

Interplay of $\beta 2^*$ nicotinic receptors and dopamine pathways in the control of spontaneous locomotion

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Acetylcholine (ACh) is a known modulator of the activity of dopaminergic (DAergic) neurons through the stimulation of nicotinic ACh receptors (nAChRs). Yet, the subunit composition and specific location of nAChRs involved in DA-mediated locomotion remain to be established *in vivo*. Mice lacking the $\beta 2$ subunit of nAChRs ($\beta 2$ KO) display striking hyperactivity in the open field, which suggests an imbalance in DA neurotransmission. Here, we performed the selective gene rescue of functional $\beta 2^*$ -nAChRs in either the substantia nigra pars compacta (SNpc) or the ventral tegmental area (VTA) of $\beta 2$ KO mice. SNpc rescued mice displayed normalization of locomotor activity, both in familiar and unfamiliar environments, whereas restoration in the VTA only rescued exploratory behavior. These data demonstrate the dissociation between nigrostriatal and mesolimbic $\beta 2^*$ -nAChRs in regulating unique locomotor functions. In addition, the site-directed knock-down of the $\beta 2$ subunit in the SNpc by RNA interference caused hyperactivity in wild-type mice. These findings highlight the crucial interplay of nAChRs over the DA control of spontaneous locomotion.

dopaminergic systems | gene rescue | lentiviral vector | RNAi

Dopamine (DA) modulates a broad range of brain functions, including motor activity, cognition, and reinforcement (1–3). Midbrain dopaminergic (DAergic) ascending pathways are divided into two major tracts (4) (see Fig. 1A). The nigrostriatal pathway projects from the substantia nigra pars compacta (SNpc, A9 cell group) to the dorsal striatum and is primarily involved in the regulation of motor activity. Its degeneration in humans leads to Parkinson's Disease (PD) (1, 5). The mesocorticolimbic tract projects from the ventral tegmental area (VTA, A10) to the nucleus accumbens (NuAcc) of the ventral striatum, limbic areas, and prefrontal cortex (PFC) and is mainly implicated in cognition (3), reward-based learning, and addiction (2).

Several findings indicate a potentially critical role of acetylcholine (ACh) in the regulation of DAergic neuron activity; cholinergic afferents from the pedunculopontine tegmental nucleus (PPTg) and the laterodorsal tegmental nucleus (LDTg) innervate the SNpc and VTA nuclei (6, 7), regulating DA efflux (8–10), whereas cholinergic interneurons present in the ventral and dorsal striatum (11, 12) provide additional anatomical and functional bases for the action of ACh upon DAergic nuclei and terminals (see Fig. 1A).

Further evidence suggests that nicotinic ACh receptors containing the $\beta 2$ subunit ($\beta 2^*$ -nAChRs) are implicated in the cholinergic control of DA activity: (i) $\beta 2^*$ -nAChRs are present in the VTA and SNpc of all mammals (in both the soma of DAergic nuclei and GABAergic interneurons) and at the terminals of DAergic striatal projections (13, 14); (ii) somatodendritic $\beta 2^*$ -nAChRs regulate the firing patterns of DAergic neurons *in vivo* (15); and (iii) striatal cholinergic interneurons locally control DA release through the activation of presynaptic $\beta 2^*$ -nAChRs at DAergic terminals (16).

$\beta 2^*$ -nAChRs are widely expressed in the mammalian brain and are present in multiple neuronal networks (13, 17, 18). Previous studies with mice lacking $\beta 2^*$ -nAChRs ($\beta 2$ KO) have established their involvement in several complex behaviors (19–21), particularly those associated with the reinforcing properties of nicotine, originating from their activity within the VTA (20, 22). However, the functional role of $\beta 2^*$ -nAChRs in the control of spontaneous locomotor activity remains to be clarified.

$\beta 2$ KO mice show a striking hyperactivity in the open field (21). We hypothesized that this phenotype is because of an imbalance of DA neurotransmission in the nigrostriatal pathway. To test this hypothesis, we performed a targeted lentiviral genetic rescue of functional $\beta 2^*$ -nAChRs in the SNpc of $\beta 2$ KO mice and analyzed the extracellular striatal DA levels, together with the behavioral outcome of this selective restoration. $\beta 2^*$ -nAChRs were also restored in the VTA of an independent group of mice for comparison in behavioral experiments. This analysis led to a quantitative model, predicting that the lack of nigral $\beta 2^*$ -nAChRs would drive the hyperactivity of $\beta 2$ KO mice. The gene rescue approach was then complemented by gene silencing of the $\beta 2$ subunit in the SNpc of wild-type (WT) mice by RNA interference (RNAi). Together, our results establish a critical role for nigral $\beta 2^*$ -nAChRs in the control of DA-dependent spontaneous locomotion.

Results

Genetic rescue of the $\beta 2$ subunit was obtained by stereotaxic injection of a bicistronic lentiviral expression vector [Fig. 1B and supporting information (SI) *Text*] into either the SNpc (*SNpc-RESC*) or the VTA (*VTA-RESC*) of $\beta 2$ KO mice. The lentiviral construct drives the coexpression of the mouse $\beta 2$ subunit (Fig. 1D–F) and the eGFP reporter gene (Fig. 1C). The site of injection was chosen to achieve a restricted transduction of neurons within the SNpc (Fig. 1C *Left* and Fig. S1) or the VTA (Fig. 1C *Right*). The reexpressed $\beta 2$ subunit, partnered with endogenous α subunits, restored $\approx 50\%$ of [¹²⁵I]-epibatidine binding sites at the injection area (Fig. 1E) and $\approx 10\%$ of the sites in its target area in the dorsal striatum (Fig. 1F). This finding demonstrates that high-affinity $\beta 2^*$ -nAChRs were recovered along the nigrostriatal pathway, as also observed by [¹²⁵I]-epibatidine autoradiography in sagittal sections (Fig. 1D).

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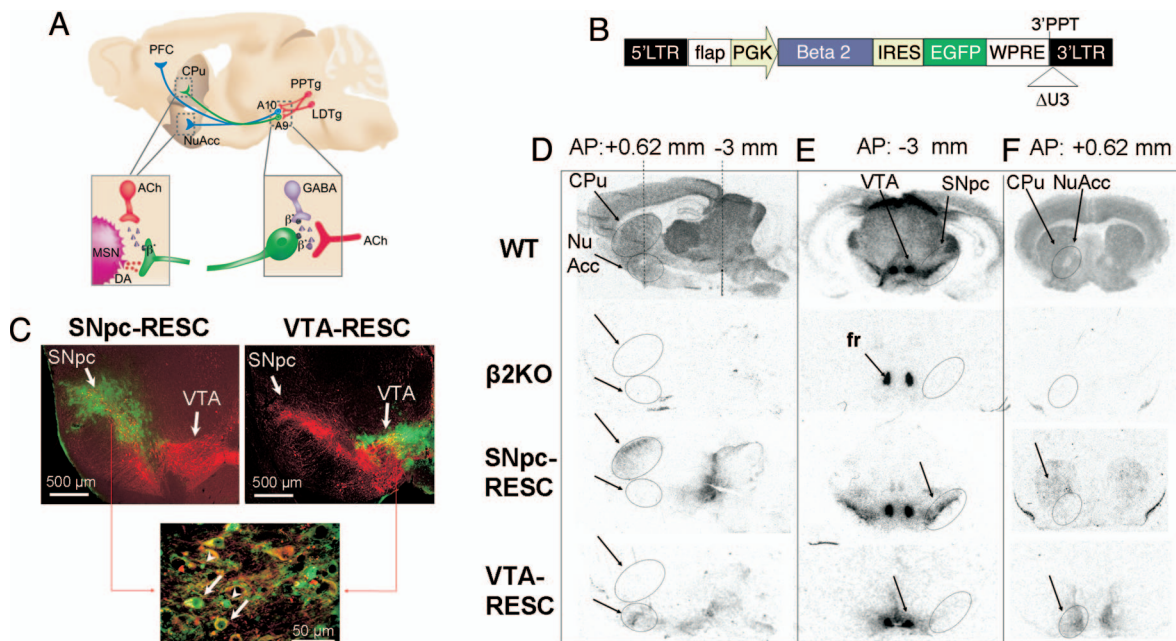


Fig. 1. Lentiviral restoration of functional $\beta 2^*$ -nAChRs in the DAergic pathways of $\beta 2$ KO mice. (A) Midbrain DA pathways from SNpc (A9; green) and VTA (A10; blue) innervating caudate putamen (CPU) or NuAcc and PFC. Shown in red are cholinergic afferents from LDTg and PPTg to DA nuclei. The magnifications show DA striatal terminal (left), with cholinergic interneurons in red, and cholinergic inputs over DA nuclei and GABA interneuron (right). β^* , $\beta 2^*$ -nAChRs. (B) Map of the bicistronic lentiviral reexpression vector [PGK- $\beta 2$ -Ires-eGFP]. LTR, long terminal repeat; FLAP, sequence comprising central polypurine tract and central termination sequence (see *SI Text*); PGK, mouse phosphoglycerate kinase promoter; beta2, mouse WT $\beta 2$ nicotinic ACh receptor subunit cDNA; IRES2, internal ribosome entry sequence; eGFP, enhanced green fluorescent protein; WPRE, woodchuck hepatitis B virus posttranscriptional regulatory element; 3'PPT, 3' polypurine tract; $\Delta U3$, deletion of U3 portion of 3'LTR. (C) Coronal sections (-3 mm from bregma) showing the site of lentivirus injection in SNpc-RESC (Left) and VTA-RESC mice (Right). eGFP (green) indicates the virally transduced area and TH (red) stains DA neurons of the SNpc and VTA. Shown in the magnification are lentivirus-transduced DA neurons, showing colocalization of eGFP and TH (arrows), or eGFP-positive non-TH neurons and glial cells (arrowheads). (D-F) $[^{125}I]$ -epibatidine autoradiography demonstrating restoration of high-affinity $\beta 2^*$ -nAChRs binding sites in SNpc-RESC and VTA-RESC mice. (D) Sagittal sections (1 mm lateral from the bregma suture). (E) Coronal sections at -3 mm from bregma containing the SNpc and VTA [fasciculus retroflexus (fr; arrow) shows non- $\beta 2^*$ binding of $[^{125}I]$ -epibatidine]. (F) Coronal sections at +0.62 mm from bregma, showing region-specific reexpression in the CPU projections of SNpc-RESC and in the NuAcc of VTA-RESC mice. Arrows indicate reexpression areas at CPU and NuAcc (D and F) or at SNpc and VTA (E).

Based on previous work (15), we hypothesized that the lack of nigral $\beta 2^*$ -nAChRs affects DA release in the dorsal striatum. We thus compared the levels of spontaneous DA release by *in vivo* microdialysis in the dorsal striatum of freely moving mice (Fig. 2*A* and *B*). Extracellular concentrations of DA under basal conditions, as well as after an injection of saline, were found to be decreased $\approx 50\%$ in $\beta 2$ KO mice compared with WT animals [WT = 234.9 ± 36.7 vs. KO = 125 ± 50.1 pg/ μ l (mean \pm SEM); mean Δ = 109.9]. After $\beta 2^*$ -nAChR reexpression, SNpc-RESC mice showed basal levels similar to WT [220.2 ± 39.4 pg/ μ l (mean \pm SEM); one-way ANOVA, group effect $P < 0.05$; followed by Dunnett's post hoc test]. Our data show *in vivo* that

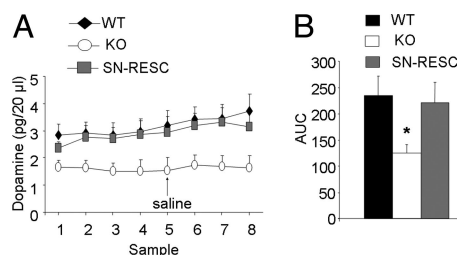


Fig. 2. Nigral $\beta 2^*$ -nAChRs control striatal DA release. (A) DA extracellular concentrations (pg/20 μ l) in the striatum of WT, $\beta 2$ KO, and SNpc-RESC mice under basal conditions (100 min) and after an i.p. injection of saline (0.1 ml/10g, arrow; WT, $n = 11$; KO, $n = 10$; SNpc-RESC, $n = 9$). (B) Area under the curve (AUC) values from 20–100 min for basal concentrations of DA in WT, KO, and SNpc-RESC mice. *, $P < 0.05$ (Dunnett vs. WT mice).

mice lacking $\beta 2^*$ -nAChRs exhibit decreased levels of striatal DA. This deficit was completely recovered after lentiviral genetic rescue, demonstrating the functional state of the reexpressed $\beta 2^*$ -nAChRs. In addition, the restored $\beta 2^*$ -nAChRs were able to mediate nicotine-elicited DA release (*SI Text* and Fig. S2).

Having established the efficacy and functionality of the reexpression system, we examined the open-field activity of SNpc-RESC compared with VTA-RESC mice. Each RESC group had two paired control groups, one of WT and the other of $\beta 2$ KO mice, injected in the same structure (SNpc or VTA) with a control lentivirus expressing only the eGFP reporter gene. Mouse trajectories were tracked to determine the total distance traveled and the time spent either in fast or slow movements (see *Methods*). Total distance traveled in the open field was enhanced by $\approx 40\%$ in KO compared with WT [WT = 15300 ± 703 cm vs. KO = 21397 ± 677 cm (mean \pm SEM); mean Δ = 6097, $P < 0.01$] (Fig. 3*A*). Consistent with this hyperactive phenotype, KO mice also spent more time in fast movements [WT = 571 ± 49 vs. KO = 786 ± 33 sec (mean \pm SEM); mean Δ = 215, $P < 0.01$] (Fig. 3*B*) and less time in slow movements (WT = 987 ± 27 vs. KO = 702 ± 37 sec; mean Δ = -285, $P < 0.01$) (Fig. 3*C*). In turn, SNpc-RESC mice showed a restoration to WT levels of the distance traveled (17015 ± 742 cm) (Fig. 3*A*) and fast movements (622 ± 31 sec) (Fig. 3*B*), whereas the slow movements were not significantly restored and remained comparable to the KO levels (791 ± 40 sec) (Fig. 3*C*). This effect was the opposite of that observed in VTA-RESC mice, which displayed distance traveled (19934 ± 648 cm) and fast movement scores (728 ± 21

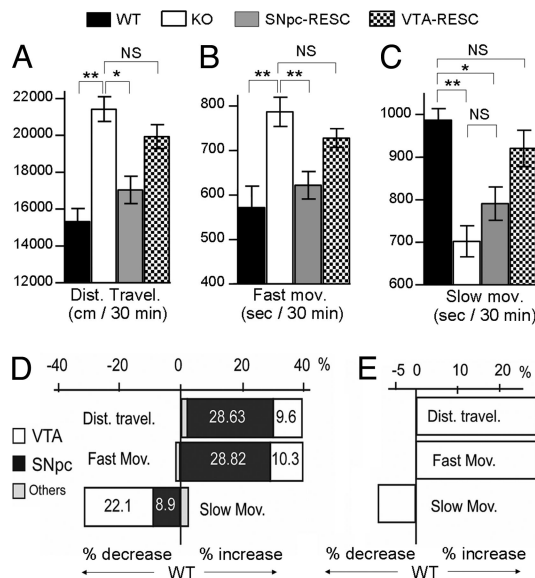


Fig. 3. Quantitative analysis of open-field behavior and its predictions. Total distance traveled (A) and time spent in fast (B) or slow (C) movements during a 30-min session in the open field. *SNpc-RESC* were restored to WT levels of distance traveled and fast, but not slow, movements. *VTA-RESC* showed only restoration of slow movements. One-way ANOVA, followed by Tukey's Multiple Comparison test: WT, $n = 19$; KO, $n = 20$; *SNpc-RESC*, $n = 12$; *VTA-RESC*, $n = 10$; *, $P < 0.05$; **, $P < 0.01$. (D) Mean percentage of variation in five open-field parameters in $\beta 2$ KO compared with WT (0). Bars indicate decrease or increase in each parameter. The total percentage of variation is indicated in the top line. In each bar the respective contribution of $\beta 2^*$ -nAChRs from *SNpc*, *VTA*, or other regions is indicated. These values were calculated based on the percentage of restoration of each parameter achieved by the reexpression experiments. (E) Predicted variation of open-field parameters in a WT mouse with elimination of $\beta 2^*$ -nAChRs in the *SNpc*, considering the percentage of variation for each parameter according to the values obtained in D.

sec) similar to the KO group (Fig. 3 A and B), but the slow activity (920 ± 43 sec) was restored to the WT level (Fig. 3C).

This clear-cut experimental outcome led us to develop a quantitative predictive model of the localized contribution of $\beta 2^*$ -nAChRs to the open-field behaviors. The $\beta 2$ KO locomotor phenotype could be expressed as a percentage of modification of three parameters (from WT values): an average of 40% increase in distance traveled and fast movements and 30% decrease of slow movements. Assuming that a given phenotype results from a linear combination of $\beta 2^*$ -nAChR effects in any brain region, the four prototypical phenotypes (WT, $\beta 2$ KO, *SNpc-RESC*, and *VTA-RESC*) were used to determine the relative contribution of $\beta 2^*$ -nAChRs in the *SNpc*, the *VTA*, or other brain areas. Such a decomposition revealed that $\approx 75\%$ of the increase in distance traveled and time spent in fast movements observed in $\beta 2$ KO mice can be explained by the lack of $\beta 2^*$ -nAChRs in the *SNpc* and $< 25\%$ by their lack in the *VTA* (Fig. 3D). Based on this model, we calculated the expected variation in these behavioral parameters in an experiment where $\beta 2^*$ -nAChRs would be removed only from the *SNpc* of WT mice. Our model predicts that such a regionally restricted removal would enhance locomotion parameters by 30% from the WT basal level, whereas the slow activity would only be reduced by $< 10\%$ (Fig. 3E).

To test this prediction, we carried out a region-specific knock-down of $\beta 2$ gene expression in the *SNpc* of WT mice by a lentivirus-delivered short inhibitory RNA. We developed a silencing lentiviral vector (Fig. 4A) expressing a short hairpin (sh) RNA against the $\beta 2$ subunit (*sh $\beta 2$*) and a control lentivector expressing a mismatched (scrambled) shRNA sequence (*shScr*), with no silencing effects. The most effective *sh $\beta 2$* target se-

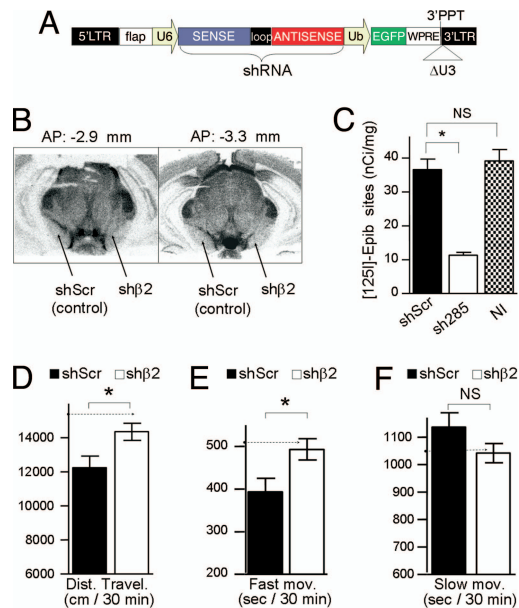


Fig. 4. Silencing of the $\beta 2$ subunit in the *SNpc* by a lentivirus-delivered short inhibitory RNA. (A) Map of the lentiviral RNAi vectors [U6-shRNA-EGFP], silencing vector (*sh $\beta 2$*), or control vector (*shScr*). U6, polymerase III promoter to drive the transcription of sh shRNAs; sense, shRNA target sequences (see *Methods*); loop, sequence from an endogenous miRNA (5' GTGAAGCCACAGATG 3'); antisense, complementary to the sense sequence, used to form the sh double strand RNA; Ubiqu, human ubiquitin promoter; eGFP, green fluorescent protein. All other regions indicated in the diagram are identical to the reexpression vector in Fig. 1B. (B) [¹²⁵I]-epibatidine autoradiography showing decrease in $\beta 2^*$ -nAChRs in the *SNpc* after the injection of *sh $\beta 2$* compared with control side injected with *shScr* (Left, rostral coronal section at -2.9 mm; Right, caudal section at -3.3 mm from Bregma). (C) Mean values of [¹²⁵I]-epibatidine binding in *SNpc* of mice injected with *sh $\beta 2$* or *shScr* or not injected (NI). Binding was quantified at four consecutive coronal sections per mouse (between -2.9 and -3.3 mm from bregma). One-way ANOVA followed by Tukey's Multiple Comparison test (*sh $\beta 2$* , $n = 8$; *shScr*, $n = 6$; NI, $n = 4$); *, $P < 0.01$. (D–F) Behavioral analysis during 30 min in the open field. Shown are the total distance traveled (D) and time spent in fast (E) or slow (F) movements of WT mice bilaterally injected with *shScr* or *sh $\beta 2$* in the *SNpc*. In *sh $\beta 2$* -injected mice, distance traveled and time in navigation were increased from the *shScr* group (mean \pm SEM; two-tailed Student's *t* test; *sh $\beta 2$* , $n = 8$; *shScr*, $n = 8$; *, $P < 0.05$). Dashed lines indicate the values predicted for the *sh $\beta 2$* group (see Fig. 3E).

quence was chosen after preliminary assays by using different constructs (SI Text and Fig. S3). Lentivirus carrying the *sh $\beta 2$* effectively reduced the expression of $\beta 2^*$ -nAChRs in the *SNpc* as evidenced by a 70% decrease in [¹²⁵I]-epibatidine-binding sites compared with control *shScr*-injected or noninjected *SNpc* (Fig. 4 B and C, SI Text, and Fig. S4).

To analyze the behavioral outcomes of the local $\beta 2$ knock-down, mice were bilaterally injected in the *SNpc* with either the *sh $\beta 2$* or the *shScr* lentivirus and were tested in the open field three months after injection (Fig. 4 D–F) after a preliminary time-course analysis of the shRNA effect (see SI Text and Fig. S5). The *sh $\beta 2$* -injected group displayed a significant increase in the total distance traveled [*sh $\beta 2$* = 14181 ± 479 vs. *shScr* = 12242 ± 683 cm (mean \pm SEM); mean Δ = 1939] (Fig. 4D) and in time spent in fast movements [*sh $\beta 2$* = 487 ± 46 vs. *shScr* = 393 ± 31 sec (mean \pm SEM); mean Δ = 94, $P < 0.05$] (Fig. 4E). However, no significant differences were observed in the slow-movements behavior [*sh $\beta 2$* = 1128 ± 47 vs. *shScr* = 1048 ± 28 sec (mean \pm SEM); mean Δ = 80, NS] (Fig. 4F), supporting our previous finding that this activity is under the control of $\beta 2^*$ -nAChRs in the *VTA* (22). The experimental data fit well with the values predicted by using the quantitative linear model (Fig. 3E and dashed arrows in Fig. 4 D–F).

Statistical analyses. All data were analyzed either by one-way ANOVA, two-way ANOVA, or repeated-measures ANOVA, followed by post hoc tests as indicated. In some cases, the two-tailed Student's *t* test was performed as indicated.

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