

Der3p/Hrd1p Is Required for Endoplasmic Reticulum-associated Degradation of Misfolded Luminal and Integral Membrane Proteins

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We have studied components of the endoplasmic reticulum (ER) proofreading and degradation system in the yeast *Saccharomyces cerevisiae*. Using a *der3-1* mutant defective in the degradation of a mutated luminal protein, carboxypeptidase *ycpY* (CPY*), a gene was cloned which encodes a 64-kDa protein of the ER membrane. Der3p was found to be identical with Hrd1p, a protein identified to be necessary for degradation of HMG-CoA reductase. Der3p contains five putative transmembrane domains and a long hydrophilic C-terminal tail containing a RING-H2 finger domain which is oriented to the ER lumen. Deletion of *DER3* leads to an accumulation of CPY* inside the ER due to a complete block of its degradation. In addition, a *DER3* null mutant allele suppresses the temperature-dependent growth phenotype of a mutant carrying the *sec61-2* allele. This is accompanied by the stabilization of the Sec61-2 mutant protein. In contrast, overproduction of Der3p is lethal in a *sec61-2* strain at the permissive temperature of 25°C. A mutant Der3p lacking 114 amino acids of the luminal tail including the RING-H2 finger domain is unable to mediate degradation of CPY* and Sec61-2p. We propose that Der3p acts prior to retrograde transport of ER membrane and luminal proteins to the cytoplasm where they are subject to degradation via the ubiquitin-proteasome system. Interestingly, in *ubc6-ubc7* double mutants, CPY* accumulates in the ER, indicating the necessity of an intact cytoplasmic proteolysis machinery for retrograde transport of CPY*. Der3p might serve as a component programming the translocon for retrograde transport of ER proteins, or it might be involved in recognition through its luminal RING-H2 motif of proteins of the ER that are destined for degradation.

INTRODUCTION

The endoplasmic reticulum (ER) is the site of entry of proteins into the secretory pathway. The organelle has to guarantee proper folding of the newly synthesized membrane, secretory and lysosomal proteins before they are delivered to their site of action (Pelham, 1989; Pryer *et al.*, 1992; Gaut and Hendershot, 1993). This requires a quality control system involved in proofreading and recognition of unassembled and malformed proteins with subsequent delivery to a degradative machinery (Lippincott-Schwartz *et al.*, 1988; Klausner and Sitia, 1990; Bonifacino and Lippincott-

Schwartz, 1991; Bonifacino and Klausner, 1994). This process called ER degradation or ER-associated degradation had been thought to occur within the ER. An increasing number of substrates of this process had been found in yeast and mammalian cells; however, the proofreading and degradation mechanism remained essentially unknown (reviewed by Fra and Sitia, 1993). Most of the substrates found in mammalian cells are mutated forms of proteins such as the cystic fibrosis transmembrane conductance regulator (CFTR), α 1-antitrypsin, or unassembled subunits of the T-cell receptor (Cheng *et al.*, 1990; Wileman *et al.*, 1991; Le *et al.*, 1992; Amitay *et al.*, 1992). Thus, it has been suggested that ER degradation could play an important role in the etiology of severe human dis-

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eases such as cystic fibrosis or certain forms of pulmonary emphysema. The ER degradation pathway is also involved in the regulated degradation of enzymes as 3-hydroxy-3-methylglutaryl-CoA (HMG-CoA) reductase in response to changes in intracellular levels of certain metabolites (Hampton and Rine, 1994; McGee *et al.*, 1996). Finally, it has been postulated that certain viruses such as the human cytomegalovirus and the human immunodeficiency virus type 1 could use this pathway to interfere with the normal immune response to increase the virulence of the infection (Wiertz *et al.*, 1996a; Kerkau *et al.*, 1997).

A set of unidentified ER resident proteinases had been proposed to be responsible for the degradation of unfolded and unassembled proteins retained in the ER. The finding that proteolytic destruction of CFTR (Jensen *et al.*, 1995; Ward *et al.*, 1995), the mutated translocon component Sec61-2 of yeast (Biederer *et al.*, 1996), and HMG-CoA reductase (Hampton *et al.*, 1996; McGee *et al.*, 1996) were degraded via the cytoplasmic proteasome changed the view of specific ER resident proteases responsible for degradation of ER located membrane proteins. This view was further changed when the major histocompatibility class I complex was found to be degraded via the proteasome upon expression of cytomegalovirus proteins (Wiertz *et al.*, 1996a,b). A radical change of the idea that specific ER-intrinsic proteinases were involved in degradation of malformed proteins was initiated when degradation of a mutated and malformed yeast CPY* encoded by the *prc1-1* allele (Wolf and Fink, 1975; Finger *et al.*, 1993; Knop *et al.*, 1996a,b; Hiller *et al.*, 1996) and mutant forms of pre-pro- α -factor and human α 1 proteinase inhibitor (Werner *et al.*, 1996), all located in the ER lumen, were found to be degraded via the cytoplasmic proteasome.

Degradation of these luminal proteins by the cytoplasmic proteasome could only be explained by a retrograde transport of the soluble substrates from the ER lumen to the cytoplasmic side of the membrane. The finding of glycosylated and ubiquitinated CPY* being attached to the cytosolic face of membrane vesicles carrying the ER luminal marker Kar2p demonstrated the existence of such a retro-translocation event (Hiller *et al.*, 1996). A first hint to the nature of the postulated retrograde transporter came from the finding that in the presence of the human cytomegalovirus protein US2, major histocompatibility complex (MHC) class I heavy chain breakdown intermediates could be coimmunoprecipitated with Sec61p (Wiertz *et al.*, 1996b). However, it remained unclear whether this was a finding specifically due to the action of the virally programmed protein. Using luminal CPY* as substrate, studies on mutants defective in components of the translocon uncovered Sec61p, Sec63p, and Kar2p acting as a subcomplex in retrograde transport

of the mutant protein *in vivo* (Plemper *et al.*, 1997). *In vitro* studies on *sec61* mutants using a mutated pro- α -factor as substrate corroborated the function of Sec61p in retrograde transport (Pilon *et al.*, 1997).

In a search for additional components involved in proofreading, recognition, and reprogramming the translocon for export and degradation of CPY*, we had isolated a variety of *der* mutants defective in the ER degradation process (Knop *et al.*, 1996). Cloning of the genes by complementation of the respective mutations uncovered *DER1* encoding a 211-amino acid ER membrane protein and *DER2* coding for the ubiquitin-conjugating enzyme Ubc7, by this linking ER degradation of CPY* to modification with ubiquitin prior to proteolysis via the proteasome (Hiller *et al.*, 1996). Here we report on the cloning of the *DER3* gene, the characteristics and possible functions of the encoded protein.

MATERIALS AND METHODS

Yeast Strains and Growth Media

The *DER3* wild-type strain used for all experiments was W303-1C (*MAT α prc-1-1 ade2-1 ura3-1 his3-11,15 leu2-3,112 trp1-1 can-100*) (Knop *et al.*, 1996). Strain YAF29 carrying the *der3-1* mutation was derived from EMS mutagenesis of the strain YAF6 (*MAT α pra1 Δ SSprc1-1 leu2-3,112*) (Finger *et al.*, 1993). Strains W303-1CD, W303-CQ, and W303-CPQ contained a deletion in *DER1*, *UBC7* and both *UBC6* and *UBC7*, respectively (Hiller *et al.*, 1996; Knop *et al.*, 1996). Strain YRP086 (*MAT α*) (Plemper *et al.*, 1997) was derived from crossing strain W303-1C with YFP338 (*MAT α*) kindly provided by M. Rose. To obtain strains W303-1CD Δ 3, W303-1CD Δ 3, and YRP105 which carry a deletion in *DER3*, strains W303-1C, W303-1CD, and YRP066, respectively, were transformed with *EcoRI*-*PvuII*-digested DNA of the plasmid pUC/del3 followed by selection for His⁺ transformants. In all of the cases, the correct integration of the *der3* allele harboring the *HIS3* gene was checked by Southern blotting.

Yeast media were prepared according to Guthrie and Fink (1991).

Cloning of DER3

DER3 was isolated by transforming the YAF29 strain with the yeast genomic DNA library constructed by Cvrková and Nasmyth (1993) in the centromeric plasmid YCplac111. Leu⁺ transformants were replica-plated onto fresh plates of selective media covered by nitrocellulose membranes and incubated for 5 d to induce the accumulation of CPY*, essentially as described by Knop *et al.* (1996). Colonies were lysed according to Knop *et al.* (1996) and CPY* was detected with polyclonal CPY antisera and goat anti-rabbit horseradish peroxidase-conjugated antibodies. Blots were developed with 4-chloronaphthol. Nonstaining colonies were picked, retested by Western blotting, and the plasmids were rescued.

Molecular Biological Techniques and Plasmid Construction

Standard techniques of molecular biology were performed as described in Ausubel *et al.* (1988) and Sambrook *et al.* (1989).

Fragments of the complementing plasmid YCpDER3 isolated from the gene library were subcloned into YCplac111. A *Bam*HI-*Eco*RI fragment of 2.8-kb contained the *DER3* gene was cloned into the 2 μ plasmid YEp366 (Myers *et al.*, 1986) to overexpress the *DER3*-encoded protein in yeast. To construct the *DER3* disruption allele, an *Eco*RI-*Hind*III fragment of 3.8 kb of YCpDER3 was cloned

into pUC19, yielding pUCDER3, and then a *Sall*-*EcoRV* fragment containing the complete open reading frame (ORF) of *DER3* was replaced by the *HIS3* gene, yielding the pUC/del3 plasmid. To construct a GST-Der3 fusion protein, plasmid pFUS1 was generated by cloning an *EcoRI*-*EcoRV* fragment including the C-terminal 139 codons of the ORF into the *EcoRI*-*SmaI* sites of pGEX-3X (Smith *et al.*, 1988). Plasmid pDEG1 (Chen *et al.*, 1993), containing a Deg1- β -galactosidase fusion, was kindly provided by M. Hochstrasser and T. Sommer. To overexpress *DER3* in yeast cells under the control of the *GAL1* promoter, plasmid pBM/DER3 was constructed by subcloning the whole ORF of *DER3* into the vector pBM150 (Johnston and Davis, 1984) digested with *Bam*HI and *Sall*. To create both restriction sites flanking the coding region of *DER3*, PCR techniques were employed using as primers oligonucleotides GAL1 (5'-TAGATGTCGACTAATTTCCGC-3') and GAL2 (5'-AGACAGG-ATCCTAATATGGTGCC-3'). Expression of Der3p encoded by pMB/DER3 was checked by Western blot and its ability to complement the *der3-1* mutation. To construct the plasmid YCpDER3 Δ R, pUCDER3 was digested with *EcoRV* for 5 min and religated, yielding pUCDER3 Δ R lacking an *EcoRV* fragment of 342 bp of the *DER3* ORF. The 3.5-kb *EcoRI*-*HindIII* fragment of pUCDER3 Δ R was cloned into YCplac111 to yield YCpDER3 Δ R.

Western Blotting

For Western blotting and immunodetection of CPY* and Der3p, yeast cells were grown on CM medium (Guthrie and Fink, 1991) until an OD₆₀₀ of 3.1-ml aliquots of culture were lysed according to Yaffe and Schatz (1984). After trichloroacetic acid precipitation, pellets were resuspended in 100 μ l of urea buffer [5% SDS, 8 M urea, 200 mM Tris-HCl (pH 6.8), 0.1 mM EDTA, bromophenol blue] and shaken for 10 min at 60°C. After a short centrifugation, 15–20 μ l of the supernatants were loaded on a 8% SDS-polyacrylamide gel with subsequent electrophoresis (Laemmli *et al.*, 1970) followed by Western blotting.

Cell extracts enriched in membranes were prepared as described by Serrano (1988).

Protease-protection experiments were basically performed as described by Hiller *et al.* (1996) with the following modification. The trichloroacetic acid precipitates were resuspended in 100 μ l of urea buffer and samples of 20 μ l were separated on a 8% SDS-polyacrylamide gel, blotted, and the specific immunoreactive material was detected with the respective antibodies.

In all cases, a suitable horseradish peroxidase-conjugated secondary antibody was used and the blots were developed with the ECL detection kit (Amersham, Arlington Heights, IL).

Subcellular Fractionation and Enzymatic Assays

Subcellular fractionation was performed as described by Antebi and Fink (1992), modified by Knop *et al.* (1996).

Guanosine diphosphatase (GDPase) activity was measured as described by Abeijon *et al.* (1989).

The kinetics of degradation of the Deg1- β -galactosidase fusion protein was performed as described in Plemper *et al.* (1997). Briefly, exponentially growing yeast cells on CM medium were harvested by centrifugation and resuspended in fresh CM medium adjusted to 3 units of OD₆₀₀. Cycloheximide was added up to a final concentration of 0.5 mg/ml. Samples of 90 μ l of culture were taken after 0, 30, 60, and 90 min, and activity of β -galactosidase was measured. β -Galactosidase activity was calculated as described by Fürst *et al.* (1988).

Cell Labeling and Immunoprecipitation

For CPY* pulse-chase experiments, yeast cells were grown on CM medium until an OD₆₀₀ of 2 was reached. Cells from 5 ml of culture concentrated in 1 ml of labeling medium were labeled with [³⁵S] methionine for 20 min at 30°C. Thereafter, 1 ml of chase medium

was added and aliquots of 450 μ l were removed for each time point. Growth, labeling, and chase medium and further treatment of cells, immunoprecipitation, and SDS-PAGE were done as described by Finger *et al.* (1993).

For pulse-chase experiments performed for detection of the Sec61p, exponentially growing cells in SD medium were shifted to the restrictive temperature of 38°C and labeled with [³⁵S] methionine for 10 min. Conditions of growth and labeling, disruption of cells, immunoprecipitation, and SDS-PAGE were performed as described previously (Biederer *et al.*, 1996). Labeled Sec61p was visualized by autoradiography and quantitated with a Phosphorimager (Molecular Dynamics, Sunnyvale, CA).

Antibodies

For detection of Der3p, polyclonal antibodies recognizing the 139-amino acid containing C-terminal region of the protein were generated. Using the plasmid pFUS1, a GST-Der3 fusion protein was expressed in *Escherichia coli* and purified by affinity chromatography (Ausubel *et al.*, 1989). One milligram of the purified protein was used as antigenic material for immunization of rabbits.

Polyclonal anti-Der3p was diluted 1:2500 for Western blotting and 1:250 for immunofluorescence. Polyclonal anti-CPY (Finger *et al.*, 1993), monoclonal anti-CPY (Molecular Probes), polyclonal anti-Kar2p (a gift from R. Schekman and H.K. Rudolph), and monoclonal antibodies recognizing the 100-kDa subunit of the vacuolar membrane ATPase (Molecular Probes) were diluted 1:10,000 for immunoblotting. Polyclonal antibodies specific for Sec61p were kindly supplied by T. Sommer.

Immunofluorescence

Immunofluorescence for intracellular localization of Der3p and Der3 Δ Rp was performed as previously described for Der1p (Knop *et al.*, 1996). Cy3-fluorescence was monitored with a Zeiss confocal laser scanning microscope.

RESULTS

Cloning of the *DER3* Gene

The *der3-1* mutation had been introduced into yeast strain YAF6 by EMS mutagenesis (Knop *et al.*, 1996). This allele leads to high accumulation of CPY*. The *DER3* gene was cloned by functional complementation of the *der3-1* mutation. A yeast genomic DNA library (Cvrková and Nasmyth, 1993) in the centromeric *LEU2*-based plasmid YCplac111 was transformed into the *der3-1* mutant strain. Leucine prototrophic colonies were replica-plated onto plates containing selective medium and covered with nitrocellulose membranes. Thirty thousand transformants were tested for the absence of CPY antigenic material on the nitrocellulose filters. Positive clones were picked and steady-state levels of CPY* were tested by Western blotting. One clone contained a plasmid which was clearly able to complement the mutant phenotype associated with the *der3-1* mutation and therefore restored degradation of CPY* to wild-type levels. Plasmid YCpDER3 with a genomic DNA insert of 10 kb was rescued from the complementing clone. After subcloning, a 2.8-kb *Bam*HI-*Eco*RI fragment was found to be the smallest piece of DNA able to complement the *der3-1* phenotype. Complete sequencing

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TACCCGAAAAATGGAATTAAGGACACCTACAAAAGTACACTGTAGAAATCAAATCAAAGAAAAGGTTTAAATAGA -181
CGATAAATTTCCATACGTGCCGACAGGACAAAAAACCCTACCATTCTAATAACAGCTTCACCCTAGTTTACTGTCGACTT -91
TCTACCGATTGTGGTTCTTTTTATAACCGAAAAATCATCTATCAATTGCAATTTGTAAGAGAAGGGGAGAAAGACAAAATAATA -1

ATGGTGCCAGAAAATAGAAGGAAACAGTTGGCAATTTTGTAGTTGTACATATTTGCTCACATTTTATTGCGTGTATTCAGCCACCAAG 90
M V P E N R R K Q L A I F V V V T Y L L T F Y C V Y S A T K
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T S V S F L Q V T L K L N E G F N L M V L S I F I L L N S T
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L L W O L L T K L L F G E L R L I E H E H I F E R L P F T I
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I N T L F M S S L F H E R Y F F T V A F F G L L L L Y L K V
TTCCATTGGATTTTAAAGGATAGGCTGGAGGCCTTATACAGTCAATAAATGATTCCACCACAATGAAAACCTTATCTTTAGTAGATTTC 450
F H W I L K D R L E A L L Q S I N D S T T M K T L I F S R F
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S F N L V L L A V V D Y Q I I T R C I S S I Y T N Q K S D I
GAATCCACATCCCTTACCTGATACAAGTAATGGAGTTTACCATGCTTTGATTGATTTGCTAAATTTATTCTACAGACTTGTTTGAAT 630
E S T S L Y L I O V M E F T M L L I D L L N L F L O T C L N
TTCTGGGAATTTTATCGCTCACAACAAAGTCTGTCTAATGAGAACAACCATATTTGTCATGGCGATCCTACAGATGAAAACACGGTTGAG 720
F W E F Y R S Q Q S L S N E N N H I V H G D P T D E N T V E
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S D Q S Q P V L N D D D D D D D D D R Q F T G L E G K F M Y
GAAAAGCAATGACGTATTCACAAGATTTAAACACGGCACTTCAATTTGTCTATGCTAATACCATTTAGGATGCCATGATGCTTTTG 900
E K A I D V F T R F L K T A L H L S M L I P F R M P M M L L
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L V T V T V E Q L Q N S A N D D N I C I I C M D E L I H S P
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K E N G I P V K L T I E N H E V N S L H G D G G E Q I A K K
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I V I P D K F I Q H I End

AAAAACACACACACACACACATATATATATATATATACATCAGCGGAAATTACTCGATATCTAGAAATGCGTACACATGCTACATCG 1800

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Figure 1. Structure of the *DER3* gene. Nucleotide sequence of *DER3* and the predicted amino acid sequence of Der3p are shown. The regions with the predicted transmembrane domains are underlined; the H2-RING finger motif is marked with bold letters.

of this region and subsequent search in the data bank revealed the presence of one ORF. It corresponded to the ORF YOL013c (embl/774755/SCYOL013) located on chromosome XV and was named *DER3* (Figure 1). Recently, a publication appeared showing that the same gene, which had been called *HRD1*, encodes a gene product involved in the regulation of HMG-CoA reductase (Hampton *et al.*, 1996).

DER3 encodes a protein of 551 amino acids with an estimated molecular mass of 63,494 Da. The pre-

dicted structure for Der3p shows a hydrophobic N-terminal region with five putative transmembrane domains and a long hydrophilic carboxyl-terminal tail containing a RING-H2 finger motif (Freemont, 1993).

No significant homology with proteins in the data bank was found. The Der3 protein sequence does not contain any known ER retention signal such as HDEL (Pelham *et al.* 1988) or KKXX (Townsend and Pelham, 1994). Two putative N-glycosylation sites are located

in positions 58 and 137. A specific *DER3* mRNA of 1.8 kb was identified by Northern blot analysis (our unpublished observations).

Chromosomal Loss of *DER3* Causes a Defect in ER Degradation of CPY*

To investigate the function of the Der3p in the ER-associated degradation, we determined the phenotype of a yeast strain entirely lacking the *DER3* gene. We constructed a strain carrying a *DER3* disruption allele by replacing the entire ORF of *DER3* by the *HIS3* gene. As found for mutants carrying the *der3-1* allele, *der3* null mutants were viable and showed highly increased levels of CPY* on immunoblots when compared with an isogenic wild-type strain (Figure 2A). Pulse-chase analysis showed that accumulation of CPY* is due to a block in CPY* degradation (Figure 2B). Deletion of *DER3* led to a complete stabilization of CPY* even after a 3-h chase, whereas CPY* cannot be detected any more after 60 min of chase in a strain harboring the *DER3* wild-type allele. We had to confirm that the *der3-1* mutation was in fact a lesion in the *DER3* gene. We therefore crossed strain YAF29 carrying the *der3-1* allele with strain W303-1CΔ3 harboring the *DER3* deletion. The diploid exhibited high levels of CPY*, suggesting that both alleles resided in identical genes. As expected, diploids constructed from the *DER3* wild-type strain W303-1C and the *der3-1* mutant strain YAF29 exhibited wild-type behavior with respect to degradation of CPY*.

Strains carrying a deletion in *DER3* did not show any additional phenotype when growth of cells at different temperatures was measured or when cells were treated with dithiothreitol and β-mercaptoethanol, substances which induce misfolding of proteins. Also on inositol-free media Δ*der3*, cells did not show any difference as compared with the *DER3* wild-type strain.

A similar phenotype as found for *der3* mutants, a defect in CPY* degradation, had previously been described for mutant strains defective in the *DER1* gene encoding an ER membrane protein (Knop *et al.*, 1996). We investigated a possible interaction between Der1p and Der3p by overexpressing either *DER3* in a strain carrying the *der1-2* mutation or by overexpressing *DER1* in the *der3-1* background. In no case could a restoration of CPY* degradation be observed (our unpublished results). A Δ*der1*Δ*der3* double mutant strain did not show any further increase of CPY* steady-state levels as compared with the respective single mutants (Figure 2C).

Der3p Is a Protein of the ER Membrane

We studied the intracellular localization of Der3p. For this purpose, specific polyclonal antibodies able to detect this protein in yeast cells by Western blot, im-

munofluorescence, or immunoprecipitation were obtained. Briefly, a Der3p-GST fusion protein containing the last 139 amino acids of the C-terminal hydrophilic tail of the protein was expressed in *E. coli*, purified, and used as antigen to generate an immune response in rabbits. The obtained antisera exclusively recognized a protein of around 64 kDa in wild-type crude extracts after SDS-PAGE on a Western blot (Figure 3A). This protein band was considerably increased upon overexpression of *DER3* on the multicopy plasmid YEp*DER3*. No immunoreactive protein could be observed in *der3-1* or Δ*der3* mutant cells (Figure 3A).

The N-terminal hydrophobic region of *Der3p* with its five putative transmembrane domains pointed to a membrane localization of the protein. Der3p can indeed be detected in a membrane-enriched fraction of yeast cell extracts and not in the soluble fraction (Figure 3B). Although the Der3p sequence contains two recognition sites for N-glycosylation, the protein is not glycosylated. No shift of Der3p-specific protein bands could be observed after SDS-PAGE and immunoblotting upon endoglycosidase F treatment (our unpublished observations).

To investigate intracellular membrane localization of Der3p, we performed a subcellular fractionation in yeast cells harboring the high-copy plasmid YEp-*DER3*. As can be seen in Figure 4A, Der3p cofractionates with the ER luminal chaperone Kar2p. Der3p does not cofractionate with a protein of the vacuole, Vph1p, or the Golgi localized GDPase (Abeijon *et al.*, 1989; Manolson *et al.*, 1992). ER localization of Der3p was confirmed by immunofluorescence experiments performed in cells overexpressing Der3p. A typical pattern for the ER, perinuclear with stained regions along the plasma membrane (Preuss *et al.*, 1991), was observed when Der3p was visualized (Figure 4B). When subcellular fractionation was repeated in yeast cells harboring only the chromosomal copy of *DER3*, faint, but clearly visible Der3p immunoreactive bands which cofractionate with the ER resident protein Kar2p were seen, confirming the ER localization of the protein (Figure 4C).

The Hydrophilic Tail of Der3p Is Oriented to the ER Lumen

Studies on the mechanism of function of Der3p require the knowledge of the orientation of its large 351 amino acids containing hydrophilic C-terminal domain harboring a RING-H2 finger motif. The function of this class of motifs is unknown, but has been proposed to be involved in protein-protein interactions (Saurin *et al.*, 1996).

Microsomes of wild-type cells were isolated and treated with trypsin. If the C-terminal tail of Der3p was located to the cytoplasmic side of the ER, one would expect reduction of the molecular mass of

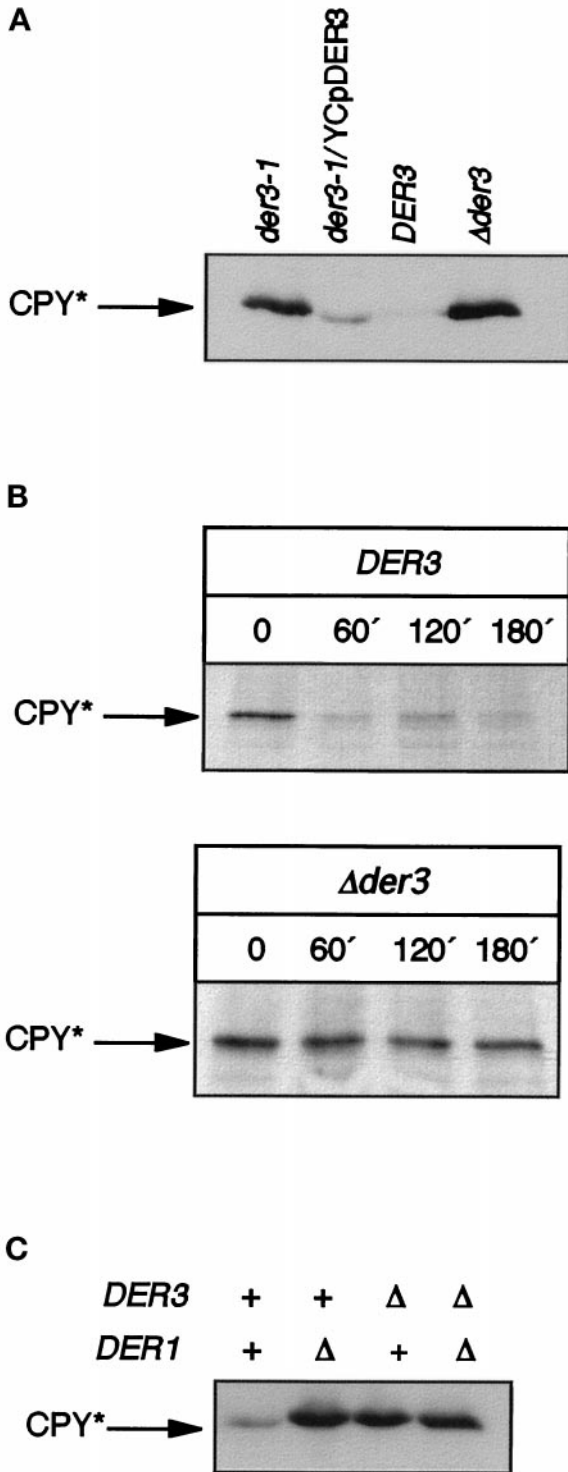


Figure 2. (A) Steady-state levels of CPY* in *DER3* wild-type and mutant strains. Western blotting was performed with crude extracts prepared from early stationary phase yeast cells grown on CM medium of the strains YAF29 (*der3-1*), YAF29 harboring the centromeric complementing plasmid YCpDER3, wild-type strain W303-1C (*DER3*), and *DER3* deleted strain W303-1CΔ3 (*Δder3*). (B)

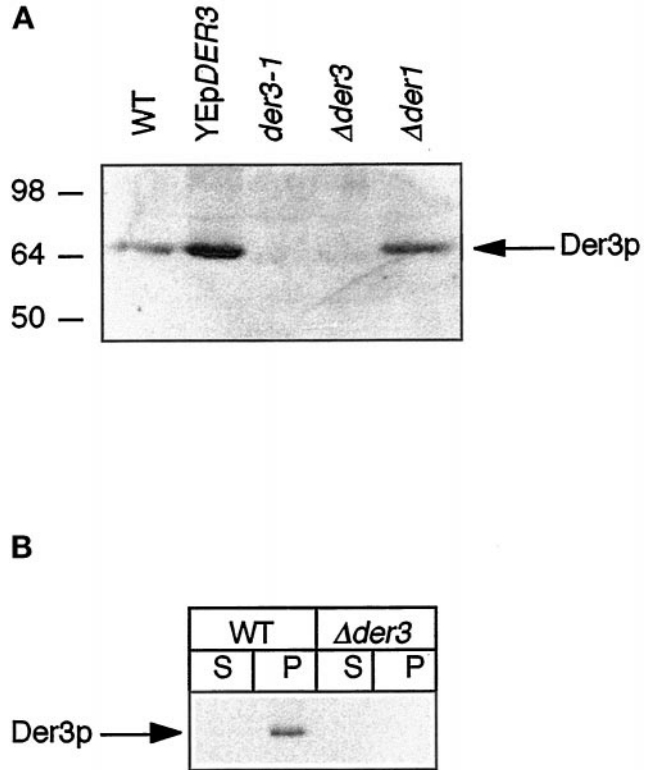


Figure 3. (A) Identification of the Der3 protein. Crude extracts of exponentially growing cells on CM medium of strains W303-1C [wild type (WT)], W303-1C harboring the high-copy plasmid YEpDER3, YAF29 (*der3-1*), W303-1CΔ3 (*Δder3*), and W303-1CD (*Δder1*) were prepared, separated by SDS-PAGE, and immunoblotted. Levels of Der3p were detected with polyclonal Der3p antisera. (B) Der3p is an integral membrane protein. Crude extracts enriched in membranes were prepared from exponentially growing cells on CM medium of strains W303-1C (WT) and W303-1CΔ3 (*Δder3*). Aliquots of the soluble (S) and membrane (P) fractions were loaded onto a 8% SDS-polyacrylamide gel and separated by electrophoresis followed by Western blotting. Der3p antigenic material was visualized with anti-Der3p polyclonal antibodies.

Der3p by trypsin treatment by about 40 kDa after SDS-PAGE. As can be seen in Figure 5, no shift of the Der3p-reactive band can be observed after trypsin treatment of microsomes. Only when Triton X-100 is

Figure 2 (cont). Kinetics of CPY* degradation in *DER3* wild-type and mutant strains. A pulse-chase experiment performed with the wild-type strain W303-1C (*DER3*) and W303-1CΔ3 (*Δder3*) is shown. Cells were labeled for 20 min with [³⁵S]methionine, and samples were taken at the indicated chase periods. (C) Steady-state levels of CPY* in *DER1* and *DER3* mutant and wild-type strains. Crude extracts from early stationary phase cells of the strains W303-1C (wild-type), W303-1CD (*Δder1*), W303-1CΔ3 (*Δder3*), and W303-1CDΔ3 (*Δder1 Δder3*) were prepared. CPY-immunoreactive material was detected after SDS-PAGE and Western blotting with monoclonal anti-CPY antibodies.

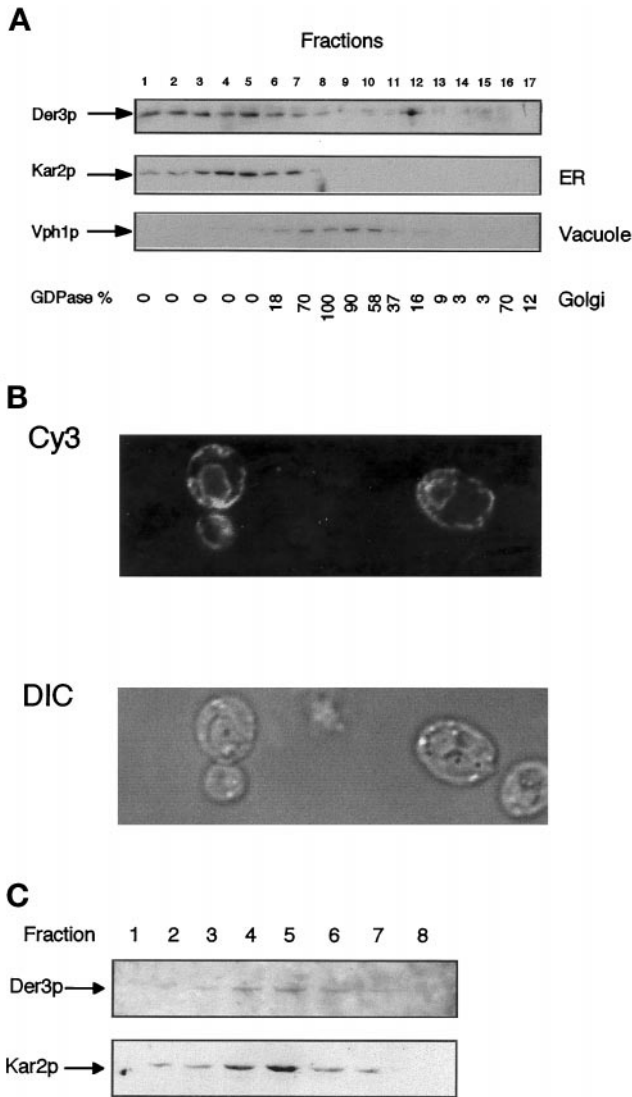


Figure 4. Intracellular localization of Der3p. (A) Der3p cofractionates with the ER resident protein Kar2p. Spheroplasts of the W303-1C (wild-type) strain harboring the high-copy plasmid YEp-DER3 were prepared, and, after a gentle lysis, the homogenate was fractionated on a 10-step sucrose gradient (18–54%, steps of 4% sucrose). Aliquots of each fraction were subjected to SDS-PAGE and Western blotting. Levels of Der3p, Kar2p (ER marker), and the 100-kDa subunit of the vacuolar membrane ATPase were detected with the respective specific antibodies. The activity of GDPase, a Golgi marker, is given as percentage of the highest activity value measured. (B) Der3p is localized in ER-like structures. Exponentially growing cells of the strain W303-1C transformed with the 2 μ plasmid YEpDER3 were fixed and stained with polyclonal anti-Der3p antibodies and goat anti-rabbit Cy3 antibody. Cy3 fluorescence was monitored with a laser confocal microscope (Cy3, Cy3 fluorescence; DIC, Nomarski optics). (C) Also when expressed from the chromosomal copy of the gene, Der3p cofractionates with Kar2p. A subcellular fractionation was performed as in A using strain W303-1C. For detection of Der3p, 500 μ l of each fraction were diluted up to 10-fold with 10 mM HEPES (pH 7.5) and the 100,000-g membrane pellets (1 h) were resuspended in urea buffer and loaded onto a 8% SDS-polyacrylamide gel. After Western blotting Der3p

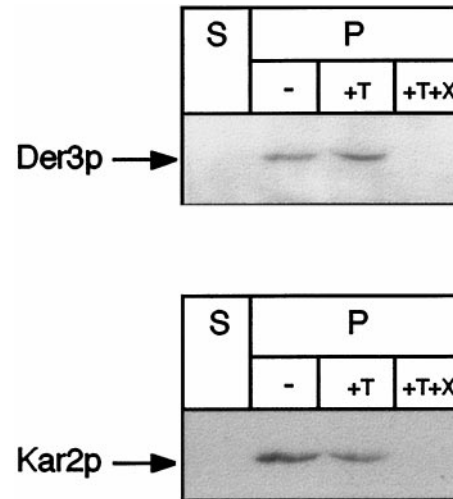


Figure 5. The C-terminal tail of Der3p is oriented to the ER lumen. Yeast spheroplasts of the wild-type strain W303-1C were subjected to gentle lysis. The lysate was centrifuged to separate a soluble fraction (S) from the pellet fraction (P) containing intact yeast microsomes. Aliquots of microsomes were incubated for 30 min on ice in the absence (-) or in the presence of trypsin (+T) or trypsin and Triton X-100 (+T+X). Treatments were stopped by trichloroacetic acid precipitation (10% final concentration). Pellets were resuspended in urea buffer, immunoblotted after SDS-PAGE, and stained with anti-Der3p or anti-Kar2p polyclonal antibodies.

added, the C-terminal region of Der3p becomes accessible to the protease and is completely degraded. Since the antibody is only directed against the hydrophilic C-terminus of Der3p, this treatment leads to complete loss of the ability of the antibodies to detect any fragment of the protein (Figure 5). Furthermore, only after treatment of the microsomes with Triton X-100 is Kar2p accessible to trypsin digestion. This demonstrates that the hydrophilic C-terminal tail of Der3p is located in the lumen of the ER.

Loss of Der3p Causes an Accumulation of CPY Inside the ER*

To shed some light on the function of Der3p in the ER-associated degradation pathway, we investigated the locus of the accumulation of CPY* in cells harboring the deleted *DER3* gene. Microsomes were prepared and treated with trypsin in the absence or presence of Triton X-100 and CPY antigenic material was visualized with CPY monoclonal antibodies. As can be seen in Figure 6A, CPY* is protected by the ER membrane from proteolytic digestion by trypsin. Only

Figure 4 (cont). and Kar2p were detected with suitable antibodies. Only fractions 1–8 are shown; no band could be detected in fractions 9–17.

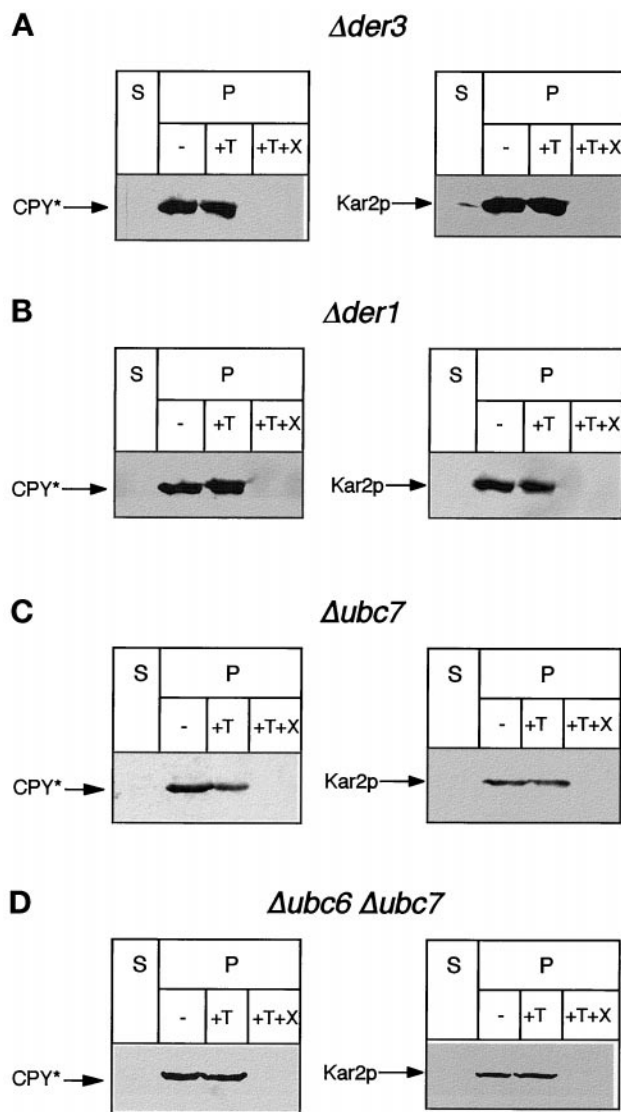


Figure 6. Localization of CPY* in different mutants with a reduced ER degradation. Protease protection experiments were performed with intact yeast microsomes of the strains W303-1 Δ 3 (Δ der3), W303-1CD (Δ der1), W303-CQ (Δ ubc7), and W303CPQ (Δ ubc6 Δ ubc7). Aliquots of the soluble fraction (S) and ER vesicles (P) not treated (-) or treated with trypsin (+T) or with trypsin and Triton X-100 (+T+X) were subjected to Western blotting and levels of CPY* and for control Kar2p were detected with suitable antibodies.

upon lysis of membranes with Triton X-100 does CPY* become digested. The integrity of the vesicles was controlled with the ER luminal chaperone Kar2p which behaves identical to CPY* in this experiment. Thus, CPY* accumulates inside the ER in yeast cells lacking the *DER3* gene.

The integrity of the Ubc6-Ubc7 ubiquitination pathway is required for ER degradation of CPY* (Hiller *et al.*, 1996). We therefore tested whether a defect in *Der3* affected the function of this pathway. For this purpose,

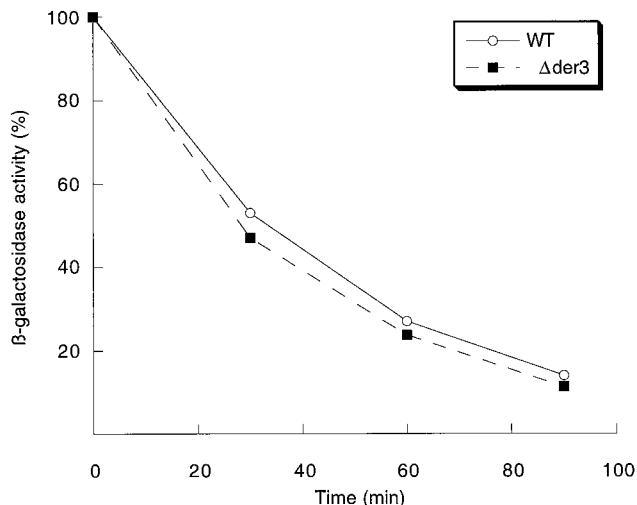


Figure 7. The Ubc6-Ubc7 ubiquitination and proteasome pathway is not affected by the absence of *Der3p*. The kinetics of the degradation of a Deg1- β -galactosidase fusion protein in the strains W303-1C (WT) and W303-1 Δ 3 (Δ der3) was measured. Early stationary phase yeast cells grown on CM medium of both strains harboring the high-copy plasmid pDeg1 were harvested and resuspended in fresh CM medium containing cycloheximide (0.5 mg/ml) and incubated at 30°C. Samples of the culture were taken after 0, 30, 60, and 90 min, and the activity of β -galactosidase was measured. Enzymatic activity was set at 100% at time 0.

the kinetics of degradation of Deg1- β -galactosidase, a fusion protein of β -galactosidase with the Deg1 degradation domain of the Mata2 repressor protein, which had been proven to be a specific substrate for Ubc6-Ubc7-dependent ubiquitination (Chen *et al.*, 1993), was studied in a wild-type and Δ der3 background (Figure 7). No significant difference in degradation of this substrate could be observed in Δ der3 cells as compared with wild type. This indicates that *Der3p* is not involved in any function connected with recruitment of the ubiquitin-conjugating enzymes Ubc6 and Ubc7 or the proteasome to the ER membrane for degradation of CPY*.

Also Loss of *Der1p* and *Ubc6p/Ubc7p* Leads to Accumulation of CPY* Inside the ER

Besides components of the translocon and Kar2p (Plemper *et al.*, 1997), as well as the proteasome (Hiller *et al.*, 1996), we had previously described additional gene products, *Der1p*, *Ubc7p/Der2p*, and *Ubc6p* to be required for a proper ER-associated degradation of CPY* (Hiller *et al.*, 1996; Knop *et al.*, 1996). Deletion of these genes also leads to accumulation of CPY* in cells. Using the same methods as applied for localization of CPY* in Δ der3 mutants, we found CPY* also localized inside the ER in Δ der1 mutants (Figure 6B). Interestingly, in an isogenic strain harboring a *UBC7* deletion allele, a partial accumulation of CPY* is visi-

ble in microsomes (Figure 6C). However, in a strain lacking both ubiquitinating enzymes Ubc6 and Ubc7, most of CPY* accumulates in the ER lumen (Figure 6D). Thus, components localized in the ER membrane (Der1p, Der3p) as well components functionally localized in the cytoplasm (Ubc6p, Ubc7p) are necessary for retrograde transport of CPY* from the ER lumen to the cytosol.

Deletion of DER3 Suppresses the Temperature-sensitive Growth of Cells Carrying the *sec61-2* Mutation and Leads to a Stabilization of the Sec61-2 Mutant Protein In Vivo

Sec61p is a major component of the protein import machinery of the ER membrane (Deshaies *et al.*, 1991; Görlich *et al.*, 1992; Hartmann *et al.*, 1993). A mutant form of this protein is encoded by the *sec61-2* allele (Deshaies and Schekman, 1987). Like CPY* (Hiller *et al.*, 1996), this mutant protein is selectively degraded by the ubiquitin-proteasome pathway at the restrictive temperature of 38°C (Biederer *et al.*, 1996). Since the luminal CPY* and the Sec61-2p are degraded via the same proteolytic pathway, it was interesting to elucidate whether Der3p was involved in some step necessary for degradation of the membrane-located Sec61-2p prior to ubiquitination and proteasomal proteolysis.

Strains carrying the *sec61-2* allele show a clear temperature-sensitive growth, not surviving at the restrictive temperature of 38°C (Deshaies and Schekman, 1987). We therefore investigated whether the deletion of *DER3* is a suppressor of the growth defect induced by the *sec61-2* mutation. A strain carrying the *sec61-2* allele and a deletion of *DER3* was constructed. Although the strain carrying the *sec61-2* mutation was unable to grow at 38°C due to degradation of the Sec61-2 protein and by this being completely devoid of the ability to import proteins into the ER, the *sec61-2 Δder3* double mutant was able to grow at 38°C (Figure 8A). As expected *sec61-2* mutant cells stopped growing again, when the *DER3* gene was introduced into the *Δder3* mutant on a plasmid. This indicated that lack of the Der3 protein stabilized the mutated translocon component Sec61-2p, allowing protein import into the ER. Thus, a defective degradation of Sec61-2p in the absence of Der3p has to be assumed.

We measured the half-life of the Sec61-2 protein in *DER3* wild-type and *Δder3* mutants in a pulse-chase experiment at the restrictive temperature of 38°C. After SDS-PAGE of the Sec61p antigenic material, labeled Sec61-2 protein was visualized by autoradiography and its half-life was measured using a Phosphorimager. As can be seen in Figure 8B, wild-type Sec61p is rather stable even after 150 min of chase at nonpermissive temperature. The *sec61-2*

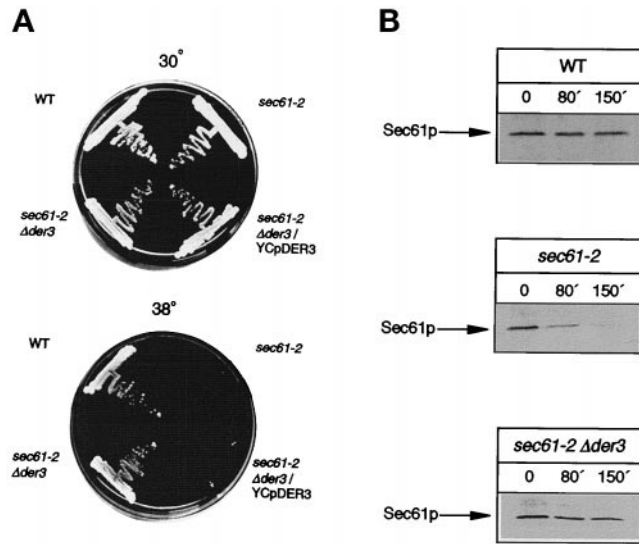


Figure 8. (A) Disruption of *DER3* suppresses the temperature-sensitive growth phenotype of the *sec61-2* mutant. Strains W303-1C (WT), YRP086 (*sec61-2*) and YRP105 (*sec61-2 Δder3*) harboring the *LEU2* containing plasmid YCplac111, and YRP105 (*sec61-2 Δder3/ YCpDER3*) containing the *DER3* gene in a centromeric plasmid were tested for growth at 30 and 38°C on CM plates without leucine for 36 h. (B) Deletion of *DER3* blocks the degradation of Sec61-2p at restrictive temperature. Pulse-chase experiments were performed in strains W303-1C (WT), YRP086 (*sec61-2*), and YRP105 (*sec61-2 Δder3*). Exponentially growing cells on SD medium were shifted to 38°C for 2 h and labeled with [³⁵S]methionine. After the chase aliquots of cells were taken at the indicated chase points, lysed, and immunoprecipitated with specific anti-Sec61p antibodies.

allele encodes a rapidly degraded Sec61-2 protein at 38°C which is barely detectable after 150 min of chase. Deletion of *DER3* leads to a considerable stabilization of the Sec61-2 protein. The half-life of the mutant protein is increased threefold in the *Δder3* mutant strain as compared with *DER3* wild type.

We expressed *DER3* under the control of the *GAL1* promoter in isogenic wild-type and *sec61-2* mutant strains. While on glucose-containing medium both strains were clearly able to grow at 25°C and 30°C; induction of overexpression of *DER3* on galactose-containing medium led to a dramatic slow down of growth of the strain harboring the *sec61-2* allele at 25°C and 30°C (Figure 9). Again, this indicates that Der3p is involved in the degradation of the Sec61-2 mutant protein. As after deletion of *UBC7*, a *sec61-2* mutant strain overexpressing *DER3* becomes viable (our unpublished observations), the lethality of high-level expression of Der3p in *sec61-2* strains at permissive temperature must be explained by a faster degradation of the mutated Sec61-2 protein.

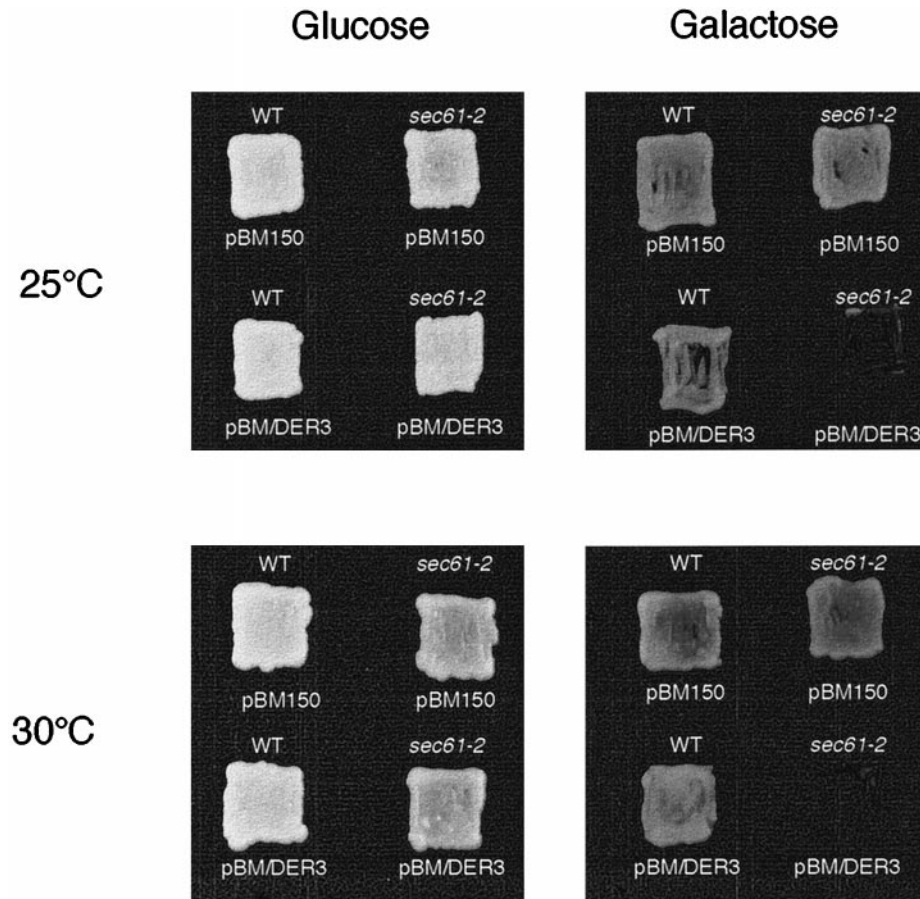


Figure 9. Overexpression of *DER3* is lethal in a *sec61-2* mutant at permissive temperature. Strains W303-1C (WT) and YRP086 (*sec61-2*) harboring the *URA3* containing plasmid pBM150 or the *DER3* gene under the control of the *GAL1* promoter cloned into pBM150 plasmid (pBM/DER3) were grown on CM plates without uracil with glucose or galactose as carbon source at 25 and 30°C for 36 h.

Integrity of the Hydrophilic Tail of Der3p Is Essential for ER Degradation of CPY and Sec61-2p*

To investigate the role of the hydrophilic C-terminal tail of Der3p containing the RING-H2 finger motif, we constructed a mutant form of the protein lacking a fragment of 114 residues between position 307 and 419, including the RING-H2 domain (see Figure 1). After expression in yeast cells, this Der3ΔR truncated protein of about 50 kDa could be easily detected in crude extracts by Western blot analysis and located to the ER membrane by immunofluorescence techniques (our unpublished observations). Correct orientation of the C-terminal tail of Der3ΔR to the ER lumen was confirmed by a protease-protection experiment performed with intact yeast microsomes (Figure 10A). Mutated Der3p can be detected in the ER vesicle fraction after treatment with trypsin and only after addition of a detergent, the luminal tail becomes accessible to the protease and is degraded.

We investigated the ability of Der3ΔRp to support ER degradation of CPY*. For this purpose we compared steady-state levels of CPY* in yeast cells expressing wild-type Der3p and mutated Der3ΔRp as well as a strain carrying the Δ*der3* deletion. As can be

seen in Figure 10B, while in *DER3* wild-type cells CPY* can be hardly detected, Der3ΔRp-harboring cells show high accumulation of CPY* which is equivalent to a Δ*der3* null mutant strain. This indicates that the intact luminal tail is necessary for the function of Der3p in ER degradation of CPY*.

We also studied the function of the truncated Der3ΔRp in ER degradation of the integral membrane Sec61-2 mutant protein at the restrictive temperature of 38°C. As can be seen in Figure 10C, yeast cells harboring the *sec61-2* and *der3ΔR* alleles are able to grow at 38°C, suggesting a block in degradation of the mutated component of the ER translocon. This result was confirmed by measuring levels of Sec61p in cells after a 1-h shift to the restrictive temperature (Figure 10D). While in a *sec61-2* *DER3* strain the intensity of the Sec61-2p immunoreactive band was clearly reduced; in an isogenic strain expressing Der3ΔRp, levels of Sec61-2p reached the level found in a strain harboring a deletion in *DER3*. From this we conclude that the luminal tail of Der3p and most likely its RING-H2 domain plays an important role in the degradation pathway for both misfolded luminal and integral membrane proteins of the ER.

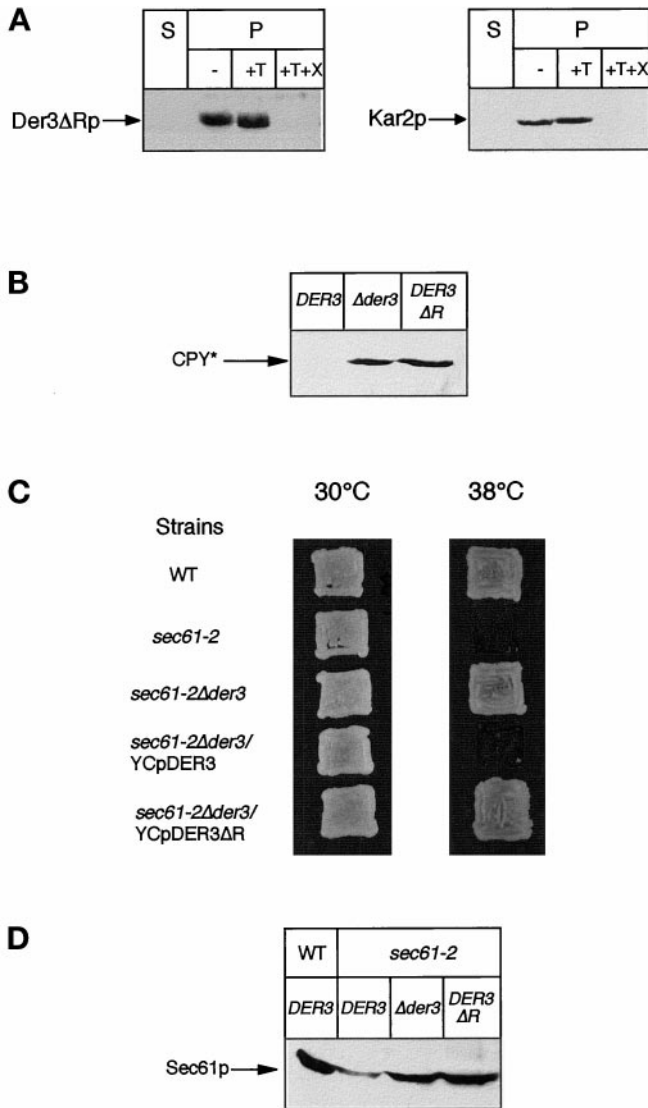


Figure 10. Integrity of the luminal tail of Der3p is required for a proper degradation of CPY* and Sec61-2p. (A) The C-terminal hydrophilic tail of the truncated protein Der3ΔR is oriented to the ER lumen. A protease protection assay was performed with intact yeast microsomes from strain W303-1CΔ3 harboring the plasmid YCpDER3ΔR. Levels of Der3ΔRp and Kar2p were detected after Western blotting in the soluble fraction (S) and in ER vesicles (P) not treated (-) or after treatment with trypsin (+T) or with trypsin and Triton X-100 (+T+X). (B) Steady-state levels of CPY* in crude extracts prepared from yeast cells from strain W303-1C expressing wild-type Der3p (DER3), W303-1CΔ3 (Δder3), and W303-1CΔ3 harboring the plasmid YCpDER3ΔR (DER3ΔR) grown on CM medium were immunodetected with monoclonal anti-CPY antibodies after SDS-PAGE using Western blotting. (C) Expression of the truncated Der3ΔR protein is not able to revert the suppression of the temperature-sensitive growth phenotype caused by the disruption of the DER3 gene. Strains W303-1C (WT) and YRP086 (sec61-2) harboring the LEU2-based centromeric plasmid YCplac111 and strain YRP105 containing the plasmids YCplac111(sec61-2Δder3), YCpDER3 (sec61-2Δder3/YCpDER3), or YCpDER3ΔR (sec61-2Δder3/YCpDER3ΔR) were tested for growth on CM plates without leucine at 30 and 38°C for 36 h. (D) Der3ΔR protein cannot support degra-

DISCUSSION

We have cloned the *DER3* gene by complementation of the *der3-1* mutation which leads to a dramatically reduced degradation rate and thus to highly increased steady-state levels of CPY*, a luminal substrate for ER-associated protein degradation in the yeast *Saccharomyces cerevisiae* (Finger *et al.*, 1993; Knop *et al.*, 1996). After sequencing and the subsequent search in the data bank, we found *DER3* to be identical to the ORF YOL013 located on chromosome XV. Recently, a report on the identification and sequencing of three genes (called *HRD*) involved in the regulation of the ER membrane-bound HMG-CoA reductase via proteasome-triggered degradation appeared (Hampton *et al.*, 1996). It turned out that *DER3* is identical to *HRD1*. Deletion of *DER3* resulted in mutant strains, which, like the *der3-1* point mutant, exhibit an almost complete retardation of the proteolysis of CPY* and by this accumulated considerable amounts of the mutant protein (Figure 2, A and B). No other phenotype was observed for Δder3 cells.

The amino acid sequence of Der3p predicts a protein of about 64 kDa and a structure of an N-terminal hydrophobic region with five putative transmembrane domains followed by a 333-amino acid containing hydrophilic C terminus (Figure 1). A protein of similar size was identified after SDS-PAGE. Cell fractionation and immunofluorescence studies located Der3p to the endoplasmic reticulum, most likely to the ER membrane (Figures 3 and 4). Proteinase-protection experiments with isolated microsomes indicated the hydrophilic C-terminal tail of Der3p to be located in the lumen of the ER (Figure 5). This C-terminal region of Der3p contains a RING-H2 finger motif which is defined by the order and distance of cysteine and histidine residues and the flanking regions (Freemont *et al.*, 1993).

Protease-protection experiments located CPY* in the lumen of the ER in Δder3 cells. Other components, which are known to be necessary for ER degradation of CPY* and respective mutants of these proteins thus accumulate CPY*, are components of the translocon and Kar2p (Plemper *et al.*, 1997), Der1p (Knop *et al.*, 1996), the ubiquitin-conjugating enzymes Ubc7p/Der2p and Ubc6p as well as the 26S proteasome (Hiller *et al.*, 1996). Besides mutations in translocon components, Kar2p (Plemper *et al.*, 1997) and, as shown here, Der3p, also disruption of the *DER1* gene by

Figure 10 (cont). dation of the mutated Sec61-2p at 38°C. Exponentially growing cells on CM medium at 25°C from strains W303-1C (WT/DER3), YRP086 (sec61-2/DER3), YRP105 (sec61-2/Δder3), and YRP105 harboring the plasmid YCpDER3ΔR (sec61-2/DER3ΔR) were shifted to 38°C for 1 h. Levels of Sec61p were detected after SDS-PAGE and Western blotting with specific antibodies.

depleting cells of the ER membrane-located Der1p (Knop *et al.*, 1996) leads to accumulation of CPY* inside the ER (Figure 6B). Interestingly, disruption of the *UBC7/DER2* gene that encodes a cytoplasmically localized ubiquitin-conjugating enzyme (Jungmann *et al.*, 1993) only leads to partial accumulation of CPY* inside the ER (Figure 6C). Obviously only some CPY* is retrograde transported to the cytoplasmic surface of the ER in $\Delta ubc7/\Delta der2$ cells, where it is ubiquitinated, most likely by Ubc6p (Hiller *et al.*, 1996). Interestingly, when ubiquitination was completely blocked by disruption of *UBC7/DER2* and *UBC6*, encoding a cytoplasmically oriented ubiquitin-conjugating activity in the ER membrane (Sommer and Jentsch, 1993), CPY* remained in the ER lumen (Figure 6D). This strongly indicates the involvement of ubiquitinating enzymes Ubc6p and Ubc7p, either directly or indirectly in the retrograde transport process of CPY* across the ER membrane.

The fact that *DER3* had also been found to be a necessary gene for the degradation of HMG-CoA reductase, localized to the cytoplasmic face of the ER membrane, anticipated that Der3p might represent a protein generally involved in the ER degradation process of luminal and membrane proteins. A well-known protein degraded via the same components as CPY*, the ubiquitin-conjugating enzymes Ubc6p and Ubc7p as well as the proteasome, is a mutant form of the ER translocon channel protein Sec61p (Biederer *et al.*, 1996). Mutants carrying the *sec61-2* allele are unable to grow at 38°C due to degradation of Sec61-2p. The $\Delta der3$ mutation turned out to be a suppressor of the *sec61-2* mutation (Figure 8A). As expected from the suppressor phenotype, $\Delta der3$ mutants considerably stabilized the mutant Sec61-2 protein by allowing import of proteins into the ER and thus growth (Figure 8B). In addition, high-level expression of the Der3 protein from the *GAL1* promoter was lethal for *sec61-2* cells at permissive temperature (Figure 9), suggesting that an increased degradation of the mutated Sec61-2p takes place. These results indicate that Der3p has a central role in the ER degradation pathway and that it is necessary in general for removal of luminal and membrane-bound proteins. This is in contrast to the ER membrane Der1 protein, which has only been found to be necessary for the degradation of the luminal CPY* so far.

RING-H2 finger motifs are thought to be involved in protein-protein interaction (Saurin *et al.*, 1996). We constructed a truncated version of Der3p lacking a region of 114 amino acids in the C-terminal tail which includes the RING-H2 finger domain and demonstrated its correct expression and localization in cells. Interestingly, this mutant Der3p is able to mediate proper degradation of neither CPY* nor Sec61-2p (Figure 10). This result suggests that the luminal tail and here, most likely, the RING-H2 finger plays an impor-

tant role in the function of Der3p. This function could reside in the delivery of proteins to be degraded into close proximity to the translocation machinery, of which Sec61p and Sec63p are important components and/or open the translocation channel for lateral gating and export of the proteins to the cytoplasm. Here, the ubiquitin-conjugating enzymes, Ubc6p and Ubc7p, and the proteasome with its ATPase subunits, with or without the help of additional chaperones, might comprise the machinery which provides the driving force for export and degradation.

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Note added in proof. Recently a gene, *CUE1*, was identified which encodes an ER membrane protein involved in the recruitment of the ubiquitin-conjugating enzyme Ubc7p to the ER membrane essential for Ubc7p-dependent protein degradation. A triple mutant deleted in *Cue1p* and the ubiquitinating enzymes Ubc6p and Ubc7p were shown to be defective in the export of CPY* from the ER, and the importance of ubiquitination for this process was suggested. (Biederer *et al.* *Science* 278, 1806–1809, 1997). Our studies demonstrate that deletion of the ubiquitin-conjugating enzymes Ubc6p and Ubc7p is sufficient for a block of retrograde transport of CPY* giving direct proof for the necessity of ubiquitin conjugation for the reverse translocation event.

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