

# Non-invasive prospective targeting of arterial $P_{\text{CO}_2}$ in subjects at rest

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Accurate measurements of arterial  $P_{\text{CO}_2}$  ( $P_{\text{a,CO}_2}$ ) currently require blood sampling because the end-tidal  $P_{\text{CO}_2}$  ( $P_{\text{ET,CO}_2}$ ) of the expired gas often does not accurately reflect the mean alveolar  $P_{\text{CO}_2}$  and  $P_{\text{a,CO}_2}$ . Differences between  $P_{\text{ET,CO}_2}$  and  $P_{\text{a,CO}_2}$  result from regional inhomogeneities in perfusion and gas exchange. We hypothesized that breathing via a sequential gas delivery circuit would reduce these inhomogeneities sufficiently to allow accurate prediction of  $P_{\text{a,CO}_2}$  from  $P_{\text{ET,CO}_2}$ . We tested this hypothesis in five healthy middle-aged men by comparing their  $P_{\text{ET,CO}_2}$  values with  $P_{\text{a,CO}_2}$  values at various combinations of  $P_{\text{ET,CO}_2}$  (between 35 and 50 mmHg),  $P_{\text{O}_2}$  (between 70 and 300 mmHg), and breathing frequencies ( $f$ ; between 6 and 24 breaths  $\text{min}^{-1}$ ). Once each individual was in a steady state,  $P_{\text{a,CO}_2}$  was collected in duplicate by consecutive blood samples to assess its repeatability. The difference between  $P_{\text{ET,CO}_2}$  and average  $P_{\text{a,CO}_2}$  was  $0.5 \pm 1.7$  mmHg ( $P = 0.53$ ; 95% CI  $-2.8, 3.8$  mmHg) whereas the mean difference between the two measurements of  $P_{\text{a,CO}_2}$  was  $-0.1 \pm 1.6$  mmHg (95% CI  $-3.7, 2.6$  mmHg). Repeated measures ANOVAs revealed no significant differences between  $P_{\text{ET,CO}_2}$  and  $P_{\text{a,CO}_2}$  over the ranges of  $P_{\text{O}_2}$ ,  $f$  and target  $P_{\text{ET,CO}_2}$ . We conclude that when breathing via a sequential gas delivery circuit,  $P_{\text{ET,CO}_2}$  provides as accurate a measurement of  $P_{\text{a,CO}_2}$  as the actual analysis of arterial blood.

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Accurate measurement of arterial  $P_{\text{CO}_2}$  ( $P_{\text{a,CO}_2}$ ) is important for the clinical assessment of patients and, in physiological studies, for the assessment of control of breathing and cerebral blood flow. Currently, the reference standard for measuring  $P_{\text{a,CO}_2}$  is analysis of arterial blood via direct arterial puncture. This invasive approach has a number of disadvantages for both the subject (discomfort and potential arterial wall damage) and investigator (restricted mobility of the catheter insertion site, cost, time delay for blood analysis, and limited temporal resolution of changes in  $P_{\text{a,CO}_2}$ ). As a result, investigators have long sought a suitable non-invasive method to measure  $P_{\text{a,CO}_2}$ .

Non-invasive methods of predicting  $P_{\text{a,CO}_2}$  from alveolar  $P_{\text{CO}_2}$  ( $P_{\text{A,CO}_2}$ ) consider the lung to be a tonometer in which  $\text{CO}_2$  equilibrates between alveolar gas and capillary blood. In reality, however, the lung is not a single homogeneous time-invariant gas exchange compartment. Rather,  $P_{\text{CO}_2}$  varies in different regions of the lung as a

result of differences in ventilation-to-perfusion matching ( $\dot{V}_A/\dot{Q}$ ) throughout the lung and, in each lung region, throughout the respiratory cycle (Dubois *et al.* 1952; Lenfant, 1967). The contribution to the  $P_{\text{a,CO}_2}$  of blood passing each alveolus reflects the average  $P_{\text{CO}_2}$  in that alveolus during the respiratory cycle (Jones *et al.* 1979; Robbins *et al.* 1990).  $P_{\text{a,CO}_2}$ , then, reflects the time- and flow-weighted averages of all alveolar ventilatory fluctuations in all  $\dot{V}_A/\dot{Q}$  regions throughout the lung, i.e. the mean  $P_{\text{A,CO}_2}$  (Lenfant, 1967). As a result, the relation between the  $P_{\text{CO}_2}$  in the exhaled gas and the  $P_{\text{a,CO}_2}$  is so obscured that one cannot calculate the  $P_{\text{a,CO}_2}$  from the  $P_{\text{A,CO}_2}$ .

We reasoned that if the regional variations of  $P_{\text{CO}_2}$  in the lung could be reduced, then (a) the end-tidal  $P_{\text{CO}_2}$  ( $P_{\text{ET,CO}_2}$ ) would accurately reflect the mean  $P_{\text{A,CO}_2}$  and (b) the  $P_{\text{a,CO}_2}$  would not be affected by the distribution of pulmonary blood flow. In other words,  $P_{\text{ET,CO}_2}$  should equal mean  $P_{\text{A,CO}_2}$ , and, as mean  $P_{\text{A,CO}_2}$  is equal to  $P_{\text{a,CO}_2}$

**Table 1. Subject anthropomorphic and pulmonary function data**

Subject	Age (years)	Weight (kg)	Height (cm)	VC (l, % predicted)	FEV1/V <sub>C</sub> (% predicted)	FRC (l, % predicted)	DL <sub>CO</sub> (% predicted)
1	45	77	176	5.1 (113)	77 (104)	2.9 (78)	30 (93)
2*	33	81	177	4.4 (90)	73 (95)	3.1 (81)	29 (91)
3§	49	89	174	5.0 (116)	66 (90)	3.5 (98)	33 (103)
4	59	81	177	6.0 (144)	65 (92)	4.6 (122)	32 (90)
5	53	76	175	4.7 (113)	77 (107)	3.2 (88)	26.5 (88)

\*History of mild asthma, occasional use of inhaled beta agonists, occasional smoker of cigarettes.

§History of smoking of about one package of cigarettes per week for 20 years.

(Jones *et al.* 1979; Robbins *et al.* 1990),  $P_{ET,CO_2}$  should equal  $P_{a,CO_2}$ .

In our laboratory, we have experimented with a method of controlling  $P_{ET,CO_2}$  by providing specific flows and concentrations of  $CO_2$  to a sequential gas delivery circuit (Slessarev *et al.* 2007). To the extent that minute ventilation ( $\dot{V}_E$ ) exceeds such gas flow, previously expired gas, stored in an expiratory gas reservoir, enters the lung (Somogyi *et al.* 2005). This gas, we hypothesized, reduces both the regional variations and respiratory fluctuations of  $P_{A,CO_2}$  towards a mean  $P_{A,CO_2}$  (see Fig. 2 in Prisman *et al.* 2007). We tested this hypothesis using the method of Slessarev *et al.* (2007) to prospectively target a series of  $P_{ET,CO_2}$  values and measuring mean  $P_{A,CO_2}$  by analysing contemporaneously drawn arterial blood samples for  $P_{CO_2}$ . To test the robustness of the relation between  $P_{ET,CO_2}$  and  $P_{a,CO_2}$ , we also varied the end-tidal  $P_{O_2}$  values ( $P_{ET,O_2}$ ) and breathing frequencies ( $f$ ) at each target  $P_{ET,CO_2}$ .

## Methods

### Participants

The study was approved by the University Health Network Research Ethics Board. Informed written consent was obtained from five middle-aged male subjects. Their anthropomorphic and pulmonary function test data performed at the clinical pulmonary function laboratory at the Toronto General Hospital are presented in Table 1. Subjects were healthy except as noted in the caption to Table 1.

### Setting $P_{ET,CO_2}$ and $P_{ET,O_2}$

Measurements of  $O_2$  consumption ( $\dot{V}_{O_2}$ ) and  $CO_2$  production ( $\dot{V}_{CO_2}$ ) were used to target  $P_{ET,CO_2}$  and  $P_{ET,O_2}$ . Subjects were seated comfortably at room temperature breathing via a sequential gas delivery circuit and mask (Fig. 1 in Slessarev *et al.* 2007). Adhesive tape (Tegaderm, 3M Health Care, St Paul, MN, USA) was applied as necessary to prevent leaks between the face and the mask. Gas was sampled continuously from inside the mask.  $\dot{V}_{CO_2}$

and  $\dot{V}_{O_2}$  were determined for each subject as follows:

$$\begin{aligned}\dot{V}_{CO_2} &= \dot{G}_1 \times F_{E,CO_2} \\ \dot{V}_{O_2} &= \dot{G}_1 \times (0.21 - F_{E,O_2})\end{aligned}$$

where  $F_{E,CO_2}$  and  $F_{E,O_2}$  are the fractional concentrations of  $CO_2$  and  $O_2$  of mixed expired gas (sampled from the expiratory reservoir) and  $\dot{G}_1$  in this instance is the flow of air.  $\dot{V}_{CO_2}$  and  $\dot{V}_{O_2}$  were measured every 45 s until three consecutive values differed by less than 10%. The last such readings were taken as the  $\dot{V}_{CO_2}$  and  $\dot{V}_{O_2}$  for calculating the target end-tidal values.  $\dot{V}_A$ ,  $\dot{V}_{CO_2}$  and  $\dot{V}_{O_2}$  were then used to calculate the required gas flow to the circuit and its inspired fractional concentrations of  $CO_2$  and  $O_2$  to obtain the targeted  $P_{ET,CO_2}$  and  $P_{ET,O_2}$  (see Slessarev *et al.* 2007 for details).

We used a custom made gas blender fitted with  $P_{CO_2}$  and  $P_{O_2}$  sensors to blend gases in response to computer instructions (Respiract<sup>TM</sup>, Thornhill Research Inc., Toronto, Canada). The rapid response  $CO_2$  sensor (Ir3107, Servomex Group Ltd, Sugar Land, TX, USA) is accurate within  $\pm 0.1\%$   $CO_2$  in the range of 0–10%  $CO_2$  and the  $O_2$  sensor (UFO 130, Teledyne Analytical Instruments, City of Industry, CA, USA) to within 1% with a resolution of 0.1%. Both sensors underwent a two-point calibration before every experiment and were configured to report  $P_{CO_2}$  and  $P_{O_2}$  at BTPS. Expiratory flows were monitored continuously via a turbine (Universal Ventilation Meter, Vacu-Med, Ventura, CA, USA) placed in the expiratory limb of the circuit, and analysed for tidal volume ( $V_T$ ) and  $\dot{V}_E$ . All analog data were digitized, analysed and recorded on a computer after analog-to-digital conversion with a customized commercial data acquisition program (LabVIEW, National Instruments, Austin, TX, USA).

A 22-gauge catheter was inserted aseptically under local anaesthesia into a radial artery and maintained with a continuous slow flush of 0.9% saline solution. During the last minute of each 3 min target condition, two consecutive arterial blood samples ( $\sim 2$  ml) were drawn over approximately 20 s into heparinized syringes. Blood was analysed within 20 min via a clinically maintained

point-of-care blood gas analyser (RapidPoint® 405; Bayer HealthCare Diagnostics, Medfield, MA, USA). Analyser results on test samples are analysed daily and certified to be within 4% of reading for  $P_{CO_2}$  values less than 55 mmHg and within 6% of reading for  $P_{O_2}$  values less than 150 mmHg and 10% for  $P_{O_2}$  values greater than 150 mmHg. Quality control included automatic one-point calibrations every 30 min and two-point calibrations every fourth calibration. All blood gas values were reported at 37 °C.

**Experimental protocol**

To evaluate the relations between  $P_{ET,CO_2}$  and  $P_{a,CO_2}$ , we targeted and measured  $P_{ET,CO_2}$  during two experimental phases: (1)  $P_{O_2}$  was held constant; and (2)  $P_{O_2}$  was varied. In Phase I (Fig. 1),  $f$  was held constant at 6, 12, 18, or 24 breaths  $min^{-1}$  while  $P_{ET,CO_2}$  was targeted to three of four partial pressures (35, 40, 45, 50 mmHg) for 3 min each, at each  $f$ , and  $P_{ET,O_2}$  was kept constant at 100 mmHg.

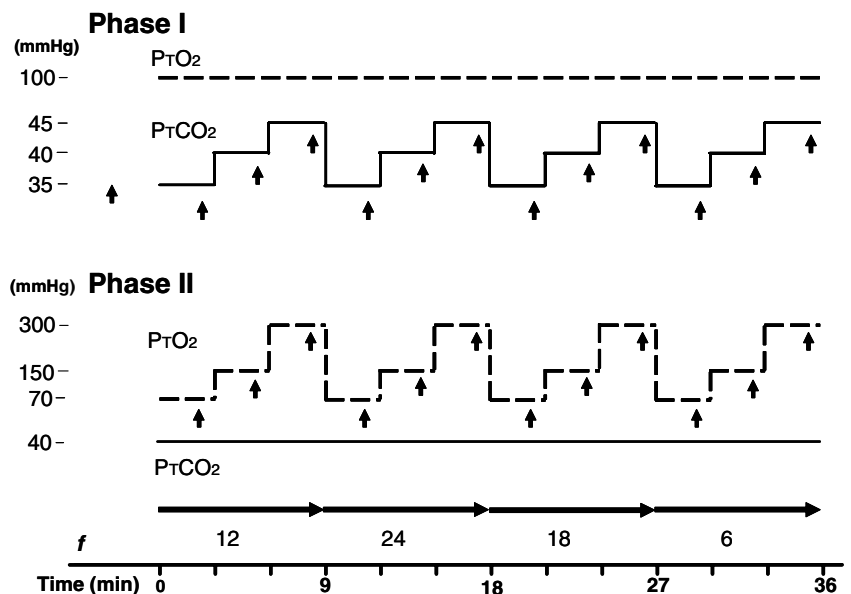
Phase II was similar to Phase I except that  $P_{ET,CO_2}$  was held constant at 40 mmHg while  $P_{ET,O_2}$  was targeted to three different partial pressures (70, 150, 300 mmHg) at each of the four  $f$ . Breathing frequency was held constant and the target  $P_{ET,CO_2}$  cycled (*versus vice versa*) to minimize the duration of hypercapnia, which some subjects found uncomfortable. The different values of  $f$  were applied in a randomized order in each subject and maintained by instructing the subject to breathe in time with a metronome. These tests resulted in comparisons of measured  $P_{ET,CO_2}$  averaged over the last 60 s of each breathing period with the average  $P_{a,CO_2}$  from both arterial blood samples, for six different combinations of targeted  $P_{CO_2}$  and  $P_{O_2}$ , at each of the four  $f$ , for a total of 24 comparisons per subject.

**Statistics**

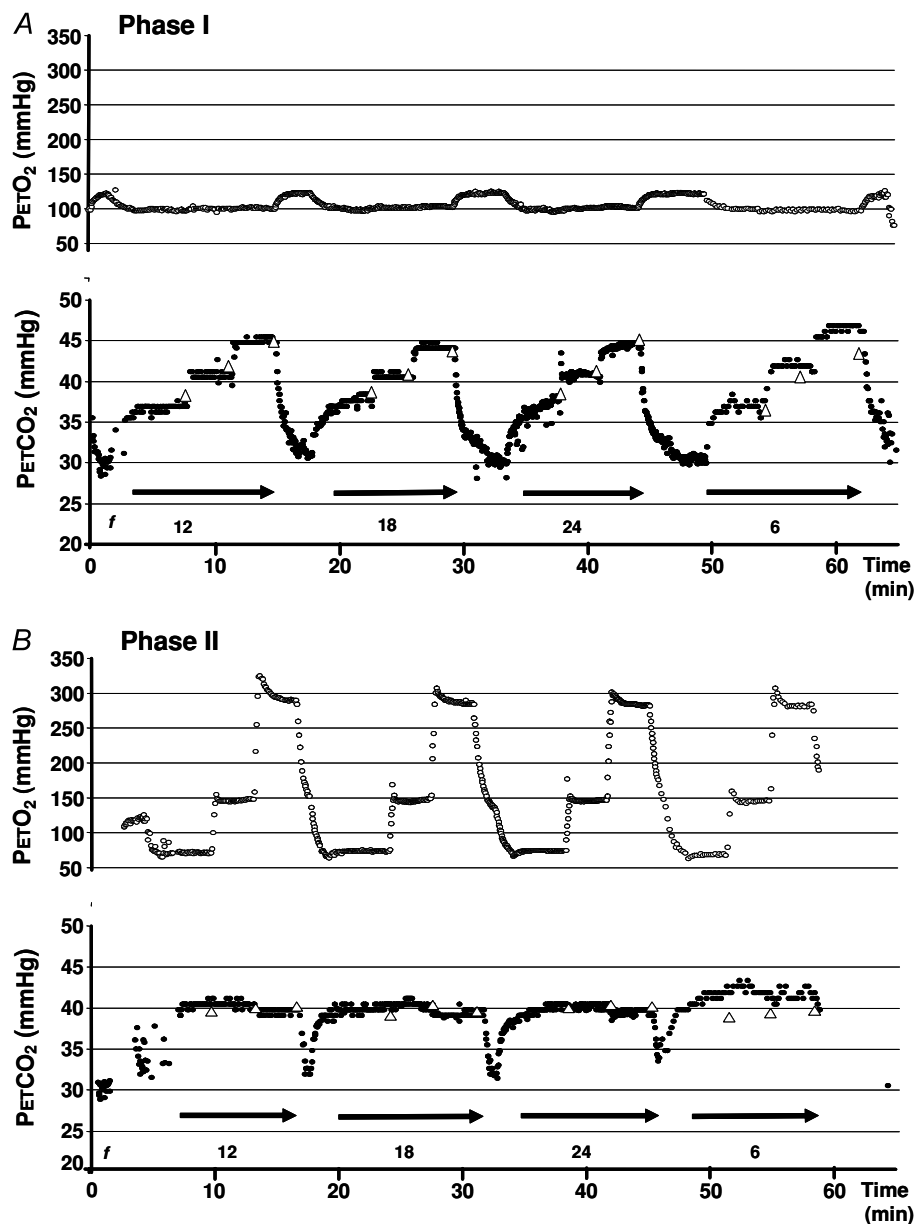
All data are expressed as the mean  $\pm$  S.D. unless otherwise noted. To assess the variability of  $P_{a,CO_2}$  between the two blood samples for each subject during each experimental condition, we calculated an intra-class correlation coefficient (ICC); values approaching 1.0 indicate a strong degree of agreement between the two assessments, while values near 0 indicate little or no relation between the measured pairs. To assess the differences between targeted  $P_{ET,CO_2}$  values and measured  $P_{ET,CO_2}$  values and  $P_{a,CO_2}$  values, a series of repeated measures ANOVAs was performed to determine whether these differences were significant, and whether experimental phase or  $f$  significantly affected the magnitudes of the differences. Subject identifiers were included as a random effect in these models to account for the relatedness of observations from the same subject. The analyses were performed using the SAS System v.9.1 software package. Statistical significance was set at the  $P = 0.05$  level. Bland–Altman analysis (Bland & Altman, 1986) was used to calculate the limits of agreement between  $P_{ET,CO_2}$  and average  $P_{a,CO_2}$ . We also calculated the repeatability coefficient (as defined by the British Standards Institution), referred to by Bland & Altman (1986), which refers to the 95% confidence intervals of differences between two repeated measures of the same quantity by the same method.

**Results**

All subjects completed the protocol without difficulty. Figure 2 shows the results obtained during a typical experiment. With subjects synchronizing  $f$  to a



**Figure 1. Experimental protocol**  
Time course showing target end-tidal  $P_{O_2}$  ( $P_{T,O_2}$ ) and target end-tidal  $P_{CO_2}$  ( $P_{T,CO_2}$ ). In Phase I,  $P_{T,O_2}$  was kept constant and  $P_{T,CO_2}$  was set to three different levels for each of four different frequencies ( $f$ ). In Phase II,  $P_{T,CO_2}$  was kept constant and  $P_{T,O_2}$  was set to three different levels for each of four different  $f$ . Upward pointing arrowheads indicate time for duplicate arterial blood sampling. Horizontal arrows indicate period of constant  $f$ .



**Figure 2. Example data**

A,  $P_{ET,O_2}$  and  $P_{ET,CO_2}$  from one subject during Phase I of the protocol. Each end-tidal value is represented by a filled circle. Averages of two  $P_{a,CO_2}$  values at end of each 3 min test interval are represented by open triangles. Horizontal arrows designate the time during which  $f$  was maintained by breathing in synchrony with a metronome. Subjects were administered room air in the intervals between fixed  $f$ . Reductions in  $P_{CO_2}$  between tests can be partly attributed to a rebound hyperventilation after hypercarbia, as was previously reported by Wise *et al.* (2007) and partly to artifact as the face mask was flooded with air at high flows between tests. In Phase I, before each period of breathing at controlled  $f$ , subjects were encouraged to hyperventilate for about 1–2 min to below a  $P_{ET,CO_2}$  of 35 mmHg so they could undergo a sharp step up to the new target  $P_{CO_2}$ . This was done to minimize the time to attain target end-tidal values and thereby shorten the duration of the protocol.  $P_{ET,CO_2}$  measurements and arterial blood sampling were performed only after a steady state was achieved. The increases in  $P_{ET,O_2}$  between periods of fixed  $f$  were the result of hyperventilation on room air. B,  $P_{ET,O_2}$  and  $P_{ET,CO_2}$  from one subject during Phase II of the protocol. Between periods of fixed  $f$ , subjects breathed room air without restriction.

**Table 2. Summary of differences between target end-tidal ( $P_{T,CO_2}$ ), end-tidal ( $P_{ET,CO_2}$ ) and arterial ( $P_{a,CO_2}$ )  $P_{CO_2}$ , reported as mean  $\pm$  s.d. (95% CI) and categorized by breathing frequency and experimental phase**

Breaths $\text{min}^{-1}$	6	12	18	24	(12, 18, 24)
<b>Phase I: <math>P_{T,O_2}</math> constant, <math>P_{T,CO_2}</math> varied</b>					
$P_{ET,CO_2} - P_{T,CO_2}$	2.38 $\pm$ 0.99* (1.83, 2.93)	0.98 $\pm$ 1.43 (0.19, 1.77)	1.20 $\pm$ 1.55 (0.34, 2.06)	0.62 $\pm$ 1.67 (-0.30, 1.54)	0.93 $\pm$ 1.53 (0.47, 1.39)
$P_{a,CO_2} - P_{T,CO_2}$	1.86 $\pm$ 2.52 (0.46, 3.25)	1.61 $\pm$ 2.19 (0.40, 2.82)	2.10 $\pm$ 2.10 (0.94, 3.26)	1.44 $\pm$ 2.33 (0.15, 2.73)	1.72 $\pm$ 2.17 (1.06, 2.37)
<b>Phase II: <math>P_{T,O_2}</math> varied, <math>P_{T,CO_2}</math> constant</b>					
$P_{ET,CO_2} - P_{T,CO_2}$	2.11 $\pm$ 1.93* (1.04, 3.18)	0.94 $\pm$ 1.71 (-0.01, 1.89)	0.75 $\pm$ 1.64 (-0.16, 1.66)	0.83 $\pm$ 1.75 (-0.14, 1.79)	0.84 $\pm$ 1.66 (0.34, 1.34)
$P_{a,CO_2} - P_{T,CO_2}$	1.24 $\pm$ 2.49 (-0.14, 2.61)	1.48 $\pm$ 2.04 (0.35, 2.61)	1.14 $\pm$ 2.41 (-0.19, 2.48)	1.27 $\pm$ 2.64 (-0.20, 2.73)	1.30 $\pm$ 2.33 (0.60, 2.00)
<b>Combined Phases</b>					
$P_{ET,CO_2} - P_{T,CO_2}$	2.24 $\pm$ 1.51* (1.68, 2.81)	0.96 $\pm$ 1.55 (0.39, 1.54)	0.97 $\pm$ 1.59 (0.38, 1.57)	0.72 $\pm$ 1.68 (0.10, 1.35)	0.89 $\pm$ 1.59 (0.55, 1.22)
$P_{a,CO_2} - P_{T,CO_2}$	1.55 $\pm$ 2.48 (0.62, 2.47)	1.55 $\pm$ 2.08 (0.77, 2.32)	1.62 $\pm$ 2.27 (0.77, 2.47)	1.36 $\pm$ 2.45 (0.44, 2.27)	1.51 $\pm$ 2.25 (1.04, 2.00)

Data show  $P_{CO_2}$  differences in mmHg. \* $P < 0.02$ .

metronome (at constant  $\dot{V}_I$ ),  $V_T$  was inversely related to  $f$  ( $1.96 \pm 0.36$ ,  $1.19 \pm 0.28$ ,  $0.98 \pm 0.2$  and  $0.85 \pm 0.19$  l at  $f = 6, 12, 18$  and  $24$  breaths  $\text{min}^{-1}$ , respectively;  $P < 0.01$ ).

For all  $f$  and  $P_{ET,O_2}$  values, the difference between  $P_{ET,CO_2}$  and average  $P_{a,CO_2}$  was  $0.5 \pm 1.7$  mmHg ( $P = 0.53$ ; 95% CI  $-2.8, 3.8$  mmHg) (Fig. 3A). There were no

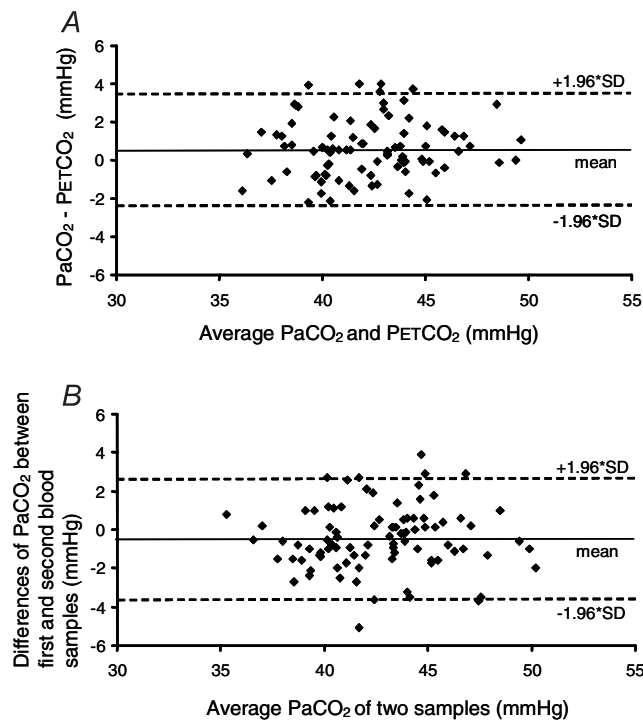
significant differences between  $P_{ET,CO_2}$  and average  $P_{a,CO_2}$ , regardless of  $P_{O_2}$ ,  $f$ , or target  $P_{ET,CO_2}$  (repeated measures ANOVAs). Differences between target  $P_{ET,CO_2}$  and actual  $P_{ET,CO_2}$  and between target  $P_{ET,CO_2}$  and  $P_{a,CO_2}$  are presented in Table 2. The only significant difference between target  $P_{ET,CO_2}$  and  $P_{ET,CO_2}$  occurred at  $f = 6$  breaths  $\text{min}^{-1}$ .

A duplicate analysis of  $P_{a,CO_2}$  was performed to establish the repeatability coefficient of the reference standard measure as the benchmark for declaring  $P_{ET,CO_2}$  and  $P_{a,CO_2}$  interchangeable. The ICC between blood measurements was 0.94 (95% CI: 0.91, 0.96,  $P < 0.01$ ) and the mean difference between two consecutive  $P_{a,CO_2}$  measurements was  $-0.1 \pm 1.6$  mmHg (mean absolute difference  $1.4 \pm 1.1$  mmHg).

The repeatability coefficient for  $P_{a,CO_2}$  was  $-3.7, 2.5$  ( $P = 0.47$ ) (Fig. 3B), practically identical to that between  $P_{ET,CO_2}$  and average  $P_{a,CO_2}$  (s.d. 1.7 versus 1.6 mmHg, respectively). This was true even when the data were analysed separately for  $f$  of 6 ( $0.70 \pm 1.94$ , 95% CI:  $-0.03, 1.42$ ;  $P = 0.15$ ) and for  $f$  of 12–24 breaths  $\text{min}^{-1}$  ( $-0.62 \pm 1.49$ , 95% CI:  $-0.93, -0.31$ ;  $P = 0.76$ ). In other words, measurement of  $P_{ET,CO_2}$  was interchangeable with measurement of  $P_{a,CO_2}$ .

### Discussion

This is the first study to show consistent and close agreement between  $P_{ET,CO_2}$  and  $P_{a,CO_2}$  over a wide range of  $P_{ET,CO_2}$ ,  $P_{ET,O_2}$  and  $f$ . The designation of agreement is taken from the approach advocated by Bland & Altman (1986) for assessing the interchangeability of measures of a physiological quantity – in this case,  $P_{a,CO_2}$ . The accepted reference standard is analysis of invasively



**Figure 3. Bland–Altman plots**

The limits of agreement between  $P_{ET,CO_2}$  and  $P_{a,CO_2}$  (A) and the repeatability coefficient for repeat  $P_{a,CO_2}$  measurements (B).

acquired arterial blood. Therefore, as part of this study, we tested the repeatability of  $P_{a,CO_2}$  of duplicate consecutively drawn blood samples during steady state conditions of  $P_{ET,CO_2}$ . The mean difference between blood samples was  $-0.1$  mmHg, indicating no systematic bias. The repeatability coefficient reflects the range of differences between readings for 95% of duplicate readings, and this variability reflects the sum of machine ( $\pm 1.6$  mmHg), handling and actual blood  $P_{CO_2}$  variability (Lenfant, 1967). Because the limits of agreement between  $P_{ET,CO_2}$  and  $P_{a,CO_2}$  were the same as between any two readings of the reference standard, we can designate them as being interchangeable under these experimental conditions. The methodology we used therefore extends the ability to perform physiological research when the  $P_{a,CO_2}$ , as the independent variable, must be both controlled and measured accurately.

The need for a new method of using  $P_{ET,CO_2}$  to assess  $P_{a,CO_2}$  may be questioned since  $P_{ET,CO_2}$  has been deemed to be an acceptable estimate of  $P_{a,CO_2}$  at rest (Jones *et al.* 1979; Robbins *et al.* 1990). However, closer scrutiny identifies multiple exceptions and uncertainties in this relation. In subjects breathing room air,  $P_{ET,CO_2}$  consistently underestimates  $P_{a,CO_2}$  at rest (Robbins *et al.* 1990) and overestimates it during exercise (Matell, 1963; Jones *et al.* 1979; Williams & Babb, 1997; Benallal *et al.* 2002). Various factors affect the difference between  $P_{ET,CO_2}$  and  $P_{a,CO_2}$ ; these include  $V_T$  (Jones *et al.* 1979), age (Miller & Tenney, 1956; Holland *et al.* 1968), the presence of obstructive lung disease (Liu *et al.* 1995; Prause *et al.* 1997), and gravity (Barr, 1963). Most of these factors are not taken into account in the calculation of  $P_{a,CO_2}$  with the above-mentioned methods, resulting in uncertainty. We therefore investigated if the sequential gas delivery method of attaining a target  $P_{ET,CO_2}$  (which also does not take any of these conditions into account) establishes conditions in the lung that ensure that  $P_{ET,CO_2}$  equals mean  $P_{A,CO_2}$  (as measured by  $P_{a,CO_2}$ ).

A previously reported method, dynamic end-tidal forcing (DEF), targets end-tidal values using an integral-proportional feedback loop to make breath-by-breath corrections to inspired gases, but it does not actually target  $P_{a,CO_2}$ . In studies of the control of breathing, Robbins *et al.* (1990) allowed subjects to breathe freely in response to targeted changes in  $P_{ET,CO_2}$  over the range of 40–50 mmHg using DEF and compared the  $P_{CO_2}$  in exhaled gas to measured  $P_{a,CO_2}$ . At rest,  $P_{ET,CO_2}$  significantly underestimated  $P_{a,CO_2}$  with a large S.D. (mean  $\pm$  S.D.,  $-1.35 \pm 2.64$  mmHg). St Croix *et al.* (1995) used DEF in older subjects with a protocol (but not a method of targeting  $P_{ET,CO_2}$ ) similar to ours; they found that the difference between  $P_{ET,CO_2}$  and  $P_{a,CO_2}$  varied with the fractional concentration of inspired  $CO_2$ . Despite studying subjects with greater alveolar deadspace than younger subjects (Robbins *et al.* 1990), they also

found that  $P_{ET,CO_2}$  significantly overestimated  $P_{a,CO_2}$  ( $+2.9 \pm 1.7$  mmHg) and explained this finding by noting that DEF targets  $P_{ET,CO_2}$  regardless of its effect on mixed venous  $P_{CO_2}$  and mean  $P_{A,CO_2}$ . They concluded that caution must be exercised when inferring the degree of stimulation (i.e. the  $P_{a,CO_2}$ ) at the chemoreceptors based on measurements of  $P_{ET,CO_2}$ . This is particularly true under hypercapnic conditions because  $P_{ET,CO_2}$ -to- $P_{a,CO_2}$  differences ‘... were often very large, indicating that end-tidal forcing is not useful for deriving individual values’ of  $P_{a,CO_2}$ . In contrast, we observed that targeted  $P_{CO_2}$ ,  $P_{ET,CO_2}$  and  $P_{a,CO_2}$  were independent of both the inspired fractional concentration of  $CO_2$  and  $V_T$  (repeated measures ANOVAs), except at  $f = 6$  breaths  $min^{-1}$ , a finding discussed in greater detail below.

A characteristic of the sequential gas delivery circuit is that the difference between  $\dot{V}_E$  and  $\dot{G}_1$  is made up with rebreathed gas from the expiratory reservoir. The higher  $P_{CO_2}$  in the previously exhaled gas entering high  $\dot{V}_A/\dot{Q}$  lung regions raises their  $P_{CO_2}$  values towards those of better perfused regions (Swenson *et al.* 1994; Brogan *et al.* 2004). This raises the  $P_{CO_2}$  in alveoli with high  $\dot{V}_A/\dot{Q}$  towards the  $P_{CO_2}$  of those with better matched  $\dot{V}_A/\dot{Q}$ , resulting in a more homogeneous  $P_{A,CO_2}$ . In addition, larger  $V_T$  and previously exhaled gas also reduces the intrabreath  $P_{CO_2}$  fluctuations in a given alveolus without changing the net equilibrium  $P_{CO_2}$  (see Somogyi *et al.* 2005). As a result, the distribution of  $P_{CO_2}$  throughout the lung and over the course of a breath cycle approaches the mean  $P_{A,CO_2}$ . An important consequence of having very similar  $P_{CO_2}$  values in all alveoli is that the  $P_{a,CO_2}$  is no longer dependent on the regional distribution of blood flow. We propose this as the most likely explanation for our observation that for  $f$  between 12 and 24 breaths  $min^{-1}$ , the mean  $P_{A,CO_2}$  – i.e.  $P_{a,CO_2}$  – and targeted  $P_{ET,CO_2}$  are identical.

The same proposed mechanism accounts for the discrepancy between  $P_{ET,CO_2}$  and  $P_{a,CO_2}$  at  $f = 6$  breaths  $min^{-1}$ . Consider that the amplitude of fluctuations of  $P_{CO_2}$  varies inversely with inspiratory duration and  $f$  and directly with  $V_T$ ; the effect of the latter is the most influential (Jones *et al.* 1979). At  $f = 6$  breaths  $min^{-1}$ ,  $V_T$  values were maximal, resulting in larger fluctuations of  $P_{CO_2}$  in the alveoli. The end-inspiratory  $P_{CO_2}$  falls because the lower  $f$  allows a greater accumulation of ‘fresh’ gas in the inspiratory reservoir during prolonged exhalation. In addition, prolonged dwell time in the alveoli allows the exhaled  $P_{CO_2}$  to equilibrate more completely with the  $P_{CO_2}$  in mixed venous blood (Dubois *et al.* 1952; Matell, 1963). Nevertheless, as already noted, the mean  $P_{A,CO_2}$  is maintained equal to the targeted  $P_{ET,CO_2}$ .

We included changes in  $P_{O_2}$  as part of the protocol to examine the effect of  $P_{O_2}$  on the gradient between  $P_{ET,CO_2}$  and  $P_{a,CO_2}$ . In our study,  $P_{ET,O_2}$  had no effect on  $P_{ET,CO_2}$  or the difference between  $P_{ET,CO_2}$  and  $P_{a,CO_2}$ .

Larson & Severinghaus (1962) reported that changing from air to  $\text{O}_2$  breathing increased the mean end-tidal to arterial  $P_{\text{CO}_2}$  gradient by 1.5 mmHg. They suggested that  $\text{O}_2$  breathing may divert much of the pulmonary flow from non-dependent relatively poorly perfused parts of the lung to dependent areas of the lung, thereby increasing the alveolar dead space. If this effect was present in our study, it was too small to be observed, despite our many tests. It is also possible that the rebreathing of exhaled gases, hypercapnia, or both, may have masked this effect of increased alveolar dead space.

### Limitations

Our subject sample was limited to five middle-aged male subjects at rest and therefore cannot be used to predict the response of a population. Our aim was limited to testing whether respiratory rate, changes in  $P_{\text{ET,CO}_2}$  and  $P_{\text{ET,O}_2}$  affects the prediction of  $P_{\text{a,CO}_2}$  from  $P_{\text{ET,CO}_2}$  when breathing with a sequential gas delivery system. Like St Croix *et al.* (1995) who carried out a very similar protocol using DEF, we assumed that pooling multiple data from each subject does not preclude the independence of our observations and approximates a larger sample size. All of our subjects were male; this is also consistent with other reported studies. Our subjects had a wide age range, and two had mild obstructive lung disease (Table 1), but there were no intersubject differences detected in the results. While we did not test elderly subjects, the rationale of our method with respect to distribution of previously exhaled gas to areas of alveolar deadspace predicts that the gradient between  $P_{\text{ET,CO}_2}$  and  $P_{\text{a,CO}_2}$  will be less in subjects with somewhat larger anatomic and physiological deadspace but near-normal, age-adjusted ventilatory capacities. Although there is no theoretical reason that precludes using the method in subjects exercising moderately in a steady state, we have not yet attempted this.

### Safety

In addition to standard physiological monitors such as end-tidal gas monitoring, electrocardiography and pulse oximetry, our main safety feature is that all source gases contain at least 10%  $\text{O}_2$  so that it is not possible to provide a hypoxic mixture if one or more of the gas sources suddenly fail.

### Other potential applications

This method of targeting end-tidal gases with a sequential gas delivery circuit (Slessarev *et al.* 2007) is suitable for studies of the control of breathing because  $P_{\text{ET,CO}_2}$  and  $P_{\text{ET,O}_2}$  can be controlled independently, and independent of  $\dot{V}_{\text{E}}$ . Such precise control of  $P_{\text{a,CO}_2}$  can be also used to provide continuous assessment of the stimuli to  $\text{CO}_2$ - and

$\text{O}_2$ -responsive vascular beds in the brain (Vesely *et al.* 2001; Mikulis *et al.* 2005; Prisman *et al.* 2008) and eye (Gilmore *et al.* 2004; Venkataraman *et al.* 2005; Gilmore *et al.* 2007). While the method of Slessarev *et al.* (2007) has not yet been used to study coronary, renal and other vascular beds, it should be possible with the same sequential gas delivery system.

### Conclusion

It is now possible to target  $P_{\text{a,CO}_2}$ , in addition to  $P_{\text{ET,CO}_2}$ , using a sequential gas delivery system. For respiratory rates greater than 12 breaths  $\text{min}^{-1}$ , the resulting  $P_{\text{ET,CO}_2}$  will provide as accurate a measurement of  $P_{\text{a,CO}_2}$  as the analysis of an arterial blood sample.

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### Conflict of interest

J.D., L.F., S.I. and J.F. have made contributions to the intellectual property related to the development of the automated gas blender and the methodology of targeting end-tidal gases. Patent applications have been filed according the IP policies of the University Health Network (UHN) and the University of Toronto. All rights to the patent have been assigned to TSI, a company formed under the auspices of the Business Development Office of the UHN. J.D., L.F., and J.F. are minor share holders in TSI.