

Wavelet method for CT colonography computer-aided polyp detection^{a)}

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Computed tomographic colonography (CTC) computer aided detection (CAD) is a new method to detect colon polyps. Colonic polyps are abnormal growths that may become cancerous. Detection and removal of colonic polyps, particularly larger ones, has been shown to reduce the incidence of colorectal cancer. While high sensitivities and low false positive rates are consistently achieved for the detection of polyps sized 1 cm or larger, lower sensitivities and higher false positive rates occur when the goal of CAD is to identify “medium”-sized polyps, 6–9 mm in diameter. Such medium-sized polyps may be important for clinical patient management. We have developed a wavelet-based postprocessor to reduce false positives for this polyp size range. We applied the wavelet-based postprocessor to CTC CAD findings from 44 patients in whom 45 polyps with sizes of 6–9 mm were found at segmentally unblinded optical colonoscopy and visible on retrospective review of the CT colonography images. Prior to the application of the wavelet-based postprocessor, the CTC CAD system detected 33 of the polyps (sensitivity 73.33%) with 12.4 false positives per patient, a sensitivity comparable to that of expert radiologists. Fourfold cross validation with 5000 bootstraps showed that the wavelet-based postprocessor could reduce the false positives by 56.61% ($p < 0.001$), to 5.38 per patient (95% confidence interval [4.41, 6.34]), without significant sensitivity degradation (32/45, 71.11%, 95% confidence interval [66.39%, 75.74%], $p = 0.1713$). We conclude that this wavelet-based postprocessor can substantially reduce the false positive rate of our CTC CAD for this important polyp size range. [DOI: [10.1118/1.2938517](https://doi.org/10.1118/1.2938517)]

Key words: CT colonography, CAD, false positive reduction, wavelet, SVM committee

I. INTRODUCTION

Although colon cancer is the second leading cause of cancer death in the US,¹ it is also one of the most preventable forms of cancer. Early detection and resection of colon polyps, small growths in the colon lining, are the best form of prevention for colon cancer. CT colonography (CTC), also known as virtual colonoscopy, is a promising new technique that can identify polyps on CT scans where computer aided detection (CAD) systems²⁻⁵ have been suggested as one way of aiding the radiologist in reading these exams. Current CTC CAD systems have high sensitivities and low false positive rates for detecting polyps 1 cm or larger in diameter, but tend to detect too many false positives when detecting smaller 6–9 mm polyps.⁵⁻⁷

One way radiologists interpret CTC cases is by virtually flying through a 3D colon surface constructed from 2D CT scans. There is evidence suggesting that radiologists improve interpretation accuracy when navigating endoluminal projections of the 3D colon surface, compared to examining the original cross-sectional 2D CT slices.^{8,9} True polyps generally stand out in the endoluminal projection images, making

discrimination easier in many cases. Features of polyps on endoluminal projection images have not previously been utilized in CAD systems. The purpose of this article was to develop a postprocessor that uses wavelet analysis of the endoluminal projection images to markedly reduce the number of false positives from a CTC CAD system for the 6–9 mm polyps by mimicking, to some extent, the 3D virtual flythrough reading process used in clinical interpretation.

II. BACKGROUND

We have previously developed a CTC CAD system which identifies polyps based on geometric features of the colon surface and volumetric properties of the candidate polyps⁶ (Fig. 1). For a set of CT scan images, the CTC CAD system first segments the colon using a region growing algorithm.¹⁰ The colon surface is then extracted by an isosurface technique.¹¹ Surface smoothing was not used to avoid information loss. For each vertex on the colon surface, geometric and curvature features are calculated and filtered. Candidate polyps are formed on the surface from connected clusters of

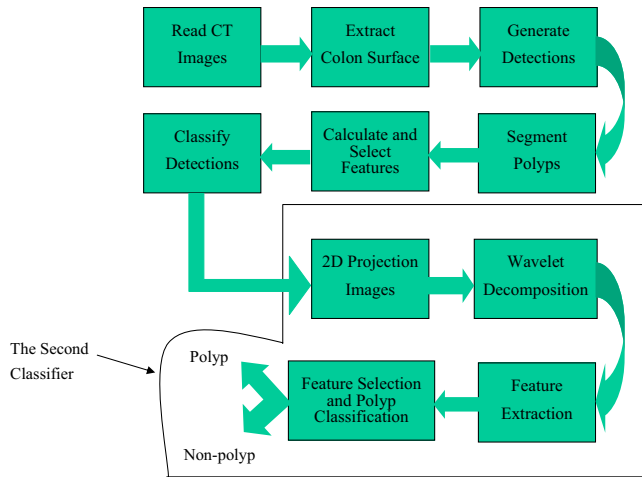


FIG. 1. A block diagram representation of our CTC CAD system and the proposed wavelet-based postprocessor for false positive reduction. The proposed method is shown as the second classifier above.

filtered vertices.¹² The filtering and clustering are optimized by a multiobjective evolutionary technique.^{12,13} A knowledge-based polyp segmentation is performed on the 3D volume data, starting from the identified surface region.¹⁴ Next, more than 100 quantitative features are calculated for each segmented polyp candidate. A feature selection procedure reduces the number of features to less than 20. The selected features are presented to a support vector machine (SVM) classifier for false positive reduction.^{15,16}

Due to image noise and the fact that many other structures inside the colon such as haustral folds and residual stool mimic true polyps,¹⁴ CTC CAD systems usually produce many false positives. A number of methods had been proposed for false positive reduction, including volumetric texture analysis,^{4,7,17} random orthogonal shape section,¹⁸ training additional artificial neural networks,^{19,20} an edge displacement field,²¹ and using the line of curvature to detect the polyp neck.²² Depending upon different definitions, the false positive reduction step is sometimes referred to as a postprocessing step.²¹ False positives are eliminated in two steps in our previously developed CTC CAD system.²³ First, a set of thresholds are set for geometric features such as curvature, sphericity, and number of vertices in the cluster, to filter out initial detections on the colon surface.¹² Second, the SVM classifier is used to classify all segmented detections based on volumetric and statistical features derived from the detections.²⁴ The features used by the SVM classifier include the size of the segmented candidate, image intensity distribution inside the candidate, colon wall thickness, etc. A large population study showed that our system achieved high sensitivities and low false positive rates for detecting larger polyps. However, we found that the sensitivity was lower and the false positive rate was higher for detecting the so-called “medium-size” polyps, 6–9 mm in diameter.^{3,6} In this paper, we propose an additional postprocessing method based on the endoluminal projection of polyp detections in order to further reduce the false positive rate in detecting 6–9 mm polyps (Fig. 1).

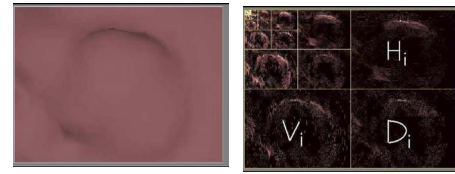


FIG. 2. Left: one original true polyp image. Right: wavelet decomposed images (5 level), where V_i , D_i , and H_i denote the vertical, horizontal, and diagonal directions at level i .

Selecting a good viewpoint for the endoluminal projection is a very important component in this FP reduction process because it directly impacts the texture information contained in the 2D endoluminal images. Viewpoint optimization has been studied in many applications, such as object recognition, 3D shape reconstruction, and volume visualization. One of the pioneering studies is the work done by Koenderink *et al.*,^{25,26} where the concept of “aspect graph” was utilized to optimize viewpoints for object recognition. Entropy maps have also been intensively studied for viewpoint optimization in object recognition.²⁷ A theoretic framework for viewpoint selection for active object recognition had been formulated by Denzler and Brown based on Shannon’s information theory.²⁸ In the visualization research community, Takahashi *et al.*²⁹ used the concept of “viewpoint entropy”³⁰ to select the best viewpoint for volume visualization, where the viewpoint entropy was used as the performance metric to search for a viewpoint along which visible faces for 3D objects were well balanced. We utilize viewpoint entropy, which was defined on the polyp candidate surface, as a criterion for our camera placement task.

Once a viewpoint was established, we then extracted texture features from the Haar wavelet coefficients of the resulting 2D images. It has been suggested that the wavelet transform mimics some aspects of human vision.³¹ Wavelet analysis is a powerful tool for medical applications.^{32–34} We utilized a wavelet-based method that was recently developed to identify artists’ uniquely signature pattern of brush strokes from 72 wavelet features.³⁵ This wavelet analysis was utilized to identify forgeries of valuable paintings.

The wavelet transformation analyzes data by dividing the signal into multiple scales and orientations in the frequency space. Figure 2 shows one example of a five-level wavelet transformation of one polyp detection. We use similar notations $V_i(x, y)$, $H_i(x, y)$, and $D_i(x, y)$ as those in Ref. 35 to denote the wavelet coefficients in the vertical, horizontal, and diagonal directions at scale or level i . For the purpose of identifying forgeries in valuable paintings,³⁵ Lyu *et al.* applied the five-level wavelet transformation on each of the paintings investigated. They then computed four statistics (mean, variance, skewness, and kurtosis) for each orientation at levels 1–3, yielding 36 features. They calculated another four similar statistical features of prediction errors for each of those subbands and then obtained a total of 72 features. The prediction errors are derived from the concept that the magnitudes of the wavelet coefficients are correlated to their spatial, orientation, and scale neighbors. The magnitude of

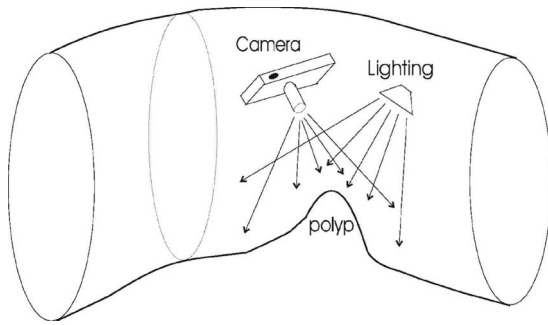


FIG. 3. Process for taking snapshots of polyp detections. The camera and lighting source locations are adjusted to maximize polyp surface information in the resulting image.

one coefficient was calculated using the eight most predictive neighbors through a linear prediction model. The eight neighbors were searched using a step-forward feature selection method and a prediction error was computed for each of the coefficients.

III. METHOD AND MATERIALS

III.A. System diagram of our proposed method

The proposed method consists of four steps (Fig. 1). First, we generate a 2D endoluminal projection image (snapshot) for each polyp detection produced by our CTC CAD system. The viewpoint location was optimized by calculating the viewpoint entropy along the colon centerline. The surface was rendered by the open source software Open Inventor (<http://www.coin3d.org>) using the Gouraud shading model.³⁶ The lighting direction was set to be the same as the camera direction. Second, we extract wavelet texture features for these images. Third, we use a feature selection algorithm to identify a set of useful features. Finally, we used the selected features with a SVM committee classifier to classify the CTC CAD detections as polyps or nonpolyps.

III.B. Step 1: Generating 2D images for polyp detections

In virtual colonoscopy, a virtual camera flies through the inside of the colon to examine the inner colon surface while the colonic surface is rendered on a computer screen by endoluminal projection. If a suspicious region is found, radiologists can adjust the camera's view angle and distance to further examine the polyp candidate. In this article, we use a snapshot of the polyp candidate, at an optimized camera position, and analyze this snapshot image through a wavelet method, trying to mimic the radiologist's reading process.

III.B.1. Taking snapshots of polyp candidates

Figure 3 shows a representation of a colon segment that has a polyp candidate arising from the colon wall. In order to obtain a 2D image that contains as much of the polyp surface information as possible, there are two important parameters that should be optimized: Position of the camera (viewpoint) and direction of the lighting. Optimality of camera position

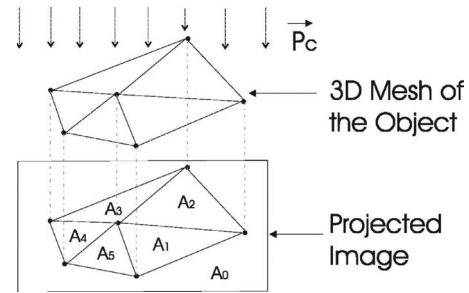


FIG. 4. Viewpoint entropy of one object with a 3D mesh representation, where the lower part is the projection result of the 3D mesh under the specified projection direction.

and lighting direction for polyp recognition is not, in general, a well posed problem. One approach to help solve this problem is to use maximized entropy to optimize both viewpoint and lighting direction.^{30,37}

We produced color images for the detections, where each vertex on the colon surface was preset to be a constant color value (pink). We convert the color images to gray level images as

$$I = (11 \times R + 16 \times G + 5 \times B) / 32,$$

where I is the intensity of the gray image, R , G , B are intensities of red, blue, and green components in the color image.

III.B.2. Viewpoint entropy

Intuitively, the quality of a viewpoint is related to how much object information is contained in the resulting image, as represented by Shannon's entropy. Here, we evaluate viewpoint optimality using the viewpoint entropy formulated by Vazquez *et al.*³⁰ The original definition of viewpoint entropy is based on perspective projections. However, the formulation can be extended to also handle orthogonal projections.²⁹ Thus, we use the orthogonal projection for efficiently calculating viewpoint entropy. Figure 4 shows the orthogonal projection of one object represented by a 3D triangular mesh. For a projection direction \vec{P}_c , the viewpoint entropy is defined as

$$E(\vec{P}_c) = - \sum_{i=0}^{N_f} \frac{A_i}{S} \log_2 \frac{A_i}{S}, \quad (1)$$

where N_f is the total number of faces in the given 3D mesh, A_i is the visible projection area of the i th face, A_0 denotes the background area, and S the sum of the projected area. Note that $E(\vec{P}_c)$ becomes larger when the viewpoint balances face visibility. The task of viewpoint optimization is to find the viewpoint that achieves the maximum value of viewpoint entropy.

III.B.3. Efficient algorithm for locating a good viewpoint

We determine the camera position in two steps. The best camera direction is found first followed by the appropriate camera distance. Lighting direction also has a big effect on

the recognition accuracy for the detections. We tried to optimize the lighting direction based on the image entropy criterion,³⁷ which is a measure of the amount of information contained in the image. Different lighting directions produce different shadows that lead to different values of image entropy. An image having a uniformly distributed intensity histogram has the maximum image entropy. However, our experiments showed that the image entropy criterion is not relevant to recognition accuracy because of the complicated surroundings of the candidate polyp. The background in the 2D snapshot images usually contributed more to the image entropy than that of the polyp area in the images. We thus set the lighting direction as the same as the camera direction to keep a consistent lighting condition for all detections.

In finding the optimal viewpoint, the view sphere surrounding the object is usually uniformly sampled, and the viewpoint entropy for each viewpoint on the sphere is calculated to identify the optimal viewpoint that maximizes entropy. For a particular viewpoint, the viewpoint entropy is usually obtained by utilizing graphics hardware:³⁸ the projected area of a face can be obtained by counting the number of pixels belonging to that face after the object is drawn into the frame buffer. Different faces are discriminated by assigning different colors for each face to establish a one-to-one correspondence between the faces and the colors.

We sped up the viewpoint optimization for our task by calculating the viewpoint entropy directly on triangle faces of the polyp candidate mesh. The polyp candidate usually includes less than 100 vertices so that traveling all faces in the mesh is very efficient. We also limited the camera position to be either along the colon centerline³⁹ or along the average normal to the polyp candidate surface. This further reduced the viewpoint search space and follows what is commonly done by radiologists, at least as a first step, in endoluminal review. The colon centerline has been successfully applied for path planning in virtual colonoscopy and it has been shown that observing polyps from the centerline is satisfactory.⁴⁰ We realize that limiting the viewpoint to this space may not always provide a viewpoint that matches that of the radiologist, but it does minimize potential blind spots since the polyp can be viewed ahead or behind and our technique substantially reduces the search space. Figure 5 shows our viewpoint optimization method, and an outline of our algorithm is shown in Fig. 6. We will detail each step of the algorithm in the rest of this subsection.

Step A: The polyp centroid C is obtained by averaging the coordinates of all the vertices in the surface of the polyp candidate. The nearest point D on the centerline to the point C is identified by evaluating the Euclidean distance from C to all points on the centerline. It is possible that the nearest point on the centerline does not see the polyp candidate when the colon makes a sharp bend. In order to avoid this situation, we only evaluate the centerline points that are on the positive side of the detection. We form a vector that points from the detection's centroid to the centerline point under evaluation. If the angle between the vector and the average normal is less than 90° , the centerline point is con-

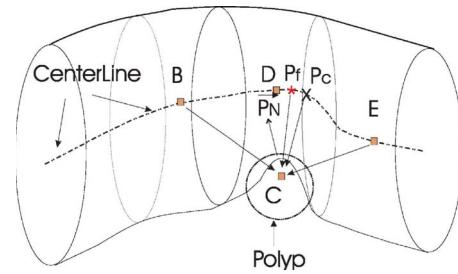


FIG. 5. The search path to efficiently locate a good viewpoint. The dotted line represents the colon centerline, C is the polyp centroid, D is the nearest centerline point to C , and P_N is the average normal of the polyp surface. We first locate D and extend D to B and E , the beginning and end search points. We then perform a coarse search for the optimal centerline viewpoint P_C between B and E . Finally, we refine P_C to P_f using a higher resolution search.

sidered to be located in the positive side of the detection and therefore is a valid centerline point. Otherwise, we skip this centerline point.

Step B: To locate a good viewpoint along the centerline, we extend the search space from point D to points B and E which are located approximately ± 5 cm about D . We quantized the line between B and E into 100 equally spaced points. From our experiments, we have found that the 100 centerline points are enough to find a good viewpoint along the centerline.

Steps C & D: To save computation time, we use two stages for searching the optimal viewpoint. We start the search with a coarse resolution, i.e., the viewpoint entropy is calculated for every fifth centerline point from B to E and the point with maximal entropy is defined as the coarse optimal viewpoint P_C . After P_C is located, we refine it to P_f by evaluating the viewpoint entropy of the four closest centerline points on either side of P_C and choosing the one with the maximum entropy.

Figure 7 shows how the viewpoint entropy is calculated, where the 3D triangular mesh represents a candidate polyp surface that consists of a set of vertices $\{V_j\}_1^{N_v}$ and faces

Algorithm 1: Viewpoint Optimization

- A. Locate the nearest point, D , on the centerline to the polyp centroid, C .
- B. Locate the beginning search point, B , and the ending search point, E , on the centerline.
- C. Coarse search: Identify the best viewpoint, P_C , by evaluating the viewpoint entropy every five points between B to E and choosing the one having maximum entropy.
- D. Fine search: Refine the best viewpoint P_C to P_f by evaluating the viewpoint entropy of each of the four points left and right to the point P_C and choosing the one having maximum entropy.
- E. Evaluate the viewpoint entropy of point P_N , where P_N is aligned to the average normal of the polyp detection with a unit distance. If P_N is a better viewpoint than P_f , P_N is then chosen as the final viewpoint.
- F. Determine the distance from the camera to the centroid.
- G. Take a snapshot for the polyp candidate.

FIG. 6. Algorithm outline for locating the optimal viewpoint along the colon centerline.

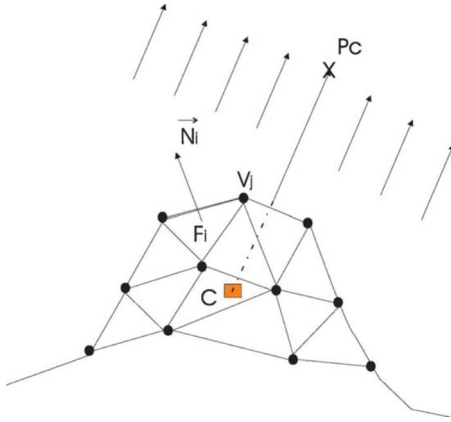


FIG. 7. Viewpoint entropy calculation. C is the polyp centroid, P_c is a viewpoint which determines the projection direction, V_i represents one vertex on the mesh and F_i is one face in the mesh.

$\{F_j\}_1^{N_f}$. The entropy of one viewpoint, P_c , is calculated using Eq. (1), where the projected area A_i for the i th face is

$$A_i = A_{oi} \frac{\vec{C}P_c \cdot \vec{N}_i}{|\vec{C}P_c \cdot \vec{N}_i|}, \quad (2)$$

where A_{oi} , C , and \vec{N}_i are the area, polyp centroid, and normal of face i , respectively. In the case that the i th face is not visible in the resulting image, we set $A_i=0$. Note that A_i is the orthogonal projected area of the i th face because we do not consider the relative location of face i and the centroid C .

Recall that in Eq. (1) A_0 denotes the background area. Here, we define $A_0=S_t-S$, where S_t is the total area of the polyp 3D mesh and S the total projected area of the polyp 3D mesh. By this definition, only the detected 3D mesh of the polyp is involved in the viewpoint entropy calculation; the undetected triangle mesh representing nearby background is not considered during the viewpoint optimization.

Step E: We also evaluate the viewpoint entropy at the point (P_N), which is 1 cm long along the average normal of the candidate polyp. The final optimal point is identified as either P_f or P_N whichever achieves the maximum viewpoint entropy.

Step F: We determine the camera distance, $camDist$, by the size of a bounding box calculated for the candidate polyp. We use the principal component analysis method to calculate a 3D bounding box for each candidate polyp, and use the maximum dimensional size L_{bounding} of the box as the initial estimation of the $camDist$, i.e., $camDist=L_{\text{bounding}}$. It is possible that the initial polyp candidate is either smaller or bigger than the true polyp. We then set $camDist=0.9$ cm if L_{bounding} is less than 0.9 cm, and set $camDist=1.1$ cm if L_{bounding} is greater than 1.1 cm. Given these parameter settings of the camera, the resulting snapshot will cover a square area with a length from 7.5 to 9.1 mm for each side such that the viewable area can accommodate polyps in the 6–9 mm size range of interest.

Step G: We take a snapshot of the polyp candidate from the optimized camera position. When taking the snapshot, we set the focal distance of the camera as the camera distance,

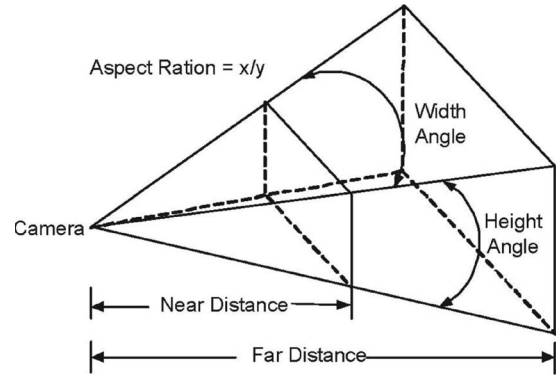


FIG. 8. Camera parameters setting.

the height angle as 45° , the near distance and the far distance as 0.1 and 10 cm, respectively, and the aspect ratio as 1 (Fig. 8).

III.C. Step 2: Feature extraction

An experienced radiologist can fairly easily discriminate false positives from real polyps by examining the projected 2D images of the colon surface, because false positives and true detections contain different shape or texture patterns. We proposed one texture analysis method for this discrimination purpose by extending the idea proposed by Lyu *et al.*, who used a wavelet feature extraction method to identify forgeries in valuable paintings.³⁵

III.C.1. Wavelet-based postprocessor

We enhanced Lyu *et al.*'s feature extraction method³⁵ in the following three aspects. First, we used a piecewise linear orthonormal floating search (PLOFS) algorithm to find the eight most predictive neighbors.⁴¹ Compared to the step-forward search, the PLOFS algorithm can provide a more accurate prediction model. The PLOFS algorithm was successfully applied in our previous work for regression⁴¹ and classification problems.¹⁶ Second, we compute energy and entropy features for each subband. For the vertical subband $V_i(x,y)$, for example, we calculated

$$energy_i = \frac{1}{L_x \times L_y} \sum_{x=1}^{L_x} \sum_{y=1}^{L_y} V_i^2(x,y), \quad (3)$$

$$entropy_i = \frac{-1}{L_x \times L_y} \sum_{x=1}^{L_x} \sum_{y=1}^{L_y} \frac{V_i^2(x,y)}{D^2} \log \frac{V_i^2(x,y)}{D^2}, \quad (4)$$

where $D=\sum_{x=1}^{L_x} \sum_{y=1}^{L_y} V_i^2(x,y)$, and L_x, L_y are the dimensions of subband $V_i(x,y)$. Third, we calculate mean, variance, skewness, and kurtosis for coefficients at levels 4 and 5. We therefore obtain 150 features in total for one image, including 96 ($=16 \times 6$) statistics of the coefficients in all subbands (each subband has six features, there are 16 subbands in total), and 54 ($=9 \times 6$) error statistics for the subbands in level 1–3 (each subband has six features, and there are nine subbands in level 1–3). Our previous work showed that this wavelet-

based postprocessor is statistically better than the Lyu's method⁴² for the task of characterizing polyp candidates.

III.D. Step 3: Feature subset and committee selection

We have 150 features for each detection image computed by the wavelet-based postprocessor. However, some of these features are based on heuristic techniques and were eventually found not to be useful. Irrelevant or redundant features increase complexity and can lead to overtraining.⁴³ We use a wrapper-type feature selection method, where a SVM classifier (see the description in the next subsection) is utilized for fitness evaluation to limit the number of features. The fitness value of a feature vector is defined as the average of the sensitivity and specificity of the involved SVM. If we want to select m features for each SVM classifier in the committee, a group of vectors with m features are first randomly generated. During the selection procedure, each feature in the vector is replaced with a new feature where the substitution is kept only if it improves the fitness value. The process is iterated on each feature in the vector until no further improvements are found. It has been shown that this algorithm can perform similarly to a genetic algorithm in some situations.¹⁶ The feature selection algorithm generates a large number of vectors with m features each. We retain the top 1000 such feature vectors according to their fitness values. In the SVM committee selection procedure, if it is determined that a committee classifier with n SVM members is good for the given data, the best committee classifier with n SVM members (i.e., having the highest fitness value) is then found among those 1000 combinations.

III.E. Step 4: Polyp candidates classification

We utilize a SVM committee classifier for polyp candidate classification. Recent work showed successful applications of committee classifiers in medical imaging research such as breast cancer screening,^{44,45} bone abnormality detection,⁴⁶ and colonic polyp identification.^{15,47} The reason for using a committee of classifiers is that this approach can often achieve a better performance than that of its individual committee members. This is because a committee having members with diverse properties is less likely to sustain the same error in a majority of its members. Another advantage is that the behavior of outliers can be better controlled.

Given a set of data pairs $\{\mathbf{x}_p, \mathbf{i}_p\}_{p=1}^{N_p}$, where $\mathbf{x}_p \in R^N$ is the feature vector extracted from a polyp candidate image, $\mathbf{i}_p \in \{+1, -1\}$ is a class label (true polyp, true negative) associated with \mathbf{x}_p . A SVM defines a hyperplane, $f(\mathbf{x}) = \mathbf{w}^T \phi(\mathbf{x}) + b = 0$, to separate the data points onto two classes, where \mathbf{w} and b are the plane parameters, and $\phi(\mathbf{x})$ is a function mapping the vector \mathbf{x} to a higher dimensional space. The hyperplane is determined using the concept of *Structural Risk Minimization*.⁴⁸ After the hyperplane is determined, a polyp is declared if $f(\mathbf{x}_p) > 0$, otherwise a nonpolyp is declared. In order to combine the outputs from the different committee members we utilize a method suggested by Platt⁴⁹ to transfer

the SVM output, $f(\mathbf{x}_p)$, to a posterior probability by fitting a sigmoid

$$p(i_p = 1 | f(\mathbf{x}_p)) = \frac{1}{1 + \exp(Af + B)}.$$

The parameters A and B were fit using a maximum likelihood estimation from a training set $\{f(\mathbf{x}_p), i_p\}$ by minimizing a cross-entropy error function.⁴⁹

There exist many ways to combine the outputs of committee members. Theoretic analysis showed that the sum rule (simple average) outperforms other combination schemes in practice though it is based on the most restrictive assumptions.⁵⁰ The sum rule is superior because it is most resilient to estimation errors. Therefore, we use the simple average method to combine the posterior probability output of each SVM member to form the final decision. The SVM committee classifier utilizes the LIBSVM software package (www.csie.ntu.tw/~cjlin/libsvm/).

IV. EXPERIMENTS

We applied the enhanced wavelet method to 44 patient data sets, based on fourfold cross-validation (CV) and free-response receiver operation characteristic (FROC) analysis,⁵¹ to determine if it could significantly reduce the false positives produced by our CTC CAD system.

IV.A. Data selection

Supine and prone CTC was performed on 44 patients with a high suspicion of colonic polyps or masses. These patients were chosen from a larger cohort who underwent CTC with fluid and fecal tagging. All 44 patients had at least one polyp 6 mm or larger and each polyp was verified by same-day optical colonoscopy. The polyp size was measured at optical colonoscopy using a calibrated guide wire.

If polyps could not be found on the supine and/or prone views, it is not possible to train on them or to confirm whether CTC CAD detected them. Consequently, we computed sensitivity using only the polyps that were visible on retrospective review of the CT colonography.⁶ There were 45 6–9 mm polyps that were colonoscopy confirmed and retrospectively visible on CT images. Thirty two of them were retrospectively visible on both the prone and supine views; the other 13 polyps were visible on either the prone or supine view but not both. A polyp was considered to be detected if CAD located it on the supine, prone, or both supine and prone views.

We chose two operating points on the FROC curve at which to evaluate the effectiveness of the wavelet-based postprocessor. For each operating point, the SVM classifier produces a series of detections. We took a snapshot for each detection using the techniques described in Sec. III B. Features were then extracted from the each snapshot and then feature selection, committee classifier selection, and false positive reduction were performed.

IV.B. Classifier configuration

The wavelet-based postprocessor produced 150 wavelet features. We used a SVM committee classifier for our classification task. There were seven committee members and each committee member consisted of three features. Our previous work showed that this configuration was adequate for our CTC CAD system; adding more members to the committee did not make a significant difference.⁵² We follow the same classifier configuration in our false positive reduction experiments in this paper.

IV.C. Feature and committee selection

We applied a forward stepwise feature selection algorithm to select a set of three features from the 150 computed features for each detection image, where a SVM classifier was used to evaluate features. A fitness value was defined as the average of sensitivity and specificity of the particular SVM. Sensitivity denotes classification accuracy for positive cases, whereas specificity represents classification accuracy for negative cases. First, a group of three feature vectors were randomly generated. Then one feature in the vector was substituted by a new feature. If the substitution improved the fitness value, the new vector replaced the old one. The process was iterated on each feature in the vector over and over until no further improvement was found. The feature selection algorithm generated a group of three features; we kept the best 1000 such combinations according to their fitness values. The best SVM committee with seven members was then searched among those 1000 combinations, and the best resulting SVM committee was identified. Once the best SVM committee is obtained, we directly apply it to new data cases using the selected features.

IV.D. Cross-validation

We utilized fourfold cross validation (CV) to evaluate the effectiveness of our false positive reduction strategy. In fourfold CV, we first randomly divided the 44 patients into four equal-sized patient cohorts. Each of the four cohorts was held out as a test set. The remaining three cohorts were used for the SVM committee training based on the selected features which led to a trained SVM committee model. This model was then applied to the held-out set to provide test results, and the test results were put into a pool. The training and testing procedures were repeated four times so that each cohort was used as a test set only once. At the end, we generated a FROC curve based on the test results pool. We calculated the sensitivity on a per-polyp basis. If there were multiple detections for one polyp, any correctly identified detection of the polyp was considered as correctly classifying the polyp.

In the fourfold CV procedure, the trained committee classifier outputs a probability value (score) for each detection. Ideally, a good classifier will assign a value close to “1” for a true polyp detection while a value close to “0” for a false positive. We analyze the causes of low scores on true polyps.

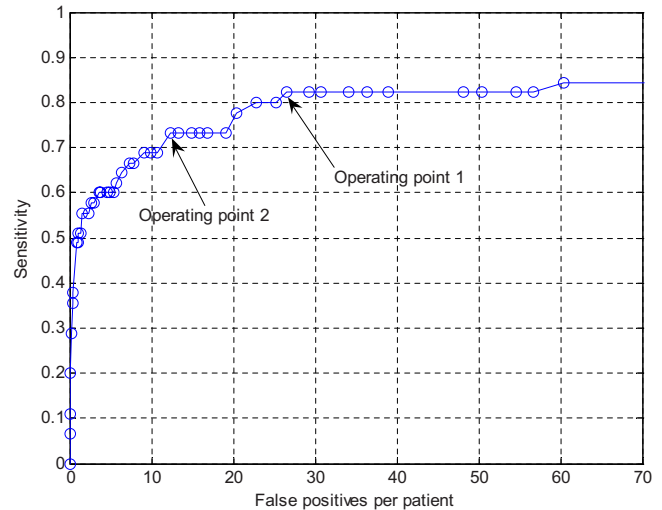


FIG. 9. FROC curve of our CTC CAD system before application of the wavelet-based postprocessor.

IV.E. Statistical analysis methods

We used bootstrapping to calculate the 95% confidence interval (CI) and error bars with one standard deviation length for sensitivity and false positive rate for each operation point on the FROC curves.⁵³ In the fourfold CV, we put the test results from each CV into the pool. We then bootstrapped patients in the test results pool 5000 times to obtain error bars (\pm one standard deviations) on our estimates.

V. RESULTS

V.A. CAD performance prior to application of wavelet-based postprocessor

The CTC CAD system detected 38/45 polyps (84.44% sensitivity) with 101 false positives per patient prior to both SVM classification and wavelet-based postprocessing. A FROC curve showing the performance of the SVM classifier is shown in Fig. 9. We chose two operating points (operating point 1 and operating point 2 in Fig. 9) on the FROC curve at which to evaluate the effectiveness of the wavelet-based postprocessor (Fig. 9). At these operating points, prior to the wavelet-based postprocessor, the sensitivities were 82.23% and 73.33% and the false positive rates per patient were 26.54 and 12.4, respectively. The operating points were chosen to be those having the lowest false positive rates at the given sensitivity.

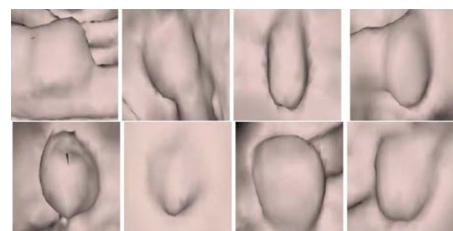


FIG. 10. CTC CAD detection samples: Polyp images.



FIG. 11. CTC CAD detection samples: False positive images.

V.B. Endoluminal images

Figures 10 and Figure 11 show endoluminal images of true and false positive detections, respectively, obtained using the viewpoint optimization techniques described in Sec. III B. Figure 2 shows an endoluminal image of a polyp and its five-level wavelet decomposition.

V.C. FROC curves of false positive reduction

FROC curves indicating the performance of the wavelet-based postprocessor for operating points 1 and 2 in Fig. 9 are shown in Figs. 12 and 13, respectively. Both curves show that false positives can be reduced markedly at the cost of a small degradation in sensitivity. We also chose three points B, C, and D in Fig. 12 and one point in Fig. 13 for further analysis using the bootstrap techniques. Again, these points were chosen to be those having the lowest false positive rates at the given sensitivity.

V.D. Bootstrap and statistical analysis

Figure 14 shows the original FROC curve together with the bootstrap error bar estimates for the four chosen points. It is observed that we can reduce the false positives at all four operating points. We are most interested in operating point A in Fig. 14 because the false positive rate (5.38 per patient) is

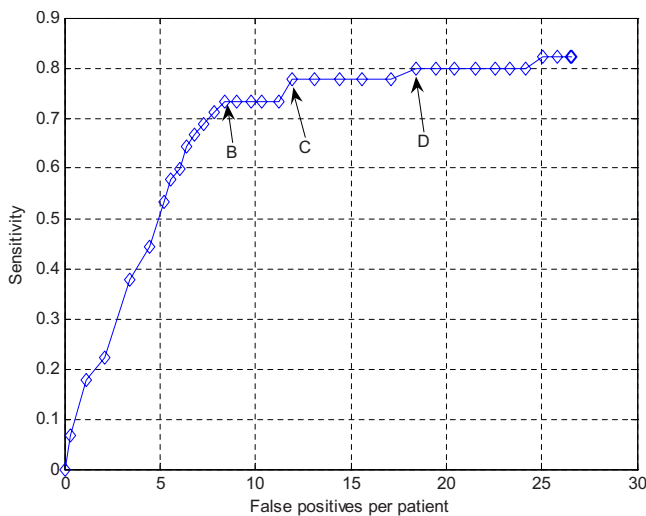


FIG. 12. FROC curve for the operating point 1 in Fig. 9 following false positive reduction using the wavelet-based postprocessor. B, C and D are three chosen points that will be further analyzed in the bootstrap experiment (see Fig. 14).

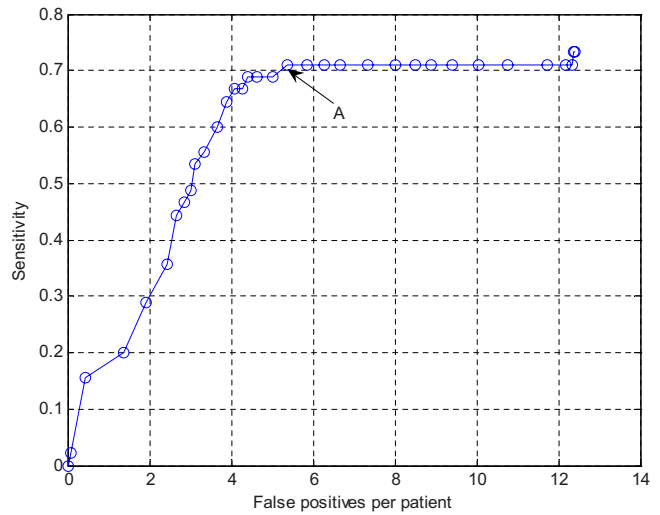


FIG. 13. FROC curve for the operating point 2 in Fig. 9 following false positive reduction using the wavelet-based postprocessor. A is the chosen point that will be further analyzed in the bootstrap experiment (see Fig. 14).

in the desired clinically acceptable range. Comparing operating point A in Fig. 14 with its associated operating point 2 in Fig. 9, the sensitivity was 71.11% [95% CI: 66.39%, 75.74%] versus 73.33% for operation point 2 ($p=0.1713$). The mean false positive rate was 5.38 [95% CI: 4.41, 6.34] per patient at operating point A. This corresponds to a 56.61% reduction compared to operating point 2 ($p < 0.001$).

V.E. True polyps with low scores

Figure 15 shows the true polyp that had the lowest classifier score (0.09) after the wavelet based postprocessing in the experiment shown in Fig. 13. The 56.61% reduction in false positive rate at operating point A is at the cost of sac-

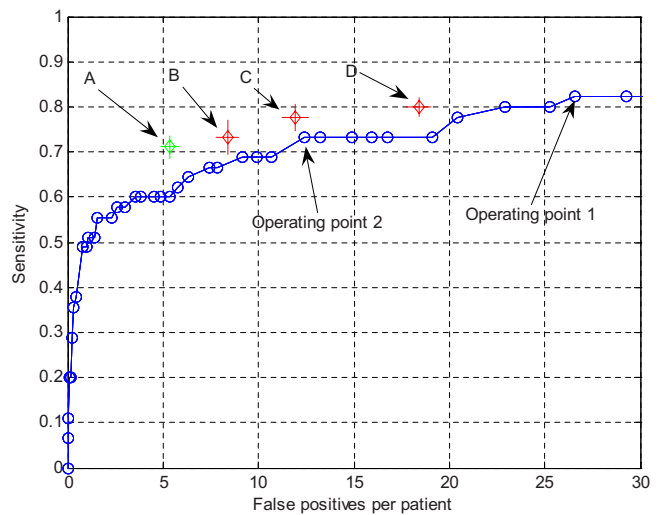


FIG. 14. Original FROC and bootstrap results for the four chosen operating points. The length of the error bars are twice the standard deviation associated with the corresponding operation point. Point A was chosen on the FROC in Fig. 13 and points B, C, D were chosen on the FROC curve in Fig. 12.

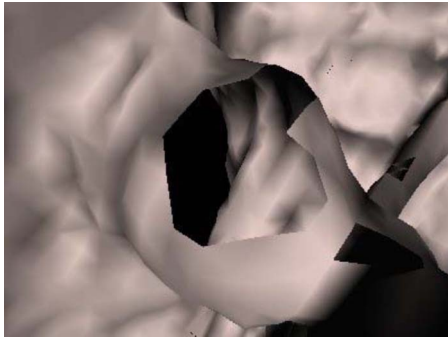


FIG. 15. A 6 mm polyp with the lowest score (0.09) given by the committee classifier in the wavelet-based postprocessing experiment for the chosen point A in Fig. 13. There was a hole in the colon surface due to poor colon surface segmentation. A score close to “0” indicates a detection that is unlikely to be a polyp while a score close to “1” denotes a detection that is very likely to be a true polyp.

rificing the detection of the polyp shown in Fig. 15. Figure 16 shows the three true polyp images having the next lowest scores in the same experiment.

V.F. Computation time and optimal viewpoint

The computation time for a single CTC data set (a supine or prone scan) on a PC with AMD Athlon MP 2800+ (2.13 GHz clock rate), 2 GB RAM, using Microsoft VC++ 6.0, was less than 1 min on average for the chosen operating point. There are about six polyp detections per data case, where the average computation time for locating the viewpoint for each detection is 0.1 s, and for the wavelet analysis of the endoluminal image of one detection is 8 s.

We randomly selected 533 polyp detections to test how many of them chose the optimal viewpoint along the colon centerline vs. along the average normal of the detection. In 54.4% (290/533) detections, the optimal viewpoint was along the colon centerline. In the remaining 45.6% (243/533) of detections, the optimal viewpoint was along the average normal of the detection.

VI. DISCUSSION

In this article, we found that the application of a wavelet-based postprocessor markedly reduced false positives by 56.61% for detecting clinically significant polyps in the medium-size category at the expense of losing only one true polyp detection. The resulting 5.38 false positives per patient



(a) 8 mm, score = 0.37 (b) 6 mm, score = 0.43 (c) 6 mm, score = 0.46

FIG. 16. Three polyps having relatively low scores in the wavelet-based postprocessing experiment for the chosen point A in Fig. 13. Polyps touched (a) a fold, (b) an air-fluid boundary, or (c) shadows.

are in the clinically acceptable range. In addition, the sensitivity of the CAD system is similar to that of the expert radiologists who made the original interpretations without CAD.^{54,55} Detecting these medium-sized polyps at a low false positive rate could substantially enhance the utility of CTC. Our approach, inspired by the way radiologists interpret CTC images using an endoluminal fly through, is a new idea for false positive reduction in CTC CAD systems.⁸

The ultimate goal is to attain a clinically acceptably low false positive rate. It would be reasonable to assume that a CTC CAD system should have less than 10 false positives per patient for detecting 6–9 mm polyps, although there is no consensus yet for an acceptable number. Following application of the wavelet-based postprocessor, the CAD system indeed had a false positive rate below the threshold of 10 per patient.

In a related method, Zhao *et al.*, characterized polyp surface shape by tracing and visualizing streamlines of curvature.²² For polyps, they found that streamlines of maximum curvature directions exhibited a circular pattern. Their CAD algorithm searched for such circular patterns to identify polyp candidates. In our method, it is difficult to identify with certainty which specific information was extracted from the surface images by the wavelet coefficients, but most likely it was shape information, including surface curvature.

Recently, Hong *et al.* proposed a CAD method that bears some similarities to ours in that it also converted the 3D polyp detection problem to a 2D pattern recognition problem.¹⁷ They first flattened the segmented colon by conformal mapping. They next identified polyp candidates by analyzing volumetric shape and texture features of the flattened surface.

It is usually not possible to provide performance comparison among different CTC CAD systems on the same data set because software developed by other research groups is often not available publicly. Table I lists false positive reduction results reported in literature on different data sets. False positive reductions in the first four algorithms are the overall false positive reductions in the corresponding systems. False positive reductions in the last three algorithms, including the one developed in this article, are obtained by designing another discriminating system attached to the original CAD systems, adding an additional stage of false positive reduction. The last two algorithms have comparable results and are better than the one developed by Suzuki *et al.*¹⁹ Note that comparing the final sensitivities and false positive rates, which are listed for reference, for different systems is not possible because of the differences in data sets, lack of data selection criterion information, different polyp size ranges, and if contrast fluid is present, etc. The data sets we utilized contain contrast fluid and the polyp size range we aimed to identify is 6–9 mm, both of which make detecting polyps more difficult.

The texture information in the generated 2D snapshot in our method is highly dependent upon many parameters, including surface generation techniques, surface smoothing methods, viewpoint location of the virtual camera, lighting

TABLE I. Comparison of different false positive reduction schemes.

Publication	Data set size	Polyp information	Contrast fluid	Sensitivity level(s) %	FP reduction %	FP per patient after reduction
Gokturk <i>et al.</i> ^a	48 patients with the supine or prone scans	40 polyps sized from 2–15 mm	No	100 (95)	62 (60)	Unknown
Acar <i>et al.</i> ^b	48 patients with the supine or prone scans	40 polyps sized from 2–15 mm	No	100 (95)	35 (32)	Unknown
Hong <i>et al.</i> ^c	98 patients with both supine and prone scans	123 polyps with no size information	Unknown	100	97	5.8–6.2
Yoshida <i>et al.</i> ^d	43 patients with both supine and prone scans	12 polyps sized from 5–30 mm	No	100	97	2
Suzuki <i>et al.</i> ^e	73 patients with both supine and prone scans	28 polyps sized from 5–25 mm	No	96	33	2.1
Suzuki <i>et al.</i> ^f	73 patients with both supine and prone scans	28 polyps sized from 5–25 mm	No	96	63	1.1
This paper	44 patients with both supine and prone scans	45 polyps sized from 6–9 mm	Yes	71	56.6	5.38

^aReference 4.^bReference 17.^cReference 18.^dReference 19.^eReference 20.^fReference 21.

direction, and surface rendering methods. Preliminary experiments suggested appropriate choices for these parameters.⁵⁶

The wavelet-based postprocessor worked as a second classifier to further reduce CAD polyp detections. Ideally, all polyp images should score high while all false positives should score low. Some possible explanations for the low scoring polyps include poor colon surface segmentation (Fig. 15), polyps touching a fold or the air-fluid boundary and shadows [Figs. 16(a)–16(c), respectively].

The Haar wavelet transformation is not scale and rotation invariant. Different scales and rotations for one image will lead to different wavelet coefficients and thus different wavelet features. We believe that rotation will have a smaller effect on true polyps than false positives because most of the polyps appear round. Different viewpoints result in different 2D images, however, a round polyp should look similar even with different camera angles. We utilized the Haar wavelet transformation because it is simple and it was found to achieve good performance. There are some techniques for rotation invariant wavelet transformation.⁵⁷ Further research is needed to find out if the scale and rotation invariant wavelet transformation can better distinguish true polyps from false detections.

The proposed method has a potential advantage in that it is relatively insensitive to whether a polyp is or is not on a fold. The camera viewed polyp detections either from the centerline or from polyp candidate average normal direction, and the camera distance was adjusted to view a 7.5–9.1-mm-square area on the colon surface. This left only a small area in the resulting image for the background if the detection was a true polyp. We can see this from Fig. 10, where the first and the fourth images in the first row are true

polyps on folds, but the percentage of fold in the image is small. Only one polyp on a fold [Fig. 16(a)] obtained a lower score.

Our proposed wavelet-based postprocessor will be incorporated into a CAD system that also identified polyps 10 mm and larger. Detections of possible medium-sized polyps would be subjected to the additional wavelet-based postprocessing to further reduce false positives. In practical usage of CTC CAD systems, the optical colonoscopy polyp size is not available; size classification would be done instead by automated size measurement which has been shown to be an accurate substitute.⁵⁸

VII. CONCLUSION

In summary, we have designed a postprocessing method for reducing false positives for our CTC CAD system by transforming the 3D polyp classification problem to a 2D texture analysis task. This work was inspired by the way radiologists interpret CTC data in endoluminal flythrough reading. We first took a snapshot of each CTC CAD detection by rendering the colon surface using a set of optimized parameters. We then used an enhanced wavelet analysis method to extract a set of features for each resulting snapshot. Finally, we utilized a feature and committee selection algorithm to identify a set of good features, and used the selected committee classifier to discriminate false positives from true polyps. The proposed methods reduced false positives by 56.61% from 12.4 to 5.38 false positives per patient at a sensitivity of 71.11% for 6–9 mm colonic polyps. This postprocessing method may lead to improvements for CAD performance and enhance the ability of CAD to assist radiologists reading CTC.

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