

Effect of Progression of Disease on Cognitive Performance in HIV/AIDS

Francis Odiase, MBBS; Olubunmi Ogunrin, BSc, MBChB, FWACP; and Adesola Oggunniyi, BSc, MBChB, FWACP, FNMC

Benin City and Ibadan, Nigeria

Background: HIV infection causes a range of cognitive and behavioral symptoms that become more frequent and severe as the immune system deteriorates and symptomatic illness ensues.

Objective: To determine the impact of disease progression on cognitive abilities of Nigerian Africans who present in the HIV/AIDS clinic of the University Teaching Hospital, Benin City, Nigeria, using the CD₄ levels as the measure of disease progression.

Methods: A total of 288 subjects comprising 96 randomly selected symptomatic AIDS patients, 96 randomly selected asymptomatic HIV-positive patients and 96 HIV-negative controls participated in the study. Enzyme-linked immunosorbent assay (ELISA) method was used to detect HIV infection, and CD₄ levels were obtained for all subjects. The Community Screening Interview for Dementia (CSI 'D') was used to assess cognitive performance of subjects. Subjects were matched for age, sex and level of education.

Results: Each category of subjects comprised 48 males and 48 females. The mean ages were 32.94 ± 8.0 years, 31.47 ± 6.7 years and 33.56 ± 7.1 years for the controls, asymptomatic HIV-positive and symptomatic AIDS subjects respectively ($p=0.127$). The mean CD₄ levels were $684 \pm 44/\mu\text{L}$ (controls), $284 \pm 62/\mu\text{L}$ (asymptomatic HIV positive) and $142 \pm 36/\mu\text{L}$ (symptomatic AIDS). The mean CSI 'D' scores were 66.46 ± 1.90 (controls), 66.31 ± 2.14 (asymptomatic HIV positive) and 56.62 ± 4.23 (symptomatic AIDS).

Conclusion: Cognitive abilities of HIV/AIDS patients decline as the disease progresses. This is reflected in the cognitive performances of the symptomatic AIDS patients. The lower the CD₄ levels, the worse the cognitive deficits. There was, however, no significant difference in the performance of asymptomatic HIV-positive patients and the controls.

Key words: HIV/AIDS ■ cognition ■ CD₄ levels

© 2006. From the Neurology Unit, University Teaching Hospital, Benin City, Nigeria (Odiase, senior registrar; Ogunrin, senior lecturer/consultant) and Neurology Unit, University College Hospital, Ibadan, Nigeria (Oggunniyi, professor/consultant). Send correspondence and reprint requests for *J Natl Med Assoc.* 2006;98:1260-1262 to: Dr. A. Olubunmi Ogunrin, Neurology Unit, Department of Medicine, PMB 1154, University of Benin, Benin City, Nigeria; phone/fax: 23452600672; e-mail: bfunmi@uniben.edu

INTRODUCTION

The nervous system is affected not only by opportunistic infections but also directly or indirectly by HIV itself. Consequently, neurological complications have been described in patients with AIDS, and these constitute the initial manifestations in 7–20% of patients with asymptomatic HIV infection.¹⁻³ This prevalence increases to 39–70% in symptomatic HIV infection.^{1,4,5}

The most dramatic impact of HIV on the central nervous system (CNS) is seen in the form of cognitive/motor impairments.^{6,7} Initially, it was believed that these impairments were secondary to opportunistic infections, CNS tumors and other medical conditions associated with advancing HIV disease, but there are reports of cognitive dysfunctions in asymptomatic HIV-positive persons.^{1,7}

Cognitive disturbances, in turn, result in everyday functional impairment. Subclinical deficits in test performances are frequent and detectable in the asymptomatic stages of the illness.^{3,4,7} With the increasing incidence of HIV/AIDS in sub-Saharan Africa, where the disease is responsible for >2 million deaths^{8,9} and 15,000 new cases annually,¹⁰ cognitive impairments associated with HIV will significantly affect quality of life and increase mortality. There is no data on the cognitive performance of Nigerian Africans with HIV/AIDS, thus making this study unique. We assessed the cognitive performances of both the asymptomatic HIV-positive and symptomatic AIDS patients and compared their performances with HIV-negative controls using the CD₄ levels as the measure of disease progression.

PATIENTS AND METHODS

A total of 192 patients with positive enzyme-linked immunosorbent assay (ELISA) test results for HIV infection were randomly recruited from the HIV/AIDS clinic of the University Teaching Hospital, Benin City, Nigeria, using a table of random numbers, over a six-month period (January to June 2004). These patients consisted of 96 HIV-seropositive asymptomatic and 96 symptomatic AIDS subjects. Ninety-six seronegative volunteers were selected randomly from the outpatient

department, antenatal clinics and among hospital staff members. The three groups of subjects were matched for age, sex and level of education. Informed consents were obtained from the subjects and controls, and approval to undertake the study was granted by the Hospital Ethics Committee.

Demographic variables were obtained from the subjects using a questionnaire by one of the authors (Odiase). The inclusion criteria included HIV seropositivity— asymptomatic individuals >18 years of age and symptomatic AIDS patients >18 years of age. Subjects who were <18 years of age, already on antiretroviral therapy, with comorbidities [diabetes mellitus, hypertension epilepsy and associated intracranial disorders (for example, brain tumor) and other metabolic diseases], with inconclusive diagnosis, major axis-1 psychiatric illness, with presence of clinical signs of cardiac failure, alcohol intake >120 g/week or 13 units/week, history of previous head injury with loss of consciousness and on anticholinergic medications were excluded from the study.

The cognitive testing was done with a 33-point instrument—Community Screening Instrument for Dementia (CSI ‘D’).¹¹ This test instrument has been widely used among Nigerians in the Ibadan-Indianapolis Dementia project¹² and has been validated in our center.¹³ Full clinical examination, CD₄ counts, liver function tests, electrolytes, erythrocyte sedimentation rate and complete blood count were carried out for all subjects. Neuroimaging [computerized tomographic (CT) scan of the brain] was done where indicated.

Statistical analysis of data was done with the aid of EpiInfo™ 2000 software. Means of subjects’ ages, CD₄ levels and cognitive performances were compared for statistical significance using two-way analysis of variance (ANOVA) test. The level of significance was taken as $p < 0.05$.

RESULTS

The mean ages were 32.94 ± 8.0 years, 31.47 ± 6.7 years and 33.56 ± 7.1 years, respectively, for the controls, asymptomatic HIV-positive patients and those with symptomatic AIDS. There was no statistically significant difference in the means of their ages ($p > 0.05$). Mean CD₄ levels for the controls, asymptomatic HIV-positive and symptomatic AIDS patients were $684 \pm 44/\mu\text{l}$, $285 \pm 62/\mu\text{l}$ and $142 \pm 36/\mu\text{l}$, respectively ($p < 0.01$). The average CSI ‘D’ scores were 66.46 ± 1.79 (controls) 66.31 ± 2.14 (asymptomatic HIV-positive patients) and 56.62 ± 4.23 (symptomatic AIDS patients). The mean CSI ‘D’ score of the controls was not significantly different from that of the asymptomatic HIV-positive patients ($p = 0.13$), but was significantly different from the mean score of patients with symptomatic disease ($p < 0.01$). Abnormal CSI ‘D’ scores were recorded in 32.6% of asymptomatic HIV-positive individuals compared to 99% of patients with symptomatic illness ($p < 0.01$).

DISCUSSION

Several studies have reported cognitive impairments among asymptomatic HIV-positive patients and those with established AIDS¹⁴⁻¹⁶ and are usually characterized by subtle psychomotor and mental slowing initially, but affecting other cognitive domains as the disease progresses.¹⁵ These cognitive impairments have also been linked with disturbances in various activities of daily living,¹⁷ poor adherence to HAART¹⁸ and with reduced ability to work.¹⁹

Neuropathologically, HIV is a neurotropic virus, entering the CNS primarily via infected blood mononuclear cells (macrophages). HIV does not necessarily produce its disturbances by directly infecting neuronal cells but more likely by the toxic effects of HIV envelope proteins such as gp 120, and by various neurotoxic by-products [e.g., tumor necrosis factor (TNF)-alpha, quinolinic acid] that result from macrophages, and astrocytes proliferation and activation driven by HIV replication in CNS.^{20,21} These mechanisms may result in neuronal dysfunction and apoptosis which then produce cognitive, motor and behavioral disturbances. Hence, subcortical brain structures are the regions primarily affected.

Our study did not show any statistically significant difference in cognitive performances of controls and asymptomatic HIV-positive patients, though one-third of the latter group had abnormal cognitive results. This observation has been reported by some other authors.²²⁻²⁴ It is possible that the cognitive dysfunction in the asymptomatic stage of HIV infection is subtle requiring more sensitive neurocognitive test batteries for its detection or that the different cognitive tests reported in the literature measure different cognitive domains, making interpretation and standardization of results difficult. It is also possible that asymptomatic HIV patients do not have cognitive dysfunctions. This last possibility is, however, the least likely to be correct because there have been reports of mild (subsyndromic) cognitive abnormalities in neuropsychological testing of persons with asymptomatic infection.²⁵ A specific link to HIV as the sole cause of these mild abnormalities was often difficult to establish. That the introduction of HAART has resulted in significant reduction in the prevalence of cognitive impairments in HIV infection strongly suggests the involvement of the virus in the genesis of neurocognitive dysfunction.^{26,27}

Our study corroborated earlier reports^{18,19} of occurrence of cognitive impairments specifically related to HIV infection during advanced stages of the disease and in the setting of severe immunosuppression. A prevalence of 12–87% of cognitive symptoms was reported among patients with established disease.²⁸ Studies have also shown that at least one-third of persons with symptomatic HIV infection have at least mild neuropsychological impairment.²⁹⁻³¹

CONCLUSION

This study has confirmed the presence of neurocognitive impairments in patients with symptomatic HIV infection, and significant decline in cognitive performance with progression of HIV disease. Depending on the severity, neurocognitive impairments may affect the quality of life of patients with HIV/AIDS. Recognizing this fact prompts the use of early, appropriate HAART and, possibly, additional treatment to help patients compensate for deficits in functioning. Neuropsychological assessment should be mandatory for all HIV-positive patients.

ACKNOWLEDGEMENT

The Dementia Project Unit of the University College Hospital for the permission to use the CSI 'D' instrument.

REFERENCES

- Berger JR, Moskowitz L, Fischl M, et al. Neurologic disease as the presenting manifestation of acquired immunodeficiency syndrome. *South Med J*. 1987;80:683-686.
- Casabona J, Sanchez E, Craus F, et al. Trends and survival for AIDS patients presenting with indicative neurologic diseases. *Acta Neurol Scand*. 1991;84:51-55.
- Guiloff RJ, Fuller GN, Roberts A, et al. Nature, incidence and prognosis of neurological involvement in the acquired immunodeficiency syndrome in central London. *Postgrad. Med J*. 1988;64:919-925.
- Levy RM, Bredesen DE, Rosenblum ML. Neurological manifestations of the acquired immunodeficiency syndrome (AIDS): experience at UCSF and review of the literature. *J Neurosurg*. 1985;62:475-495.
- Snider WD, Simpson DM, Nielsen S, et al. Neurological complications of acquired immunodeficiency syndrome: analysis of 50 patients. *Ann Neurol*. 1985;14:403-418.
- Working Group of the American Academy of Neurology AIDS Task Force. Nomenclature and research case definition for neurological manifestation of human immunodeficiency virus type 1 (HIV-1) infection. *Neurology*. 1991;41:778-785.
- Navia BA, Price RW. The acquired immunodeficiency syndrome dementia complex as the presenting or sole manifestation of human immunodeficiency virus infection. *Arch Neurol*. 1987;44:65-67.
- De Cock KM, Weiss HA. The global epidemiology of HIV/AIDS. *Trop Med Intern Health*. 2000;5:A3.
- Centers for Disease Control and Prevention. HIV/AIDS surveillance report. 2000;12(1):29-37.
- UNAIDS. Report on the global HIV/AIDS epidemic. Joint United Nations Programme on HIV/AIDS. June 2000.
- Ogunniyi AO, Hall KS, Gureje O, et al. Cognitive decline and its determinants in a sample of elderly Yoruba. *Neuroepidemiology*. 2001;20:abstract 1.
- Hall KS, Ogunniyi AO, Hendrie HC, et al. A cross-cultural community based study of Dementias: methods and performance of the survey instrument—Indianapolis, USA and Ibadan, Nigeria. *Int J Methods in Psychiatr Res*. 6:125-142.
- Imarhiagbe F, Ogunrin O, Ogunniyi A. Cognitive performance of hyper-tensive elderly Nigerians: a case control study. *Afr J Med Med Sci*. 2005;34:269-273.
- White DA, Heaton RK, Monsch AU. Neuropsychological studies of asymptomatic human immunodeficiency virus types-1 infected individuals. The HNRC Group. HIV Neurobehavioral Research Center. *J Int Neuropsychol Soc*. 1995;1:304-315.
- Heaton RK, Grant I, Butters N, et al. The HNRC 500—neuropsychology of HIV infection at different stages. HIV Neurobehavioral Research Center. *J Int Neuropsychol Soc*. 1995;1:231-251.
- Ferrando SJ. Diagnosis and treatment of HIV-associated neurocognitive disorders. *New Dir Ment Health serv*. 2000;87:25-35.
- Smith CA, Van Gorp WG, Ryan ER, et al. Screening subtle HIV-related cognitive dysfunction: the clinical utility of the HIV dementia scale. *J Acquire Immune Defic Syndr*. 2003;33:116-118.
- Hinkin CH, Castellon SA, Durvasula RS, et al. Medication adherence among HIV-positive adults: effects of cognitive dysfunction and regimen complexity. *Neurology*. 2002;59:1944-1950.
- Van Gorp WG, Baerwald JP, Ferrando SJ, et al. The relationship between employment and neuropsychological impairment in HIV infection. *J Int Neuropsychol Soc*. 1999;5:534-539.
- Nath A. Human immunodeficiency virus (HIV) proteins in neuropathogenesis of HIV dementia. *J Infect Dis*. 2002;186(suppl 2):S193-S198.
- Epstein LG, Gendelman HE. Human immunodeficiency virus type-1 infection of the nervous system: pathogenetic mechanism. *Ann Neurol*. 1993;33:429-436.
- Selnes OA. Memory loss in persons with HIV/AIDS: assessment and strategies for coping. *AIDS Reader*. 2005;15:289-294.
- Clifford DB, Jacoby RG, Miller JP, et al. Neuropsychometric performance of asymptomatic HIV infected subjects. *AIDS*. 1990;4:767-774.
- Goethe KE, Mitchell DW. Neuropsychological and neurological functions of human immunodeficiency virus seropositive asymptomatic individuals. *Arch Neurol*. 1989;46:129-133.
- Franzblau A, Letz R, Hershman D, et al. Quantitative neurologic and neurobehavioral testing of persons infected with human immunodeficiency virus type 1. *Arch Neurol*. 1991;48:263-268.
- McArthur JC, Sacktor N, Selnes O. Human immunodeficiency virus-associated dementia. *Semin Neurol*. 1999;19:129-150.
- Dore GJ, McDonald A, Li Y, et al. Marked improvement in survival following AIDS dementia complex in the era of highly active antiretroviral therapy. *AIDS*. 2003;17:1539-1545.
- Hector RK, Velin RA, McCutcher JA, et al. Neuropsychological impairment in human immunodeficiency virus infection: implications for employment. *Psychosom Med*. 1994;56:8-17.
- Tross S, Price RW, Navia B, et al. Neuropsychological characterization of the AIDS dementia complex: a preliminary report. *AIDS*. 1988;2:81-88.
- Ferrando S, van Gorp W, McElhiney M, et al. Highly active antiretroviral treatment in HIV infection: benefits for neuropsychological function. *AIDS*. 1998;12:F65-F70.
- Hector RK, Velin RA, Atkinson JH, et al. Neuropsychological impairment in HIV positive male cohorts. In: Stein M, Baum A, eds. *Perspectives on Behavioural Medicine*. East Sussex, England: Lawrence Erlbaum;1995:79-93. ■

The Department of Anesthesiology at the University of Texas Medical Branch in Galveston, Texas is recruiting full-time, board-eligible or board-certified Anesthesiologists. Preferred requirements include a clinical fellowship and a research fellowship. Responsibilities include providing clinical anesthesia, instructing residents, and supervising CRNAs. Night and weekend call are required. Competitive benefits package and retirement plan. Please send a letter and C.V. to: Donald S. Prough, MD, Professor and Chairman, Department of Anesthesiology, UTMB, 301 University Blvd., Galveston, Texas 77555-0591, or e-mail: dsprough@utmb.edu. Tel.: 409-772-2965, Fax: 409-772-4166. UTMB is an equal opportunity, affirmative action institution, which proudly values diversity. Candidates of all backgrounds are encouraged to apply.