$ ). Conversely, \*0301 alleles delayed the progression to overt type 1 diabetes, also in a dose-dependent manner. These findings held true when only high-risk individuals were considered (Fig. 2B). Because it is known that type 1 diabetes in very young individuals has a specific genetic architecture (31), we investigated whether DQB alleles impacted differently on type 1 diabetes incidence based on the age of the individual, splitting at 5,000 days, which roughly corresponds to the pubertal period (Fig. 2C). Individuals homozygous for DQB\*0302 showed an increased incidence of type 1 diabetes and faster kinetics of progression irrespective of age, whereas the incidence of type 1 diabetes significantly dropped after 5,000 days in subjects lacking \*0302. On the other hand, the protective effect of DQB\*0301 was mostly visible in the >5,000 days group.

We then investigated whether combinations of DQB alleles might differentially impact on progression. Table 3 assesses the representation of the second DQB1 allele among progressor and nonprogressor individuals already positive for DQB1\*0302, \*0301, or \*0201. For 0302-positive individuals, none of the additional alleles had meaningful impact in either direction (save for the enhancement of progression in DQB1\*0302 homozygotes, consistent with Fig. 2). On the other hand, the \*0301/\*0201 combination was significantly underrepresented in progressors, whether compared with all \*0301-positive or with all \*0201-positive individuals ( $P \sim 0.02$  and  $0.0016$ , respectively), suggesting a strong epistatic interaction between these two alleles (or with the other loci linked to these variants within the MHC). In contrast, the \*0301 allele had no impact in \*0302-positive individuals.

Because nonrandom pairing exists between DQA\* and DQB\* alleles in strong linkage disequilibrium, we compared the representation of specific DQA alleles in individuals bearing DQB1\*0302, \*0301, and \*0201 (Supplementary Tables 2–4). In individuals heterozygous for the DQB allele of interest, we used direct DQA allele counting instead of reconstructing two-loci haplotypes in double heterozygous (which can only be estimated, because their true gametic phase is unknown), which provided sensitivity for DQA-DQB *trans*-complementation effects. For DQB1\*0302 individuals, the diversity in DQA allele representation was essentially restricted to heterozygous individuals, given the complete linkage disequilibrium between DQA\*0301 and DQB1\*0302, and no significant modulation of the progression was observed. On the other hand, the impact of DQB1\*0201 was somewhat modified by the presence of certain DQA alleles: DQA\*0201 was protective and DQA\*0501 promoted progression but only in DQB\*0201 homozygotes. No significant impact of DQA was observed in DQB\*0301-positive individuals, although expected trends toward an enrichment of DQA\*0301 in progressors and DQA\*0501 in non-progressors were seen.

**Treatment effects.** Insulin had no significant effect overall in DPT-1, except for individuals with high anti-IAAs treated with oral insulin (19,24), but we investigated whether stratification by genotype might show a different outcome. No difference was found on stratification in DQB1\*0302 (Fig. 3) or \*0301 (not shown) subgroups. For the *INS*-23 polymorphism, a significantly enhanced effect of the oral treatment was seen in individuals heterozygous

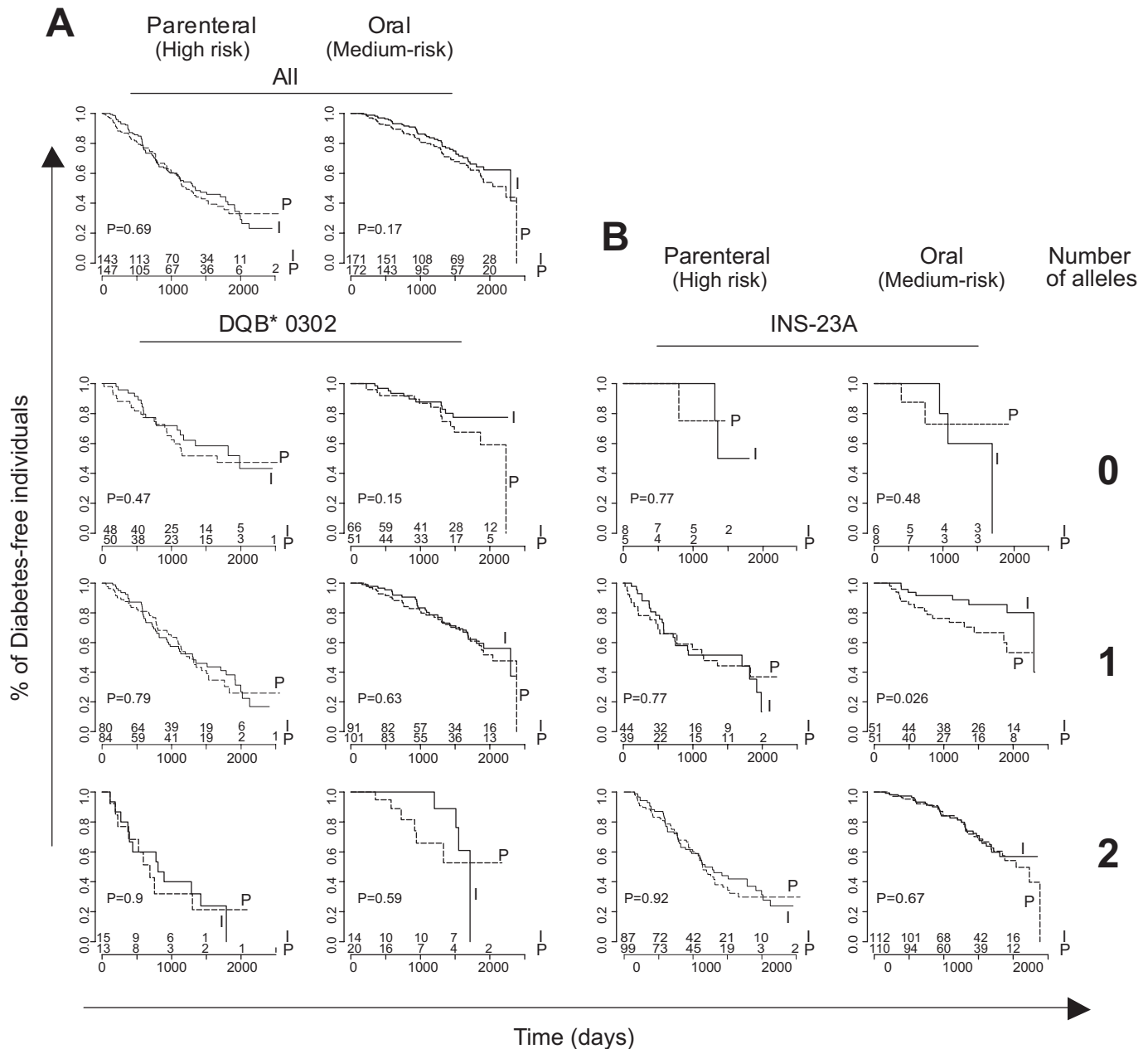
for the susceptibility allele; this result should be interpreted with caution, however, because it was not reproduced in homozygotes, and the nominal degree of significance ( $P = 0.028$ ) would not resist proper correction for multiple sampling. On the other hand, it is interesting that the group of *INS*-23A heterozygotes is that which shows high IAA titers (see below), and thus likely overlaps with the high-IAA subgroup which showed some treatment effect in post hoc analyses (24). Comparative survival analysis showed very similar hazards ratio for treatment effect among high-IAA (>75<sup>th</sup> percentile) and among *INS*-23A heterozygotes (OR 0.41 [95% CI 0.2–0.85] and 0.36 [0.15–0.87], respectively).

**Type 1 diabetes susceptibility loci and initial autoantibody levels.** We then used linear regression to investigate whether any of the genetic markers investigated showed an association with the level of autoantibodies in at-risk individuals. Antibody titers at screening were used for that purpose, instead of summing the counts of positive antibodies that might mask specific effects of a given polymorphism on distinct antibody reactivities (acknowledging that fluctuations in the titers could be observed over the course of a few months and that the elapsed time since seroconversion was unknown).

Only the *INS*, *ICOS*, and HLA variants showed an association with IAA titers (Table 4). Genotypes at *INS*-23 appeared to be the most strongly associated with differences in baseline IAA levels ( $P = 3.7 \times 10^{-5}$ , or  $\sim 1.5 \times 10^{-3}$  after correction for multiple sampling), which is consistent with previous reports (40–42). The effect was dominant: individuals heterozygous or homozygous for the high-risk allele both demonstrated a 2.4-fold increase in median IAA levels at baseline. A weak association was observed with DQB1\*0302; of note, most of the *INS*-23 association with IAA titers was found in DQB\*0302-negative individuals. The DQB1\*0201 susceptibility allele was negatively associated with IAA levels. These results suggest complex mechanistic interactions of HLA susceptibility haplotypes, with a different effect on IAA for the \*0302 and \*0201 susceptibility alleles.

Redondo et al. (43) observed the same association between DQB1\*0302 and anti-insulin titers but came to the conclusion that progression to type 1 diabetes in the DPT-1 cohort was only indirectly correlated with HLA-DQ status and that the risk of progression to overt diabetes was actually correlated with the number of autoantibodies present at randomization, HLA-DQ status being only a modulator of this number (similarly counting the number of positive antibodies in our restricted subset also showed that HLA impacted the progression to type 1 diabetes mostly in individuals with 0–2 autoantibodies; data not shown). Because our analysis, which was restricted to the individuals actually enrolled in the trial, argued for more complex HLA effects in relation to IAA levels (and not mere positivity) and type 1 diabetes progression, we investigated whether these parameters were truly independent by building uni- and multivariate Cox proportional hazards models, including DQB1\*0302, \*0301, and baseline IAA levels. As shown in Fig. 4A, baseline IAA and the number of DQB1\*0302 alleles were independently associated with faster progression toward type 1 diabetes. This effect of HLA alleles, independent of IAA, is graphically illustrated by the multivariate Cox analysis of the predicted time-to-progression for individuals with different numbers of \*0302 alleles, with IAA levels conditioned on their average value (Fig. 4B).





**FIG. 3.** Response to treatment according to HLA-DQB1 and *INS-23* genotype. **A:** All 638 individuals were stratified according to the number of HLA-DQB1\*0302 alleles and treatment group. Significance of differences in survival is evaluated by log-rank test. I, intervention group; P, placebo/observation. **B:** Individuals were stratified based on the number of *INS-23A* risk alleles.

For anti-glutamic acid decarboxylase (GAD) 65 antibodies, only a weak association with polymorphisms in *CD25* (Supplementary Table 5) was found, compatible with an additive effect (e.g., median titers 0.185, 0.215, and 0.467 for 0, 1, and 2 rs706778 A alleles; NS when corrected for multiple sampling).

#### DISCUSSION

The goal of this study was to identify among known or suspected type 1 diabetes susceptibility loci those impinging on conversion from the pre-diabetes to the diabetic state. A clear association was observed with some, but interestingly not all, HLA class II alleles, an influence that went beyond their relation to initial IAA levels. On the other hand, none of the extra-HLA polymorphisms differed significantly in frequency between progressors versus non-

progressors, a number of them (notably *INS-23*, *PTNP22 R620W*, and several *CTLA4* markers) showing the typical elevated frequencies of susceptible alleles in DPT-1 individuals, irrespective of eventual progression to overt diabetes. These results, obtained in individuals <10,000 days old at screening, still held true when considering the whole cohort irrespective of age (not shown).

The implication of these results is that most non-HLA type 1 diabetes susceptibility loci described so far affect the initial breakdown of immunological tolerance and the initiation of autoimmunity, rather than the later failures of immunoregulation that lead to terminal islet destruction. It is quite plausible that the *INS-23A* polymorphism would affect tolerance: Together with the length polymorphism of the VNTR element further upstream in the *INS* promoter region, with which it is in tight linkage disequilib-



TABLE 4  
Initial IAA titers and type 1 diabetes susceptibility loci genotypes

Gene	Polymorphism	Allele 0	Allele 1	Median IAA level by genotype					Linear regression <i>P</i> values		
				0	1	2	0 and 1	1 and 2	0-1-2	0 vs. 1 and 2	0 and 1 vs. 2
<i>HLA-DQB1</i>	DQB1*0302	Counts		115	183	156	150	180.2	0.034	0.67	1.36E-02
<i>HLA-DQB1</i>	DQB*0201	Counts		176	164	82	169	135	0.044	0.40	1.80E-03
<i>*0302/0201</i>		Abs	Pres	137.5	210				0.013		
<i>HLA-DQB1</i>	DQB*0301	Counts		168	124	82.5	153	124	0.16	0.714	0.14
<i>Lymphotoxin-α</i>	+10	G	A	153	150	144	150	150	0.75	0.62	0.91
<i>Insulin</i>	-23 HphI	T	A	61	111	192	104	164.5	3.74E-05	1.05E-04	0.014
<i>PTPN22</i>	R620W	C	T	145	177	220	150	178	0.37	0.56	0.42
<i>IL-2 Ra (CD25)</i>	Intron 1	G	A	166	173	103	168	149.5	0.46	0.11	0.80
<i>IL-2 Ra (CD25)</i>	Intron 1	T	C	161.5	166	120	165	150	0.76	0.30	0.78
<i>IL-2 Ra (CD25)</i>	CD25 region	C	A	148	162.5	87	150	156	0.35	0.88	0.45
<i>IL-2 Ra (CD25)</i>	CD25 region	T	A	146	166.5	98	150	165	0.65	0.43	0.66
<i>IFIH1</i>	A946T	C	T	124	154.5	149	147	150	0.35	0.56	0.29
<i>Fc receptor-like 3</i>	Prom -169	A	G	177.5	141.5	142	151.5	142	0.55	0.94	0.39
<i>C6orf118</i>	M256I	C	A	153	153	121.5	153	150	0.62	0.63	0.73
<i>CAPSL</i>	R75Q	A	G	131.5	164	141	150	157.5	0.74	0.71	0.31
<i>CTLA4</i>	Prom -1577	G	A	183	135	139.5	156	136	0.044	0.21	0.06
<i>CTLA4</i>	Prom -318	T	C	18	152.5	150	147	150	0.53	0.33	0.08
<i>CTLA4</i>	T49A	G	A	148.5	146.5	147	148	147	0.73	0.95	0.49
<i>CTLA4</i>	3'UTR 6230 (CT60)	G	A	187.5	135	139.5	156	136	0.037	0.22	0.041
<i>CTLA4</i>	JO31	C	A	174	146	148	162	146	0.16	0.26	0.24
<i>CD28</i>	Intron 1	C	T	131	165.5	141.5	153	159.5	0.63	0.57	0.15
<i>CD28</i>	Intron 1	A	T	171	147	147	150	147	0.41	0.56	0.40
<i>CD28</i>	3'UTR	G	T	157.5	132	540	150	133.5	0.15	0.38	0.11
<i>CD28</i>	3'UTR	T	A	194	159	141	168	149	0.051	0.07	0.22
<i>ICOS</i>	Prom -1817	T	C	148	160	203	150	166.5	0.09	0.26	0.13
<i>ICOS</i>	Intron 1	C	T	63	182.5	141.5	179	153	0.17	0.11	0.42
<i>ICOS</i>	Intron 1	C	T	382.5	168	135.5	178.5	144.5	4.08E-03	0.044	1.54E-03
<i>VDR</i>	BsmI Intron3	T	C	153	131	116	150	128	0.87	0.62	0.78
<i>TLR2</i>	S450S	T	C	150	142	NA	150	142	0.59	—	—
<i>KIR 2DS3</i>		Abs	Pres	142	150	212	146	164.5	0.66	0.37	0.94
<i>TCF7L2</i>	Intron 3	C	T	138	166.5	225.5	149	173.5	0.26	0.24	0.43
<i>SLC30A8</i>	R325W	C	T	177	147	162.5	147	150	0.63	0.55	0.91
<i>HHEX</i>	HHEX region	A	G	170.5	142	174	149	147	0.98	0.76	0.84
<i>HHEX</i>	HHEX region	G	A	145	181.5	92	156	168	0.97	0.08	0.40
<i>LOC387761</i>	Intron 5	G	A	169	131	180	150	133	0.85	0.27	0.76
<i>EXT2</i>	Intron 14	A	G	218	231.5	182	218	188	0.17	0.22	0.17
<i>ERBB2</i>	Intron 7	A	C	210	120	177	137	141	0.72	0.14	0.19
<i>PTPN2</i>	Intron 7	T	C	148	177	126	156	170	0.84	0.80	0.73
<i>SH2B3</i>	Exon 3	C	T	169	156	134	160	144.5	0.70	0.86	0.40
<i>C12orf30</i>	Intron 15	A	G	141	177	124	162	157.5	0.52	0.69	0.17
<i>CLEC16A</i>	Intron 19	A	G	142	159	147.5	149.5	156	0.83	0.92	0.72

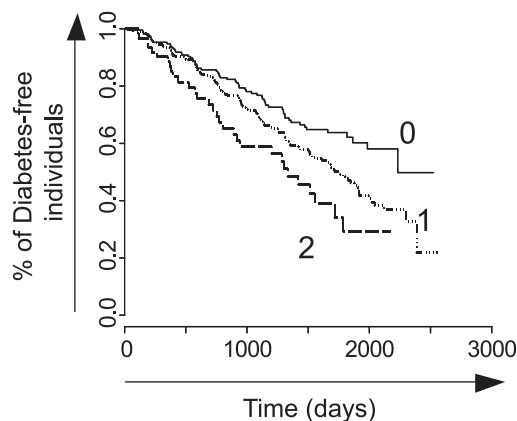
UTR, untranslated region.

rium, it is thought to lower thymic expression of insulin and lead to less effective induction of T-cell tolerance to insulin (3,4). The significant association between the *INS* susceptibility alleles and higher titers of anti-insulin antibodies, which confirm prior observations associating *INS-23* to IAA incidence in Scandinavian cohorts (40–42), is also consistent with this notion. *PTPN22* encodes a regulatory phosphatase that modulates T-cell receptor signaling, and one might hypothesize that the variant modifies signaling in immature thymocytes and hence tolerance induction, or the activation of autoreactive T-cells in the periphery. *CTLA4* and *CD25*, because of their involvement in regulatory T-cells, might have been thought a priori to impact on diabetes progression, but this proved not to be the case. Here also, one might invoke an effect on T-cell activation at the initiation of autoimmune T-cell infiltration.

**HLA.** Only HLA-DQ alleles showed a noticeable effect on type 1 diabetes progression in DPT-1 individuals, partially in correlation with enhancement of IAA levels, but also with effects independent of IAA titers. The data confirm an earlier report of an association of IAA and IA-2 positivity with the presence of DQB\*0302 in Scandinavian type 1 diabetic patients (40). Redondo et al. (43) have also reported an analysis of HLA haplotypes and genotypes in relation to autoantibodies and disease progression in the DPT-1 cohort, albeit with a different strategy that encompassed all ICA<sup>+</sup> individuals genotyped for HLA (*n* = 2,046), potentially diluting a genetic effects on progression in high-risk individuals by the inclusion of many low-risk individuals, and focused on compound genotypes rather than individual alleles. These authors also observed the relationship between progression and DQB1\*0302 and \*0301 and found that 57% of DQB\*0302-homozygous indi-

**A**

Variable	Coef.	exp(Coef)	SE	z	p
Univariate					
Initial IAA	0.16	1.18	0.05	3.28	1.00E-03
# 0302 alleles	0.39	1.47	0.11	3.67	2.40E-04
# 0301 alleles	-0.44	0.65	0.16	-2.75	5.90E-03
Multivariate					
IAA	0.16	1.17	0.05	3.16	1.60E-03
# 0302 alleles	0.38	1.46	0.11	3.55	3.80E-04
Multivariate					
IAA	0.15	1.17	0.05	3.11	1.90E-03
# 0301 alleles	-0.41	0.67	0.16	-2.57	1.00E-02

**B**

**FIG. 4. A:** Cox proportional hazard modeling was applied to evaluate the individual contributions of DQB1 status and initial IAA levels to progression toward type 1 diabetes. **B:** Diabetes-free survival according to DQB1\*0302 status fitted on averaged IAA levels in the Cox model.

viduals were positive for two or more antibodies, compared with only 30% of DQA\*0501/DQB\*0201 homozygous ( $P = 3 \times 10^{-9}$ ), yet both genotypes had roughly the same 5-year type 1 diabetes risk when considering their larger cohort (36 vs. 34%). Redondo et al. (43) concluded that a relationship between DQB genotypes and number of different autoantibodies at screening could account for these effects on progression (HLA being irrelevant in individuals with two or more autoantibodies in their data). The quantitative survival analysis performed here, based on measured titers rather than on positive/negative calls, indicates that the picture is more complex, demonstrating the limitations of imposing cut-offs when dissecting a quantitative trait.

The two main susceptibility alleles (DQB1\*0302 and \*0201) appear to have different impacts in several respects: \*0302 has a direct effect on the risk of progression, whereas \*0201 does not; \*0302 has a moderate association to IAA titers, whereas \*0201 does not; \*0201 is associated with higher GAD65 titers (40), whereas \*0302 is not; the impact of \*0201 varies with the DQA chain with which it is paired (in *cis* or *trans*), whereas \*0302 does not; \*0201 has a strong epistatic interaction with \*0301, resulting in protection of \*0201/\*0301 heterozygotes, whereas \*0302 does not. These observations are consistent with the notion that \*0302 and \*0201 provide mechanistically different contributions to disease pathogenesis beyond a mere modulation of antibody numbers (44–47).

How could HLA be involved at different stages of the autoimmune pathogenesis? Early effects on tolerance, for instance by allowing the emergence of a T-cell repertoire

with reactivity against islet peptides (insulin?) might be expected from their role in selecting T-cells and presenting self-antigens. In the NOD mouse, the H2<sup>S7</sup> MHC alleles associated to type 1 diabetes are sufficient to select an autoreactive repertoire (48). More puzzling is the additional contribution of DQB1\*0302 to further progression. The enrichment in heterozygous \*0302 individuals among progressors might mirror a multistage process wherein the initial trigger is amplified through the presentation of later-stage “epitope-spreading” antigens, at which \*0302 would be particularly efficient. Alternatively, HLA class II alleles might mediate sensitivity to environmental insults (e.g., infections or food-borne antigens) after the establishment of a “respectful” insulinitis, perhaps tipping the balance toward immune activation and full-blown islet destruction. Finally, it is plausible that the negative epistasis between DQB1\*0201 and DQB1\*0301 reflects the ability of MHC class II molecules to form *trans*-encoded  $\alpha\beta$  dimers. As usual for loci in the HLA region, this discussion must be cautioned by the strong and complex linkage disequilibrium structure in the region. Although these effects may be ascribed to the DQB alleles themselves, it is also possible that some of them arise from loci in linkage disequilibrium with the DQB alleles, for instance class I genes (49).

**Pharmacogenetics?** Can one, from this analysis, draw conclusions that would guide the design of other prevention studies, attempting to improve the power of the trials by using genetic data to refine the selection and better define groups of at-risk individuals? Future trials aimed at evaluating prophylactic interventions might require more stringent selection criteria against low-risk or protective HLA genotypes such as DQB\*0301 (DQB\*0602 was already an exclusion criteria in DPT-1), which might lead to artifactual treatment efficacy results if unbalanced among the study arms (50). The selection of DQB1\*0302 individuals would improve the power (power calculations show that a ~20% reduction in total group size could be achieved by selecting only \*0302-positive individuals), but such a selection would clearly leave out an important fraction of type 1 diabetic patients.

Although there was no significant effect of oral insulin administration over the entire pool of DPT-1 medium-risk subjects, post hoc analysis did reveal a slight treatment effect in the subgroup with the highest initial IAA titers (19,24). We found that stratification by *INS*-23 genotype also uncovered a significant effect of oral insulin treatment in heterozygotes (with the limitations on validity of any such post hoc analysis). Given the association between *INS*-23 genotype and IAA levels, one might expect that the two observations are linked, and subgroup analysis confirmed this to be true. In other trials of oral insulin, it may be of interest to select candidates on the basis of *INS*-23 and IAA titers, to test the significance and reproducibility of these observations.

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