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# **Spectroscopic and Computational Studies of Reduction of the Metal versus the Tetrapyrrole Ring of Coenzyme F430 from Methyl-Coenzyme M Reductase†**

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# **Abstract**

Methyl-Coenzyme M reductase (MCR) catalyzes the final step in methane biosynthesis by methanogenic archaea and contains a redox-active nickel tetrahydrocorphin, Coenzyme  $F_{430}$ , at its active site. Spectroscopic and computational methods have been used to study a novel form of the Coenzyme, called  $F_{330}$ , which is obtained by reducing  $F_{430}$  with sodium borohydride (NaBH<sub>4</sub>). F330 exhibits a prominent absorption peak at 330 nm, which is blue shifted by 100 nm relative to  $F_{430}$ . Mass spectrometric studies demonstrate that the tetrapyrrole ring in  $F_{330}$  has undergone reduction, based on the incorporation of protium (or deuterium), upon treatment of  $F_{430}$  with  $NABH<sub>4</sub>$  (or  $NABD<sub>4</sub>$ ). One- and two-dimensional NMR studies show that the site of reduction is the exocyclic ketone group of the tetrahydrocorphin. Resonance Raman studies indicate that elimination of this π-bond increases the overall π-bond order in the conjugative framework. X-ray absorption, magnetic circular dichroism, and computational results show that  $F_{330}$  contains low-spin Ni(II). Thus, conversion of  $F_{430}$  to  $F_{330}$  reduces the hydrocorphin ring but not the metal. Conversely, reduction of F<sub>430</sub> with Ti(III) citrate to generate F<sub>380</sub> (corresponding to the active MCR<sub>red1</sub> state) reduces the Ni(II) to Ni(I), but does not reduce the tetrapyrrole ring system, which is consistent with other studies (Piskorski, R. and Jaun, B. (2003) *J. Am. Chem. Soc*. 125:13120-5 and Craft, J. L. et al. (2004) *J. Biol. Inorg. Chem*. 9:77-89). The distinct origins of the absorption band shifts associated with the formation of  $F_{330}$  and  $F_{380}$  are discussed within the framework of our computational results. These studies on the nature of the product(s) of reduction of  $F_{430}$  are of interest in the context of the mechanism of methane formation by MCR and in relation to the chemistry of hydroporphinoid

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systems in general. The spectroscopic and time dependent DFT calculations add important insight into the electronic structure of the Ni-hydrocorphinate in its Ni(II) and Ni(I) valence states.

# **Introduction**

Methyl-coenzyme M reductase<sup>1</sup> (MCR) catalyzes the final step in methane formation from methyl-coenzyme M (methyl-SCoM, 2-(methylthio)ethanesulfonate) and coenzyme B (CoBSH, N-7-mercaptoheptanoylthreonine phosphate) according to Eq. 1 (1). In this reaction, CoBSH serves as the electron donor (2) for the two-electron reduction of methyl-SCoM to produce  $CH_4$  and the mixed disulfide CoBS-SCoM. MCR contains Coenzyme  $F_{430}$  (Figure 1), a redox-active nickel tetrahydrocorphin, at its active site (3-5). Coenzyme  $F_{430}$  is the most reduced tetrapyrrole in nature, containing only five double bonds in the macrocycle. X-ray crystallographic studies of MCR revealed that  $F_{430}$  forms the base of a narrow well that accommodates the two substrates and shields the reaction from solvent (6). All methanogens contain  $F_{430}$  (7) and MCR is the only enzyme known to date to use this Coenzyme.

> $MethylSCoM+CoBSH \rightarrow CH<sub>4</sub>+CoBSSCoM$ (1)

 $F_{430}$  requires reductive activation to form a state called MCR<sub>red1</sub> that is capable of entering the catalytic cycle. (8). *In vitro*, MCR is converted to MCR<sub>red1</sub> by chemical reduction of the  $MCR<sub>ox1</sub>$  state with Ti(III) citrate at pH 10.0 (8,9), while, *in vivo*, the red1 state can be generated by treating cells with 100%  $H_2$  prior to harvest (10). MCR<sub>red1</sub> has been assigned as a Ni(I) species based on the similarity of its UV-visible and EPR spectra with the Ni(I) species produced when  $F_{430}$  is reduced by Ti(III) citrate (11) or when its pentamethylester is reduced by sodium amalgam (12). While Coenzyme  $F_{430}$  is EPR silent, MCR<sub>red1</sub> is characterized by an EPR spectrum with g-values at 2.25, 2.070, and 2.060 (13-15), which is typical of an approximately square planar Ni(I) system with one unpaired electron in the  $d_{x2-y2}$  orbital (11,16,17). Coenzyme  $F_{430}$  exhibits a UV-visible spectrum with an absorption peak near 430 nm (431 nm for the free Coenzyme in water (18) and 422 nm for the MCR-bound Coenzyme (19)). Magnetic circular dichroism (MCD) spectroscopic studies clearly demonstrate that at low temperature  $F_{430}$  is a high spin (S = 1) Ni(II) species (20-22) A significant blue-shift of the dominant absorption feature is observed when the Coenzyme is reduced to the Ni(I) state  $(\lambda_{\text{max}} = 378 \text{ nm}$  for the free Coenzyme in water (11) and 388 nm for the MCR-bound Coenzyme (9)). Under the conditions described here, the absorption peak of the Ti(III)-reduced Coenzyme is at 376 nm, so we refer to this state as  $F_{380}$ .

Although the assignment of  $MCR_{\text{red1}}$  and  $F_{380}$  as Ni(I) species is unambiguous, several results indicated the possibility that the tetrapyrrole ring could undergo reduction. Results of potentiometric titration experiments with Ti(III) citrate revealed that conversion of the Ni(II) state of  $F_{430}$  to  $F_{380}$  involves a 1- to 3-electron reduction (23) The exact number of electrons transferred was ambiguous because Ti(III) citrate was found to be unstable under the titration conditions. Resonance Raman (RR) spectroscopic studies demonstrated that conversion of Ni (II) to the Ni(I) state leads to marked changes in the vibrational spectrum (23). These changes include the disappearance or extensive shift of a band that is sensitive to  $^{14}N \rightarrow ^{15}N$  isotopic substitution, which was interpreted to indicate reduction of a C=N double bond in the corphin ring system. Based on the electrochemical and RR studies coupled to the observation of a  $\sim$  50

<sup>1</sup>Abbreviations: MCR, Methyl-coenzyme M reductase; methyl-CoM, methyl-coenzyme M or , 2-(methylthio)ethanesulfonate; CoBSH, coenzyme B or N-7-mercaptoheptanoylthreonine phosphate; RR, Resonance Raman; MCD, magnetic circular dichroism; XAS, X-ray absorption spectroscopy; DFT, density functional theory; TD-DFT, time dependent density functional theory; QM/MM, combined quantum mechanical/molecular mechanical; VWN-LDA, Vosko-Wilk-Nusair local density approximation; BFGS, Broyden-Fletcher-Goldfarb-Shanno; PIM, positive ion mode; NIM, negative ion mode; HQMC, heteronuclear multiple quantum coherence; COSY, homonuclear correlated spectroscopy; XANES, X-ray absorption near-edge spectroscopy.

nm blue shift in the UV-visible spectrum upon conversion of  $F_{430}$  to  $F_{380}$ , it was proposed that the red1 state contains Ni(I) and a two-electron reduced tetrapyrrole ring (23). However, several recent studies are inconsistent with this proposal. Piskorski and Jaun used the pentamethyl ester form of  $F_{430}$  ( $F_{430M}$ ) in electrochemical studies to show that conversion of Ni(II)- $F_{430}$ to F380 is a 1-electron process, thereby indicating only metal-centered reduction (24). Furthermore, recent absorption and magnetic circular dichroism (MCD) spectroscopic and computational studies of Coenzyme  $F_{430}$  in solution and bound to the MCR active site revealed that a metal-centered one-electron reduction of  $F_{430}$  generates the  $F_{380}$  species, characteristic of the active red1 state (21,25).

In the course of our studies on the reduced states of  $F_{430}$ , we discovered that reduction of F430 with sodium borohydride yields a new species with an absorption peak at 326 nm (called F330). The objectives of the work described here were to use spectroscopic and computational studies to better understand the factors that govern metal versus tetrapyrrole ring reduction and to establish whether or not the tetrapyrrole ring is reduced when  $F_{430}$  is converted to  $F_{380}$  and/ or F<sub>330</sub>. Our results indicate that the conversion of F<sub>430</sub> to F<sub>380</sub> involves a metal-centered oneelectron reduction, while the conversion to  $F_{330}$  involves tetrapyrrole ring reduction and alters the metal spin state, but does not affect the metal oxidation state.

# **Experimental Details**

# **Preparation of Enzyme and Growth Conditions**

All manipulations of the enzyme and Coenzyme F430 were performed in a model PC-1-SSG anaerobic chamber (Vacuum Atmospheres Co., Hawthorne, CA) maintained below 5 ppm oxygen. The chamber is equipped with a S2000 Miniature Fiber Optic Spectrometer (Ocean Optics, Inc., Dunedin, FL). Media were prepared as previously described (26). For <sup>15</sup>N-labeled F430, *Methanothermobacter marburgensis* was grown in the presence of 15NH4Cl (Cambridge Isotope Laboratories, Andover, MA) in the growth medium. MCR was purified from *Methanothermobacter marburgensis* (27) as described earlier (8,9,28). Following purification, MCR was concentrated under argon (passed through an Oxisorb (Alltech, Deerfield, IL) column) under 30 PSI pressure in a 50 mL omegacell (Pall, East Hills, NY) with a YM50 50 kDa molecular mass cutoff filter (Millipore, Billerica, MA).

# **Preparation of F430, F380, and F<sup>330</sup>**

 $F_{430}$  samples were prepared from purified MCR as follows. MCR was titrated with 30% (v/v) HCl to lower the pH below 1.0, which precipitated the protein, releasing  $F_{430}$  into solution. The F<sub>430</sub> content was estimated using an extinction coefficient at 430 nm of 23,300 M<sup>-1</sup>cm<sup>-1</sup> (29). Finally,  $F_{430}$  was purified on a Waters C18 µBondapak column (7.8 mm  $\times$  30 cm) controlled by an Agilent 1100 HPLC system (Agilent Inc., Palo Alto, CA). The column was equilibrated with water and developed with a 50 min linear gradient; 100  $\%$  H<sub>2</sub>O to 40  $\%$ methanol; 2 mL/min. The eluate was monitored at 420 nm, 430 nm and 560 nm.  $F_{430}$  had a retention time of 25 min and was collected directly from the HPLC anaerobically in 9 mL glass serum vials (Alltech, Deerfield, IL) sealed with butyl rubber stoppers (Bellco Inc., Vineland, NJ). Pure  $F_{430}$  was lyophilized and stored at -20 °C.

 $F_{380}$  was prepared chemically, by reducing  $F_{430}$  with Ti(III) citrate at pH 10.0. (11). The  $F_{330}$  form was also prepared chemically, by reducing  $F_{430}$  with either NaBH<sub>4</sub> or NaBD<sub>4</sub> (as indicated) at pH 10. The formation of the reduced species was confirmed by absorption spectroscopy.

The rate of decay or formation of  $F_{430}$  or one of its reduced derivatives was determined by UV-visible spectroscopy by fitting the data to Equation 2 using Sigma Plot (Systat Software, Inc., Point Richmond, CA), where  $1/b$  is the rate constant, y is the absorption value,  $y_0$  is the

offset from zero absorption, x is the time,  $x_0$  is the time at which the absorption has changed by 50%, and a is the maximum absorption amplitude.

$$
y = y_0 + (a/(1 + e^{(x_0) / b)}))
$$
 (2)

#### **Mass Spectrometry**

All mass spectrometry (MS) samples, with the exception of  $F_{380}$ , were prepared in a mixture of water (or deuterium oxide):acetonitrile (50:50) + 0.1% concentrated formic acid (Solution A). F<sub>330</sub> was loaded onto a 10 μL μ-C18 ZipTip (10μL pipette tips with ~1μL of C18 resin bonded at the bottom) pipette tip (Millipore) as specified by the manufacturer, but with the following modifications: the column was washed five times with 10 μL of water to desalt the sample and eluted from the ZipTip using solution A.  $F_{380}$  samples were studied as prepared according to the Results section. All samples were analyzed on an Applied Biosystems QSTAR LX hybrid quadrupole-TOF LC/MS/MS system equipped with a NanoSpray ion source fitted with a 2 μm 1P-4P coated GlassTip PicoTip emitter (New Objective, Woburn, MA.).

## **NMR Spectroscopy**

All NMR data were acquired on a Bruker Avance DRX 500 MHz NMR instrument (Bruker Biospin Corp., Billerica, MA) equipped with a TXI cryoprobe in the UNL Chemistry Department. In each case,  $D_2O$  was used as the solvent and the temperature was maintained at 298 K throughout the data acquisition. A one-dimensional (1D) proton NMR spectrum was acquired both before and after each two-dimensional (2D) acquisition to ensure that the sample did not undergo oxidation during the length of the 2D experiment. Furthermore, at the conclusion of the NMR experiment, a UV-visible spectrum was measured to assess the extent of oxidation. In each of these 1D experiments, 4096 scans were performed at a resolution of 64k data points and an acquisition time of 3.17 s. A gradient HMQC experiment was performed with 1024 scans and a resolution of  $1024 \times 128$ , with an acquisition time of 0.12 s in F2 (the directly detected axis), and the time data were detected on the proton axis. A gradient COSY experiment was also performed, with 1024 scans and a resolution of  $2048 \times 128$ , with an acquisition time of 0.13 s in F2. Gradient HMQC and Gradient COSY techniques are typically used because they increase signal-to-noise relative to the non-gradient methods. Samples were prepared in the anaerobic chamber as indicated (see Figure Legends) and placed in J. Young valve NMR tubes (Norell, Landisville, NJ.) under an atmosphere of argon gas that was passed through an Oxisorb column.

# **Resonance Raman Spectroscopy**

The resonance Raman (RR) measurements were performed on samples of  $F_{430}$ ,  $F_{380}$ , and F330 in tightly sealed 1 mm (internal diameter) capillary tubes. Spectra were obtained at a variety of temperatures ranging from cryogenic (150 K) to ambient in efforts to maximize the S/N ratio. For the cryogenic studies, the capillary tubes were mounted directly onto the cold tip of a closed-cycle liquid He refrigeration system (ADP Cryogenics DE-202).

The RR spectra were acquired with a triple spectrograph (Spex 1877) equipped with a holographically etched 2400 grooves/mm grating in the third stage. Laser excitation at the desired wavelengths was achieved using the discrete outputs of a krypton ion (Coherent Innova 200-K3) or an argon ion (Coherent Innova 400-15 UV) laser. The scattered light was collected in a 90° configuration using a 50 mm f/1.4 Canon camera lens. A UV-enhanced charge-coupled device (CCD) was used as the detector (Princeton Instruments LN/CCD equipped with an EEV1152-UV chip). For F<sub>430</sub> and F<sub>380</sub>, the data acquisition times were  $\sim$  2 h (60  $\times$  120 s frames) and the laser power at the sample was  $\sim 8$  mW; for F<sub>330</sub> the data acquisition times were  $\sim 5$  h  $(120 \times 150 \text{ s frames})$  and power at the sample was  $\sim$ 13 mW. Cosmic spikes were removed

manually prior to the numerical addition of the data sets. The spectral resolution was  $\sim$ 2 cm-1. The frequencies were calibrated using the known vibrational frequencies of indene.

#### **Low-Temperature Absorption and MCD Spectroscopy**

Variable-temperature  $(4 - 100 \text{ K})$  electronic absorption and MCD spectra were collected on a spectropolarimeter (Jasco J-715) in conjunction with a magnetocryostat (Oxford Instruments SM-4000 8T). All MCD spectra were obtained by subtracting the −7T spectrum from the +7T spectrum to eliminate contributions from natural CD.

# **X-ray Absorption Spectroscopy (XAS)**

Ni K-edge XAS data were measured at the Stanford Synchrotron Radiation Laboratory (SSRL) on the 16-pole wiggler beamline 9-3. The monochromator employed dual Si(220) crystals together with a 10 keV cutoff mirror to minimize higher harmonic components. Data were collected in fluorescence mode using a Canberra 30-element Ge-detector. Soller slits and a Co filter were used to minimize contributions from scattered X-rays. X-ray energy calibration data were simultaneously measured on a nickel foil mounted between two ionization chambers positioned after the sample, the first inflection point being assigned to 8331.60 eV. Samples were contained in  $10 \times 3 \times 2$  mm mylar cuvettes with one kapton tape window. These were anaerobically loaded using a Vacuum Atmospheres glove box  $(O_2 < 0.5$  ppm) and they were immediately frozen and stored in liquid nitrogen until measured. The sample temperature during data collection was maintained at 8 K using an Oxford Instruments CF1208 continuous flow liquid helium cryostat fitted with aluminized mylar windows. Data processing employed the EXAFSPAK suite of programs (30). Each spectrum was at least an average of two scans. The individual scans were compared to ensure that there were no changes due to radiation damage. For each spectrum, a polynomial background function was fitted to the pre-edge and subtracted from the entire spectrum. The data were then normalized to an intensity of 1.0 at 8410 eV.

#### **Computations**

**Coenzyme Models—**As our NMR, MCD, and XAS data indicated that F<sub>330</sub> is a diamagnetic species (vide infra), the computational models employed included 4-coordinate Ni(0) and lowspin Ni(II) species. Three possible reduction sites were considered in preliminary density functional theory (DFT) calculations: the metal center (resulting in a  $Ni(0)$  species), the C16=N bond associated with ring D, and the peripheral C17c=O carbonyl moiety adjacent to ring D (Figure 1). The coordinates of a previously published, geometry-optimized model of F430 (**1**) (25) were used as a starting point in the set of computations leading to the  $F_{330}$  models **2, 3, 4a**, and **4b** (Figure 2). These truncated models of Coenzyme F<sub>330</sub> neglect the lactam ring as well as the ring substituents for computational practicality. Previous studies have shown that omitting the lactam ring has minimal effects on the geometry of the hydrocorphin ring (31). Nonetheless, additional computational studies were carried out on the complete Coenzyme using combined quantum mechanical/molecular mechanical (QM/MM) methods. The initial geometry was derived from the crystal structure of  $12,13$ -diepi- $F_{430}$  pentamethyl ester (32), the hydrocorphin ring was modified to reflect the stereochemistry of Coenzyme  $F_{430}$ , and the five ester bonds on the substituents of rings A, B, C, and D were converted to carboxylic acids.

#### **Geometry Optimizations**

**Pure QM Computations:** The Amsterdam Density Functional (ADF) 2004 suite of programs (33-36) was used to refine the atomic positions of models **1**, **2**, **3**, and **4** by DFT energy minimization. These geometry optimizations were performed on a cluster of 20 Intel Xeon processors (ACE computers) using ADF basis set IV, an integration constant of 3.0, and the Vosko-Wilk-Nusair local density approximation (37) (VWN-LDA) with the nonlocal gradient corrections of Becke for exchange (38) and Perdew for correlation (39). Core electrons were frozen through 1s for C, N, and O, and through 2p for Ni.

**QM/MM Computations:** Based on our results from pure QM optimizations (vide infra), QM/ MM computations were performed on three models of the complete Coenzyme; i.e., the Ni(II) F<sub>430</sub> model 1<sup>\*</sup> and the Ni(II) F<sub>330</sub> models 3<sup>\*</sup> and 4<sup>\*</sup> corresponding to the truncated models 1, **3**, and **4a**, respectively (Figure 2). The QM region was chosen to include the hydrocorphin core, the lactam ring, and the two methyl groups attached to carbons **2** and **7** (Figure 1), as well as a hydrogen link cap atom in place of a carbon from each side chain. A scaling factor of 1.376 was applied to the six C–C link bonds. All ring substituents were treated at the MM level of theory. The QM/MM geometry optimizations were also performed on a cluster of 20 Intel Xeon processors (ACE computers) using ADF (33-36). For the QM region, ADF basis set IV was employed, along with the VWN-LDA (37) the nonlocal gradient corrections of Becke for exchange (38) and Perdew for correlation (39) and an integration constant of 4.0. The geometry convergence criterion was set at 0.001 Hartree/Å. Core electrons were frozen through 1s for C, N, and O, and through 2p for Ni. The MM region was treated with the AMBER95 force field using the Broyden-Fletcher-Goldfarb-Shanno (BFGS) Hessian update scheme and simple electrostatic coupling to the QM region (40).

**TD-DFT Calculations—**For time-dependent DFT (TD-DFT) computations, the QMoptimized geometries of **1, 2, 3, 4a,** and **4b** were used as obtained, while the QM/MM optimized models **1\***, **3\***, and **4\*** were suitably truncated. Specifically, in the latter structures the propionate substituents of rings A, B, and C, the acetate substituents of rings C and D, and the acetamide substituents of ring A were replaced by hydrogens. Additionally, the lactam ring was removed, as TD-DFT computations that included the entire QM region failed to converge.

All TD-DFT calculations were performed using the ORCA 2.4.02 software package developed by Dr. Frank Neese (41) Becke's three-parameter hybrid functional for exchange (42,43) and the Lee-Yang-Parr functional for correlation (44) (B3LYP/G) were used with the polarized split valence  $(SV(P))$  basis (45) and the SV/C auxiliary basis (46) for all atoms except Ni, for which Ahlrichs' polarized triple- ζ valence polarization (TZVP) basis (47) was used instead. 50 excited states were calculated for each model with the exception of **2**, for which 60 excited states were considered. The effects of solvation on the TD-DFT-computed transition energies and intensities were accounted for by employing the conductor-like screening model (COSMO) with water as the solvent (dielectric constant  $\varepsilon$ =80.4, refractive index n=1.33) (48). Predicted electronic absorption spectra were generated using the TD-DFT/COSMO results and assuming that each electronic transition gives rise to a Gaussian band with a full width at half maximum of  $v_{1/2} = 1700 \text{ cm}^{-1}$ . The natures of key electronic transitions were analyzed on the basis of isosurface plots of molecular orbitals (MOs) and electron density difference maps (EDDMs), which were obtained with the gOpenMol program developed by Laaksonen (49) using isodensity values of 0.03 au and 0.003 au, respectively.

# **Results**

# **Conversion of F430 to F380 and F330 and Stability of F380 and F<sup>330</sup>**

Coenzyme  $F_{430}$  was isolated from purified MCR in order to obtain the pure native Coenzyme and not its 12,13-diepimer. The ratio of absorbance at 430 nm/275 nm was 0.920, confirming that the Coenzyme was indeed in its native state since this ratio is 1.05 for native  $F_{430}$  and 1.2 for the diepimer (50). As described in the Introduction section, previous experimental studies suggested that both the Ni center and the tetrapyrrole ring of Coenzyme  $F_{430}$  can undergo reduction. Reduction of Coenzyme  $F_{430}$  with Ti(III) citrate generates a Ni(I) state, which we

call  $F_{380}$ , while reduction with sodium borohydride (NaBH<sub>4</sub>) yields a new species with an absorption peak at 326 nm, termed  $F_{330}$ , which has not been previously described (Figure 3).

Several spectroscopic methods were used in an attempt to determine whether or not reduction of  $F_{430}$  to  $F_{380}$  and/or  $F_{330}$  involves tetrapyrrole ring reduction. Potentially the most unambiguous methods to address this issue, NMR spectroscopy and mass spectrometry (MS), are also the most challenging because the reduced  $F_{430}$  states are labile and must be maintained intact throughout the time course of the experiment. For two-dimensional NMR studies, this can require that the reduced state be preserved for as long as 36 hours.

Experiments were performed to establish optimal conditions for generating and maintaining  $F_{380}$  and  $F_{330}$ . When 26  $\mu$ M  $F_{430}$  was treated with 0.6 mM Ti(III)citrate (23-fold excess) in 0.5 M TAPS buffer (pH 10.0) at 25 °C, the 432-nm peak due to the Ni(II)  $F_{430}$  state decayed at a rate constant of  $0.21 \pm 0.05$  min<sup>-1</sup> concomitant with the development of the 378-nm feature of  $F_{380}$  (k = 0.27  $\pm$  0.05 min<sup>-1</sup>). Under these conditions,  $F_{380}$  was relatively stable in the anaerobic chamber, converting back to  $F_{430}$  with a rate constant of  $0.0082 \pm 0.0005$  min<sup>-1</sup>. However, the concentration of Ti(III) citrate used in these experiments was too high for mass spectrometric analysis. Furthermore, incubation of  $F_{430}$  with high concentrations of Ti(III) for extended time periods converts  $F_{380}$  to  $F_{330}$ . On the other hand, some Ti(III) was clearly required to prevent oxidation of  $F_{380}$  even in an anaerobic chamber maintained at < 5 ppm  $O_2$ , as the redox potential for the Ni(II)/(I) couple of  $F_{430}$  is -440 mV (14). Hungate pointed out that, in the absence of a reductant, even a mildly reducing potential of -0.33 V can only be achieved when the oxygen concentration in solution is less than  $10^{-56}$  molecules per liter (51) Thus, we performed studies to determine both the optimum pH and the minimum amounts of Ti(III) that could be used to maintain  $F_{380}$ .

 $F_{380}$  stability is pH dependent (24). When the pH of a solution containing  $F_{380}$  was lowered from pH 10.8 to 9.2, ca. 70% of  $F_{380}$  converted to  $F_{430}$  within 10 minutes (Figure S1, Supplementary Information). This rate of reversion is 20 times faster than the rate of conversion of F<sub>380</sub> to F<sub>430</sub> at pH 10.8 (Figure S2). The pH-dependent conversion of Ni(I) to Ni(II) is not reversed by adding base; for example, the spectrum of  $F_{430}$ , generated by decay of  $F_{380}$  at pH 9.2, does not change when the pH is raised to 10.8 by titrating with base.

Based on the above experiments, the inclusion of Ti(III) citrate and high pH values are required to prevent the reversion to  $F_{430}$ . Thus, we maintained the pH between 10.0 and 10.5 and lowered the Ti(III) citrate concentrations as much as possible to maintain  $F_{380}$  during the MS data collection. A 5-fold excess of Ti(III) was sufficient to generate and retain the reduced state of F380 during the mass spectrometric analysis, while a 10-fold excess slightly decreases the signal/noise ratio.

Further precautions included flushing the injection loop with anaerobic water:acetonitrile (50:50) prior to injection and washing the injection needle with the same mixture before introducing the sample into the injection needle. The electronic absorption spectra obtained of a sample before and of a control sample after the MS experiment showed that only 18% loss of F380 had occurred during the mass spectrometric analysis (Figure S3).

While reduction of F<sub>430</sub> with Ti(III) citrate generates F<sub>380</sub>, treatment of F<sub>430</sub> with NaBH<sub>4</sub> results in an even more dramatic blue shift of the main UV-visible absorption feature from 430 to 330 nm, signaling the formation of  $F_{330}$  (Figure 3). We also optimized conditions for generating and maintaining  $F_{330}$  during the mass spectroscopic and NMR experiments (vide infra).

# **Mass Spectrometric (MS) Studies**

After establishing conditions for reducing and maintaining  $F_{380}$ , MS experiments were performed in the positive and negative ion modes (PIM and NIM, respectively) at pH 10.5 where  $F_{380}$  is most stable. The molecular formula of  $F_{430}$  is  $C_{42}H_{51}N_6O_{13}Ni$ , which corresponds to an exact mass of 905.2868 in full agreement with the mass spectrometric results.

In the PIM, the major peak for the singly charged form of  $F_{430}$  (Figure 4) has an  $m/z$  value of 905.3 (29). This corresponds to the expected molecular ion with Ni in the 2+ state and the tetrahydrocorphinoid ligand with a -1 charge (Figure 1). It is difficult to detect the doubly charged form of  $F_{430}$  (Figure 4, inset), which exhibits approximately 3% of the relative intensity of its singly charged counterpart. Presumably, the doubly charged form corresponds to the protonation of one of the nitrogens on the ligand, which has been observed in the doubly charged forms of porphyrins and their analogs (52). Thus, the expected  $m/z$  value should be 453.2, i.e.,  $(905.3 + 1)/2$ , consistent with the experimentally observed value.

Mass spectrometry was also used to determine the molecular mass of  $F_{380}$ .  $F_{380}$  is difficult to detect in the PIM in either the singly or doubly charged form (not shown), though it is readily detected in the NIM (as described below, Figure 5). Assuming that the ligand remains in the same charged state, the conversion of  $F_{430}$  to  $F_{380}$  by addition of one electron to the Ni ion should generate a neutral molecule, which would not be detected using the PIM. The intensities of the peaks generated from the  $F_{380}$  sample are about 1% of those from the  $F_{430}$  at matched concentrations (not shown). We suspect that those low intensity peaks may actually be due to a small amount of  $F_{430}$  remaining in the  $F_{380}$  sample. If Ti(III) citrate were to reduce a double bond of the tetrapyrrole ring of  $F_{430}$  during formation of  $F_{380}$ , the major 905.3 peak would increase by  $+2 m/z$  units to 907.3.

The NIM is ideal for MS analysis of  $F_{380}$  and  $F_{430}$  (Figure 5). In the NIM, the doubly charged forms of both F430 and F380 produce significant contributions to the corresponding spectra. Specifically, in the case of  $F_{430}$  (Figure 5, left), the singly charged and doubly charged species contribute equally to the spectrum, while for  $F_{380}$  (Figure 5, right), the spectrum is dominated by the doubly charged species. This pattern is quite different from that of  $F_{430}$ , suggesting  $F_{380}$  is indeed observed in the mass spectrum. For both  $F_{430}$  and  $F_{380}$ , the major peaks are observed at 903.3 (905.3-2), as expected for the loss of 2 protons from the carboxyl groups appended to the tetrahydrocorphinoid ring<sup>2</sup> and 451.2 (expect 903.3/2 = 451.6)  $m/z$  units for the singly and doubly charged species, respectively. That the major form of the  $F_{380}$  sample is the doubly charged species strongly indicates that Figure 5 (right) is the mass spectrum of F380, since one electron reduction of Ni would increase the negative charge on the complex to -2 without affecting the protonation state of the tetrapyrrole ligand (i.e., in  $F_{430}$  and  $F_{380}$ , the tetrahydrocorphin would have a net -3 charge and the Ni would be +1). These results provide the most direct evidence to date that conversion of  $F_{430}$  to  $F_{380}$  does not involve reduction of a double bond on the hydrocorphin ring.

While reduction of  $F_{430}$  with Ti(III) citrate to generate  $F_{380}$  causes a significant blue-shift of the dominant absorption feature from 430 to 380 nm, treating  $F_{430}$  with NaBH<sub>4</sub> results in an even more dramatic blue-shift of this feature to 330 nm, yielding  $F_{330}$  (Figure 3). To determine if this UV-visible spectral perturbation associated with  $F_{430}$  conversion to  $F_{330}$  (Figure 3) results from metal- or hydrocorphin-centered reduction, we compared the mass spectrum for F430 with those of samples treated with either NaBH4 (Figure 4, center) or NaBD4 (Figure 4, right). We took the same precautions described above for the analysis of  $F_{380}$  except that we were able to remove the reductant (NaBH<sub>4</sub>), since  $F_{330}$  is more stable than  $F_{380}$ . In the PIM

<sup>&</sup>lt;sup>2</sup>The formal charge on Ni is  $+2$  and that on the directly bonded nitrogen is  $-1$ , so with the loss of two protons from the carboxyl groups, the net charge on the ligand would be -3.

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MS spectral region associated with the singly protonated species, the major peak shifts by  $+2$  $m/z$ , from 905.3 to 907.3, when  $F_{430}$  is reacted with NaBH<sub>4</sub> and by +3  $m/z$ , to 908.3, when F430 is reacted with NaBD4. Similarly, the major peaks associated with the doubly charged products formed upon NaBH<sub>4</sub>- and NaBD<sub>4</sub>-treatment of  $F_{430}$  (insets of Figure 4, center and right), which we call F<sub>330</sub> and F<sub>330</sub>D, occur at  $m/z$  values of 454.2 and 454.7, respectively. Multiplying these values by two and subtracting one yields  $m/z$  ratios of 907.3 for F<sub>330</sub> and 908.3 for F<sub>330D</sub>. The doubly charged forms of F<sub>330</sub> and F<sub>330D</sub> contribute approximately 72-88% of the total intensity, corresponding to an  $\sim$ 25-fold increase in the fraction of doubly charged species formed in these experiments relative to those involving  $F_{430}$  (Figure 4, left).

The +2 unit increase in mass when  $F_{430}$  is treated with NaBH<sub>4</sub> and the +3 unit increase upon treatment with NaBD4 in water indicate that two hydrogens are incorporated upon conversion of F<sub>430</sub> to F<sub>330</sub> and that one of the hydrogens is introduced from the solvent and one is not. This result shows that transfer of one nonexchangeable hydride (or deuteride) and one solventintroduced proton (or deuteron) occurs when  $F_{430}$  is converted to  $F_{330}$  (or  $F_{330D}$ ). We also performed reduction of  $F_{430}$  with NaBD<sub>4</sub> in D<sub>2</sub>O, expecting that under these conditions an increase in  $m/z$  of 4 units should be observed, since a deuteride would come from NaBD<sub>4</sub> and a deuteron from the solvent. However, even when  $F_{430}$  itself is placed in D<sub>2</sub>O, the peaks at  $m/$ *z* 905.3 are replaced with a heterogeneous mixture of peaks that appear around *m/z* of 914 (Figure S4), indicating that several protons associated with the Coenzyme can exchange with the deuterons in the solvent, making it difficult to analyze the mass spectrometric data for the reduction with NaBD<sub>4</sub>. These results strongly indicate that NaBH<sub>4</sub> (or NaBD<sub>4</sub>) reduces a C=N or C=O double bond of the tetrapyrrole ring of  $F_{430}$ , which would result in hydride (or deuteride) uptake on carbon and protonation of N or O, respectively.

## **NMR Studies**

One-dimensional (1D) and two-dimensional (2D) NMR spectroscopy was used to obtain unambiguous <sup>1</sup>H and <sup>13</sup>C spectral assignments for  $F_{330}$  and, thereby, identify the site of hydride transfer to the tetrapyrrole ring during reduction of  $F_{430}$  by NaBH<sub>4</sub>. All experiments were carried out in a sealed tube using a 1.0 mM sample in  $D<sub>2</sub>O$ , and special attention was paid to ensure the stability of the sample during the experiment (see Materials and Methods). To confirm that  $F_{330}$  had not undergone oxidation (or further reduction) during data collection, the electronic absorption spectrum was measured after the NMR experiment; and indeed this spectrum was found to be almost identical to that collected before the experiment. Consistent with a previous report (53), our NMR data indicate that at room temperature  $F_{430}$  exists as a mixture of low-spin and high-spin  $Ni(II)$  species, which results in a paramagnetic shift and broadening of all the resonances. In contrast,  $F_{330}$  exhibits the sharp lines characteristic of a diamagnetic species, which facilitates the assignment of signals. Experiments involving 1Hdetected heteronuclear multiple quantum coherence (HMQC) via direct proton-carbon onebond coupling were used to assign the carbon signals. The HMQC method is extremely useful for assigning geminal proton signals since both  ${}^{1}H$  signals correlate with a single-carbon frequency. Proton resonance assignments of atoms that are interacting via through-bond scalar coupling were established by homonuclear correlated spectroscopy (COSY). The 1D  ${}^{1}$ H NMR and 2D HMQC and COSY experiments were performed on samples of  $F_{430}$  reduced with  $N$ aBH<sub>4</sub> or NaBD<sub>4</sub>. In the latter case, it is the absence of a particular signal that identifies the site of double-bond reduction. The <sup>1</sup>H and <sup>13</sup>C signal assignments based on 1D and 2D NMR experiments are listed in Tables 1 and 2 (see Figure 1 for the numbering scheme used). The NMR resonance assignments are consistent with those reported for the two-dimensional NMR analysis of native Coenzyme  $F_{430}$  and its pentamethyl ester derivative (32,54)

**Assignment of the one- and two-dimensional NMR spectra of F330—**The 1H and  $13\overline{C}$  NMR assignments of F<sub>330</sub> are shown in Tables 1 and 2. Signals from exchangeable

protons bound to carboxylic acid and amide functionalities are not observed since all spectra were recorded in D<sub>2</sub>O. Many of the chemical shifts observed in the  $F_{330}$  spectrum are similar to those observed in the <sup>1</sup>H NMR spectrum of the pentamethyl ester derivative of  $F_{430}$ . The details of the assignments are given in the Supplementary Materials.

A proton signal in the spectrum of  $F_{330}$ , which was generated by reduction of  $F_{430}$  with NaBH<sub>4</sub>, is observed at 4.65 ppm. This signal has no counterpart in the spectrum of  $F_{430}$ . Furthermore, this resonance disappears when native  $F_{430}$  is reduced using NaBD<sub>4</sub>, which is clearly evident from the two-dimensional HMQC spectra (Figures 6 and 7). The 4.65 ppm resonance is assigned as the methine proton (*H*C-OH) of C17c that arises from reduction of the carbonyl group at C17c of  $F_{430}$  (Figure 1) by NaBH<sub>4</sub>. The absence of this resonance in the <sup>1</sup>H NMR spectra of F<sub>330D</sub> is diagnostic because NaBD<sub>4</sub> transfers a deuteride instead of a hydride, which would generate *D*C-OH at C17c. This assignment agrees with the secondary alcoholic proton signals reported in the literature  $(55, 56)$ . The reduction of the  $>C=O$  group of F<sub>430</sub> at C17C to >HC-OH in F<sub>330</sub> or >DC-OH in F<sub>330D</sub> greatly influenced the chemical shifts of the adjacent protons at C17b. These (-*H*2C-HC-OH) protons shift upfield by about 1.2 ppm and appear around 1.4 ppm in  $F_{330}/F_{330D}$ , whereas for  $F_{430}$  these ( $H_2C$ -C=O-) protons resonate at 2.5 ppm as reported in the literature (32, 54). Reduction to a secondary alcoholic group relieves the deshielding effect of carbonyl group and therefore causes an upfield shift of C17b from about 39 ppm in  $F_{430}$  to 28 ppm for  $F_{330}/F_{330D}$ .

The signals for all of the protons of  $F_{330}$  and their directly attached carbons have been assigned based on the  ${}^{1}H-{}^{13}C$  HMQC (Figure 6) and  ${}^{1}H-{}^{1}H$  COSY (Figure 8) spectra. With respect to the site of hydride transfer in  $F_{430}$  to generate  $F_{330}$ , the most important assignment corresponds to the proton signal at 4.65 ppm (Figures 6 and 8), which disappears when the sample is reduced with NaBD<sub>4</sub> (Figure 7). In the <sup>1</sup>H-<sup>13</sup>C HMQC spectrum of F<sub>330</sub> (Figure 6), this 4.65 ppm proton signal correlates with the carbon signal at 61 ppm, which is assigned to C17C. This methine proton in ring D of  $F_{330}$  arises from the secondary alcoholic proton transferred during the reduction of the cyclic ketone group on ring D of  $F_{430}$ . Accordingly, in the <sup>1</sup>H-<sup>13</sup>C HMQC spectrum of the  $NABD_4$ -reduced compound,  $F_{330D}$ , the crosspeak due to the methine proton disappears (Figure 7). This is the only proton signal present in the  ${}^{1}H-{}^{13}C$  HMQC spectrum of  $F_{330}$  that is absent in the spectrum of  $F_{330D}$ .

This disappearance of the methine proton signal of C17c was also observed in the 2D COSY spectra shown in Figures 8 and S5. Direct scalar connectivities are established from the 2D COSY spectra. The signal at 4.65 ppm from H17c correlates directly with protons at C17b and C17a, which appear at 1.30 and 2.20, respectively. There is an apparent cross-correlation between the protons of C17c and C17 at 2.52 ppm; however, the C17 peak is adjacent to a large water signal decreasing the reliability of this assignment. These cross coupling peaks are not observed in the COSY spectrum of  $F_{330D}$  as shown in Figure S5 and in the COSY of  $F_{430}$ reported in the literature (32, 54). The only cross peaks that appear in this region are the ones arising from the 4.62 ppm signal from the methine proton at C4, which correlates with its neighboring protons at C5 and C3. To highlight the couplings observed in the COSY spectra, the C19 methine proton interacts with its adjacent protons at C20 and C18 by showing cross peaks near 2.80 and 1.88 ppm respectively. The proton signal at 2.92 ppm, arising from the methine proton at C12 correlates with protons at C12a and one of the geminal protons of C13a that appear at 2.25 and 1.68 ppm, respectively. Similarly, the methine proton at C8 shows coupling with one of its adjacent protons at C8a. While H'17a shows a crosspeak due to its neighbor H'17b, H5 only shows direct coupling with H'5 that is attached to the same carbon C5. Also, the signals due to protons at positions C2a, C17, C8b, C3b, C7a, C17a, C18a, and C13b exhibit through-bond coupling with protons in their vicinity as shown in the figures (Figures 8 and S5).

Thus, the  ${}^{1}H$ , COSY, and HMOC spectra are consistent with the hypothesis that formation of  $F_{330}$  involves the reduction of the ketone group at carbon C17c, which is part of the ring attached to ring D of the tetrapyrrole (Figure 1). The complete description of the assignment of signals for all of the protons of  $F_{330}$  and their directly attached carbons based on the  ${}^{1}H_{-}{}^{13}C$  HMQC spectrum shown in Figure 7 is presented in the Supplementary Materials (Figures S6 and S7).

#### **Low-temperature Absorption and MCD Studies of F<sup>330</sup>**

The 10 K absorption spectrum of  $F_{330}$  (Figure 9, top) is very similar in general appearance to that obtained at ambient temperature (Figure 3), indicating that the molecular structure of this species is preserved at cryogenic temperatures. The MCD spectrum of  $F_{330}$  is essentially featureless and remains unchanged over a wide range of temperatures  $(4.5 - 100 \text{ K}, \text{data not})$ shown). These results conclusively demonstrate that  $F_{330}$  possesses a diamagnetic ( $S = 0$ ) ground state, consistent with the narrow dispersion and sharpness of all features in the corresponding NMR spectra described above. Therefore, two oxidation states of the nickel ion in F<sub>330</sub> appear plausible: low-spin Ni(II) or Ni(0). The latter possibility would seem more probable in light of the reducing conditions required to generate  $F_{330}$ . This issue has been addressed by computational studies as described in the next section.

#### **Computational Studies**

To obtain quantitative insight into the electronic structure of  $F_{330}$ , we performed computational studies on various Coenzyme models. As revealed by our NMR and variable-temperature MCD data described above,  $F_{330}$  contains a peripheral alcohol group at C17c in the ring adjacent to ring D and a low-spin Ni core, which is either a Ni(0) or a low-spin Ni(II) center. A powerful means for better understanding the electronic structure of  $F_{330}$  and for discriminating among possible Ni oxidation states in  $F_{430}$  and its derivatives is provided by DFT/TD-DFT computations. In this approach, TD-DFT is used to calculate the electronic absorption spectra of viable Coenzyme models (optimized using DFT), which can be compared directly to the experimental absorption spectrum of the species of interest. As we demonstrated in our previous studies of  $F_{430}$  and  $F_{380}$  (25), the TD-DFT predicted (and experimental) absorption spectra of this Coenzyme vary considerably as a function of Ni oxidation state, suggesting that discrimination between low-spin Ni(II) and Ni(0) descriptions for  $F_{330}$  should be relatively straightforward.

Since  $F_{430}$  has been shown to bind two axial H<sub>2</sub>O ligands at cryogenic temperatures to yield a six-coordinate high-spin  $(S = 1)$  Ni $(II)$  species displaying intense temperature-dependent MCD features, a putative  $Ni(II)F_{330}$  species would also be expected to bind two axial water molecules (and thus to become paramagnetic) at low temperatures. While for this reason, and in light of the reducing conditions employed to generate this species, a Ni(0) description for F330 appears more likely, full DFT geometry optimizations were performed on both 4 coordinate Ni(0)- and Ni(II)-containing  $F_{330}$  models. As the changes in the electronic absorption spectrum accompanying a  $F_{430} \rightarrow F_{330}$  conversion most likely reflect the reduction of a double bond at one end of the conjugated  $\pi$  system of the hydrocorphin macrocycle, our  $F<sub>330</sub>$  computational models were generated by adding two electrons and two protons to the C16=N bond associated with ring D or, alternatively, to the peripheral C17c=O carbonyl moiety adjacent to ring D (Figure 2). The DFT-optimized models were then evaluated on the basis of our spectroscopic data for  $F_{330}$  using TD-DFT calculations, previously shown to be well suited for predicting electronic absorption spectra of various  $F_{430}$  models.<sup>25</sup> Parallel computations were performed on analogous  $Ni(II)F_{430}$  models that provided a well-defined reference point in these evaluations.

**Geometry-Optimized NiF330 Models—**Tables 3 and 4 compare key structural parameters for the geometry-optimized models of  $Ni(II)F_{430}$  (1 and 1<sup>\*</sup>),  $Ni(0)F_{330}$  (2), and  $Ni(II)F_{330}$  (3, **3\***, **4a**, **4b**, and **4\***) shown in Figure 2. Although an eclipsed H–C17c–O–H configuration was initially chosen for model **4a**, the proton of the alcohol moiety moved during the geometry optimization, leading to a dihedral angle τ of 54° in the fully optimized model. In contrast, when the alcoholic proton was positioned in a staggered conformation ( $\tau$  of 180 $\degree$ ), it exhibited only a minimal shift, as revealed by the value of  $\tau = 176^{\circ}$  in the optimized model **4b**. The partially eclipsed configuration of the alcoholic proton in model **4a** is predicted to be more stable than the staggered conformation in **4b** by  $\sim$  5 kJ/mol (Table 3; note that a direct comparison of the computed total energies is only meaningful for different sets of isomers). Due to this energetic preference, only the partially eclipsed geometry of the alcoholic proton was considered in subsequent QM/MM computations.

**Excited-State TD-DFT Calculations—**Figure 9 compares the experimental absorption spectra of  $F_{430}$  and  $F_{330}$  to the TD-DFT/COSMO computed spectra for the Ni(II) $F_{430}$  model **1\***, the Ni(0)F330 model **2**, and the Ni(II)F330 models **3\*** and **4\*** (TD-DFT calculated absorption spectra for all computational models considered are presented in Figure S7). Consistent with the computational results obtained in our previous study of a truncated Coenzyme model, $2^5$ the TD-DFT results for the four-coordinate  $Ni(II)F_{430}$  model 1<sup>\*</sup> agree reasonably well with our experimental data, predicting a single dominant feature at 26206 cm<sup>-1</sup> (382 nm) that clearly corresponds to the prominent absorption band at  $23260 \text{ cm}^{-1}$  (430 nm) in the experimental spectrum (Figure 9, top). Note that the transition energies for  $F_{430}$  and the related B<sub>12</sub> cofactors are consistently overestimated by the B3LYP TD-DFT method (21,25,57-64). Analysis of the calculated MO diagram for **1\*** (Figure 10, left) reveals that the absorption spectrum is dominated by hydrocorphin-centered  $\pi \rightarrow \pi^*$  transitions, as the occupied Ni 3d-based MOs are too low in energy to participate in electronic transitions in the UV/vis/near-IR spectral region.

Reduction of the C16=N and C17c=O double bonds of the hydrocorphin macrocycle of **1** to generate models **3\*** (C=N reduction) and **4\*** (C=O reduction), respectively, has a small but non-negligible effect on the TD-DFT calculated absorption spectrum (Figure 9). As proposed previously on the basis of qualitative considerations,<sup>18</sup> reduction of a C=N (or C=O) double bond at one end of the conjugated  $\pi$  system of the hydrocorphin macrocycle elicits a blue-shift of the dominant UV/visible absorption feature. The TD-DFT calculated blue-shifts of 1719 cm-1 (from **1\*** to **3\***) and 629 cm-1 (from **1\*** to **4\***) predict the trend in the experimentally observed shift of this feature from 23260 to 30300 cm<sup>-1</sup> (from 430 to 330 nm) upon F<sub>430</sub>  $\rightarrow$  $F_{330}$  conversion, possibly indicating that  $F_{330}$  may in fact possess a Ni(II) center. Comparison of the calculated bonding description for **1\*** to those obtained for **3\*** and **4\*** (Figure 10) reveals the expected increase in energy separation of the hydrocorphin  $\pi$ -based occupied and  $\pi^*$ -based unoccupied MOs involved in the dominant transition upon ring reduction, while the occupied Ni 3d-based MOs remain too low in energy to participate in electronic transitions throughout the UV/vis/near-IR spectral region. The similarities between **3\*** and **4\*** in their calculated absorption spectra and MO diagrams signify that spectral predictions alone are insufficient to distinguish between the two possible reduction sites on the hydrocorphyn ring. However, the DFT-predicted stabilization of **4\*** relative to **3\*** (as well as of **4a** relative to **3**, Tables 3 and 4) favors carbonyl reduction over C=N bond reduction, consistent with our NMR results for F330 presented above.

While spectral predictions do not provide a conclusive means by which to distinguish between models **3\*** and **4\***, they are well suited to address the issue of metal- versus tetrapyrrole-based reduction. Metal-centered two-electron reduction of the  $Ni(II)F_{330}$  model **3** to generate the Ni (0)F330 model **2** (Figure 2) gives rise to the appearance of numerous intense features across the entire UV/vis/near-IR spectral region of the TD-DFT/COSMO calculated absorption spectrum (Figure 9). The presence of these low-energy features in the computed absorption

spectrum for **2** can be understood in terms of a dramatic destabilization of the occupied Ni 3dbased MOs upon Ni(II)  $\rightarrow$  Ni(0) reduction (Figure 10). In the reduced Ni(0)F<sub>330</sub> model, the metal 3d-based MOs lie between the hydrocorphin  $\pi$ -based occupied MOs and the  $\pi$ <sup>\*</sup>-based unoccupied MOs, thereby allowing these MOs to serve as donor orbitals for several low-energy Ni 3d→hydrocorphin  $\pi^*$  charge-transfer (CT) transitions. As these features have no experimental counterparts (Figure 9, top), our TD-DFT results strongly suggest that the tetrapyrrole ring is the only site of two-electron reduction upon  $F_{430}$  conversion to  $F_{330}$ .

Interestingly, inspection of the calculated MO description for **2** reveals that the two electrons added to  $Ni(II)F_{330}$  do not actually localize on the Ni center alone. Rather, they are almost equally shared between the Ni  $3d_x2_y2$  orbital and a hydrocorphin  $\pi^*$ -based orbital; i.e., the LUMO+1 of **2** (MO 117 in Figure 10) contains nearly equal contributions from the Ni  $3d_x^2-y^2$  and hydrocorphin  $\pi^*$  orbitals. This result suggests that the anionic hydrocorphin macrocycle is too strong a donor ligand to stabilize Ni(0), providing a rationale as to why treatment of  $F_{430}$  with NaBH<sub>4</sub> results in the reduction of a C=O double bond while preserving the Ni(II) oxidation state.

**Axial Ligand Binding Affinities of Ni(II)F430 and Ni(II)F330—**Based on our TD-DFT based evaluation of possible  $F_{330}$  models, only a low-spin Ni(II) description appears consistent with our experimental data. However, it is not clear whether such a  $Ni(II)F_{330}$  species would indeed be four-coordinate and diamagnetic (similar to the free Coenzyme  $F_{430}$  at room temperature), as required by our MCD data. To estimate the relative binding affinities for axial  $H_2O$  ligands of Ni(II)F<sub>330</sub> and Ni(II)F<sub>430</sub>, DFT geometry optimizations were performed on the high-spin bis-aquo analogues of **1** and **4** using a spin-unrestricted formalism. Importantly, the ligand binding affinities of the two Ni(II) species were found to be nearly identical, merely differing by  $\Delta\Delta H \ll 1$  kcal/mol. Thus, in light of the fact that the six-coordinate bis-aquo F<sub>430</sub> species predominates only at cryogenic temperatures, our calculations appear qualitatively consistent with F<sub>330</sub> being a four-coordinate Ni(II) species; i.e., the actual  $\Delta\Delta H$  value might be sufficiently large to ensure that  $F_{330}$  remains four-coordinate at all temperatures, similar to the isoelectronic Co(I)-cobalamin cofactor (65).

#### **X-ray Absorption Studies**

To further address the issue of whether or not the Ni oxidation state changes when  $F_{430}$  is reduced to F330, we collected Ni K-edge X-ray absorption near-edge spectroscopic (XANES) data of F<sub>330</sub> at pH 2 and pH 11 and of F<sub>430</sub> (Figure 11). First derivative spectra are also presented in the lower panel to disclose the edge structure.

The Ni K-edge spectrum of  $F_{430}$  comprises a relatively unstructured broad edge with a major inflexion at 8343.9 eV. A small pre-edge peak at 8332.3 eV can be assigned to a formally forbidden Ni 1s→3d transition. Both of these features correspond to those observed previously for the MCR enzyme (23). The lack of any significant pre-edge structure at  $\sim 8338 \text{ eV}$  suggests that the Ni site is six-coordinate  $(23,66)$  and is consistent with the formation of bis-aquo Ni(II)  $F_{430}$  on freezing.

The spectrum of F<sub>330</sub> at pH 11 shows that the energies of the edge inflexion and the Ni 1s $\rightarrow$ 3d band are essentially unchanged. While the intensity of the Ni 1s→3d transition is also unchanged, additional pre-edge structure appears around 8337 eV, which is revealed to comprise two bands by the derivative spectrum. These features are usually assigned to Ni 1s→4p transitions with ligand-to-metal charge-transfer shakedown contributions (67,68).

At pH 2, the F<sub>330</sub> spectrum is more complex. There is apparently a 0.2 eV downshift of the edge inflexion point to 8343.7 eV; however, this is small compared to the  $\sim 1$  eV shift expected for a one-electron reduction. The Ni 1s→3d feature remains at 8332.3 eV, but the additional

pre-edge structure around 8337 eV has become even more complex, with two well defined features at 8335.5 eV and ~8339 eV.

These data clearly show that conversion of  $F_{430}$  to  $F_{330}$  is not accompanied by reduction of the central Ni because the energy shifts observed for the Ni K-edge and 1s→3d transitions are rather insignificant. Thus, consistent with the MCD data presented above, our XAS results also demonstrate that  $F_{330}$ , like  $F_{430}$ , contains a Ni(II) ion. We note that the edge-shift observed on one-electron reduction of Ni compounds can vary considerably with the metal-ligand environment, as the net increase in Ni d-electron density can be moderated through metalligand covalency (66).. Although a shift of 1.8 eV has been observed for Ni oxides, a value of slightly less than 1 eV is more typical. In this case, however, we can calibrate the expected shift using Ni K-edge XANES measurements previously reported on the MCR enzyme (23). These data showed an  $0.5$  eV shift when comparing the spectrum from  $Ni(II)$  MCR<sub>silent</sub> with that for a 60% Ni(I) MCR<sub>red1</sub> sample – the 40% balance presumably being Ni(II) MCR<sub>silent</sub>. This implies a ~ 0.8 eV shift for complete  $Ni(II) \rightarrow N(I)$  reduction of the Coenzyme. Similar shifts were observed in studies of MCR<sub>red1</sub> by Duin et al (10). Consequently, at least a 1.6 eV shift would be expected for the conversion of  $Ni(II) \rightarrow N(0)$ . Therefore, our results clearly rule out the reduction of Ni(II) to Ni(0) during the conversion of  $F_{430}$  to  $F_{330}$  and strongly indicate that the Ni in  $F_{430}$  and both pH states of  $F_{330}$  share the same Ni(II) oxidation state.

A second conclusion is that the appearance of Ni 1s $\rightarrow$ 4p shakedown transitions in the F<sub>330</sub> spectra reflect geometric and ligand coordination changes at the Ni site on Coenzyme reduction. These transitions tend to be absent in six-coordinate Ni sites (23) and this is the basis of our suggestion that the  $F_{430}$  in our frozen sample is bis-aquo axially coordinated. By contrast, their presence in  $F_{330}$  suggests a lower ligand coordination number, and possibly a lowering of symmetry, such as movement of the Ni out of the macrocycle plane. Interestingly, the intensities of the Ni 1s→3d transitions in the  $F_{330}$  and  $F_{430}$  spectra are virtually identical; in both cases the corresponding areas are  $\sim 2.4 \times 10^{-2}$  eV. Analysis of model compound data indicates that the intensity of this transition also correlates with the ligand coordination number and geometry (23,66). A value of  $2.4 \times 10^{-2}$  eV is consistent with either a six-coordinate octahedral or four-coordinate planar Ni site; a five-coordinate bipyramidal Ni is expected to have a significantly more intense transition with a typical area of  $\sim 6 \times 10^{-2}$  eV. Therefore, the most straightforward interpretation of these data is that even in the frozen state  $F_{330}$  has fourcoordinate planar geometry, and by inference, no axial ligands, which is consistent with the low-spin assignment based on our NMR, MCD, and computational results.

Finally, the pH sensitivity of the F<sub>330</sub> XANES data, in particular in the Ni 1s $\rightarrow$ 4p shakedown region that provides a sensitive probe of ligand-to-metal charge transfer transitions, is consistent with protonation of the macrocycle ligand at low pH. The detailed changes in the F330 spectra are intriguing and presumably reflect subtle changes in the ligand environment and/or conformational changes at the Ni site.

#### **Resonance Raman Studies**

RR studies were undertaken to investigate the structural/conformational changes in the macrocycle that accompany conversion of  $F_{430}$  to  $F_{330}$ . In principle, the RR studies could also provide an independent probe of reduction of C17c=O group to an alcohol. However, the C=O stretching vibration of  $F_{430}$ , which should be observed in 1660-1700-cm<sup>-1</sup> region, is not resonance enhanced in the Raman spectrum (23). Accordingly, RR spectroscopy only offers an indirect probe of the effects of carbonyl reduction.

The high-frequency RR spectra of  $F_{430}$ ,  $F_{380}$ , and  $F_{330}$  are compared in Figure 12. The RR scattering characteristics of  $F_{430}$  and  $F_{380}$  have been previously discussed in detail (23) and will not be elaborated on herein. Instead, we focus on the RR features that distinguish  $F_{330}$ 

from the other forms of the Coenzyme. The RR spectrum of  $F_{430}$  is dominated by a single strong band at  $1563 \text{ cm}^{-1}$ ; all other vibrational features are relatively weak. <sup>15</sup>N-labeling studies have shown that the 1563-cm<sup>-1</sup> band contains substantial C=N stretching character. The RR spectrum of  $F_{380}$  is much richer than that of  $F_{430}$  and exhibits a number of relatively intense bands in the high-frequency region, including features at 1378, 1490, and 1624 cm<sup>-1</sup>. None of these bands of  $F_{380}$  corresponds to the 1563-cm<sup>-1</sup> band of  $F_{430}$ . Inspection of the RR spectra shown in Figure 12 reveals that the vibrational characteristics of  $F_{330}$  are distinct from those of either  $F_{430}$  or  $F_{380}$ ; however, the spectrum of  $F_{330}$  is qualitatively more similar to that of  $F_{430}$ . In particular, the  $F_{330}$  spectrum is dominated by a single strong band at 1585 cm<sup>-1</sup>, while all other bands are relatively weak.

To further investigate the vibrational characteristics of F330, RR spectra were obtained for the <sup>15</sup>N-labeled Coenzyme, as well as  $F_{330D}$  containing both natural abundance nitrogen and the <sup>15</sup>N label (Figure 13). Our <sup>14</sup>N $\rightarrow$ <sup>15</sup>N isotopic labeling studies show that the 1585-cm<sup>-1</sup> band of F<sub>330</sub> downshifts to 1575 cm<sup>-1</sup> in <sup>15</sup>N-F<sub>330</sub>. None of the other RR bands of F<sub>330</sub> exhibits any discernible isotope shift. The 10-cm<sup>-1 14</sup>N $\rightarrow$ <sup>15</sup>N shift observed for the 1585-cm<sup>-1</sup> band of  $F_{330}$  is comparable to the 14-cm<sup>-1</sup> shift observed for the 1563-cm<sup>-1</sup> band of  $F_{430}$  (23), suggesting that these modes have similar compositions for the two forms of the Coenzyme. In the case of F<sub>330D</sub>, no <sup>1</sup>H→<sup>2</sup>D shifts can be discerned for any of the RR bands. Likewise, the spectral features observed for  ${}^{15}N-F_{330D}$  are similar to those of  ${}^{15}N-F_{330}$ . These results indicate that vibrations involving motions of atoms that are deuterated do not contribute to the resonance-enhanced Raman features observed for F<sub>330</sub>.

The vibrational characteristics of  $F_{330}$  are interesting in light of the other spectroscopic and computational data reported herein, which indicate that conversion of  $F_{430}$  to  $F_{330}$  is due to reduction of the C17c=O group accompanied by conversion of the Ni(II) ion from high- to low-spin. In particular, previous RR studies have shown that the C=N skeletal mode observed at 1563 cm<sup>-1</sup> for six-coordinate (high-spin)  $F_{430}$  downshifts to 1532 cm<sup>-1</sup> when the Coenzyme is converted to its four-coordinate (low-spin) form (69-71). In contrast, this skeletal vibration of  $F_{330}$ , which also contains a four-coordinate, low-spin Ni(II) ion is observed near 1585 cm<sup>-1</sup>, an upshift of  $\sim$ 22 cm<sup>-1</sup> relative to six-coordinate, high-spin F<sub>430</sub>. Accordingly, the frequency difference between the C=N skeletal mode of the four-coordinate, low-spin forms of  $F_{430}$  versus  $F_{330}$  is greater than 50 cm<sup>-1</sup>. This observation is qualitatively consistent with the view that reduction of the C17c=O bond increases the  $\pi$ -bond order in the remaining  $\pi$ framework.

# **Discussion**

Native Coenzyme F<sub>430</sub> has been studied by EPR and related advanced EPR (13,72-75) (76, 77), UV-visible and MCD (21,25,73), X-ray absorption (10,23), NMR (32,54,78), and RR (23) spectroscopies, spectroelectrochemistry (24,79) and X-ray crystallography (6,80). These spectroscopic properties of MCR and Cofactor  $F_{430}$  have been reviewed (17,81). The Coenzyme is routinely isolated in a high-spin  $(S = 1)$  Ni(II) state that can undergo various reactions. When heated,  $F_{430}$  epimerizes to the 12,13-diepimer; thus, when isolated from the cytoplasm,  $F_{430}$  is isolated as a mixture of native  $F_{430}$  and the diepimer (50,82). In the studies described here, homogeneous preparations of  $F_{430}$  were obtained from purified MCR, which contains only the native Coenzyme and lacks the diepimer.  $F_{430}$  can be reduced by Ti(III) citrate to form the Ni(I)-bound  $F_{380}$  state or, alternatively, by NaBH<sub>4</sub> to generate the  $F_{330}$  state. A major aim of the studies described here was to characterize the novel  $F_{330}$  state using a variety of experimental methods (MS, electronic absorption, MCD, RR, NMR, XAS) and by computational techniques (DFT and QM/MM methods). Another aim was to develop a better understanding of the relatively well-characterized  $F_{380}$  state and to establish conclusively whether the tetrapyrrole ring undergoes reduction upon  $F_{430} \rightarrow F_{380}$  conversion. An important

aspect of these studies is that they provide an understanding of the factors that lead to metal versus tetrapyrrole ring reduction.

Our MS results show unambiguously that reduction of  $F_{430}$  by Ti(III) citrate to generate F380, the form of the Coenzyme in the red1 state of MCR, does not involve reduction of the tetrahydrocorphinoid ring. Earlier, it had been proposed that generation of  $F_{380}$  involves oneelectron reduction of the Ni(II) center to Ni(I) along with a two-electron reduction of the tetrapyrrole ring (23) Unambiguous assignment of  $F_{380}$  as a Ni(I) species could be made based on its typical  $d^{\bar{9}} S = \frac{1}{2} EPR$  spectrum. Tetrapyrrole ring reduction was proposed because the UV-visible spectrum underwent a 40 nm blue shift upon  $F_{430} \rightarrow F_{380}$  conversion, which is characteristic of a single double-bond reduction within a conjugated  $\pi$ -system. Furthermore, a peak in the RR spectrum of  $F_{430}$  assigned to a mode involving predominant C=N double bond stretching motion either disappears or undergoes a major shift when the Coenzyme is reduced to the  $F_{380}$  state, which would be consistent with reduction of one of the C=N bonds associated with the conjugated tetrapyrrole system (23). However, the MS analysis in the negative ion mode clearly demonstrates that the mass does not change when  $F_{430}$  is reduced to  $F_{380}$  by Ti (III) citrate. Therefore, we can definitively rule out incorporation of hydrogen into the Coenzyme when the  $F_{380}$  state is generated. These results are, therefore, inconsistent with tetrapyrrole ring reduction during formation of the red1 state. Instead, they agree with the conclusions of cyclic voltametric and spectroelectrochemical experiments using the tetramethyl ester of  $F_{430}$  that conversion of  $F_{430}$  to  $F_{380}$  involves one-electron reduction of Ni (II) to Ni(I) without tetrapyrrole ring reduction (24). This conclusion is reinforced by computational results on the  $F_{380}$  state described below.

Although Ti(III) citrate does not reduce the tetrapyrrole ring of  $F_{430}$  during conversion to  $F_{380}$ , our MS results clearly demonstrate that treatment of  $F_{430}$  with NaBH<sub>4</sub> to form  $F_{330}$  does lead to two-electron reduction of the tetrahydrocorphinoid ring. Conditions were optimized to ensure that the  $F_{330}$  state was maintained throughout the course of the experiment. Mass spectrometric results show that  $F_{430}$  reduction with NaBH<sub>4</sub> in H<sub>2</sub>O increases the mass by two units and reduction with  $NABD_4$  in  $H_2O$  increases the mass by 3 units. Thus, one of the protiums (or deuteriums) that is transferred is introduced from the solvent (or is exchangeable with the solvent) and the other is not. This result is consistent with transfer of a hydride (or deuteride from  $NABD_4$ ) and a proton from the solvent, with the hydride (or deuteride) forming a stable C-H (C-D) bond and the proton bound to N or O at an exchangeable position.

Although our MS experiments conclusively demonstrate that the tetrapyrrole ring undergoes reduction upon conversion of  $F_{430}$  to  $F_{330}$ , but not to  $F_{380}$ , they do not permit determination of the specific site on the tetrahydrocorpinoid ring to which the hydride and proton are transferred. Attempts to further fragment  $F_{330}$  and determine the site(s) containing the increased mass using tandem MS/MS were unsuccessful because the fragmentation cleaved the various groups appended to a stable tetrapyrrole ring system. Thus, NMR, RR, MCD, XAS, and computational approaches were used to identify the site of reduction on the tetrapyrrole ring and to establish the oxidation and spin states of nickel in the core of  $F_{330}$ .

NMR experiments, which are severely compromised for studying  $F_{380}$  because of its paramagnetism and rapid decay to Ni(II), are ideal for investigating  $F_{330}$ . The <sup>1</sup>H and <sup>13</sup>C NMR signals of  $F_{330}$  in water/D<sub>2</sub>O are narrow and well-resolved, indicating that  $F_{330}$  contains lowspin (S = 0) Ni(II) or Ni(0). In contrast,  $F_{430}$  exhibits NMR signals in water/D<sub>2</sub>O that are fairly broad and un-assignable due to the high-spin (*S* = 1) Ni(II) central ion in this species. Therefore, the NMR spectra of native  $F_{430}$  were previously collected in  $F_3CCD_2OD$  (TFE) and the pentamethyl ester of  $F_{430}$  was studied in CD<sub>2</sub>Cl<sub>2</sub> or CDCl<sub>3</sub>, where the Ni(II) ion is low spin  $(S = 0)$ , so that the signals are narrow enough to permit detailed assignments (32,54).

While the <sup>1</sup>H and <sup>13</sup>C chemical shifts for  $F_{330}$  are similar to those of native  $F_{430}$  and its pentamethyl ester, they exhibit some important differences that reveal the site of reduction of the tetrahydrocorphinoid ring during the conversion of  $F_{430}$  to  $F_{330}$ . In comparing the <sup>1</sup>H-<sup>13</sup>C HMQC spectra of  $F_{330}$  and  $F_{330D}$ , the methine proton signal at 4.65 ppm correlating to the carbon signal at 61 ppm disappears in the spectrum of  $F_{330D}$ . This is the only proton signal that is lost in F<sub>330D</sub>; all of the remaining proton signals in the <sup>1</sup>H-<sup>13</sup>C HMQC spectrum of  $F_{330D}$  are the same as those of  $F_{330}$ . Therefore, it is clear that the proton signal at 4.65 ppm and the carbon signal at 61 ppm correspond to the site to which the hydride is transferred.

Although, based on RR data, it was previously suggested that the reduction takes place at a  $C=N$  double bond (23), the assignments of the 4.51 ppm (H) and 61 ppm (C) signals are unambiguous. Both the <sup>1</sup>H and <sup>13</sup>C NMR resonances for  $F_{330}$  are in the range for secondary alcoholic groups. Furthermore, there is no proton signal between 2.5-3.5 ppm (for −*H*C-NH) that correlates to a carbon between 40-50 ppm that selectively appears in the  $F_{330}$  HMQC spectrum and is lost in the spectrum of  $F_{330D}$ . Thus, it is clear that no imine (-CH=N-) moieties, e.g. those conjugated to the tetrapyrrole system in rings B and D, undergo reduction when F430 is treated with NaBH4 or NaBD4. Consequently, our 2D-NMR results strongly favor the reduction of the carbonyl group in the exocyclic ring attached to ring  $D$  of  $F_{430}$ , thereby implying that  $F_{430} \rightarrow F_{330}$  conversion leads to the formation of a secondary alcoholic group, e.g., *H*C-OH at C17c (Figure 1).

The RR data are consistent with the NMR analysis and provide additional information about the conjugative network in the corphin ring of  $F_{330}$ . A large frequency difference of the C=N skeletal mode for  $F_{430}$  versus  $F_{330}$  is observed. For the four-coordinate, low-spin Ni(II) state of  $F_{430}$  (which predominates at room temperature), this band is observed at 1532 cm<sup>-1</sup>, while for four-coordinate, low-spin  $F_{330}$  it is *upshifted* by 50 cm<sup>-1</sup>. This upfield shift is consistent with an overall increase in  $\pi$ -bond order of the conjugative framework as the number of  $\pi$  – bonds in the conjugated network decreases from five to four (note that the imine in ring A is isolated from the conjugated network, see Figure 1). On the other hand, a 50 cm<sup>-1</sup> is quite large and could reflect a conformational difference between  $F_{430}$  and  $F_{330}$  (see below).

The narrow, well-resolved NMR signals of  $F_{330}$  indicate that this species is diamagnetic and thus contains either low-spin  $Ni(II)$  or  $Ni(0)$ . NaBH<sub>4</sub> might be expected to reduce the metal to generate Ni(0), since borohydride is typically used to convert cobalamins from Co(III) to Co (I) (83), or to reduce the C=O and C=N double bonds of the macrocycle, since borohydride is routinely used to reduce carbonyl and imine groups (84-87). To unambiguously establish the oxidation and spin states of the nickel in  $F_{330}$ , XAS was used. Only minor shifts (<1 eV) and a small decrease in overall intensity are observed in the lower-energy region of the XANES spectra from F<sub>430</sub> to F<sub>330</sub>. As the conversion of Ni(II) to Ni(0) would give rise to at least a 1.6 eV decrease in the edge energy, it is clear that F<sub>330</sub> contains a low-spin Ni(II) center. The minor downshifts of the Ni 1s→3d transitions most likely result from changes in the Ni spin state (high spin in  $F_{430}$  vs low spin in  $F_{330}$ ) and coordination number (6-coordinate in  $F_{430}$  vs 4coordinate in  $F_{330}$ ).

Reduction of  $F_{430}$  by NaBH<sub>4</sub> leads to a 100 nm blue shift of the dominant feature in the UVvisible spectrum, which is much larger than the 20-30 nm shift that one might expect for reduction of a C=O double bond at the end of a conjugated network. As mentioned above, the computational results predict only an 11 nm shift in the UV-visible spectrum. The UV-visible spectra have been reported for a biosynthetic precursor of  $F_{430}$ , 15,17c-seco- $F_{430}$ -17c-acid, in which the carbonyl group at 17c is disconnected from the chromophore because the sixmembered ring is not yet closed, and a model compound which has the 5 C=N double bonds of the tetrapyrrole, but lacks the conjugated carbonyl group (88). The biosynthetic precursor and the model compound would be expected to exhibit UV-visible spectra similar to that of

F330. Indeed, these complexes display absorption bands at approximately 300 and 350 nm similar to F<sub>330</sub>. However, the precursor and model complex exhibit an additional, considerably more intense band at 427 nm and 418 nm, respectively, which are shifted by only 3 and 12 nm from the dominant  $F_{430}$  absorption feature. We speculate that reduction of the carbonyl group and the associated change in hybridization at C17 upon conversion of  $F_{430}$  to  $F_{330}$  leads to a major conformational change that isolates the double bond in ring D from the conjugated system or, perhaps causes the Coenzyme to fold in a way that the two double bonds on either side of ring C are co-planar and thus the only ones that are conjugated. This speculative conformational change would also help explain the 50 cm<sup>-1</sup> upshift of the 1532 cm<sup>-1</sup> band in the RR spectrum.

TD-DFT calculations were used in conjunction with our spectroscopic data to evaluate viable  $NiF<sub>330</sub>$  models that differ with respect to the metal oxidation state and to help reconcile the large spectral shift of the dominant absorption feature that occurs upon borohydride reduction of F430. The TD-DFT/COSMO computed absorption spectrum for the Ni(0)F330 model **2** (Figure 2) is clearly inconsistent with our spectroscopic data, exhibiting numerous intense features across the entire UV/vis/near-IR spectral region that have no counterparts in the experimental spectrum (Figure 9). In contrast, the TD-DFT/COSMO calculated absorption spectra for our Ni(II)F330 models **3\*** and **4\*** agree reasonably well with the experimental spectrum, reproducing the trend for the observed blue-shift of the dominant absorption feature upon conversion of  $F_{430}$  to  $F_{330}$  (Figure 9). Further computational studies will be performed to test the speculation that a folding of the tetrahydrocorphinoid ring in the  $F_{330}$  state causes an additional shift of this feature toward higher energy. In summary, even with the vast array of spectroscopic and computational methods used, the large spectral shift is not fully explained and we offer the testable hypothesis that reduction of the carbonyl group at C17c elicits a change in conformation that disrupts the conjugation in the tetrahydrocorphinoid ring system.

Together, our spectroscopic and computational data provide compelling evidence that  $F_{330}$ possesses a four-coordinate Ni(II) center, presumably because the anionic nature of the hydrocorphin macrocycle prevents stabilization of Ni(0). It is interesting to note that both reduction of Ni(II) to Ni(I) (yielding  $F_{380}$ ) and hydrocorphin reduction (generating  $F_{330}$ ) have qualitatively similar effects on the electronic absorption spectrum of  $F_{430}$ , in each case leading to a marked shift of the dominant feature at  $23260 \text{ cm}^{-1}$  (430 nm) to higher energy. However, the electronic origin of this blue-shift is fundamentally different in these two cases. Upon conversion of  $F_{430}$  to  $F_{380}$ , the occupied Ni 3d-based MOs are significantly raised in energy due a substantial decrease in the effective nuclear charge on Ni, thereby shifting between the  $\pi$ -based occupied and  $\pi$ \*-based unoccupied frontier orbitals of the hydrocorphin macrocycle (25). Consequently, the energy splitting between these two sets of orbitals increases from F<sub>430</sub> to F<sub>380</sub>, leading to the observed blue-shift of the hydrocorphin-centered  $\pi \rightarrow \pi^*$  transition that produces the dominant absorption feature (corresponding to the HOMO→LUMO transition (i) of  $F_{430}$ , see Figures 9 and 10). Importantly, the close energetic proximity of the Ni 3d-based occupied MOs and the hydrocorphin  $\pi^*$ -based unoccupied MOs in F<sub>380</sub> also leads to the occurrence of numerous Ni 3d→hydrocorphin  $\pi$ <sup>\*</sup> CT transitions in the vis/near-IR region that are particularly intense in the corresponding MCD spectrum (25). On the other hand, in the case of  $F_{330}$ , the shortening of the conjugated  $\pi$ -system due to the ring reduction accompanying  $F_{430} \rightarrow F_{330}$  conversion gives rise to a direct increase in the energy separation between the hydrocorphin  $\pi$ -based occupied and  $\pi$ \*-based unoccupied orbitals involved in the dominant electronic transition, while the Ni 3d-based MOs remain largely unperturbed and thus too low in energy to participate in electronic transitions in the vis/near-IR spectral region (Figure 10, cf. models **1** and **3\***/**4\***).

Because both Ni(II) and hydrocorphin reduction give rise to substantial blue-shifts of the dominant  $F_{430}$  absorption feature at 22360 cm<sup>-1</sup> (430 nm), electronic absorption spectroscopy

is not necessarily suited to discriminate between metal-centered and ring-centered reduction in this Coenzyme. Thus, it appeared reasonable to interpret the spectral changes associated with  $F_{430} \rightarrow F_{380}$  conversion as indicating a 2-electron reduction of the hydrocorphin ring coupled to  $Ni(II) \rightarrow Ni(I)$  reduction. However, our MS data presented in this paper along with recently published results from electrochemical (24) and combined spectroscopic/ computational (25) studies now provide compelling evidence that  $F_{430}$  and  $F_{380}$  merely differ by one electron, both possessing a native-like hydrocorphin macrocycle.

# **Conclusions**

Reduction of  $F_{430}$  with sodium borohydride (NaBH<sub>4</sub>) elicits a 100 nm blue shift in the absorption spectrum to generate a Coenzyme form that we call  $F_{330}$ . To study this novel form of Coenzyme  $F_{430}$ , we have used a wide range of spectroscopic methods (UV-visible, NMR, X-ray absorption, MCD, and RR), MS, and computational chemistry. MS studies directly demonstrate reduction of the corphin ring system by identifying the incorporation of one nonexchangeable protium (or deuterium) when  $F_{330}$  is generated with NaBH<sub>4</sub> (or NaBD<sub>4</sub>). Oneand two-dimensional NMR studies identify the site of reduction at the exocyclic ketone group adjacent to ring D of the tetrahydrocorphin (C17c according to the labeling of Figure 1). Xray absorption, MCD, and computational studies reveal that the two-electron reduction of the tetrahydrocorphin ring to form  $F_{330}$  results in a spin-state change in the metal ion from highto low-spin Ni(II) and rule out the possibility of an associated reduction of the metal ion. Based on RR studies, reduction of the hydrocorphin ring to eliminate the  $\pi$ -bond at C17c increases the overall π-bond order in the conjugative framework. It was proposed that generation of  $F<sub>380</sub>$  involved both a metal and a ring-centered reduction (23); however, subsequent work provided strong evidence that conversion of  $F_{430}M$  to  $F_{380}$  only involved reduction of the metal (24). Recent spectroscopic and computational work (25) and the mass spectrometric results described here demonstrate that reduction of  $F_{430}$  with Ti(III) citrate to generate  $F_{380}$ (corresponding to the active red1 state of MCR), results in reduction of the  $Ni(II)$  to  $Ni(I)$ , but does not result in tetrapyrrole ring reduction. Computational data trace different origins of the significant blue shifts in the UV-visible spectra for both ring reduction (in  $F_{330}$ ) and metal center reduction (in F<sub>380</sub>). For F<sub>330</sub> formation, it is the shortening of the conjugated  $\pi$ -system relative to F<sub>430</sub> that directly increases the energy separation between the hydrocorphin  $\pi$  $(HOMO) \rightarrow \pi^* (LUMO)$  transition responsible for the dominant electronic transition. On the other hand, for F<sub>380</sub>, the decrease in the formal oxidation state of Ni (from 2+ to 1+) causes the occupied Ni 3d-based MOs to shift above the hydrocorphin π-based frontier MOs, thereby leading to an increase in the energy of the dominant hydrocorphin-centered  $\pi \rightarrow \pi^*$  transition.

# **Supplementary Material**

Refer to Web version on PubMed Central for supplementary material.

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# **Figure 2.**

Models of  $F_{430}$  (1 and 1<sup>\*</sup>) and its reduced derivative  $F_{330}$  (2, 3, and 4; hydrocorphin reduction sites are highlighted by an oval) employed for computational studies. The complete Coenzyme model **1\*** was used as the basis for generating **3\*** and **4\*** (not shown). Note that all models contain a low-spin Ni(II) center except for **2**, which is a Ni(0) species.



# **Figure 3.**

Electronic absorption spectra of  $F_{430}$ ,  $F_{380}$ , and  $F_{330}$  at 300 K. Solid line: spectrum of  $F_{430}$ ; 20 μM Ni(II)-F<sub>430</sub> in 50 mM TAPS pH 10.0. Dotted line: spectrum of F<sub>380</sub>; 14 μM F<sub>430</sub> was converted to  $F_{380}$  in the presence of 70 µM Ti(III)citrate in 25 µM NH<sub>4</sub>OH at pH 10.5. Dashed line: spectrum of F<sub>330</sub>; 270  $\mu$ M F<sub>430</sub> was converted to F<sub>330</sub> in the presence of 14 mM NaBH<sub>4</sub> and 100 mM NH<sub>4</sub>OH at pH 10.5.



# **Figure 4.**

PIM MS spectra of the singly charged  $F_{430}$  (left, 20  $\mu$ M final concentration),  $F_{330}$  (center) and  $F_{330D}$  (right) species. Approximately 80% conversion of  $F_{430}$  to  $F_{330}$  and  $F_{330D}$  was achieved by reacting 42 μM F430 with 26 mM NaBH4 or 26 mM NaBD4, respectively, in 60 mM NH<sub>4</sub>OH. Insets: PIM MS spectral features associated with the doubly charged  $F_{430}$  (left), F330 (center) and F330D (right) species



## **Figure 5.**

NIM MS spectrum of single and double charged regions for  $F_{430}$  and  $F_{380}$  respectively. (Left) A 20  $\mu$ M solution (final concentration) of F<sub>430</sub> was prepared for analysis in 50% ACN. Inset: Double protonated region for F<sub>430</sub>. (Right) Conversion of F<sub>430</sub> to F<sub>380</sub> was achieved by reacting 25 μM F<sub>430</sub> with 125 μM Ti(III) citrate in 63 mM NH<sub>4</sub>OH at pH 10.5, after conversion the volume was raised by 20% by the addition of 100% anaerobic ACN. Inset: Single charged region for F<sub>380</sub>.



#### **Figure 6.**

Two-dimensional <sup>1</sup>H-<sup>13</sup>C HMQC spectrum of  $F_{330}$  recorded in D<sub>2</sub>O at 25°C. Horizontal lines connect signals of geminal protons. Horizontal and vertical axes represent proton and carbon chemical shifts, respectively. The <sup>1</sup>H NMR spectrum of  $F_{330}$  is shown at the top.



#### **Figure 7.**

Two-dimensional  ${}^{1}$ H- ${}^{13}$ C HMQC spectrum of  $F_{330D}$  recorded in D<sub>2</sub>O at 25°C. Horizontal and vertical axes represent proton and carbon chemical shifts, respectively. \* designates the position of the (H)-C17c crosspeak in the  ${}^{1}$ H-<sup>13</sup>C HMQC spectrum of F<sub>330</sub> (Figure 6). Horizontal lines connect signals of geminal protons. The <sup>1</sup>H NMR spectrum of  $F_{330D}$  is shown at the top.



**Figure 8.** Two-dimensional  ${}^{1}H-{}^{1}H$  COSY spectrum of  $F_{330}$  recorded in D<sub>2</sub>O at 25°C. (Imp= impurity).



#### **Figure 9.**

Experimental 10 K absorption spectra of F430 and F330 (top 2 panels) and TD-DFT/COSMO computed spectra for the Coenzyme models **1\***, **2**, **3\***, and **4\*** (bottom 4 panels).

Dey et al. Page 34



# **Figure 10.**

DFT/COSMO calculated MO diagrams for models **1\***, **2**, **3\***, and **4\*** (Figure 2, Tables 3 and 4). MOs are labeled according to their principal orbital contributors and are plotted relative to the energy of the corresponding LUMO. The one-electron excitations responsible for the dominant transitions in the TD-DFT calculated absorption spectra shown in Figure 9 are indicated by arrows.



# **Figure 11.**

Top: Ni K-edge XANES data of F330 at pH 2 (- - - -) and 11 (———) compared with F<sup>430</sup> (-------). Bottom: First derivative spectra. Temperature, 10 K.



Wavenumber (cm-1)

**Figure 12.** High-frequency RR spectra of  $F<sub>430</sub>$ ,  $F<sub>380</sub>$ , and  $F<sub>330</sub>$ .



**Figure 13.** High-frequency RR spectra of  $F_{330}$ ,  ${}^{15}N-F_{330}$ ,  $F_{330D}$ , and  ${}^{15}N-F_{330D}$ .

# **Table 1**

# <sup>1</sup>H-NMR data of  $F_{330}$



*a* see Figure 1 for atom numbering scheme.

# **Table 2**

# $13$ C-NMR data of F<sub>330</sub>



*a*<br>
see Figure 1 for atom numbering scheme. In the text, the numbers of these carbon atoms are shown without parentheses, e.g. C(17c) is referred to as C17c.

# **Table 3**<br>Key structural parameters for the QM-optimized truncated models of F<sub>430</sub> and F<sub>330</sub>



 $^{4}$ The fold angle is defined as the angle between the mean planes defined by the atoms C15-N-C19-C20-C1-N-C4-C5 and C15-C14-N-C10-C9-N-C6-C5. <sup>a</sup>The fold angle is defined as the angle between the mean planes defined by the atoms C15–N–C19–C20–C1–N–C5 and C15–C14–N–C10–C9–N–C6–C5.

b ote that a direct comparison of the computed total energies is only meaningful for the isomers  $3$ ,  $4a$ , and  $4b$ . *b*Note that a direct comparison of the computed total energies is only meaningful for the isomers **3**, **4a**, and **4b**.

# **Table 4**

Key structural parameters for the QM/MM-optimized complete models of  $F_{430}$  and  $F_{330}$ 



*a* See Table 3 for a definition of the fold angle.

*b* Note that a direct comparison of the computed total energies is only meaningful for the isomers **3\*** and **4\***.