

Primary Sequence and Secondary Structure Motifs in Spleen Necrosis Virus RU5 Confer Translational Utilization of Unspliced Human Immunodeficiency Virus Type 1 Reporter RNA

Tiffney M. Roberts^{1,2} and Kathleen Boris-Lawrie^{1,2,3,4,5*}

Center for Retrovirus Research,¹ Departments of Veterinary Biosciences³ and Molecular Virology, Immunology & Medical Genetics,⁴ Molecular, Cellular & Developmental Biology Graduate Program,² and Comprehensive Cancer Center,⁵
The Ohio State University, Columbus, Ohio 43210-1093

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The 5' long terminal repeat (LTR) of spleen necrosis virus (SNV) contains a unique posttranscriptional control element that facilitates Rev/Rev-responsive element-independent expression of unspliced human immunodeficiency virus type 1 (HIV-1) *gag* reporter RNA. HIV-1 Gag expression is eliminated when SNV LTR is repositioned to the 3' untranslated region or when the RU5 region is positioned in the antisense orientation. RU5 corresponds to the 5' RNA terminus, and results presented here indicate that Gag production is sustained upon introduction of transcribed spacers that reposition SNV RU5 35 to 200 nucleotides downstream. Concordant results of deletion and point mutagenesis identified two functionally redundant and synergistic motifs (designated A and C) that are necessary and sufficient for SNV RU5 activity. Enzymatic analysis of SNV RU5 RNA structure determined that A and C correspond to stem-loop structures. Quantitative RNA and protein analysis of A and C mutants revealed that the structural integrity of A and C is necessary for protein production, and loss of function correlates with little change in steady-state level, splicing efficiency, or cytoplasmic accumulation of HIV-1 *gag* reporter RNA. Instead, the structural mutations eliminate cytoplasmic utilization as an mRNA template for Gag protein production. Point mutations of unpaired loop-and-bulge nucleotides that maintain the structure of A eliminate activity. The results show that the unpaired UUGU loop and U-rich bulges function together and are candidate SNV RU5 binding sites for the host cell protein(s) that directs cytoplasmic utilization of unspliced HIV-1 reporter RNA.

Structured RNA elements in divergent retroviruses facilitate various posttranscriptional steps in expression of unspliced viral RNA. The 3' untranslated regions (UTRs) of Mason-Pfizer monkey virus (MPMV) and the related simian retrovirus type 1 contain a constitutive transport element (CTE) that directs nuclear export of unspliced RNA in conjunction with host proteins Tap and NXT1/p15 (10, 14, 26, 27). The 3' UTRs of avian leukosis virus and the related Rous sarcoma virus contain one and two copies, respectively, of a direct repeat (DR) element that is necessary for cytoplasmic accumulation and stability of unspliced viral RNA and for assembly of progeny virions (1, 22–24, 29, 30, 33). The 5' long terminal repeats (LTRs) of spleen necrosis virus (SNV) and MPMV contain a unique posttranscriptional control element that facilitates reporter gene expression from unspliced human immunodeficiency virus type 1 (HIV-1) *gag* reporter RNA independently of Rev/Rev-responsive element (RRE), and also nonviral *luc* RNA (6, 15, 28).

Extensive mutagenesis studies of CTE and DR have mapped necessary and redundant structural motifs that present unpaired nucleotides for interaction with cellular posttranscriptional modulators. The MPMV and SRV-1 CTEs share 92% sequence homology and are 154-nucleotide (nt) orientation- and position-dependent RNA elements (27, 32). The RNA structure of the CTE that is predicted by M-Fold software (20, 35) is a stable stem-loop structure ($\Delta G = -39.4$ kcal/mol) that consists of a degenerate repeat of ~70 nt, a 9-nt terminal loop,

and two 16-nt internal loops that are rotated 180° relative to each other (11, 32). The M-Fold prediction was validated by RNA structure analysis and extensive mutagenesis and functional analysis by a Gag reporter gene assay (11, 32). Point mutations designed to disrupt the double-stranded stem regions adjacent to the loops eliminated HIV-1 *gag* reporter gene activity (11). Compensatory mutations to restore base pairing of the stem rescued Gag production, confirming that the double-stranded stem structure is essential for activity. The stem has been postulated to orient the single-stranded loop nucleotides into the functionally correct position. Mutagenesis experiments by Tabernero et al. (32) indicated that the sequence of the internal loops, an AAGA bulge, and the stem structure between the loops are essential for CTE activity. Collectively, the mutagenesis data indicate that the secondary structure of CTE RNA and primary sequence of the unpaired nucleotides in the internal loops are necessary for CTE activity.

The DR is a 135-nt redundant stem-loop structure that also functions in an orientation-dependent manner (33). Each DR consists of two subelements referred to as DR1 and DR2 (29). Introduction of substitution mutations into predicted internal and terminal loop sequences in DR1 of the replication-competent Prague Rous sarcoma virus C reduces replication by a factor of 10 to 20 and reduces genomic RNA packaging by a factor of 10 (1). Two separate point mutations at the predicted stem-loop junction of one of the internal loops of the DR reduced expression of unspliced *cat* transcripts to between 10 and 40% of wild-type expression (24). Similar to the mutational analyses of CTE, these mutagenesis results demonstrated the functional importance of predicted unpaired nucle-

* Corresponding author. Mailing address: Goss Laboratory, The Ohio State University, 1925 Coffey Rd., Columbus, OH 43210-1093. Phone: (614) 292-1392. Fax: (614) 292-6473. E-mail: boris-lawrie.1@osu.edu.

otides in the internal loops of DR. The role of the predicted double-stranded stem in DR remains to be verified, but it is projected to correctly position the unpaired nucleotides for interaction with functionally relevant cellular proteins.

The posttranscriptional control element in the 5' SNV LTR was initially identified by its ability to facilitate Rex/Rex-responsive element-independent expression of bovine leukemia virus RNA (2). Subsequently, the SNV LTR was determined to facilitate Rev/RRE-independent expression of unspliced HIV-1 *gag* reporter RNA (6). Rev/RRE-independent *Gag* expression is eliminated when the RU5 region of the LTR is positioned in the antisense orientation or the LTR is repositioned to the 3' UTR (6, 8). SNV RU5 does not function by derepressing inhibitory sequences located in the HIV-1 *gag* gene (28). Ribosomal sedimentation and ribosome profile analysis established that SNV RU5 augments polysome loading onto HIV-1 *gag* reporter RNA and also nonviral luciferase (*luc*) reporter RNA (6, 28). Experiments with bicistronic *luc* reporter plasmids determined that SNV RU5 does not function as an internal ribosome entry sequence (28). Together these results indicate that the RU5 region of the SNV LTR functions as a unique, orientation-dependent RNA element that enhances translation. Sequence or structural motifs necessary for activity remain to be defined.

Here, results of RNA and protein assays on SNV RU5 deletion and substitution mutants and enzymatic mapping of SNV RU5 RNA identified sequence and structural motifs necessary for activity. The experiments determined that SNV RU5 contains two functionally redundant stem-loops that are necessary for Rev/RRE-independent *Gag* production. Our genetic and biochemical results indicate that SNV RU5 stem-loop structures present unpaired nucleotides for functional interaction with a cellular posttranscriptional modulator(s). Quantitative RNA analysis by RNase protection assay (RPA) indicates that the mutations do not diminish the steady-state level or cytoplasmic accumulation of *gag* RNA. Instead, the mutations preclude efficient translational utilization of the cytoplasmic transcripts.

MATERIALS AND METHODS

Plasmid construction. SNV RU5 deletion mutants were created by PCR with primer pairs that flank the region to be deleted and with the ExSite PCR-based site-directed mutagenesis kit and manufacturer protocol (Stratagene). SNV RU5 point mutants were generated by PCR-based site-directed mutagenesis using the QuikChange site-directed mutagenesis kit and manufacturer protocol (Stratagene). Primer sequences are available upon request, and the substitution mutations are summarized in the text. The deletion coordinates within the RU5 sequence are base pairs 4 to 42 (ΔA), 46 to 115 (ΔB), and 115 to 165 (ΔC) or combinations of these (ΔAB , ΔBC , and ΔAC). The PCR template for mutagenesis was pYW100 (6) with the following exceptions. ΔAB was generated from PCR template ΔB . ΔAC was generated from PCR template ΔA . AA' was created from PCR template A. CC' was created from PCR template C. AC' was created from PCR template A. $AA'CC'$ was created from PCR template $AA'C'$. $ACtif$ was created from PCR template $Atif$. $Aloop\Delta BC$ was created from PCR template ΔBC . $Aall\Delta BC$ was created from PCR template ΔBC . Antisense CBA was created by digesting pYW207 (6) with *Bam*HI and *Apa*I to excise the antisense CBA region, which was inserted into pYW205 (6) that had been digested with *Bam*HI and *Apa*I. The intermediate plasmid pTR170 was generated by site-directed mutagenesis of pYW100 to create a *Cla*I site between regions B and C of RU5. Subsequently, mutant ABA was created by digestion of pTR170 with *Cla*I and *Bam*HI to excise the C region and ligation with complementary linkers that contain the A region.

SNV RU5 spacer mutants pTR162, pTR163, pTR143, and pTR200 contain the indicated inserts that were ligated at a unique *Ava*I site at position 2. pTR162

was created by introduction of a 35-bp synthetic linker with *Ava*I termini into the *Ava*I site in pYW100. pTR163 was created by insertion of a 35-bp synthetic linker with *Xho*I and *Sal*I termini into an *Xho*I site within the linker in pTR162. pTR143 was created by introduction of 100 bp of pUC19 (coordinates 896 to 1,000 [New England Biolabs]) with *Ava*I termini into the *Ava*I site of pYW100. pTR200 was created by introduction of 194 bp of pGFP-N1 (coordinates 944 to 1139 [Clontech]) with *Ava*I termini into the *Ava*I site of pYW100. All mutant plasmids were verified by extensive restriction digestion and sequence analysis and lack an AUG translation initiation codon.

DNA transfection and reporter protein analysis. Reporter gene assays were performed on 293 cells transfected by a $CaCl_2$ protocol (6) in three replicate 100- or 33-mm-diameter plates. The cells were harvested 48 h posttransfection in phosphate-buffered saline, centrifuged at $2,000 \times g$ for 3 min, and resuspended in ice-cold lysis buffer (20 mM Tris-HCl [pH 7.4], 150 mM NaCl, 2 mM EDTA, 1% NP-40). *Gag* levels were quantified by a *Gag* enzyme-linked immunosorbent assay (ELISA) (Coulter Corp.) and normalized to *Luc* activity (relative light units) measured with a Lumiscout luminometer (Packard); *Luc* was expressed from cotransfected pGL3 (Promega).

RNA preparation and analysis. For preparation of nuclear and cytoplasmic RNAs, cells were harvested 48 h posttransfection and resuspended in 400 μ l of hypotonic buffer (10 mM HEPES-KOH [pH 7.9], 1.5 mM $MgCl_2$, 10 mM KCl, 0.5 mM dithiothreitol). The cell suspensions were chilled on ice for 10 min and vortexed for 10 s, and nuclei were sedimented by centrifugation at $350 \times g$ for 1 min at 4°C. Isolation of nuclear and cytoplasmic RNA from the pellet and supernatant, respectively, was performed with TriReagent by using the manufacturer's protocol (Molecular Research Center, Cincinnati, Ohio). The RNA was treated twice with DNase for 30 min at 37°C, phenol extracted, and ethanol precipitated. For RPAs, 25 μ g of total RNA, 15 μ g of nuclear RNA, or 25 μ g of cytoplasmic RNA was analyzed as previously described (6). Antisense runoff α - ^{32}P -labeled RNA transcripts were synthesized with MAXIscript T7 polymerase (Ambion) according to the manufacturer's instructions. HIV-1 template pGEM (140 to 440) was digested with *Not*I, and pGAPDH was digested with *Nco*I. The HIV probe is 344 nt in length and complementary to the HIV 5' UTR and the 5' 106 nt of the *gag* open reading frame (6). Expected sizes of protected fragments are 303 nt for the unspliced product and 146 nt for spliced *gag* RNA. Spacer mutant T7 templates were generated as PCR products by using primers that amplify a region including 66 nt of the U3 promoter through the first 144 nt of the HIV 5' UTR. The in vitro-transcribed RNAs were isolated by gel elution, and the RPAs were performed with RPAIII (Ambion) according to the manufacturer's protocol. RNA was ethanol precipitated with 3×10^5 cpm of HIV-1 *gag* probe and 1×10^4 cpm of a glyceraldehyde-3-phosphate dehydrogenase (GAPDH) gene probe. RPA products were separated on acrylamide gels and were visualized by PhosphorImager (Molecular Dynamics) analysis, and RNA signals were quantified by ImageQuant version 4.2 software (Molecular Dynamics).

RNA secondary structure mapping. MAXIscript T7 polymerase (Ambion) was used to transcribe the SNV RNAs from PCR products that contain the T7 promoter. The RNAs were dephosphorylated and 5' end labeled with [γ - ^{32}P]ATP, and the full-length transcript was purified from a denaturing polyacrylamide gel. Target RNA was used at 250 ng per mapping reaction. For alkaline hydrolysis reactions, the target RNA was incubated in alkaline hydrolysis buffer (50 mM sodium carbonate [pH 9.2], 1 mM EDTA) with 3 μ g of tRNA at 95°C for 5 min. For RNase T1 sequencing, target RNA was incubated in RNA sequencing buffer (20 mM sodium citrate [pH 5], 1 mM EDTA, 7 M urea) with 1 μ g of tRNA at 50°C for 15 min, cooled to room temperature, and incubated with RNase T1 (Ambion) for 15 min at room temperature. For RNase T1 structure mapping, target RNA was incubated in RNA structure buffer (100 mM Tris [pH 7], 1 M KCl, 100 mM $MgCl_2$) with 1 μ g of tRNA and RNase T1 for 15 min at room temperature. For RNase A structure mapping, target RNA was incubated in RNA structure buffer with 1 μ g of tRNA and RNase A (Ambion) for 15 min at room temperature. For RNase V1 structure mapping, target RNA was incubated in structure buffer with 1 μ g of RNA and RNase V1 (Ambion) for 15 min at room temperature. All reactions were terminated by ethanol precipitation. Digested products were separated on 10 or 20% denaturing acrylamide gels and visualized with a PhosphorImager (Molecular Dynamics).

RESULTS

Two functionally redundant, synergistic regions are necessary for SNV RU5 activity. SNV RU5 mutations were assayed for Rev/RRE-independent HIV-1 *Gag* production in the context of reporter plasmid pYW100, which was used in a previous

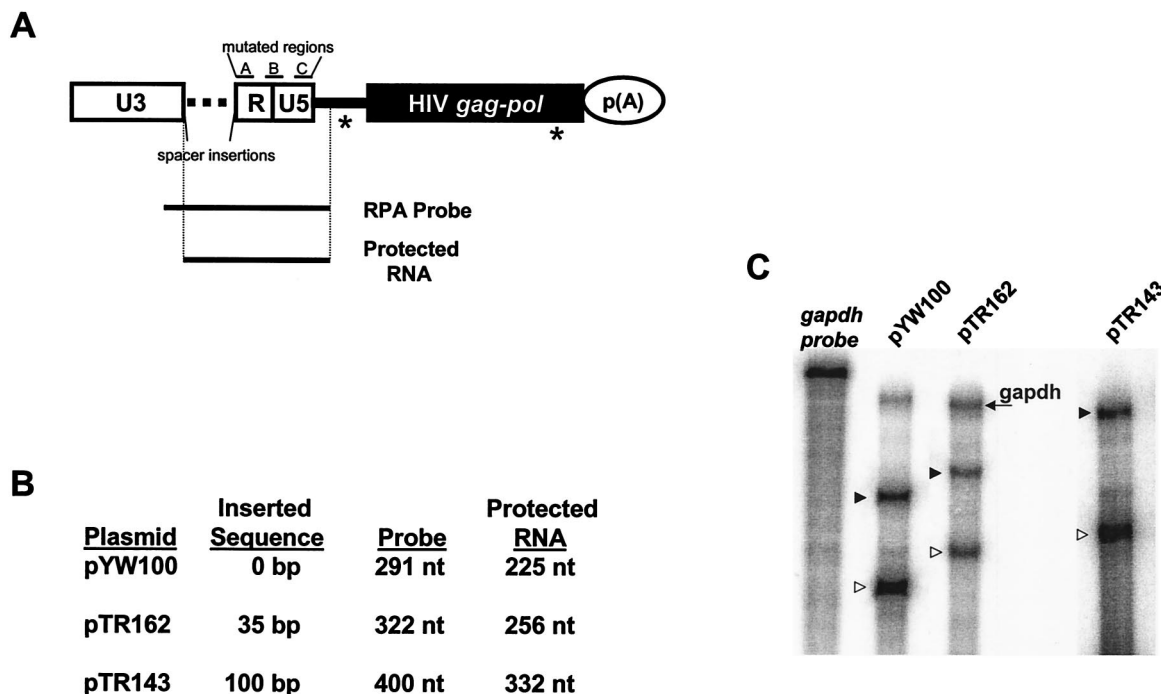


FIG. 1. Reporter plasmid and RPA analysis. (A) Representation of parental SNV/HIV-1 reporter plasmid pYW100 showing the relative positions of mutated A, B, and C regions and the location of spacer insertions, the RPA probe, and protected RNA species. White rectangles, SNV LTR; horizontal dotted line, position of inserted spacer sequences; black line, HIV-1 5' UTR; black rectangle, HIV-1 *gag-pol* genes; circle, polyadenylation signal. Splice sites are indicated by an asterisk. (B) List of plasmids that lack or contain spacer insertions, with the length of the inserted sequence, the length of the RPA probe, and the predicted size of protected RNA band after RNase digestion. (C) Representative RPA of spacer mutant RNA. HIV-1 Gag reporter plasmids were transfected into 293 cells. At 48 h posttransfection, total RNA was isolated and treated with DNase, and 20 μ g of RNA was subjected to RPA with the indicated probe and GAPDH RNA probe. Transcripts were subjected to polyacrylamide gel electrophoresis and RNA signals were quantified by phosphorimager analysis. Undigested GAPDH RNA probe is labeled in italics, lanes are labeled with the designated reporter RNA. An RNA sample from pTR143 was electrophoresed separately to facilitate better resolution and the GAPDH RNA probe was omitted due to overlapping sizes of the GAPDH RNA protected band and the pTR143 probe. The position of protected GAPDH RNA is indicated on the right. \blacktriangleright , position of spacer probes; \triangleright , position of protected RNA.

characterization of SNV RU5 activity (Fig. 1A) (6). Deletion mutagenesis was used to define sequence boundaries necessary for SNV RU5 activity. SNV RU5 was arbitrarily divided into three regions, designated A (coordinates 4 to 42), B (46 to 115), and C (115 to 165), which were deleted individually and in combination. The mutants were transiently transfected into human 293 cells, and HIV-1 Gag production was measured by ELISA. As reported previously, SNV RU5 positioned in the sense orientation (ABC), but not the antisense orientation (antisense CBA), facilitated Rev/RRE-independent Gag production (Table 1) (8). Individual deletion of A, B, or C (Δ A, Δ B, or Δ C) and combined deletion of A and B (Δ AB) or B and C (Δ BC) reduced but did not eliminate Gag production. By contrast, combined deletion of A and C (Δ AC) eliminated Gag production. Because the presence of either A or C is sufficient for partial activity, we sought to determine whether two A regions act in a functionally redundant manner to restore Gag production to near-wild-type levels. To test this, we created a mutant that contains two copies of A (ABA). We postulated that introduction of two copies of A would either restore activity or produce altered base pairing that sterically hinders efficient translation. The results were consistent with the second possibility and showed that two copies of A do not act in a redundant manner to restore SNV RU5 activity.

In summary, results of deletion mutagenesis indicate that A

or C is sufficient for Rev/RRE-independent Gag production and that A and C act in a functionally redundant manner. Together, A and C act synergistically to support wild-type levels of Gag production.

Location at the 5' terminus is not essential for SNV RU5 activity. Retroviral LTRs are composed of three regions: U3, R, and U5. U3 contains the viral promoter, and the first base pair of R corresponds to the RNA start site. Previous results

TABLE 1. Effect of SNV RU5 deletion mutations on Gag production

RU5 region	Relative Gag level ^a
ABC	1.0
Antisense CBA	<MD
Δ A	0.3 \pm 0.14
Δ B	0.4 \pm 0.14
Δ C	0.5 \pm 0.13
Δ AB	0.3 \pm 0.14
Δ BC	0.4 \pm 0.08
Δ AC	<MD
ABA	<MD

^a HIV-1 Gag reporter protein levels in representative triplicate transfections of 293 cells were standardized to Luc activity from cotransfected pGL3. A value of 1.0 is equivalent to 40 ng of Gag protein per ml. Values are means \pm standard deviations. <MD, less than the minimum detectable level, 0.01 ng/ml.

TABLE 2. Effect of spacer insertions between SNV U3 and RU5 on Gag production

Plasmid	Spacer length (bp) ^a	Relative Gag level ^b
pYW100	0	1.0
pTR162	35	1.19 ± 0.19
pTR163	70	1.21 ± 0.09
pTR143	100	0.77 ± 0.03
pTR200	200	0.94 ± 0.06

^a Number of base pairs inserted between U3 and RU5. All inserts do not contain an ATG.

^b HIV-1 Gag reporter protein levels in triplicate transfections of 293 cells were standardized to Luc activity from cotransfected pGL3. A value of 1.0 is equivalent to 40 ng of Gag protein per ml. Values are mean ± standard deviations.

determined that repositioning SNV RU5 distal to the RNA start site eliminates Rev/RRE-independent Gag production and stimulation of luciferase production (6, 28). Here we sought to assess the requirement of the 5'-proximal position of SNV RU5 for Rev/RRE-independent HIV-1 Gag production. We introduced spacer sequences of various lengths (35, 70, 100, and 200 bp) that lack ATGs between SNV U3 and SNV RU5 (Fig. 1A). RPAs on total cellular RNA were performed with homologous uniformly labeled 5' RNA probes (Fig. 1A and B). The results verified that the spacer sequences are

transcribed (Fig. 1C). Results of multiple independent transfection assays performed in triplicate indicate that the spacer sequences did not significantly reduce Gag production (Table 2). These results indicate that SNV RU5 activity is sustained when RU5 is repositioned 35 to 200 nt downstream of U3 and imply that precise proximity to the RNA start site is not necessary for activity.

A and C functional motifs are stem-loop structures. The Zuker M-Fold program (20, 35), which accurately predicted the secondary structure of the CTE (11, 32) and DR (33), was used to predict the secondary structure of SNV RU5. The most likely structure contains two stem-loop structures that correspond roughly to the A and C regions and a central spacer region that corresponds to B (Fig. 2). M-Fold computations, which are executed at fixed conditions of 37°C with 1 M NaCl and no divalent cations, predicted seven potential structures. The structures contain similar stems and terminal loop regions in A and C. The variation common to all predictions is the structural character of the B region. When folded independently, the functionally necessary A and C regions are predicted to maintain the stable stem-loop structures that they form in full-length SNV RU5. The predicted free energy of the most stable predicted SNV RU5 structure is -54 kcal/mol,

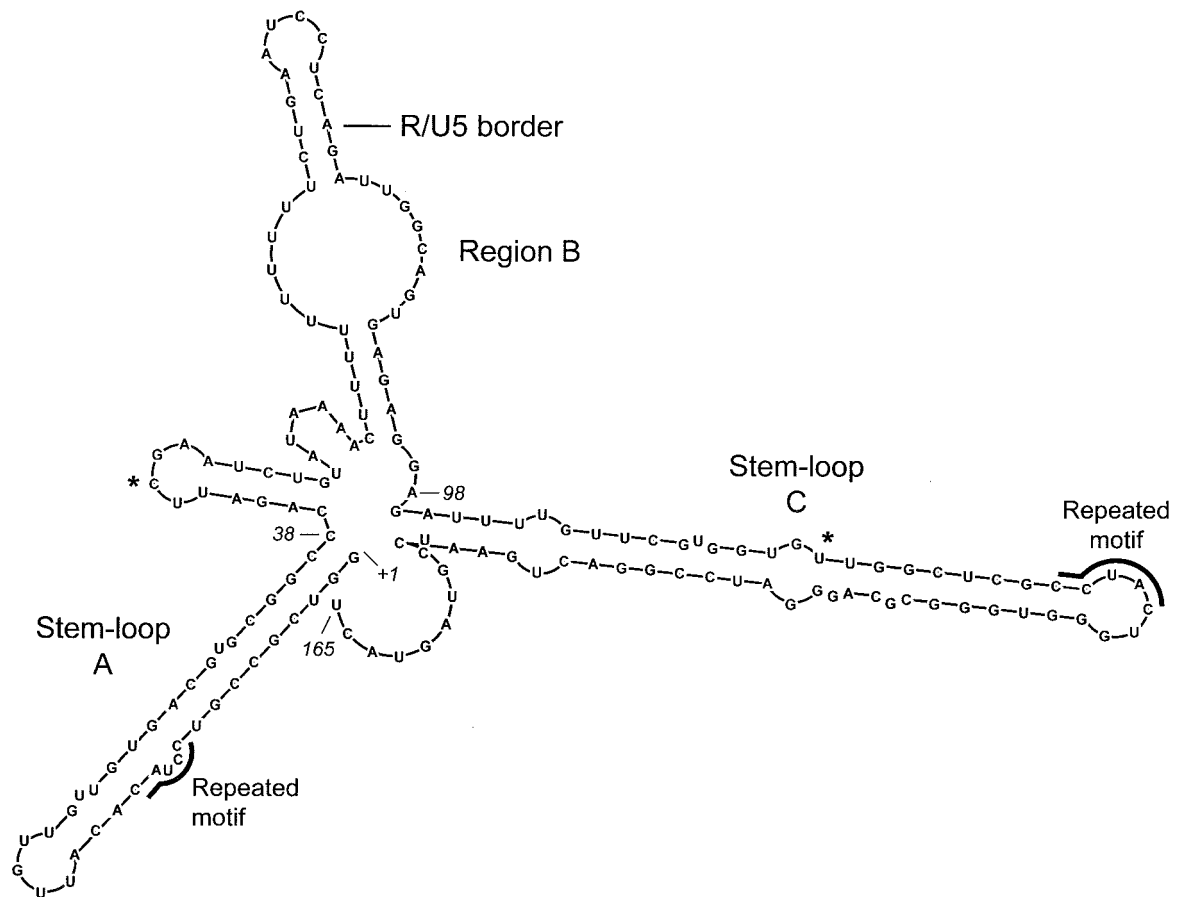


FIG. 2. Secondary structure of 165 nucleotide SNV RU5 predicted by the M-Fold software (20, 35). This structure depicts the most stable of seven predicted structures. The position of the R/U5 border is shown. Asterisks indicate positions of original deletions between A and B and between B and C. The predicted free energy (ΔG) is -54 kcal/mol.

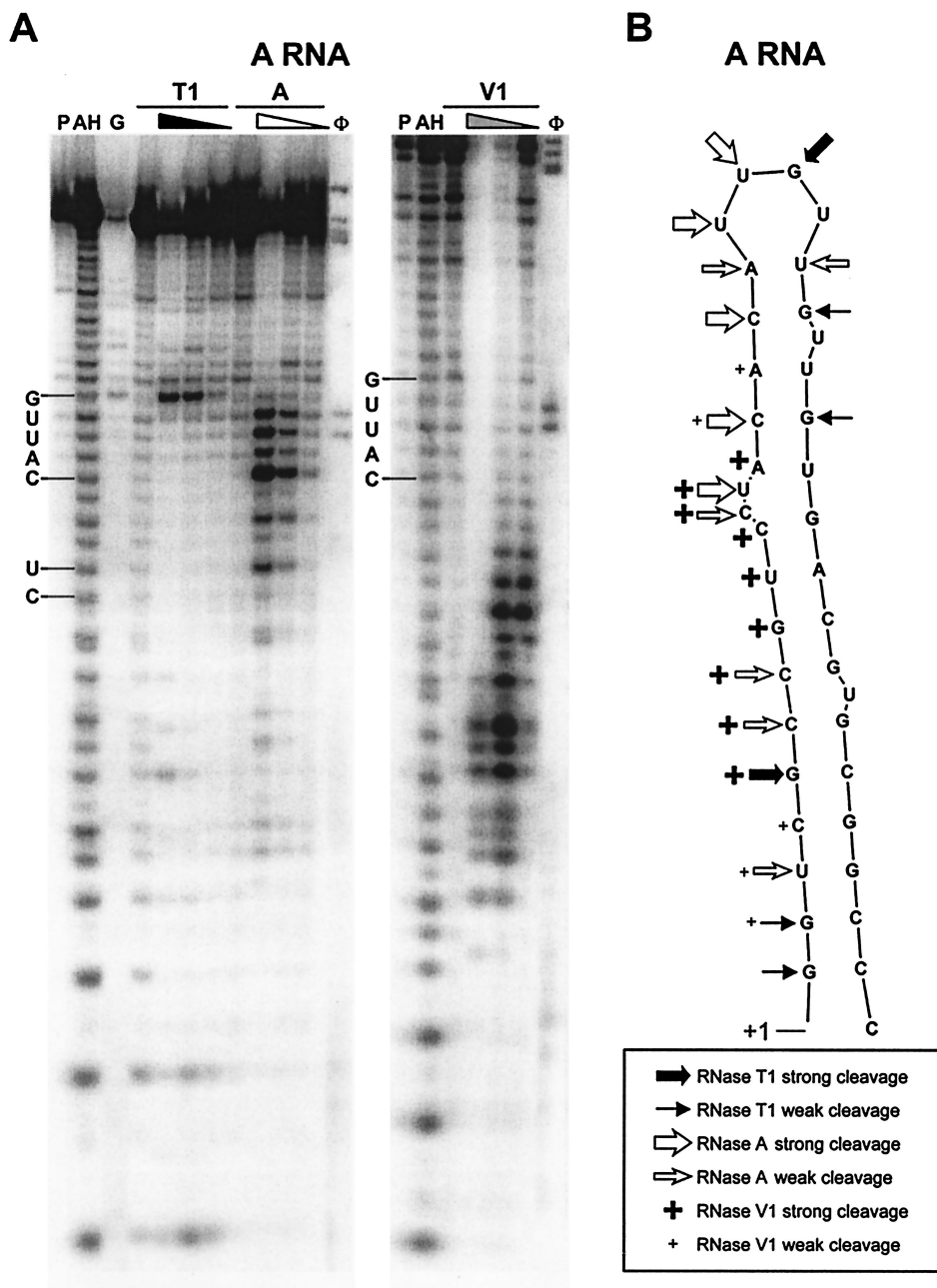


FIG. 3. Enzymatic digestion of A region RNA. (A) After transcription in vitro, the A region RNA was labeled at the 5' end with [γ - 32 P]ATP and digested with various concentrations of RNase T1, A, and V1. A representative of four gels is shown. Lanes: P, probe; AH, alkaline hydrolysis ladder; G, RNase T1 sequencing ladder; ϕ , ϕ X174 ladder. Four lanes are included for each RNase digestion. The first lane contains only buffer. For RNase T1, samples were incubated with 0, 1, 0.1, and 0.01 U of RNase. For RNase A, samples were incubated with 0, 10^{-3} , 10^{-4} , and 10^{-5} U of RNase. For RNase V1, samples were incubated with 0, 0.1, 0.01, and 0.001 U of RNase. The position and sequence of the terminal loop CAUUG and the CU bulge are indicated. (B) Diagram of M-Fold-predicted A structure, with areas cleaved by the RNases indicated.

which is comparable to those of the DR (-47 kcal/mol) and CTE (-39 kcal/mol) (11, 33).

Enzymatic mapping of SNV RU5 RNA was performed to analyze the secondary structure, and the data were evaluated against the structural predictions produced by M-Fold. In denaturing buffer, RNase T1 is expected to cut at every G residue. In structure buffer, RNase T1 is expected to cut at unpaired G residues, RNase A is expected to cut at unpaired C

and U residues, and RNase V1 is expected to cut at double-stranded nucleotides (4, 11, 16, 33). Nucleotides predicted to be particularly prone to cleavage by RNases A and T1 are those located in the terminal loop regions. The 38-base A-region RNA and 58-base C-region RNA were transcribed in vitro, labeled at the 5' end with [γ - 32 P]ATP, and subjected to digestion with each RNase. The RNase concentrations were titrated, and the profiles of digestion products were separated

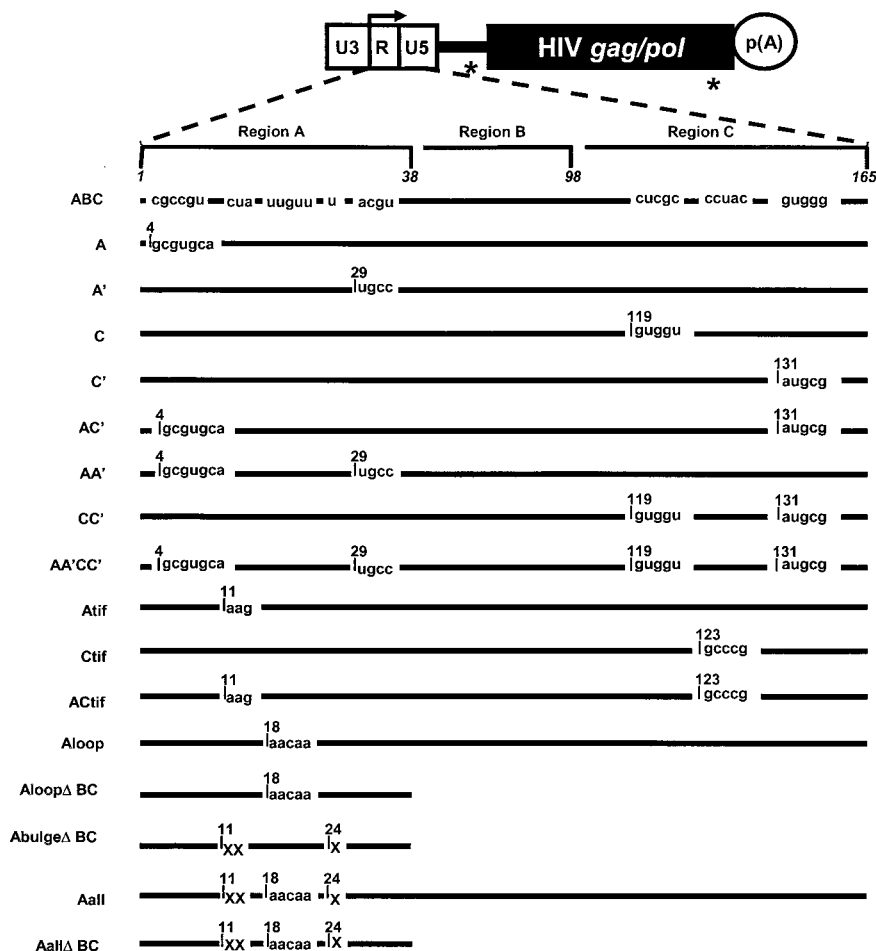


FIG. 5. Summary of the SNV RU5 point mutations. Depicted at the top is the parental SNV/HIV-1 reporter plasmid. White rectangle, SNV LTR; black line, HIV-1 5' UTR; black rectangle, HIV-1 *gag/pol* genes; circle, polyadenylation signal. Splice sites are indicated by an asterisk. The bent arrow indicates the RNA start site, which defines the U3/R border. Nucleotide numbers delineating regions A, B, and C are shown in italics above wild-type A, B, and C regions (not drawn to scale). Plasmid names are on the left. Nucleotide numbers correspond to the first mutated nucleotide at each mutation site and the altered sequence. Lines indicate unchanged sequence. "X" indicates deleted nucleotides.

perfect concordance with the predicted terminal loop UACUG (Fig. 4). As expected, areas in the stem predicted to contain bulges were also cleaved by the single-strand-specific RNases A and T1. RNase V1 digestion verified the double-stranded stem structure of C RNA. Overlapping digests of stem-and-bulge regions by single-strand-specific RNases A and T1 and double-strand-specific RNase V1 suggest that these regions form stem structures but that there is a region of fluidity centered around the UGU and GGA bulges.

Of particular interest is the terminal loop of the C stem, which is flanked by a strong 7-nt stem that lacks bulged nucleotides. While the terminal loop is cleaved extensively by the single-strand-specific RNases, the flanking stem is cleaved solely by the double-strand-specific RNase V1. Enzymatic digestion of the contiguous SNV RU5 RNA is expected to show similar folding patterns, but mapping a 165-base substrate RNA is expected to produce a profile with lower resolution. When the full-length SNV RU5 RNA was subjected to the RNase digestion protocol, the A region contained the same digestion pattern. As expected, the C region was not resolved sufficiently under these conditions to determine the pattern of nucleotide cleavage (data not shown). In summary, the results

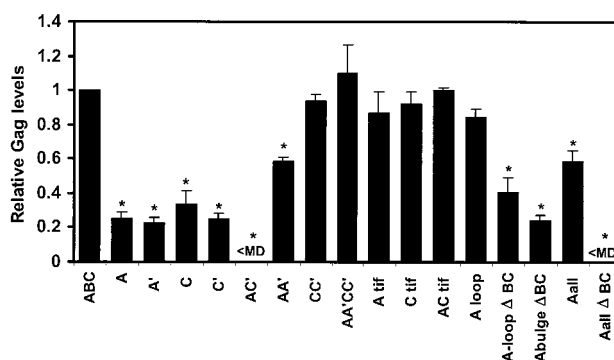


FIG. 6. Relative Gag levels produced in 293 cells transfected with the indicated HIV-1 Gag reporter plasmids. 293 cells were cotransfected with wild-type SNV RU5 (ABC) or mutant Gag reporter plasmids and pGL3 luciferase expression plasmid. Cell lysates were analyzed for Gag production by ELISA and for Luc activity by a chemiluminescent Luc assay. Values are from a representative triplicate experiment among more than 10 independent experiments. Gag levels are shown relative to SNV RU5 (ABC), and a value of 1.0 is equivalent to 40 ng of Gag per ml. Gag levels are standardized to cotransfected Luc. Standard deviations are shown. <MD, less than minimum detectable Gag level. *, significantly different from the value for SNV RU5 as determined by the Student *t* test ($P < 0.005$).

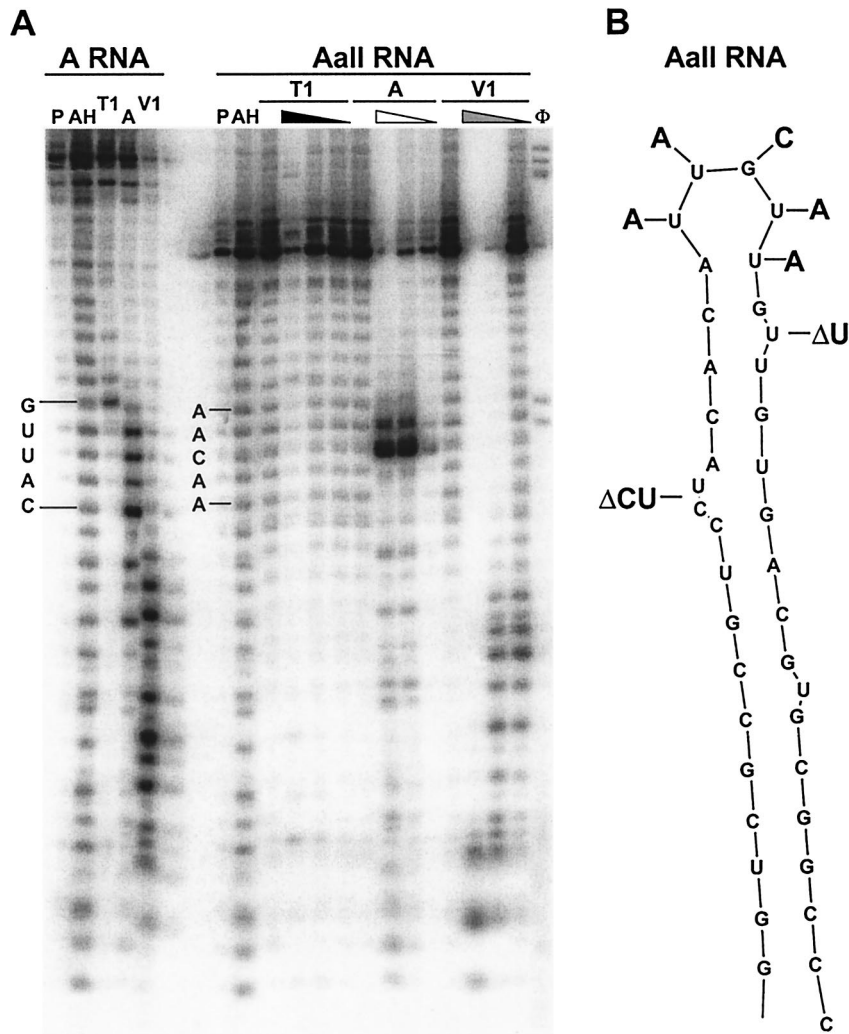


FIG. 7. Enzymatic digestion and position of mutations of Aall Δ BC. (A) After transcription in vitro, Aall Δ BC RNA was end labeled with [γ - 32 P]ATP and digested with various concentrations of RNase T1, A, and V1. Digested samples from A RNA were run in parallel for direct comparison. Lanes: P, probe; AH, alkaline hydrolysis ladder; G, RNase T1 sequencing ladder; ϕ , ϕ X174 ladder. Four lanes are included for each RNase digestion and were used at the same concentrations as in Fig. 3B. Positions of the A terminal loop CAUUG and the Aall Δ BC terminal loop AACAA are shown. (B) Structure of wild-type A region, with deleted and mutated nucleotides indicated.

from enzymatic mapping using three RNases with different specificities support the M-Fold-predicted structure of the A- and C-region RNAs.

A and C stem-loop structures are necessary for Gag production. Substitution mutations were introduced into pYW100 to dissect the contribution of the secondary structure motifs and to analyze specific sequence motifs (Fig. 5). The first group of substitution mutations were designed to disrupt base pairing of either the A or C stem (A, A', C, and C'). Each of these substitution mutations reduced but did not eliminate Gag production (Fig. 6). The levels are similar in magnitude to the levels exhibited by the Δ A or Δ C deletion mutants (Table 1). When the A and C' substitution mutations were combined to simultaneously disrupt the predicted A and C stems (AC'), Gag production was eliminated. This loss of function is analogous to that with the Δ AC deletion mutant (Table 1) and posits the model that the A and C stem structures are necessary for SNV RU5 activity.

To test the model that these stem structures are necessary for activity, compensatory mutations were introduced singly and in combination into A and C (Fig. 5). Compensatory mutations in either the A stem (AA') or the C stem (CC') restored Gag production to 60 and 100%, respectively (Fig. 6). Introduction of both compensatory mutations into the non-functional AC' mutant (AA'CC') rescued Gag production from undetectable to 100%. The results of the deletion and substitution mutagenesis exhibit complete confirmation that A and C act in a functionally redundant manner to facilitate Rev/RRE-independent expression of HIV gag RNA. The rescue of Gag production by the compensatory mutations indicates that secondary structures that correspond to the A and C stem-loops are necessary for Gag production.

Nucleotides distinct from a conserved functional CTE motif are necessary for SNV RU5 activity. Interestingly, the sequence motif CCUAC, which is repeated in the A region and the C region (Fig. 2), resembles the CCUAG motif, which is

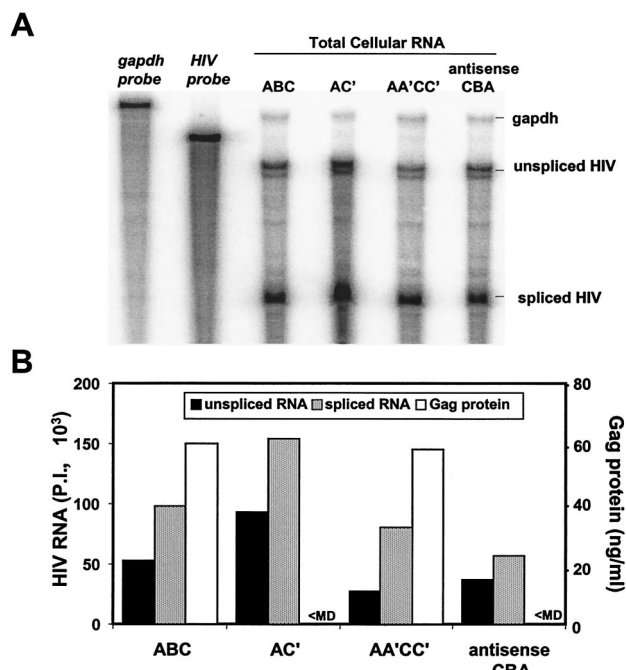


FIG. 8. Quantification of HIV-1 reporter transcripts in total cellular RNA by RPA and Gag protein by ELISA. (A) Wild-type SNV RU5 (ABC) and selected mutant plasmids were transfected into 293 cells. At 48 h posttransfection, total cellular RNA was isolated and treated with DNase, and 25 μ g was subjected to RPA with an HIV-specific probe complementary to the HIV-1 5' UTR and a GAPDH RNA probe to control for RNA loading. Transcripts were subjected to polyacrylamide gel electrophoresis, and RNA signals were quantified by phosphorimager analysis. Undigested probes are labeled in italics, lanes are labeled with the reporter RNA, and RNase protection products are indicated on the right. RNA signals were quantified by ImageQuant version 4.2 software (Molecular Dynamics). (B) Unspliced and spliced RNA phosphorimager units (P.I.) were normalized to GAPDH RNA levels, and Gag levels were measured by ELISA.

repeated in the functionally necessary unpaired loop regions of the CTE (32). Base substitutions were introduced individually and in combination to change CCUAC to CAAGC or GCCCC (Atif, Ctif, and ACTif) (Fig. 5). In all three cases, Gag production remained between 85 and 100% of wild-type levels, indicating that maintenance of the CCUAC motifs is not necessary for activity of SNV RU5 (Fig. 6).

Unpaired nucleotides in A are necessary for SNV RU5 activity. To investigate nucleotides within A that are necessary for activity, base substitutions were introduced into the A terminal loop sequences that change UUGUU to AACAA (Aloop and Aloop Δ BC) (Fig. 5). In addition, unpaired nucleotides in the stem (CU and U bulges) were deleted (Abulge Δ BC). These mutations were predicted by M-Fold to maintain the stem structure while mutating the unpaired regions. When the A terminal loop sequence was mutated in wild-type SNV RU5 (Aloop), Gag production was unchanged (compare ABC to Aloop in Fig. 6). Compared to the parental deletion mutant Δ BC (Table 1), the Aloop Δ BC mutant exhibited partial activity of 40% (Fig. 6). Deletion of the single nucleotide bulges independently of the loop mutations (Abulge Δ BC) produced a minor but statistically significant reduction, from 40 to 25% ($P = 0.04$). The A loop-and-bulge mutations were combined to

examine the possibility that these unpaired nucleotides act together to convey function (Aall and Aall Δ BC) (Fig. 5). Compared to ABC, the Aall mutant exhibited a reduction in Gag production to 60% (Fig. 6), a trend that is similar to that seen with the Δ A deletion mutant (Table 1) and verified the earlier conclusion that C is sufficient for partial activity. The Aall Δ BC mutant exhibited complete elimination of Gag production. These data indicate that individual mutation of unpaired loop-and-bulge regions in A do not abolish SNV RU5 function, while combined mutations of these regions result in loss of activity.

To verify that the stem-loop structure was maintained after introduction of these mutations, the RNase A, T1, and V1 digestion patterns were compared between A and Aall Δ BC (Fig. 7). As expected, the mutation of the terminal loop region (from UUGUU to AACAA) changed the sensitivity to RNase A. The AACAA motif was solely sensitive to RNase A cleavage at the C residue. RNase V1 digested the stem region similarly for both RNAs. The results demonstrate that, as predicted, the stem structure is maintained and that the loss of function is attributable to mutation of unpaired nucleotides.

In summary, combined mutation of the A loop and bulge (Aall Δ BC) eliminated Gag production, while individual mutation reduced but did not eliminate Gag production. Collectively, these results indicate that the UUGUU sequence and the U and CU sequences function together to support optimal SNV RU5 activity. Our enzymatic digestion data on Aall Δ BC RNA verified that these mutations are in unpaired regions of the A stem-loop.

Loss of Gag production is not attributable to reduction in cytoplasmic gag RNA. RPAs were used to assess the possibilities that the elimination of Gag protein production in response to SNV RU5 mutation is attributable to a defect in maintenance of steady-state RNA level, to a change in splicing efficiency, or to cytoplasmic RNA accumulation. The RPA probe used in the experiments spans the 3' 194 nt of the HIV-1 UTR and the 5' 106 nt of the *gag* coding region and overlaps the 5' splice donor site (6). Total cellular RNA was evaluated from the wild-type SNV RU5 reporter (ABC), the nonfunctional AC' and antisense SNV RU5 mutants, and the functional AA'CC' compensatory mutant. The RPAs revealed that similar steady-state levels of unspliced HIV-1 *gag* RNA are sustained despite loss of Gag production in response to the AC' and antisense mutations (Fig. 8). Similar ratios of spliced to unspliced reporter transcript are observed. Furthermore, the rescue of Gag production by the AA'CC' compensatory mutation is not attributable to an increased steady-state *gag* RNA level. The data indicate that elimination of Gag production by SNV RU5 mutation is not attributable to a reduced steady-state RNA level or a deleterious effect on RNA splicing efficiency.

To evaluate the possibility that elimination of Gag production is attributable to elimination of cytoplasmic accumulation of *gag* RNA, nuclear and cytoplasmic RNA was prepared and subjected to RPA analysis. As a control to establish lack of nuclear contamination of cytoplasmic fractions, *gag* RNA was analyzed from 293 cells transfected with the Rev-dependent control plasmid pSVgagpolrre in the absence and presence of cotransfected Rev (3). Consistent with appropriate fractionation, unspliced *gag* RNA was observed in the cytoplasm solely in the presence of Rev (Fig. 9A). The protocol was used to

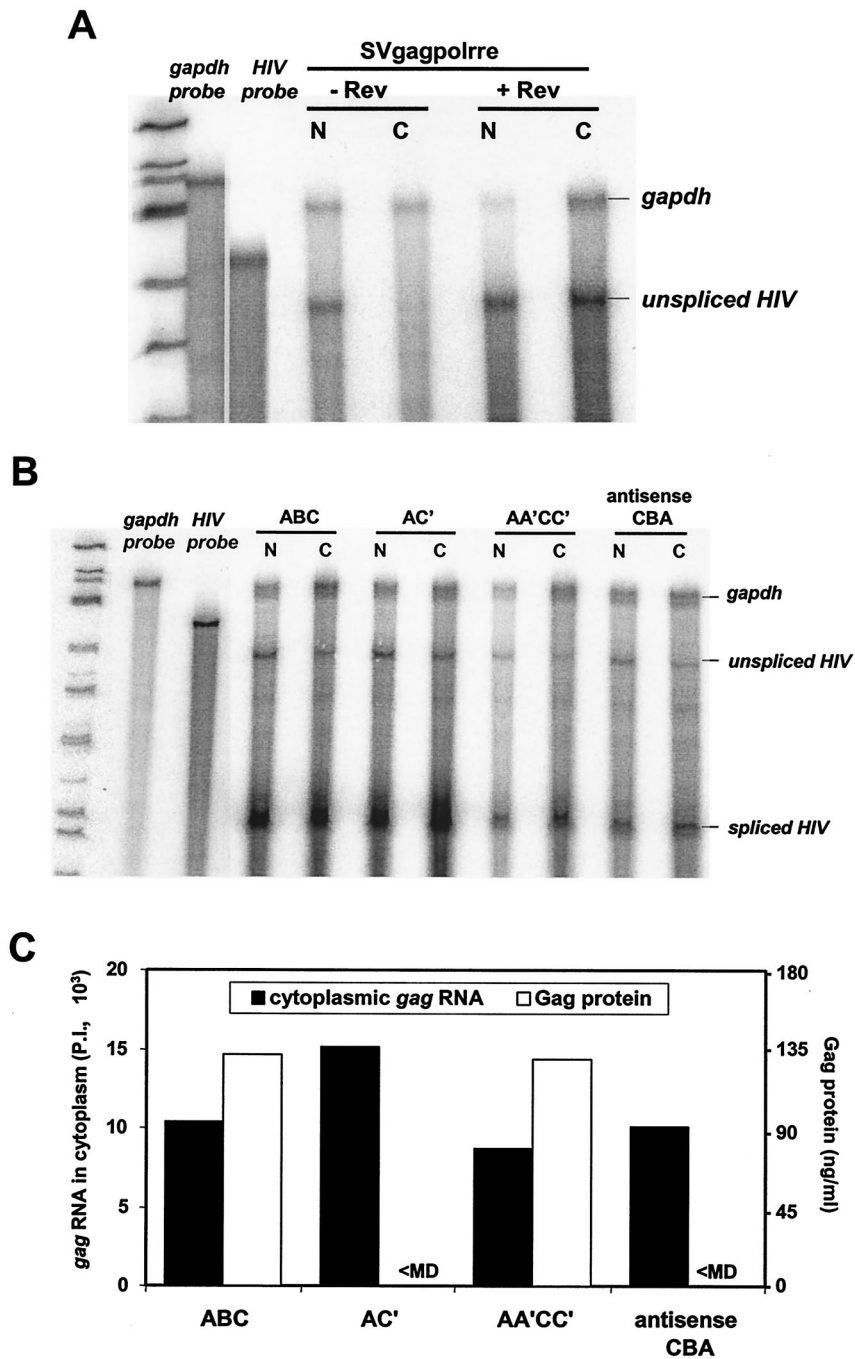


FIG. 9. Quantification of nuclear and cytoplasmic levels of HIV-1 reporter RNA by RPA. HIV-1 Gag reporter plasmids were transfected into 293 cells. At 48 h posttransfection, nuclear and cytoplasmic RNAs were isolated and treated with DNase, and 15 μ g of nuclear or 25 μ g of cytoplasmic RNA was subjected to RPA with the HIV-1 5' UTR and a GAPDH RNA probe. Transcripts were subjected to polyacrylamide gel electrophoresis, and RNA signals were quantified by phosphorimager analysis. Undigested probes are labeled in italics, lanes are labeled with the reporter RNA, and RNase protection products are indicated on the right. (A) RPA of nuclear (N) and cytoplasmic (C) RNA from 293 cells transfected with pSVgagpolrre in the absence (-) or presence (+) of Rev. (B) RPA of nuclear (N) and cytoplasmic (C) RNA from 293 cells transfected with wild-type SNV RU5 (ABC) and selected mutants (6). (C) Summary of cytoplasmic gag RNA and Gag protein expressed from SNV RU5 and selected mutants. The RNA signals were quantified from the RPA by ImageQuant version 4.2 software (Molecular Dynamics) and normalized to GAPDH RNA levels. P.I., phosphorimager units. Gag levels were measured by ELISA. <MD, less than minimum detectable levels.

evaluate wild-type SNV RU5 (ABC), nonfunctional AC' and antisense CBA, and functional compensatory AA'CC' mutant RNA. Results of five independent experiments consistently demonstrated similar levels of unspliced gag RNA in the nu-

cleus and cytoplasm (Fig. 9B and C). The observation in this particular experiment that ABC and AC' exhibit higher levels of spliced RNA than AA'CC' and antisense CBA was not consistently observed. The data are in agreement with the RPA

results on total cellular RNA and indicate that the nonfunctional mutants exhibit little change in steady-state RNA level or cytoplasmic accumulation of *gag* RNA. While Gag protein is produced from wild-type SNV RU5 and the AA'CC' compensatory mutant, Gag protein production from the AC' mutant or antisense CBA is less than the minimum detectable (Fig. 9C). The data indicate that despite similar levels of unspliced *gag* RNA in the cytoplasm, the translational efficiency of ABC and AA'CC' is significantly greater than that of either AC' or antisense CBA. Our results indicate that structural features in A and C are necessary for Gag protein synthesis.

DISCUSSION

The concordant results of our deletion and substitution mutagenesis experiments indicate that SNV RU5 A and C regions act in a functionally redundant and synergistic manner to facilitate Rev/RRE-independent expression of unspliced HIV-1 *gag* reporter RNA. Structure mapping experiments by enzymatic RNA digestion demonstrate that A and C exist as stable stem-loop structures. The rescue of Gag production by compensatory mutations in A and C demonstrates that the structural character of the A and C regions is necessary for SNV RU5 activity. Point mutation of unpaired sequences in the A region elucidated their necessary role in SNV RU5 activity. The data indicate that the stem structure correctly positions unpaired nucleotides for interaction with a cellular effector protein(s). The RPA results indicate that the loss of Gag protein production is attributable not to loss of available *gag* RNA in the cytoplasm but to loss of *gag* RNA that is a productive mRNA template for protein synthesis.

Despite elimination of protein production, the AC' and antisense CBA reporter plasmids exhibit similar levels of unspliced *gag* RNA in the cytoplasm. Previous data from our lab showed that HIV-1 *gag* reporter plasmids that contain a deletion of SNV RU5, but maintain the U3 promoter region, also exhibit similar levels of unspliced *gag* RNA in the cytoplasm despite reduction of HIV-1 Gag protein production (6). Control experiments with the Rev-dependent HIV-1 *gag* reporter pSVgagpolrre eliminated the possibility that the signal is attributable to nuclear contamination. A speculative explanation is that the SNV U3 promoter region, which is common to all the mutants, directs recruitment of ribonucleoprotein (RNP) components that modulate nuclear export. Recent mechanistic evaluation of pre-mRNA processing in *Saccharomyces cerevisiae* has shown that RNP components, which are recruited cotranscriptionally in conjunction with RNA polymerase II transcription machinery, effect pre-mRNA processing and export events (9, 17–19). The necessity of de novo transcription for activity of SNV RU5 has already been demonstrated (8) and supports the possibility that SNV U3 recruits a nuclear protein(s) that affects nuclear export.

The finding that SNV RU5 structural motifs modulate translational utilization of *gag* RNA indicates that a candidate SNV RU5-interactive cellular protein is the nuclear protein Sam68, because Sam68 has recently been shown to enhance cytoplasmic utilization of unspliced CTE-containing HIV-1 *gag* RNA (7). Our preliminary data demonstrate that while Sam68 enhances expression from SNV RU5-containing pYW100 18-fold in 293 cells, expression of the nonfunctional SNV RU5 anti-

sense mutant is also facilitated (T. M. Roberts and K. Boris-Lawrie, unpublished results) (31). These results indicate that SNV RU5 is not necessary for the positive effect of Sam68 on this reporter RNA and do not support the notion that Sam68 mediates SNV RU5 activity.

Another possible SNV RU5-interactive cellular protein is an RNA helicase that facilitates hnRNP decondensation, similar to the role postulated for Dbp5 during mRNA export (12, 34). Once the hypothetical helicase is in the cytoplasm, its activity facilitates translational efficiency by neutralization of structural barriers to ribosome scanning. In retroviral RNA, a collection of structural barriers is imposed by the packaging signal and other necessary replication motifs throughout the extensive 5' UTR (13, 21, 25). An intriguing possibility is that the SNV RU5-interactive protein neutralizes these structures and facilitates translation at the expense of viral RNA packaging. The protein would therefore act as a molecular switch to define the cytoplasmic fate of virion RNA (5). In this model, SNV RU5 would facilitate cytoplasmic utilization of unspliced viral RNA as mRNA template for protein synthesis at the expense of available genomic RNA for packaging into progeny virions. Experiments that characterize the cellular SNV RU5-interactive proteins will test these possibilities and are also expected to illuminate molecular mechanisms of eukaryotic posttranscriptional control.

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