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## Acceptance of Genetic Testing for Hereditary Breast Ovarian Cancer Among Study Enrollees from an African American Kindred

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## Abstract

Clinical availability of genetic testing for cancer predisposition genes is generating a major challenge for U.S. health care systems to provide relevant genetic services to underserved populations. Here we present rates of study enrollment and utilization of genetic testing in a research study on BRCA1 testing acceptance in one large kindred. We also present data on baseline access to genetic information as well as enabling and obstructing factors to study enrollment. The study population included female and male members of an African-American kindred based in the rural southern United States with an identified BRCA1 mutation. A combination of quantitative and qualitative data were collected and analyzed. Of the 160 living, eligible and locatable kindred members, 105 (66%) enrolled in the study. Family, personal, and educational motivations were the most commonly endorsed reasons for study participation. The most commonly cited reasons for refusal to participate in the study were: lack of interest, time constraints, and negative experiences with prior participation in genetic research. Eighty three percent of the participants underwent BRCA1 testing. In multiple logistic regression analysis, age 40-49 (odds ratio (OR) = 6.9; 95% confidence interval (CI) = 1.2-39.5), increased perceived cancer risk (OR = 4.1; 95% CI = 1.1-14.6), and high cancer genetics knowledge levels (OR = 1.5; 95% CI = 1.1-2.3) were associated with *BRCA1* testing acceptance. The results of this study indicate that cognitive and demographic factors may influence genetic research participation and genetic testing decisions among African Americans who are at increased risk of carrying a deleterious BRCA1 mutation.

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African American; genetic testing; *BRCA1* gene mutation; blacks; cultural sensitivity; research enrollment

## INTRODUCTION

Use of clinical genetic testing for individuals at high risk for developing breast and ovarian cancer is increasing. Identification of at-risk individuals is important in the development of cancer prevention and control strategies. When used appropriately, translation of genetic discoveries related to hereditary cancers into clinical practice has potential health benefits. Available U.S. data indicate that many generalists and non-cancer genetic specialists are either unfamiliar or uncomfortable with genetic risk assessment, providing genetic education and counseling to their patients, and recommending or interpreting genetic tests [Kutner, 1999; Mouchawar et al., 2001; Velicer and Taplin, 2001]. Further, clinical availability of genetic testing for cancer predisposition genes is generating a major challenge for the health care systems of the U.S. to provide relevant genetic services to an increasingly diverse population. Little is known about factors associated with use of genetic testing as well as behavioral outcomes of the testing process in African Americans.

While studies about the decision to undergo genetic testing and the clinical impact of receipt of test results have been major topics of research, relatively few have evaluated these issues in African Americans [Culver et al., 2001; Hughes et al., 2003; Thompson et al., 2002]. Much more work is needed to understand barriers to and facilitators of enrollment in genetic research and acceptance of clinical genetic testing in African Americans and other minority groups. This is particularly important as clinical genetic testing becomes more widespread and the utility of genetic information becomes more evident. A better understanding of these factors may help in the provision of culturally-sensitive family cancer clinic services and identification of factors contributing to racial disparities.

Although little is known about reasons why African Americans do not use cancer genetic services or refuse to participate in cancer genetic research studies, more is known about factors influencing their participation in health related-research. Careful consideration of these factors may help researchers address low enrollment rates in genetic research and resources such as cancer registries. Barriers to research participated time commitment; lack of knowledge about the health issue under study; lack of cultural sensitivity of researchers; and religious/spiritual beliefs [Advani et al., 2003; Gamble, 1993; Herring et al., 2004; Hoyo et al., 2003]. In a recent study, enrollment rates in a familial cancer registry were substantially lower for African American (15%) than for White women (36%); these differences were not explained by socioeconomic or cancer risk factors [Moorman et al., 2004].

There is an increasing body of work directed at understanding genetic risk factors for breast and ovarian cancer in African Americans [Gao et al., 2000; Gao et al., 1997; Olopade et al., 2003]. For all races and ethnicities, a strong family history of breast and/or ovarian cancer is an important risk factor for these diseases. Genetic epidemiologic research has led to the discovery of inherited breast/ovarian cancer susceptibility genes, *BRCA1* and *BRCA2*. Approximately 5 to 10% of breast cancer cases and 10% of ovarian cancer cases are attributed to genetic predisposition [Claus et al., 1998; King et al., 2003; Narod and Boyd, 2002]. Differences in *BRCA1* prevalence rates between African Americans and whites have not been observed [Frank et al., 2002; Gao et al., 1997; Gao et al., 2000; Olopade et al.,

2003]. However, similarities between *BRCA1* -related cancers and breast cancers in African-American women such as young age at diagnosis and specific pathologic characteristics (i.e., large tumor size and lymph node involvement) have been reported [Olopade et al., 2003].

Available data indicate high levels of interest in and high rates of favorable attitudes about the benefits of genetic testing relative to limitations and risks of genetic testing for deleterious BRCA mutations in African-American women [Hughes et al., 2003; Hughes et al., 2004; Kinney et al., 2001]. Endorsed advantages of genetic testing for hereditary breastovarian cancer susceptibility include enhancing knowledge about preventive options, assisting with decision-making regarding risk reduction, and reducing uncertainty [Halbert et al., 2005]. Perceived disadvantages and concerns about BRCA1/2 testing cited by African-American women include potential adverse familial and emotional impact, and confidentiality issues [Kessler et al., 2005; Thompson et al., 2003]. Lower income, lower education levels, and low levels of knowledge about genetic testing have been associated with higher levels of concern about genetic testing [Thompson et al., 2003]. Although African Americans are more likely to express preferences for adult genetic testing than non-Latino Whites, recent data indicate relatively low levels of utilization of BRCA testing, suggesting that barriers to participation in genetic testing among African Americans may exist [Armstrong et al., 2005; Culver et al., 2001; Hughes et al., 2003; Singer et al., 2004; Thompson et al., 2003]. Several recent reviews of psychosocial issues in genetic counseling and testing for inherited breast-ovarian cancer concluded that more investigation is needed to understand enabling and obstructing factors for utilization and the behavioral impact of genetic testing in this population [Halbert et al., 2005; Hughes et al., 2004; Pasacreta, 2003].

Few studies have been published that examined both demographic and psychosocial factors related to acceptance of genetic counseling and testing for *BRCA* mutations in high-risk African-American women. Participation rates ranged from 40-61% [Culver et al., 2001; Hughes et al., 2003; Thompson et al., 2002]. In these studies, beliefs and attitudes such as fatalistic views toward cancer and familial independence were associated with non-participation. Available data indicate that African-Americans may be less knowledgeable about genetic testing than non-Hispanic whites and are less likely to have access to genetic services because of lower incomes and limited health insurance coverage [Halbert et al., 2005]. A recent study reported that substantially fewer African American women used genetic testing than white women in a single health care system in a large northeastern U.S. city [Armstrong et al., 2005].

Efforts to provide genetic counseling and testing programs to African Americans require an understanding of factors that predict utilization of such services. Most prior research in this area has focused on urban African-American women. This report describes a prospective, observational study examining predictors of *BRCA1* testing decisions in male and female members of an African-American kindred with a *BRCA1* mutation, most of whom resided in the rural southern United States. In this report we also describe barriers and facilitators to participation in the genetic testing study, baseline patient-provider communication about genetic risk status and clinical genetic services, and perceptions about access to these services outside of this study.

## MATERIALS AND METHODS

#### **Conceptual Framework**

We used the PRECEDE-PROCEED model, a heuristic conceptual model, to guide this study [Green and Kreuter, 1991]. The underlying premise of the model asserts that health problems are multi-dimensional and, thus, cannot be explained by any single behavioral

theory. The model further emphasizes that measuring determinants of health problems is an essential prerequisite to intervention development, deployment, and outcome evaluation. It also emphasizes that determinants will vary in importance across communities or population subgroups. Thus, specific needs of communities should be assessed and considered when planning, implementing, and evaluating interventions and research studies.

The PRECEDE-PROCEED model proposes broad constructs associated with health issues that are drawn from relevant theories and community needs: 1) Predisposing factors provide rationale or motivation for a behavior or health outcome to occur and include health beliefs and clinical factors, 2) Reinforcing factors provide incentive for a behavior or healthy state to persist and include social support and spirituality, 3) Enabling or obstructing factors are environmental conditions that facilitate or obstruct a behavior or healthy coping to occur or not to occur, 4) Behavioral factors are behaviors and lifestyles that contribute to the onset and severity of a health problem, and 5) Environmental factors are external social or physical factors that can be modified to promote healthy behaviors and coping. The health intervention or program that arises from consideration of these factors will typically modify the health problem by targeting predisposing, reinforcing, and enabling/obstructing factors in at-risk individuals to modify behavioral and environmental contributors to the health issue. Figure 1 presents the elements of the PRECEDE-PROCEED model and the associated factors for each that we selected as relevant to this study. This model is an ideal framework to link personal, familial, cultural, and social factors to health behaviors such as acceptance of genetic testing.

#### **Study Design**

Figure II provides the study flow chart for this ongoing prospective observational study. Kindred members were offered genetic services, including *BRCA1* testing and pre- and posttest education and counseling according to an established protocol. Follow-up interviews are conducted at four time points. The genetic education, counseling and testing phase of the study has been completed. Longitudinal follow-up information is currently being collected.

#### Study Population

The K2099 founder and many descendants are from the social and cultural milieu of a rural Louisiana bayou town on the Mississippi River. K2099 was originally ascertained for a genetic epidemiologic study conducted in 1993-94 to localize the *BRCA1* gene [Miki et al., 1994]. Fifty-one of the adult kindred members enrolled in the current study participated in this prior research study, which identified a *BRCA1* M1775R mutation [Miki et al., 1994]. Because the testing was done in a research lab, participants consented to the project after being informed that they would not receive their own results; this was consistent with IRB policies at the time the genetic epidemiologic study was conducted. In 1998-99, a K2099 needs assessment of psychosocial and health-related screening issues was conducted [Kinney et al., 2002; Kinney et al., 2001]. Results indicated high levels of interest in genetic testing, as well as a strong desire to increase knowledge about causes of cancer, familial cancer risk factors, and preventive measures. In 2001, after receiving funding for this prospective study, we initiated contact with kindred members.

Using the pedigree from the original linkage study [Miki et al., 1994], we initially contacted nuclear families, then expanded the pedigree to include those individuals who had not participated in prior research. For the current study, the five-generation K2099 pedigree includes 240 members with 56 known cases of breast (n=36), ovarian (n=7), prostate (n=6), and colorectal (n=7) cancer. Four kindred members have been diagnosed with two primary tumors (two breast/ovarian, one breast/colorectal, one ovarian/colorectal). Information on non-participants was obtained from our prior needs assessment, kindred key informants, and

other kindred members. At enrollment, study participants were at least 18 years of age, provided written informed consent, had not undergone genetic education and counseling or clinical *BRCA1* testing, and did not know their *BRCA1* mutation status.

## Procedures

## **Recruitment and Interviews**

After receiving an introductory letter and completing a screening survey, all eligible kindred members were provided with a description of the study, the time commitment, the duration and timing of interviews, and options for genetic education, counseling, and testing. After providing written informed consent, a baseline in-person interview in a participant's home or at another mutually convenient location was conducted for those residing in Southeastern Louisiana. Telephone interviews were conducted for those who lived elsewhere. Follow-up interviews were conducted at 1 month, 4 months, 1 year and 2 years following receipt of genetic test results for those tested and following completion of the genetic education session for those who did not complete genetic testing. All in-person interviews were conducted by African-American staff. Participants received an incentive payment of \$25 for completing each interview and a \$35 incentive for completing all four follow-up interviews.

#### Genetic counseling and testing protocol

Study participants attended educational and counseling sessions conducted by one of four genetic counselors. Participants selected either a family education session followed by a private genetic counseling session, or a private combined education/counseling session; sessions used culturally-targeted and family tailored education materials [Baty et al., 2003]. We also offered a choice of locations for the counseling sessions. Those living around the ancestral hometown could meet with the counselor at LSUHSC, at a community center, or at their home. Those at distant locations could schedule visits in their home or another convenient site. The education session provided basic information about the incidence of sporadic and hereditary breast and ovarian cancer, risk factors for these cancers, inheritance of breast/ovarian cancer, review of risk reduction strategies for breast and ovarian cancer, and the benefits, limitations and risks associated with *BRCA1* testing [Kinney et al., 2005a]. Approximately one month after the sample collection, a second counseling session was provided. Post-genetic test counseling included notifying participants of their carrier status, discussion of probability and age-specific cancer risk, implications for themselves and their relatives, and medical management recommendations. The post-test counseling also included written materials, which summarized the results of their genetic test result and the relevant risk reduction recommendations. These 1 to 2 hour sessions were conducted at convenient locations for the participants; the vast majority of sessions were conducted in a private area in the participants' homes. Genetic counseling and testing were provided at no cost to the participants.

#### Measures

Measures that were used in this report are described and internal consistency reliability (Cronbach's  $\alpha$ ) coefficients for scales are reported for the present study's sample. Unless otherwise indicated, measures were assessed at baseline.

## **Outcome Variables**

#### Study Enrollment

Participants who signed an informed consent document were classified as enrollees. Open ended questions were used to evaluate facilitators and barriers to research participation. We

were interested in understanding the motivations for study participation. For participants, questions asked at the baseline interview included: 1) "What would you say is the primary reason you decided to participate in this study?" and 2) "Are there any other reasons that led you to participate in this study?" During the recruitment telephone call, those who actively refused to participate in the study were asked to cite their reasons for refusal.

#### Acceptance of Genetic Test Results

Participants were classified as acceptors of *BRCA1* testing if they received their test results during a post-test counseling session.

## PRECEDE-PROCEED Model Constructs

## **Predisposing Factors**

**Clinical Factors**—Personal and family cancer history were evaluated by standard single item measures.

**Family composition**—Number of living biologic children was assessed with a single item.

**Perceived Risk of Carrying a BRCA Mutation**—Perceived likelihood of carrying a mutation was assessed with one item, "In your opinion, how likely is it that you have an altered gene for breast cancer? (very likely, likely, neither likely nor unlikely, unlikely, very unlikely).

**Cancer Worry**—This cancer-specific, three-item scale was adapted from a measure developed by Lerman and colleagues [Stefanek et al., 1999; Tercyak et al., 2001]. Responses to each item were summed to obtain a scale score ( $\alpha = 0.62$ ).

**Psychological Status**—The 20-item Center for Epidemiologic Studies Depression (CES-D) scale evaluates depressive symptomatology ( $\alpha$ =0.87) [Radloff, 1977]. The 20-item State Anxiety Scale of the State-Trait Anxiety Inventory (STAI-Form Y) measures apprehension ( $\alpha$ =0.90) [Spielberger et al., 1971].

**Cancer Genetics Knowledge**—A 10-item knowledge questionnaire was used for this study. Questions were drawn from the Cancer Genetics Consortium genetics knowledge survey [Hughes et al., 1997].

**Fatalistic Attitudes about Cancer**—Cancer fatalism was measured with the 15-item Powe Fatalism Inventory. It is comprised of four subscales that measure fear about cancer, cancer pessimism, predetermination, and inevitability of death using a yes/no format ( $\alpha = 0.73$ ) [Powe, 1995].

## **Reinforcing Factors**

**Family Functioning**—The 30-item Family Adaptability and Cohesiveness Survey (FACES II) was used to evaluate family functioning. The scale consists of two subscales, cohesion and adaptability. The cohesion subscale measures the strength of family members' attachment to each other while the adaptability subscale determines how flexible they are in their relationships with each other. Internal consistency reliability was high for both the cohesion ( $\alpha$ =0.92) and adaptability ( $\alpha$ =0.91) subscales [Olson et al., 1982].

**Religious Coping**—Problem-solving styles utilizing religiosity were assessed via Religious Problem-Solving Subscales [Pargament et al., 1988]. The collaborative subscale

assesses the extent to which one solves problems through active personal exchanges with God. The self-directing style subscale assesses the extent to which an individual perceives freedom God gives individuals to direct their lives and solve problems. The deferring subscale assesses the extent to which individuals wait for God's solutions rather than solving the problems without awareness of God's intervention ( $\alpha$ =0.92, 0.86 and 0.90, respectively).

## **Enabling/Obstructing Factors**

**Demographics**—Age, education level, marital status, annual household income, health insurance status, and presence of living children were measured using standard single item measures.

**Perceived Racism**—Questions were adapted from the Perceptions of Prejudice scale [Facione, 1999] to assess perceptions of racism. Eight four-point Likert-style items were used to measure perceptions of experiences of racism in general and particularly in health care delivery settings ( $\alpha = .60$ ).

**Social Support**—The Medical Outcomes Study Social Support Survey scales measure tangible support ( $\alpha$ =0.78), affection ( $\alpha$ =0.76), interaction ( $\alpha$ =0.92), and emotional support ( $\alpha$ =0.95) [Sherbourne and Stewart, 1991].

## Additional Measures

#### **Patient-Communication**

Several questions assessed patient-provider communication about hereditary breast and ovarian cancer (HBOC) risk and *BRCA* testing at baseline: "Has a physician or other health care provider ever told you that you have a higher than average risk of getting BREAST cancer? (yes, no); "Has a physician or other health care provider ever told you that you a have higher than average risk of getting OVARIAN cancer? (yes, no); and "Do you think that your regular doctor or health care provider has adequate knowledge to provide genetic counseling and testing for *BRCA1*? (yes, no) If no, please tell me why not" (asked at one-month interview).

#### Perceptions about Access to Genetic Information and Clinical Genetic Services

To assess perceived access to clinical cancer genetic counseling and testing outside of this study, close- and open-ended questions about these topics were asked at the one-month interview: "If genetic counseling and testing for *BRCA1* were not available to you through this study, do you think you would have gone somewhere to get these services? "(yes, no) and if "no", participants were asked why not; and "If the out-of-pocket expenses for the genetic tests involved in this study were **\$15** (**\$50**, **\$150**, **\$500**, **\$1,000**), what would you say is your level of interest in being tested?" (very interested, somewhat interested, and not at all interested).

## Analyses

We first examined available demographics of participants and non-participants (i.e., age, sex, and place of residence) in relation to study enrollment using descriptive statistics and chi-square (standard and exact) tests. We next focused on select PRECEED-PROCEDE model constructs' associations with acceptance of genetic testing using descriptive statistics, chi-square tests and t-tests. Variables that were significantly associated with acceptance of genetic testing at the p < 0.20 level were entered into the logistic model. Using a backward elimination procedure, variables associated with acceptance of genetic testing at the p < 0.10 level were retained in the final adjusted model. Conventional logistic regression analyses

assume that data from different participants are independent; however, related individuals such as siblings may report correlated observations. Therefore, using generalized estimating equations, we fit a random effect to account for potential correlation between observations among siblings and used likelihood based approaches to test whether associations between kindreds were statistically significant [Liang and Zeger, 1986]. The difference of the residual log likelihood statistics for models with and without the kindred factor was compared to the chi-square distribution for df=1 and was non-significant for all factors studied. Therefore, the final logistic model did not include the kindred random effect. Results were summarized using odds ratios (OR) and 95% confidence intervals (CI). All p values are two-sided and statistical significance was considered for p <0.05 values.

Next, we examined provider communication and perceived access to genetic services outside of this study by using descriptive statistics (i.e., frequencies and proportions) and qualitative analytic techniques described below.

Analyses of qualitative data (audiotaped and transcribed verbatim open-ended responses (e.g., reasons for enrollment and non-enrollment, patient-provider communication and perceived access items) were categorized using procedures consistent with content analysis [Krippendorff, 1980]. Two of the authors (AK& SS) developed a coding system from a literature review and independently reviewed responses of all participants. Subsequently, the same two coders each independently rated all participant responses and then resolved discrepancies by consensus. Thus all analyses are based on a dataset with all discrepancies resolved. Reliability was determined by assessing the two raters agreement level with kappa statistics [Landis and Koch, 1977]. Inter-rater reliability for the coding system was very good to excellent (Kappas: 0.81-1.00).

## RESULTS

## **Study Population Characteristics**

Of the 240 kindred members aged 18 years and older whom we identified as potential participants, 6 were ineligible (3 were disabled or mentally incompetent, 2 were incarcerated, 1 was overseas in the military), and 29 were deceased. Figure III depicts the study recruitment and genetic testing schema. We were able to contact 161 living and eligible kindred members. Of the living, eligible, and contactable kindred members, 105 enrolled. Response and cooperation rates as defined by the American Association for Public Opinion Research [The American Association for Public Opinion Research, 2004] were 51% and 65%, respectively and are reported in Table I. Neither sex (p = 0.31), prior genetic epidemiologic research participation (p = 0.90) nor residence in Louisiana influenced participation (p = 0.80).

The demographic, clinical and psychosocial characteristics of the 105 participants who completed a baseline interview and were offered genetic education, counseling, and testing are shown in Table II. All of the 105 participants in the current study self identified as African American and 90% also identified themselves as Black Creole [Dormon, 1992;Dubois and Melancon, 2000].

#### Cited Reasons for Study Enrollment and Non-enrollment

Reasons for enrollment and non-enrollment were elicited from participants and nonparticipants, respectively. Four major themes emerged for enrollment. Family and personal motivations constituted 62% of the responses. 28% of the responses revealed educational or informational motivations, and 9% noted environmental/societal concerns; respondents expressed their understanding that participation could have a positive and broad community impact. Six reasons were identified for declining to participate. Lack of interest was the

primary reason for not enrolling in the study (54%). Other factors included time constraints (12%), personal problems (6%), and study logistics (8%). Rarely, negative *BRCA1* test results in other relatives led to refusal to enroll (4%). Ten percent of K2099 members decided not to enroll because of negative attitudes about prior experiences involving their or their family member's participation in prior genetic research (e.g., research test results were not disclosed to them).

## Predictors of Acceptance of BRCA Testing

Table III presents comparisons of acceptors and decliners of *BRCA* testing. Perceived increased risk of being a *BRCA1* mutation carrier, age over 39 years, higher levels of cancer genetics knowledge, and collaborative religious coping style were significantly associated with acceptance of testing. As shown in Table IV, in logistic regression analysis, three factors were independently associated with *BRCA1* testing acceptance: age, increased perceived cancer risk and high cancer genetics knowledge levels.

# Patient-Provider Communication and Perceptions about Access to Clinical Cancer Genetic Services

Among women without a personal history of breast and/or ovarian cancer, 44% reported that a health care provider discussed their higher than average risk of developing breast cancer and only 9% indicated that a health care provider discussed their higher than average risk of developing ovarian cancer. None of the participants had undergone clinical genetic testing prior to study enrollment. Only 18% of participants indicated that they would have been tested for *BRCA1* had it not been available through this study. The most common reasons for not getting clinical genetic testing prior to participation in this study were related to access to information, lack of knowledge about the test, and where to go for genetic counseling and testing. Further, only 35% of participants reported that they thought their regular doctor or health care provider had adequate knowledge to provide BRCA -related services, whereas 53% did not; 13% said that they did not know. Among those who responded "no", the most common reasons they gave were: their provider lacked education and training in genetics, was unaware of the gene, or would recommend or refer to an experienced provider. The vast majority of participants indicated that they would be very interested in BRCA testing if the out-of-pocket expenses were \$15 (81%) but only 49% and 15% would be very interested BRCA1 testing cost them \$150, and \$1,000, respectively.

## DISCUSSION

This report included the largest number of high-risk African Americans involved in a prospective study of acceptance of *BRCA1* testing in the literature. A primary aim of this study was to examine predictors of acceptance of *BRCA1* testing. Of the 161 living, eligible and contactable kindred members, 54% chose to participate in the education and counseling study and to have *BRCA1* testing. The genetic testing uptake rate is similar to uptake rates observed in other studies of high-risk kindreds [Biesecker et al., 2000; Botkin et al., 2003; Lerman et al., 1996] and in studies consisting of African-American community- and clinic-based samples [Culver et al., 2001; Hughes et al., 2003; Thompson et al., 2002]. Of the participants in our study who completed a baseline survey and participated in genetic education and counseling, 88% accepted genetic testing. This uptake rate is consistent with prior studies [Biesecker et al., 2000; Botkin et al., 2000; Botkin et al., 2003].

In multiple logistic regression analysis, three factors were significantly associated with utilization of genetic testing. These were age over 39 years, higher levels of cancer genetics knowledge, and perceived increased personal risk of having a *BRCA1* mutation. Consistent with other work in both non-Latino white and African-American high-risk study

populations, [Armstrong et al., 2005; Codori et al., 1999; Culver et al., 2001], our study showed that increased risk perceptions and higher levels of cancer genetics knowledge [Thompson et al., 2002] were associated with acceptance of cancer susceptibility testing. Others have also observed significant positive associations with age and participation in genetic testing studies consisting predominately of high-risk non-Latino white women [Biesecker et al., 2000; Lee et al., 2002]. One explanation for this finding could be that older women have a heightened concern about their breast cancer risk. Another potential explanation could be that older age may be in part a proxy for concern about having older children who will be or are of childbearing age, thereby resulting in worry about passing on the risk to their offspring. Our finding, however, does not support other studies focusing on high-risk African-American women in which younger age was associated with testing uptake [Hughes et al., 2003; Thompson et al., 2002]. In contrast to other studies, we did not observe associations between the cancer genetic test uptake and baseline psychological distress, fatalistic beliefs about cancer, participation in prior genetic epidemiologic research, and social support [Armstrong et al., 2005; Hughes et al., 2003; Lerman et al., 1996; Thompson et al., 2002].

In our study, religious coping style was not associated with utilization of genetic testing. However, others have observed associations between religious/spiritual factors and decisionmaking about genetic testing for familial cancer and other cancer prevention activities in both African Americans and non-Latino Whites [Kinney et al., 2002; Kinney et al., 2005b; Schwartz et al., 2000]. Religious coping styles may be particularly important to evaluate among larger samples of African Americans, because African Americans are more likely to report church group membership and attendance [Strawbridge et al., 1997; Strawbridge et al., 2001], report higher levels of religiosity, and turn to religion as a coping resource when faced with health challenges [Ferraro and Koch, 1994] than non-Latino whites. Both collaborative and deferring religious coping styles have been associated with religious involvement (e.g., frequency of church attendance and prayer) [Pargament et al., 1988]. However, we did not evaluate religious/spiritual involvement and specific practices, which may be more important determinants of genetic testing behavior. Further, our study was not designed to examine how individuals make use of religious coping to understand and deal with HBOC risk and make preventive health-related decisions. We encourage future research in this area as well as examining the role of other factors (medical mistrust and temporal orientation) in acceptance of genetic testing [Hughes et al., 2003; Thompson et al., 2003].

An aim of our study was to examine provider- and system-level influence on use of clinical genetic testing. We found that communication between providers and our study participants with regard to familial cancer risk was suboptimal. Prior to genetic counseling as part of this study, less than half of female participants without a personal history of breast/ovarian cancer reported communication with their providers about their potential genetic risk of breast cancer and fewer than 10% reported discussions about risk of ovarian cancer. Many participants in our study were not aware of the availability of clinical BRCA testing and settings in which cancer genetic services were provided. Others have also reported low levels of awareness about the availability of BRCA testing in moderate- to high-risk African Americans [Halbert et al., 2005]. Prior research comparing knowledge levels between racial groups observed lower levels among African-American women compared to non-Latino white women [Lerman et al., 1999]. Further, many of the participants in our study perceived that their health care providers lacked sufficient knowledge and training to offer cancer genetic services. It is well recognized that practice settings and individual care providers play a central role in coordinating, endorsing, and integrating virtually all aspects of patient care. The defining characteristics of health care such as accessibility (organizational) and clinical interaction (e.g., clinician-patient communication) have been strongly associated

with favorable health outcomes such as patients' willingness to comply with health-related advice or treatment, patients' understanding of and efficacy managing chronic health conditions, and patients' self-reported health improvements [Safran et al., 1998]. There is a dearth of data on relationships between provider-level and system-level factors and use of clinical genetic services in diverse settings and among diverse populations.

Enrollment (response) rates in our study were comparable to prior *BRCA* testing kindred studies of predominantly non-Latino whites [Botkin et al., 1996; Lerman et al., 1996] and population-based samples of African Americans. Our response rate was also comparable to other BRCA testing studies of a community-based study population [Thompson et al., 2003] and a self-referred genetic education and testing research program [Hughes et al., 2003]; both prior studies focused on African-American women. Because of reluctance among many African Americans to participate in research and concomitant recruitment challenges [Corbie-Smith et al., 2002], we assessed factors related to study enrollment and refusal. Addressing this aim was particularly important because of the under-representation of racial and ethnic minorities in research studies in general and in cancer genetic studies in particular [Hughes et al., 2004]. Mistrust of the medical community and the research process are among the commonly cited barriers to research participation in African Americans. In our study, 10% of kindred members who decided not to enroll cited negative views of research.

Our data had several limitations. About half of the participants took part in prior genetic research and are related to a common ancestor, which could result in potential selection bias. We chose to limit this study population to kindred members because the kindred was known to have a *BRCA1* mutation and specific mutation testing could be performed. In this study, confidential genetic counseling and testing were offered free of charge and were not recorded on a medical record. Our data suggest that participants may not be willing or able to pay out-of-pocket expenses that may be required for them to obtain these services. It is unknown what proportion of insured participants had adequate insurance coverage that would fully or partially reimburse for these services. Few clinical settings will offer free genetic counseling and testing services and none will provide the protection of confidentiality and privacy that are inherent in genetic testing research studies in nonclinical settings. These access factors may influence test acceptance. Additional limitations are that some of the scales had limited reliability in our study population and the time of administration (one month following genetic counseling) of some of the items assessing clinical services utilization (e.g., willingness to pay out of pocket expenses). This may have resulted in misclassification bias because participants may have answered these questions differently if they were asked before rather than after exposure to genetic education and counseling.

Selection bias, free genetic testing, provision of services in settings (e.g., participants' homes) and at times that were convenient for participants (e.g., nights and weekends), as well as the low response rate limit generalizability of our findings. The small sample size resulted in limited statistical power, particularly in relation to testing for PRECEDE-PROCEED model constructs as predictors of acceptance of genetic testing. Therefore our results on this important and high-risk African-American kindred of Creole origin should be interpreted with caution.

Despite these limitations, our results suggest that rates of acceptance of free *BRCA* testing for HBOC among high-risk African Americans in the southern United States in the context of a genetic counseling and testing research study may be similar to non-Latino whites and African Americans based in other geographic areas. Our findings also suggest the need to find ways to make genetic testing more accessible to those who might benefit. There is a need to develop effective approaches to promote education and train primary care providers

to facilitate communication with patients about familial cancer risk and cancer genetics. Moreover, research on determinants of community-based primary care and specialist provider referral to cancer genetic services is needed. In this way, access to genetic services can be increased among persons from diverse sociodemographic backgrounds. As clinical genetic testing becomes more widespread and the utility of genetic information becomes more evident, we need to understand how to communicate genetic information effectively and provide genetic services for adult onset diseases to diverse populations. Further understanding of factors influencing testing uptake such as access issues and cultural factors such as the role of religion in medical decision-making will be important if we are to provide cancer genetic services that are responsive to ethnic minority subpopulations. Education about the availability of testing and cancer genetics as well as development of culturally sensitive approaches is of paramount importance for this to occur.

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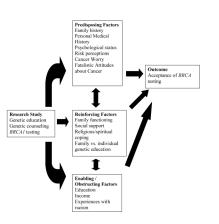
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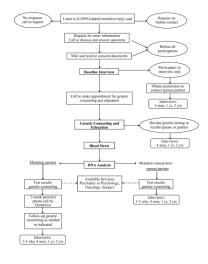
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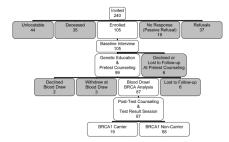


## **Figure I.** PRECEDE-PROCEED conceptual framework adapted for this study.



**Figure II.** Family Health Study Flow Diagram

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**Figure III.** Recruitment and Genetic Testing Schema

## Table I

Responses of individuals selected as potential participants for the Family Health Study by kindred status, gender and age.

Variable	N %
K2099 pedigree	240 (100%)
Ineligible $(\%)^d$	6 (3%)
Deceased	29 (12%)
Uncontactable (%)	44 (18%)
Family member refuses study staff to contact (%)	37 (15%)
Participant refusal (%)	6 (6%)
Interviewed	105
Contact rate $(\%)^b$	161/240 (67%)
Cooperation rate $(\%)^C$	105/161 (65%)
Overall response rate $(\%)^d$	105/205 (51%)

 $^{a}$ Eligibility criteria include age 18 years and older, biological relationship in kindred, or married or living with a spouse partner, able to complete an interview in English.

 $^{b}$ Contact rate = number of individuals contacted/# of individuals identified as potential kindred members or spouse/partners

<sup>c</sup>Cooperation rate = # of completed interviews/over those contacted and eligible

<sup>d</sup>Overall response rate = # of completed interviews/# of individuals selected for study not including deceased or ineligible persons

### Table II

Baseline sociodemographic and clinical characteristics among kindred members.

	Kindred Resp	ondents
Variable	N = 105*	%
Gender		
Female	71	68
Male	34	32
Age, years		
< 40	46	44
40-49	36	34
50-64	15	14
≥ 65	8	8
Residence		
Southeastern Louisiana	82	78
Other	23	22
Educational attainment		
Some High school or less	13	12
High school graduate or GED	28	27
Some college/vocational school	43	41
College/vocational school graduate	19	18
Marital status		
Married/living as married	83	79
Unmarried or separated	22	21
Household Income		
< \$20,000	21	21
\$20,000-\$40,000	32	31
\$41,000-\$59,000	17	17
>= \$60,000	32	31

### Table III

Bivariate Analysis of Predictors Associated with Acceptance of Genetic Testing (n = 105)

Variable	Test Accepters n (%) 87 (83)	Test Decliners n (%) 18 (17)	P value
Gender			
Female	59 (68)	11 (61)	
Male	28 (32)	7 (39)	0.58
Age			
< 40	34 (39)	14 (78)	
40-49	34 (39)	2 (11)	
50+	19 (22)	2 (11)	0.02
Educational attainment			
HS graduate or less	32 (37)	7 (39)	
Some college or more	55 (63)	11 (61)	0.87
Household income			
<\$20,000	19 (22)	6 (35)	
\$20,000-\$40,999	25 (30)	5 (29)	
\$41,000-\$59,999	13 (15)	3 (18)	
\$60,000+	28 (33)	3 (18)	0.21
Marital status			
Married/Living as married	51 (59)	9 (50)	
Not married	36 (41)	9 (50)	0.50
Health insurance			
None	24 (28)	5 (28)	
Public or Private Insurance	63 (72)	13 (72)	1.00
FDR with breast or ovarian cancer			
None	49 (57)	7 (41)	
One	21 (24)	7 (41)	
Two or more	16 (19)	3 (18)	0.50
History of breast or ovarian cancer	82 (94)	18 (100)	
No	5 (6)	0 (0)	0.59
Yes			
Living children			
None	23 (26)	5 (28)	
Living sons or daughters	64 (74)	13 (72)	1.00
Likelihood of being BRCA1 carrier			
Unlikely/Moderate Chance	17 (20)	8 (44)	0.04
Likely/Very Likely	68 (80)	10 (56)	
Participation in prior genetic linkage study			
Yes	39 (45)	7 (39)	
No	48 (55)	11 (61)	0.64
Type of counseling session			
Individual	47 (54)	6 (50)	

Group	40 (45)	6 (50)	0.79
Variable	Test Accepters mean (SD)	Test Decliners mean (SD)	p value
Depression	11.64 (9.75)	9.44 (9.75)	0.39
Anxiety	32.47 (8.77)	33.33 (11.07)	0.71
Social Support	19.25 (3.33)	20.61 (2.98)	0.13
Religious Coping Total	18.46 (3.06)	19.88 (2.52)	0.09
Collaborative Religious Coping	22.70 (5.82)	25.50 (4.69)	0.07
Self Directing Religious Coping	12.61 (5.03)	12.50 (5.59)	0.94
Deferring Religious Coping	19.93 (6.33)	21.63 (5.45)	0.32
Cancer Worry Total	8.14 (2.38)	8.24 (2.17)	0.88
Experiences of Racism	17.67 (3.02)	16.89 (2.47)	0.31
Cancer Genetics Knowledge	7.14 (1.62)	6.11 (2.08)	0.02
Cancer Fatalism	3.45 (1.86)	3.75 (1.98)	0.57
FACES II			
Cohesion	59.04 (6.91)	60.06 (8.54)	0.60
Adaptability	51.71 (7.53)	55.92 (8.00)	0.05

#### Table IV

## Logistic Regression Analysis of Predictors of BRCA1 Testing Uptake.

Model Variables	Odds Ratio	95% Confidence Interval
Age		
< 40	1.0	Referent
40-49	6.91	1.2-39.9
≥ 50	4.97	0.7-34.3
Likelihood of being BRCA1 carrier		
Unlikely/Moderate Chance	1.00	Referent
Likely/Very Likely	0.24	0.1-0.9
Cancer Genetics Knowledge	1.54	1.05-2.28
Perceived Family Adaptability	0.94	0.86-1.01

 $^{a}$ Odds ratios are adjusted for all variables in the model.