

Rapid enhancement of two-step wiring plasticity by estrogen and NMDA receptor activity

Deepak P. Srivastava^{†‡}, Kevin M. Woolfrey[†], Kelly A. Jones[†], Cassandra Y. Shum[†], L. Leanne Lash[§], Geoffrey T. Swanson[§], and Peter Penzes^{†‡}

Departments of [†]Physiology and [§]Molecular Pharmacology and Biological Chemistry, Feinberg School of Medicine, Northwestern University, Chicago, IL 60611

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Cortical information storage requires combined changes in connectivity and synaptic strength between neurons, but the signaling mechanisms underlying this two-step wiring plasticity are unknown. Because acute 17 β -estradiol (E2) modulates cortical memory, we examined its effects on spine morphogenesis, AMPA receptor trafficking, and GTPase signaling in cortical neurons. Acute E2 application resulted in a rapid, transient increase in spine density, accompanied by temporary formation of silent synapses through reduced surface GluR1. These rapid effects of E2 were dependent on a Rap/AF-6/ERK1/2 pathway. Intriguingly, NMDA receptor (NMDAR) activation after E2 treatment potentiated silent synapses and elevated spine density for as long as 24 h. Hence, we show that E2 transiently increases neuronal connectivity by inducing dynamic nascent spines that “sample” the surrounding neuropil and that subsequent NMDAR activity is sufficient to stabilize or “hold” E2-mediated effects. This work describes a form of two-step wiring plasticity relevant for cortical memory and identifies targets that may facilitate recovery from brain injuries.

Information storage in the cortex is thought to involve neurons making transient contacts with neighboring cells. *In vivo* studies have shown that these contacts can persist after experience-dependent stimuli (1–3). This two-step form of wiring plasticity has been referred to as a “sample-and-hold” theory (2). Such alteration in synapse number may result in increased and stronger connections between neurons and thus may represent a mechanism that features enormous capacity for cortical information storage (1, 3). Cellularly, changes in dendritic spine number and morphology are key components of synaptic plasticity and circuit rewiring. Concurrent changes in dendritic spine morphology and in NMDA receptor (NMDAR) and AMPA receptor (AMPA) content at synapses are required for functional plasticity (4–6). These parallel changes in function and morphology associated with plasticity are dependent on small GTPase signaling (6, 7), but the cellular and molecular underpinnings of a sample-and-hold plasticity remain unclear.

Neuromodulation of synaptic plasticity is thought to influence information storage. Evidence for the presence of multiple enzymes in the brain that control neurosteroid biosynthesis has been described in male and female subjects (8), and recent evidence has implicated 17 β -estradiol (E2) as a neuromodulator (9). The neuromodulatory role of E2 is thought to occur through local production of the steroid in specific brain areas, including the cortex (8, 9). Local production of E2 results in a much higher concentration than that of the circulating hormone and is required for rapid, nongenomic actions of the steroid in the brain (9, 10). Recent studies have shown that E2 rapidly affects synaptic plasticity (11, 12) and cortical memory (13–15) in a temporally specific manner, but as of yet, the molecular and cellular mechanisms that underlie the rapid effects of E2 in the cortex remain unresolved. However, the rapid and transient effects of this steroid on both synaptic plasticity and cognition establish E2 as a good candidate to modulate wiring plasticity.

Here we report a form for two-step wiring plasticity whereby E2-induced increases in synaptic connectivity are sustained by

subsequent NMDAR activation. These findings reveal a mechanism that likely underlies wiring plasticity that may be important for cortical function and recovery from brain injury.

E2 Rapidly and Transiently Modulates Synaptogenesis. Several *in vivo* studies have demonstrated that acute (<1 h) intracortical or systemic E2 injections result in enhanced performance in tasks reliant on cortical processing (13–15). These effects are thought to be dependent on E2 modulation of synaptic function and dendritic spine structure (11, 12). However, the signaling pathway(s) that control E2-mediated changes in dendritic spine morphology have not been described. Moreover, spine density changes are thought to contribute to modified connectivity between neurons (1, 2). To investigate whether E2 could rapidly (\leq 1 h) increase the connectivity of cortical neurons, we examined the dendritic spine morphology in mature (28 days *in vitro*) cultured cortical neurons, expressing GFP, treated with 10 nM E2 for a maximum of 60 min. After E2 treatment, spine density peaked at 30 min and returned to control levels at 60 min (0 min, 6.6 ± 0.32 spines per $10 \mu\text{m}$; 5 min, 8.2 ± 0.62 spines per $10 \mu\text{m}$; 15 min, 9.8 ± 0.45 spines per $10 \mu\text{m}$; 30 min, 11.0 ± 0.63 spines per $10 \mu\text{m}$; 60 min, 6.9 ± 0.49 spines per $10 \mu\text{m}$) (Fig. 1A). Accompanying the increase in spine numbers, the average spine area and spine breadth were significantly reduced at 30 min, suggesting that there was a greater number of thin spines present, and by 60 min, spine area and breadth returned to control levels (area: 0 min, $0.8 \pm 0.02 \mu\text{m}^2$; 30 min, $0.7 \pm 0.02 \mu\text{m}^2$; 60 min, $0.9 \pm 0.02 \mu\text{m}^2$; breadth: 0 min, $0.97 \pm 0.02 \mu\text{m}$; 30 min, $0.88 \pm 0.01 \mu\text{m}$; 60 min, $0.99 \pm 0.03 \mu\text{m}$) [Fig. 1A and supporting information (SI) Fig. S1A]. Time-lapse imaging of neurons treated with E2 for as long as 60 min revealed that the increase in spine number was a result of the rapid and transient production of thin spines; that is, the majority of newly formed spines retracted within 60 min (Fig. 1B and Movie S1). Furthermore, by examining the colocalization of spines with the presynaptic marker bassoon, we determined that \approx 90% of all spines made contact presynaptically after challenge with E2 (total spines vs. spines with bassoon: 0 min, 6.1 ± 0.35 spines per $10 \mu\text{m}$ vs. 5.6 ± 0.43 spines per $10 \mu\text{m}$; 30 min, 10.9 ± 0.35 spines per $10 \mu\text{m}$ vs. 9.7 ± 0.35 spines per $10 \mu\text{m}$; 60 min, 5.9 ± 0.26 spines per $10 \mu\text{m}$ vs. 5.3 ± 0.29 spines per $10 \mu\text{m}$) (Fig. 1C). Importantly, as our studies were performed in the presence of NMDAR blockade by (2R)-amino-5-phosphonovaleric acid (APV), they demonstrate that the transient actions of E2 are independent of NMDAR activity. This finding is in contrast to a recent report that demonstrated that E2-mediated increase of spine

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[†]To whom correspondence should be addressed. E-mail: d-srivastava@northwestern.edu or p-penzes@northwestern.edu.

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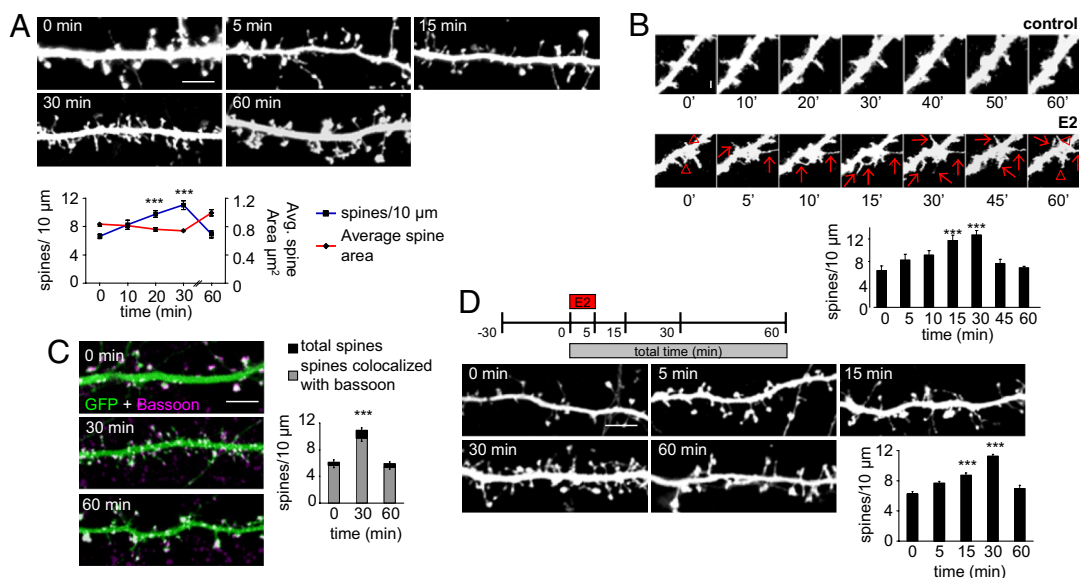


Fig. 1. E2 rapidly and transiently enhances connectivity by increasing spine turnover. (A) EGFP-expressing cortical neurons (28 days *in vitro*) were treated with 10 nM E2 for 0, 5, 15, 30, and 60 min. Spine density increased transiently (blue line); average area decreased transiently (red line). (B) Time-lapse imaging of cortical neuron expressing EGFP. Cells were imaged for 60 min before treatment and then at 0, 5, 10, 15, 30, 45, and 60 min after treatment with E2. Red arrows indicate novel spines; red triangles represent persistent spines. (C) E2-treated cells were stained for bassoon. The total number of spines (black bar) and the number of spines colocalizing with bassoon (gray bar) were measured. (D) Schematic of brief, 5-min exposure of E2 experiment. Neurons were treated with E2 for 5 min (E2 pulse); cells were fixed at 0, 5, 15, 30, and 60 min from initial addition of E2. ***, $P < 0.001$. [Scale bars, 5 μm (A, C, and D); 1 μm (B).]

density in hippocampal neurons could be blocked by NMDAR antagonism (11, 16). The transient effects of E2 on spine density were also observed in cells not chronically treated with APV (Fig. S1B), indicating that these effects were not indirectly caused by chronic NMDAR blockade. Together these data suggest that E2 rapidly and transiently enhances connectivity by generating spines that make presynaptic contacts.

Nongenomic Mechanisms Underlie Rapid Effects of E2 on Synaptogenesis. To determine if E2 was acting via the classical nuclear estrogen α -receptor ($\text{ER}\alpha$), we used the selective $\text{ER}\alpha$ receptor

modulator tamoxifen. Tamoxifen failed to block the rapid increase in spine density ($P < 0.05$) (Fig. S1C) despite its ability to block the chronic effects of E2 (16, 17). The rapid effects of E2 were protein-synthesis independent, as revealed by cycloheximide treatment ($P < 0.001$) (Fig. S1D) demonstrating a nongenomic mechanism of action. Furthermore, reduction of GABAergic signaling by picrotoxin was unable to mimic the rapid effects of E2 on dendritic spines (Fig. S1E), contrary to chronic actions of E2 on spines (18). As E2 can be rapidly produced and metabolized in the cortex (9), its presence is likely to be temporally restricted, char-

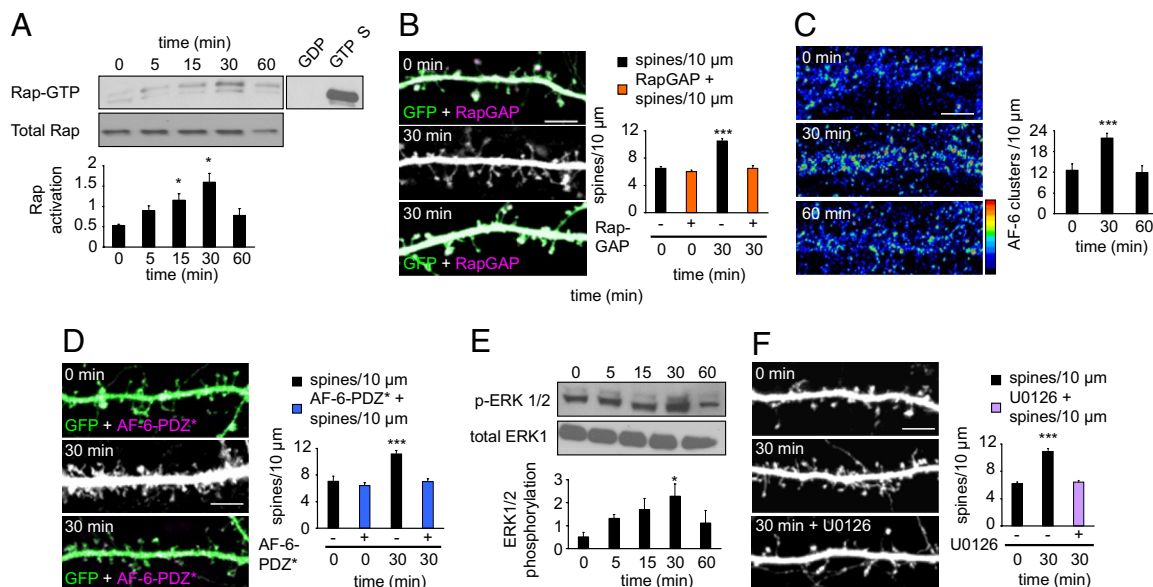


Fig. 2. Rap activity underlies E2-mediated synaptogenesis. (A) Rap activity after treatment with E2; Rap activity increases in a time-dependent manner. (B) Effect of overexpression of RapGAP on E2-induced increase in spine density; RapGAP (orange bars) blocks rapid E2 actions. (C) Effect of E2 on AF-6 clustering; AF-6 clusters in a transient manner. (D) In the presence of a mutant construct, AF-6-PDZ* (blue bars), E2 is unable to induce a spine-density increase. (E) Time course of ERK1/2 phosphorylation after E2 treatment. (F) Inhibition of ERK1/2 by U0126 (purple bar) inhibits E2-mediated increase in spine density. *, $P < 0.05$; ***, $P < 0.001$. (Scale bars, 5 μm .)

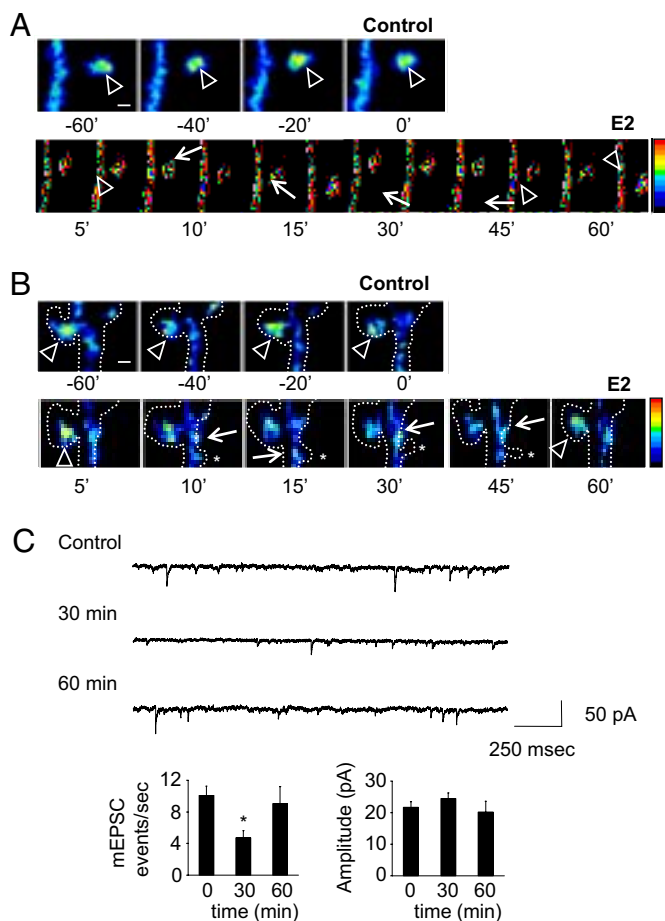


Fig. 3. E2 rapidly and transiently induces the formation of silent synapses through trafficking of GluR1 and NR1. (A and B) Time-lapse imaging of neurons expressing GFP-GluR1. Cells were imaged for 60 min before and after administration of E2. Arrowheads indicate GFP-GluR1 in spine heads; arrows indicate GFP-GluR1 in dendritic shaft. Dotted lines indicate neuron outline, as determined by Discosoma red fluorescent protein coexpression; asterisks show transient emergence of novel spines upon E2 treatment. (C) AMPAR mEPSCs after E2 treatment. Frequency and average amplitude of mEPSCs were measured; frequency, but not amplitude, of mEPSCs was significantly reduced at 30 min. *, $P < 0.05$; ***, $P < 0.001$. [Scale bars, $1 \mu\text{m}$ (A and B).]

acteristic of neuromodulators. Therefore, to test whether E2 can act as a neuromodulator, we treated cells with a brief application (5 min) of E2. This treatment was sufficient to produce a rapid, transient increase in spine density after 30 min (E2 pulse; $P < 0.001$) (Fig. 1D). As E2 has been shown to be synthesized *de novo* in neuronal cultures and to be important for hippocampal synapse formation (19), we examined the effect of reducing endogenous E2 levels on basal spine density. Blocking endogenous E2 synthesis with the aromatase inhibitor androstatrienedione resulted in reduced basal spine density (Fig. S1F), confirming that E2 acts as a neuromodulator. Collectively, these data suggest that rapid E2 effects are mediated via a nongenomic mechanism and that E2 can act as a neuromodulator.

Rap/AF-6/ERK1/2 Signaling Cascade Underlies E2 Effects on Synaptogenesis. A number of second messenger systems have been implicated in rapid E2 signaling in the brain (10), but how E2 rapidly affects spines has not been determined. In nonneuronal cells, E2 has been shown to influence small GTPase activity and subsequently alter actin dynamics (20). In neurons, small GTPases, specifically Rap and Rac, are critical for synaptogenesis and GluR1 trafficking through the rearrangement of the actin cytoskeleton (6,

7). Hence, E2 signaling in neurons may require Rap or Rac activation. E2-induced synaptogenesis depended on Rap activity, because treatment resulted in a time-dependent activation of Rap ($P < 0.05$) (Fig. 2A). Inhibition of Rap activity by overexpression of RapGAP (7) blocked E2-induced synaptogenesis ($P < 0.001$) (Fig. 2B). Use of dominant negative Rap (RapN17) confirmed that E2 mediated its effects through Rap1 (Fig. S2A). In contrast, inhibition of Ras by farnesyl transferase inhibitor II did not block the transient increase in spine density induced by E2 (Fig. S2B). Interestingly, Rac activity was slightly reduced after 60 min of treatment, but the reduction was not statistically significant (Fig. S2C). To dissect how E2-mediated Rap activation was influencing spine morphogenesis, we investigated the localization of the scaffold protein AF-6, a downstream target of Rap (7). AF-6 transiently clustered upon E2 treatment (0 min, 12.6 ± 1.86 clusters per $10 \mu\text{m}$; 30 min, 21.84 ± 1.43 clusters per $10 \mu\text{m}$; 60 min, 11.87 ± 1.94 clusters per $10 \mu\text{m}$) (Fig. 2C and Fig. S3A), suggesting that it may play some role in mediating E2 effects on spines. When an AF-6 mutant with a single point mutation in its PDZ domain (AF-6-PDZ*) (7) was overexpressed, E2-induced synaptogenesis was abolished ($P < 0.001$) (Fig. 2D), demonstrating that AF-6 was critical for E2-mediated synaptogenesis. A second target of Rap is the MAP kinase ERK1/2. When investigated, a time-dependent increase in phosphorylated ERK1/2 was observed ($P < 0.05$) (Fig. 2E). This finding is in agreement with previous studies that have demonstrated an E2-dependent activation of ERK1/2 (12). Inhibition of ERK1/2 activity by U0126 also blocked E2-induced synaptogenesis ($P < 0.001$) (Fig. 2F), implying a role for ERK1/2 in E2 signaling. Together, these data demonstrate that a Rap/AF-6/Erk1/2 signaling cascade is critical for E2-induced synaptogenesis.

E2 Rapidly and Bidirectionally Modulates Glutamate Receptor Trafficking. Because alterations in synaptogenesis and Rap signaling are accompanied by changes in glutamate receptor content (4, 7), we investigated whether AMPAR or NMDAR localizations changed. Surface expression of the AMPAR subunit GluR1 (n-GluR1) was transiently reduced after E2 treatment. Conversely, the NMDAR subunit NR1 puncta temporarily increased, concurrent with spine density (n-GluR1 puncta, $P < 0.001$; NR1 puncta, $P < 0.001$) (Fig. S3B). This bidirectional pattern of receptor redistribution is consistent with the generation of silent synapses (21, 22). We investigated the transient reduction in surface GluR1 by examining immunostaining of GluR1 in spines. This investigation revealed that less GluR1 was present in spines, and more GluR1 accumulated in the dendritic shaft within 30 min of E2 treatment; by 60 min, GluR1 levels in spines and dendritic shaft were similar to those of controls (Fig. S3C). GluR1 trafficking was not blocked by pretreatment with tamoxifen (Fig. S3D), suggesting that ER α was not involved in the rapid and transient trafficking of this AMPAR subunit. To determine whether the decrease in surface GluR1 was solely a result of the creation of novel spines lacking GluR1 or the redistribution of GluR1 within existing spines, we performed time-lapse imaging of GFP-GluR1. This imaging confirmed that GluR1 was transiently removed and then reinserted into existing spines but novel spines did not receive GluR1 (Fig. 3A and B and Movie S2). Combined, these data demonstrate that E2 transiently increases synaptic NR1 and removes GluR1 from the surface into dendritic shafts, anatomically mimicking the formation of silent synapses.

AMPA Transmission Is Rapidly Decreased After Acute E2 Treatment. Because the bidirectional trafficking of NR1 and GluR1 by E2 suggests the creation of silent synapses (21, 22), these effects would likely alter AMPAR transmission. Thus, we examined AMPAR miniature excitatory postsynaptic currents (mEPSCs) in cultured neurons treated with E2. Electrophysiological recording demonstrated that E2 treatment resulted in fewer mEPSC events after 30

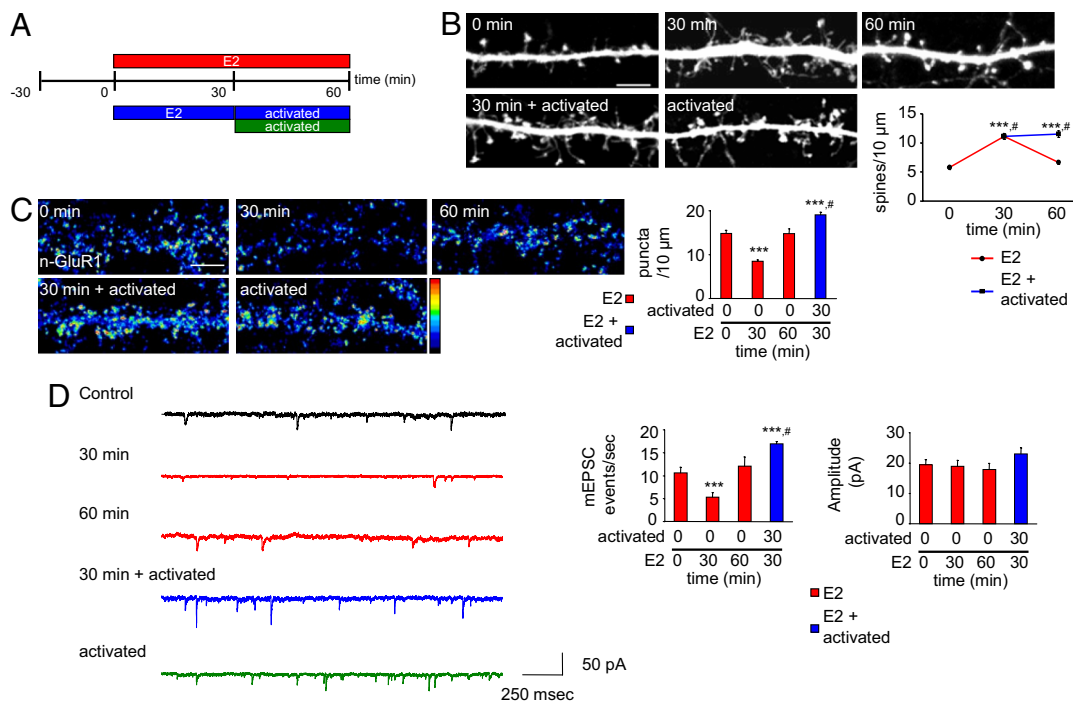


Fig. 4. Synaptic activity sustains and potentiates E2 effects. (A) Schematic of combined E2 and NMDAR activation experiments. Neurons were treated with E2 for 0, 30, or 60 min; for 30 min with E2 followed by activation of NMDAR for 30 min; or activation of NMDAR alone for 30 min. (B) Effect of E2 priming on dendritic morphology; cells were treated as described in A. (C) Surface staining of GluR1 (n-GluR1) after treatments as in A. The total number of n-GluR1 puncta was measured; n-GluR1 levels are significantly greater than control after combined E2 and NMDAR activity (blue bar). (D) Electrophysiological recording of AMPAR mEPSCs after treatments. Frequency of AMPAR mEPSC events are significantly greater than controls with combined E2 and NMDAR activation (blue bars). AMPAR amplitudes are also increased with treatment with E2 followed by NMDAR activation, but not significantly. **, $P < 0.005$; ***, $P < 0.001$; #, different subgroup of significance according to Tukey B post hoc analysis. (Scale bar, 5 μm .)

min of E2 treatment (frequency: 0 min, 10.1 ± 1.17 events per s; 30 min, 4.7 ± 0.9 events per s; 60 min, 9.1 ± 2.14 events per s), consistent with the generation of silent synapses (23); however, no effects on amplitude were observed (Fig. 3C). This finding is consistent with the reported generation of silent synapses by a reduction of AMPAR-containing synapses in PSD95 knockout mice (23). The bidirectional pattern of NR1 and GluR1 trafficking and the electrophysiological recordings of AMPAR transmission demonstrate that E2 rapidly yet transiently induces silent-synapse formation. It is of note that the generation of silent synapses by acute E2 treatment is independent of NMDAR activity, because these experiments were performed in the presence of NMDAR blockade. These data suggest that, concurrent with increases in connectivity, E2 forms silent synapses by increasing NR1 levels and removing GluR1 from the surface, leading to depressed AMPAR transmission.

Synaptic Activity Sustains and Potentiates E2 Effects. Previous *in vivo* studies have shown that cortical neurons make transient connections that may require a Hebbian-like mechanism to stabilize them (1–3), but the cellular and molecular components of this sample-and-hold model have remained unclear. We postulated that the transient effect on spine density and silent-synapse generation by E2 may allow neurons to sample synaptic partners in a transient manner. Hence, activity-like stimuli would sustain increased spine numbers and simultaneously potentiate silent synapses. We tested this hypothesis by activating NMDARs after E2 treatment (Fig. 4A). Indeed, NMDAR activation stabilized the E2-increased spine number: spine density was significantly greater than with E2 treatment for 60 min or NMDAR activation alone (0 min, 5.8 ± 0.33 spines per 10 μm ; 30 min, 11.1 ± 0.48 spines per 10 μm ; 60 min, 6.6 ± 0.23 spines per 10 μm ; 30 min E2/activated, 11.5 ± 0.56 spines

per 10 μm) (Fig. 4B and Fig. S4A). These effects were replicated in neurons cultured without APV, which were then briefly (2 h) treated with APV before E2 treatment and subsequent NMDAR activation (Fig. S4B), further demonstrating that these effects did not result from chronic NMDAR blockade. Furthermore, NMDAR activity led to the phosphorylation of calcium/calmodulin-dependent protein kinase II (CaMKII) even in the presence of E2 (Fig. S4C), consistent with activation of a CaMKII/Rac pathway (6). These data show that NMDAR activity after E2 treatment is able to sustain the transient effects of E2 on synaptogenesis.

Coactivation of E2 and NMDARs Increases AMPAR Transmission. Silent synapses have been observed in a number of neuronal preparations and are potentiated during plasticity through GluR1 insertion into synapses lacking this subunit (24). Therefore, to determine whether NMDAR activity after E2 treatment potentiated E2-induced silent synapses, we examined the amount of surface GluR1 after coactivation with E2 and NMDARs. NMDAR activation after E2 priming resulted in significantly higher surface GluR1 levels than in controls and after E2 treatment for 60 min ($P < 0.001$) (Fig. 4C and Fig. S4D). We confirmed this increase in surface expression of GluR1 by examination of GluR1 content in spines. This examination demonstrated that, after combined E2 and NMDAR activation, a greater amount of GluR1 was found in spines (Fig. S5A). Additionally, a significantly greater number of GluR1 colocalized with bassoon, suggesting that there were more functional synapses and that E2-induced silent synapses were potentiated (Fig. S5B). Indeed, analysis of AMPAR mEPSCs further demonstrated that silent synapses were potentiated. The frequency of mEPSC events were significantly increased, consistent with the formation of functional synapses (frequency: 0 min, 10.7 ± 1.23 events per sec; 30 min, 5.3 ± 0.99 events per sec; 60 min, 12.1 ± 2.01 events per sec;

tified neurosteroids as an attractive group of molecules for this role. A substantial body of work demonstrates that the rapid actions of E2 affect cortically based cognitive function. Object recognition (13, 14), reference memory (15), and aggression (26) have been shown to be influenced by acute E2 treatment. Furthermore, long-term potentiation and long-term depression in the forebrain are both enhanced by acute E2 administration (11, 12). Collectively, these studies suggest that E2 can rapidly influence cortically mediated processing and behavior.

E2 is produced rapidly and locally in male and female brains, specifically at presynaptic terminals (8, 9). As a result of its lipophilic nature, the rapid actions of E2 would not be restricted to single synapses and could influence multisynapse connectivity. Thus, E2 is well suited to initiate such a polysynaptic form of wiring plasticity.

The identity of the receptor(s) responsible for rapid E2 effects remains elusive and may be confounded in part by the diverse effects E2 has in different brain regions. The ER α nuclear receptor has been shown to be important for E2 effects in the hippocampus (17), and a recent study has shown that spine density in hippocampal neurons increases after 1 h of E2 treatment and is sensitive to ER α and NMDAR antagonism (16). Importantly, these findings are distinct from our observations and may represent a parallel mechanism by which E2 increases spine density in a cell-type specific manner. Alternatively, other studies have implicated orphan G protein-coupled receptors in rapid steroidal responses (27), and an uncharacterized G protein-coupled receptor may mediate some of the rapid actions of E2 in the neocortex (28). In this study, we find that rapid E2 actions on cortical neurons are insensitive to the ER α nuclear receptor modulator tamoxifen and occur in the presence of NMDAR blockade, suggesting that these receptors are not involved in the rapid actions we observe.

Neural Connectivity, Behavior, and Brain Injury. Our study describes a mechanism to increase neuronal connectivity that can be stabilized by synaptic activity. As E2 can be rapidly produced in response to sensory information, it is interesting to hypothesize that E2 acts to increase a cell's readiness to respond to activity-dependent stimuli. For example, upon entering a novel area, it would be extremely beneficial for an animal to efficiently encode

information about the environment such as the presence of food or a predator (13). Therefore, the ability of E2 to transiently increase neural connectivity in distinct cortical subregions that can in turn be selectively reinforced would allow enhanced encoding of salient information by the animal. Long-term persistence of these circuit alterations would permit them to influence subsequent behavior.

In addition to its role in information processing, this form of wiring plasticity may have important therapeutic relevance. After ischemia, an increase in spine turnover is observed (29), as is an increase in E2 synthesis (30), which is suggestive of a putative natural recovery mechanism. Animal models of stroke have demonstrated that E2 treatment after ischemic insult significantly improves cortical memory normally disrupted by ischemia (31). It is intriguing to speculate that E2-induced wiring plasticity may in part underlie this recovery and that this mechanism of increased connectivity may be exploited to aid in the recovery from cortical dysfunction induced by brain injury.

Methods

Neuronal Culture and Treatments. Cortical neurons were treated with 10 nM E2 in ACSF with 200 μ M APV for the appropriate times on DIV28 neurons. Inhibitors were added 90–30 min before treatment with E2. See *SI Methods* for more details.

AMPA Receptor Surface Labeling and Immunostaining. Live cells were incubated with n-GluR1 Ab at 4°C for 30 minutes and fixed for 10 minutes in 4% formaldehyde. Cells were then processed for immunocytochemistry. See *SI Methods* for more details.

Electrophysiology. AMPAR mEPSCs were measured on DIV28 neurons by whole cell patch clamp recordings with a gap free protocol using pClamp10 (Molecular Devices) and an Axopatch 200B amplifier (Axon Instruments). See *SI Methods* for additional methods.

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