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Direct Asymmetric Zn-Aldol Reaction of Methyl Vinyl Ketone and Its Synthetic Applications

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The aldol reaction is one of the most widely utilized C-C bond-forming reactions in organic chemistry, because of the importance of β -hydroxy carbonyl compounds in many natural products.¹ Recently, several groups have reported a direct catalytic enantioselective version without resorting to pre-activation of the pronucleophile using biological catalysts^{2a-g} and non-biological transition-metal catalysts,^{2h-j} including our own dinuclear Zn-complex 2.^{2h, 3} Typically, however, successful donors have included simple ketones, such as methyl, and hydroxylmethyl ketones and limited progress has been made in catalytic enantioselective aldol reactions of more functionalized or functionalizable nucleophiles, such as methyl vinyl ketone (MVK). Enantio-enriched β -hydroxyketones derived from MVK are particularly interesting, because stereocenters created in the aldol reactions can be propagated further in a sequence of highly diastereoselective transformations, where MVK functions as a bifunctional building block (Scheme 1).

Despite tremendous synthetic potential,⁴ base instability of MVK and its aldol products has hampered its development.⁵ To the best of our knowledge, there is no general asymmetric aldol reaction of MVK reported to date. Herein we report an atom-economical and direct route to the hydroxy ketones from MVK as well as synthetic applications of resulting hydroxy enones.

Initially, on the basis of the promising catalytic activity displayed by dinuclear Zn-complex 2^3 , we set out to examine the reaction of MVK as an aldol donor. The pre-cataylst was prepared as previously reported by treating ligand 1 with 2 equiv of Et₂Zn in THF at RT. Subjection of this pre-catalyst (10 mol %) to a mixture of MVK, cyclohexane carboxaldehyde, 4A molecular sieve, and *i*-propanol (5 equiv) in THF at -35 °C led to irreproducible yields of the desired aldol product (10–30 %) and dehydration product, resulting from removal of β -hydroxy stereocenter (60–80%). Although the reaction was highly enantioselective (90–95 %ee), the product profile was time-dependent and more dehydration byproduct formed upon longer reaction time. The effect of additives and change of reaction parameters (temperature/time) did not much improve the result. On the other hand, we found that there is significant negative nonlinear effect in accord with an aggregation effect.⁶

Along this line, the effect of concentration and solvent was examined. Gratifyingly, we observed an improved yield of the desired aldol addition vs. elimination (entry 1, Table 1) with excellent enantioselectivity by using an increased concentration of MVK: Catalyst (10 mol %) prepared in THF or toluene (0.5 mL) was added to a combination of freshly distilled MVK (1 mL), cyclohexane carboxaldehyde (0.5 mmol), *i*-PrOH (5 equiv), and 4A molecular sieve. The reaction was generally faster in THF or (Method A, table 1) than in toluene (Method B), though toluene had better control over the elimination problem. As shown in Table 1, a variety of aliphatic aldehydes led to the corresponding β -hydroxy vinyl ketones in reproducible yields and high enantioselectivities. In the case of α - or β -hydroxyaldehydes, the presence of bulkier,

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non-coordinating silyl protecting group seem to give better turnover as well as better chiral recognition (entry 3 vs. 4 and entry 8 vs. 9). Entry 7 deals with the issue of catalyst-controlled diastereo-selectivity. In a matched case (entry 7a), exclusive Felkin-Anh product (1,2-*anti*-diol) was obtained with excellent selectivity and good yield, where intrinsic bias is reinforced by the catalyst in the same direction. In a mismatched case (entry 7b), 1,2-*syn*-diol formed with catalyst-controlled diastereoselectivity (dr 7/1) in modest yield. Some β -branched aldehydes can also be employed to give modest yield and excellent % ee (entry 10), though α -branched aliphatic aldehydes gave better results. As shown in Fig. 1, the reaction shows a slight negative non-linear effect.

We envisioned propagation of the existing stereocenter created in the aldol addition through successive highly diastereoselective transformations. The obtained β -hydroxy enones were diastereo-selectively reduced to 1,3-*syn* (dr >99:1) or 1,3-*anti* diols (dr >90:10) in excellent yields using Et₂B(OMe)/NaBH₄ and Me₄NBH(OAc)₃, respectively (Chart 1).^{6,7} These diols were used directly without protection in a Mg-mediated diastereoselective cycloaddition of nitrile oxide.⁸ When the 1,3-diols were treated with 3 equiv of EtMgBr in CH₂Cl₂ followed by a pre-formed solution of a nitrile oxide at -25 °C, the corresponding dihydro-isoxazoles formed smoothly in excellent diastereoselectivities and good yields (Table 2). Notably, the obtained diastereoselectivity depends only on the stereo-cuter of the allylic alcohol in the dipolarophile and stereocenters elsewhere had no stereo-directing effect, thus providing effective method for convergent fragment coupling.

In contrast to alkynylmethyl ketones, 3^{3e} vinylmethyl ketone donors require their use in excess, thus necessitating readily available donors. Resolution of this issue resides in the recent developments in the cross-metathesis reaction which allows a liberal modification on the terminal olefin end also reinforcing the bifunctionality of MVK as a synthetic building block. ⁹ As shown in eq. 1, the desired vinyl-modified ketone **6** was obtained from **5** in 65 % yield with excellent E/Z selectivity (>15:1).

(1).

In conclusion, we have demonstrated dinuclear Zn-complex 2 catalyze aldol reaction of methyl vinyl ketone in good yield and excellent %ee. The resulting product could be transformed via stereoselective reactions into a variety of useful intermediates, showcasing MVK as a useful bifunctional building block.

Supplementary Material

Refer to Web version on PubMed Central for supplementary material.

Acknowledgements

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Fig. 1. Non-linear Effect (%ee of aldol product vs. % ee of ligand 1





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Chart 1. Cycloaddition Partners

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$ \begin{array}{c ccccccccccccccccccccccccccccccccccc$	entry	RCHO	method	temp/time (h)	yield (%) ^a	${ m Ee}~(\%)^{m b}$	Product
$ \begin{array}{cccccccccccccccccccccccccccccccccccc$		CHO	A	-35/48	53	92	а В
$ \begin{array}{cccccccccccccccccccccccccccccccccccc$	5	Хсно	AB	-25/21 -30/48	56	91 77	Ho O
$ \begin{array}{cccccccccccccccccccccccccccccccccccc$	ŝ	BnoxCHO	ЪВ	-15/7 -30/48	74 64	86 83	Baro H
$ \begin{array}{cccccccccccccccccccccccccccccccccccc$	4	TBSOTCHO	ЪВ	-15/22 -15/8	46 52	87 93	TBSO OF O
$ \begin{array}{cccccccccccccccccccccccccccccccccccc$	S.	СНО	A A	-15/14 -35/36	66 51	92 90	е В ,
$\begin{array}{cccccccccccccccccccccccccccccccccccc$	9	Сно	A B	-20/10 -35/36	56 47	91 83	
The formation of the f	7a	OTES	ന ന	-20/10 -15/17	37 59	85 >99 de	H H H H H H H H H H H H H H H H H H H
8 $BnO \leftarrow CHO$ B $-15/15$ 33 44 $BnO \leftarrow HO$ 9 $TBSO \leftarrow CHO$ B $-15/14$ 50 91 $TBSO \leftarrow HO$ 10 $\leftarrow CHO$ A $-35/36$ 49 98 $\leftarrow CHO$	Дb	OTBS	в	-15/18	33	71 de	
9 TBSO_CHO B -15/14 50 91 TBSO_CHO B -15/14 50 91 TBSO_CHO 10 2000 00 00 00 00 00 00 00 00 00 00 00	8	вло Хсно	В	-15/15	33	44	
10 X_CHO A -35/36 49 98 X + 0	6	TBSOCHO	В	-15/14	50	91	TBSO
	10	Хсно	A	-35/36	49	98	A A A

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^bThe ee's were determined by chiral hplc.

^aAll yields are for isolated pure product.

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Table 2

Mr	C 1. 11'.	O_{1}	
vig-mediated	Uveloaddition	of Nitrile Oxide	

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Dipolaro-phile	dipole	condition	yield(dr) ^a	product
3a	4a	−25 °C, 10 h	59 % (>95:5)	ĢН ĢН
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3b	4a	−20 °C, 9 h	71 % (>95:5)	он он
3c	4b	−25 °C, 11 h	69 % (>95:5)	OH OH OTBS
3d	4b	−30 °C, 18 h	60 % (>95:5)	он он отвs

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