

## Host Ranges of *Listeria*-Specific Bacteriophages from the Turkey Processing Plant Environment in the United States<sup>∇</sup>

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Even though at least 400 *Listeria* phages have been isolated from various sources, limited information is available on phages from the food processing plant environment. Phages in the processing plant environment may play critical roles in determining the *Listeria* population that becomes established in the plant. In this study, we pursued the isolation of *Listeria*-specific phages from environmental samples from four turkey processing plants in the United States. These environmental samples were also utilized to isolate *Listeria* spp. Twelve phages were isolated and classified into three groups in terms of their host range. Of these, nine (group 1) showed a wide host range, including multiple serotypes of *Listeria monocytogenes*, as well as other *Listeria* spp. (*L. innocua*, *L. welshimeri*, *L. seeligeri*, and *L. ivanovii*). The remaining phages mostly infected *L. monocytogenes* serotype 4b as well as *L. innocua*, *L. ivanovii*, and/or *L. welshimeri*. All but one of the strains of the serotype 4b complex (4b, 4d, 4e) from the processing plant environment could be readily infected by the wide-host-range phages isolated from the environment of the processing plants. However, many strains of other serotypes (1/2a [or 3a] and 1/2b [or 3b]), which represented the majority of *L. monocytogenes* strains isolated from the environmental samples, were resistant to infection by these phages. Experiments with two phage-resistant strains showed reduced phage adsorption onto the host cells. These findings suggest that phage resistance may be an important component of the ecology of *L. monocytogenes* in the turkey processing plants.

*Listeria monocytogenes* is an important food-borne pathogen responsible for listeriosis, an illness with severe symptoms and relatively high mortality rates (20 to 30%). Individuals at risk are primarily pregnant women and their fetuses, immunocompromised patients, and the elderly. Most cases of human listeriosis involve bacteria of serotypes 1/2a, 1/2b, and 4b. The food processing plant environment is of key importance for the contamination of ready-to-eat foods by *L. monocytogenes* (15, 16). However, attributes of the bacteria that determine their distribution, prevalence, and persistence in the processing plant environment remain poorly characterized.

The ability of *L. monocytogenes* to form biofilms and to resist disinfectants commonly employed for processing plant sanitation has been postulated to be an important determinant of the organism's ecology in the processing plant environment (16). Differences in ability to form biofilms and to tolerate disinfectants used in processing plants may contribute to the higher relative prevalence of certain serotypes. In several studies, strains of serotype 1/2a were significantly more frequently isolated from environmental samples of processing plants than were strains of serotype 4b (17, 27, 30, 31). There is evidence that serotype 1/2a strains were more likely to form biofilms and to be resistant to the disinfectant benzalkonium chloride (BC) than were strains of serotype 4b (4, 24, 25).

Another potentially important determinant of *Listeria*'s ecology in the processing plant (and other) environments would be expected to be the susceptibility of the organisms to *Listeria*-specific bacteriophage (listeriophage). The first listeria-

phage report was published in 1945 (28), and to date, at least 400 phages have been isolated from various sources, including foods, sewage, silage, and lysogenic strains (21). The differential susceptibility of *Listeria* strains to selected phages has been utilized extensively as a strain-typing tool in epidemiological studies (22). Recent studies suggest the potential of phages as biological control agents for *Listeria* in foods and in the processing plants (13, 18, 19), and in 2006, the U.S. FDA approved the application of a listeriophage mixture on the surface of ready-to-eat meat and poultry products (11). GRAS (generally regarded as safe) status has been granted to listeriophage P100, isolated from a sewage effluent sample of a dairy processing plant in Germany (5). P100 is a virulent, wide-host-range phage capable of infecting *Listeria* strains of various species and serotypes, similar to the previously characterized phage A511 (5, 23).

In spite of the evidence for listeriophage in many environments, we currently have a limited understanding of the potential roles of phage in affecting the ecology and population structure of the pathogen in the processing plant environment. In this study, listeriophages were isolated from environmental samples obtained from turkey processing plants in the United States. *Listeria* isolates from these processing plants were characterized in terms of their susceptibility to selected phages with wide host range to further understand the impact of phage on the ecology of *L. monocytogenes* in the processing plant.

### MATERIALS AND METHODS

**Bacterial strains and culture conditions.** Turkey processing plant environmental strains of *L. monocytogenes* used in this study are listed in Table 1. Several of these strains of the serotype 4b complex (serotype 4b and the closely related serotypes 4d and 4e) were described earlier (9, 10). Strains of the serotype 4b complex included several harboring genetic markers characteristic for epidemic clone I (ECI) and epidemic clone II (ECII), determined as described previously

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TABLE 1. Phage susceptibility of *L. monocytogenes* isolates from turkey processing plants

Isolate no. (alternative designation)	Date (mo/yr)	Source or reference	Genetic markers <sup>a</sup>	BC <sup>b</sup>	Phage susceptibility to <sup>c</sup> :			
					20422-1	805405-1	A511	
Serotype 4b ( <i>n</i> = 17)								
1493 (L0226)	08/03	Overhead pipe, plant B (9)	ECII	-	+	+	+	
532	09/03	Floor, plant A	ND	-	+	+	+	
1106	09/03	Floor drain, plant A	ECII	-	+	+	+	
1117 <sup>d</sup> (34-6a)	09/03	Floor drain, plant A (9)	ECII	+	-	-	-	
1495 (L0315)	10/03	Floor, plant B (9)	ECII	-	+	+	+	
1497 (L0328)	10/03	Air-conditioning unit, plant B (9)	ECI	+	+	+	+	
1277 (82-2a)	12/03	Floor drain, plant A (9)	ND	-	+	+	+	
1501 (L0617)	02/04	Raw product, plant B (9)	ECI	-	+	+	+	
1498 (L0603)	02/04	Dip tank, plant B (9)	ECII	-	+	+	+	
80 (171A)	04/04	Floor drain, plant A (9)	ND	-	+	+	+	
1506 (L0704)	05/04	Sink and hose, plant B (9)	ECII	-	+	+	+	
1513 (L0719)	05/04	Floor, plant B (9)	ECII	-	+	+	+	
900	10/04	Floor drain, plant A	ND	-	+	+	+	
1157	04/05	Floor drain, plant A	ND	-	+	+	+	
2509	03/06	Cage dumper slide, plant D	ND	-	+	+	+	
2688	03/06	Conveyor belt, plant D	ND	-	+	+	+	
2616	03/06	Table, plant A	ND	-	+	+	+	
Total susceptibility						16/17 (94%)	16/17 (94%)	16/17 (94%)
Serotype 1/2a or 3a ( <i>n</i> = 24)								
175	09/03	Drain, plant A		-	+	+	+	
483	04/04	Roast drain, plant A		+	-	-	-	
513	04/04	Floor, plant A		-	-	-	-	
10	04/04	Floor drain, plant D		+	+	+	+	
90	06/04	Chiller rework drain, plant A		-	-	-	-	
93	06/04	Chiller drain, plant A		+	+	+	-	
162	06/04	Floor drain, plant A		+	+	+	-	
627	06/04	Ground-meat floor, plant A		+	-	-	-	
653	06/04	Boots, plant A		+	-	-	-	
720	08/04	Floor drain, plant A		+	-	-	-	
884	10/04	Chiller drain, plant A		+	+	+	+	
1559	10/04	Floor fecal, plant F		-	+	+	+	
1566	10/04	Raw product, plant F		-	+	+	+	
1096	12/04	Ground-meat floor, plant A		+	-	-	-	
1637	01/05	Raw product, plant B		-	+	+	+	
1747	03/05	Cart wheels, plant C		-	+	+	+	
1845	07/05	Raw product, plant B		-	+	+	+	
1907	06/05	Drains, plant F		+	+	+	+	
2622	03/06	Bucket, plant A		+	-	-	-	
2627	03/06	Rehang drain, plant A		-	-	-	-	
2506	03/06	Cages (live birds), plant D		-	+	+	+	
2507	03/06	Cages (live birds), plant D		-	-	-	+	
2508	03/06	CO <sub>2</sub> table, plant D		-	+	+	+	
2662	03/06	Carcass (before wash), plant D		-	+	+	+	
Total susceptibility						14/24 (58%)	14/24 (58%)	13/24 (54%)
Serotype 1/2b or 3b ( <i>n</i> = 16)								
1491	08/03	Cart, plant B		-	+	+	+	
174	09/03	Floor, plant A		-	-	-	-	
1104	09/03	Drain, plant A		+	-	-	-	
1499	02/04	Cart, plant B		-	-	-	-	
83	04/04	Roast drain, plant A		+	-	-	-	
523	04/04	Floor drain, plant A		-	-	-	-	
27	04/04	Chiller, plant D		-	+	+	-	
1505	05/04	Floor drain, plant B		-	-	-	-	
1507	05/04	Floor drain, plant B		-	-	-	-	
597	06/04	Floor drain, plant A		+	-	-	-	
172	06/04	Boots, plant A		+	-	-	-	
706	08/04	Table, plant A		-	-	-	-	
731	08/04	Rehang catwalk, plant A		-	+	+	-	
854	10/04	Live hang sink, plant A		+	+	+	-	
197	12/04	Floor drain, plant D		+	+	+	-	
1830	05/05	Raw product, plant B		-	+	+	+	
Total susceptibility						6/16 (37%)	6/16 (37%)	2/16 (12.5%)
Serotype 1/2c or 3c ( <i>n</i> = 3)								
130 <sup>d</sup>	07/04	Raw product, plant D		+	-	-	-	
961	10/04	Boots, plant A		+	-	-	-	
2642	03/06	Floor drain, plant A		+	-	-	-	
Total susceptibility						0/3 (0%)	0/3 (0%)	0/3 (0%)

<sup>a</sup> Genetic markers for ECI and ECII were determined as described previously (7, 9). ND; not determined.

<sup>b</sup> Resistance to benzalkonium chloride (BC) was described previously (25). +, BC resistant; -, BC sensitive.

<sup>c</sup> +, phage sensitive; -, phage resistant.

<sup>d</sup> In these strains, infections with high-titer preparations of 20422-1 and 805405-1 occasionally resulted in very opaque plaques which were difficult to detect unambiguously and could not be accurately enumerated. For this reason, strains 1117 and 130 were designated as phage resistant.

TABLE 2. Host-range spectrum of the listeriophage from the turkey processing plants

Listeria strain	Genomic markers <sup>a</sup>	Listeriophage susceptibility to indicated phage group based on host range <sup>b</sup>		
		1	2	3
<i>L. monocytogenes</i> serotype 4b				
F2381	ECI	+	+	+
4b1	ND	+	+	+
18-1a	ND	+	+	+
F2365	ECI	+	+	+
G4021	ECI	+	-	-
G3982	ECI	+	+	+
G3992	ECI	+	-	-
G4030	ECI	+	-	-
G3987	ECI	+	+	+
2003-332	ECI	+	-	-
2004-287	ECI	+	+	+
NC0065706	ECI	+	+	+
H7550	ECII	+	-	-
H7738	ECII	+	-	-
1106	ECII	+	+	-
1493 (L0226)	ECII	+	-	-
1495 (L0315)	ECII	+	-	-
1498 (L0603)	ECII	+	-	-
1506 (L0704)	ECII	+	-	-
J1735	ECII	+	-	-
J1815	ECII	+	-	-
J1925	ECII	+	-	-
Serotype 1/2a				
F6854	ECIII	+	-	-
G3979	ND	+	-	-
<i>L. monocytogenes</i> serotype 1/2b				
G3978	ND	+	-	-
G4027	ND	+	-	-
<i>L. monocytogenes</i> serotype 1/2c				
WSLC 1001		+	-	+
<i>L. innocua</i>				
L1307a		+	+	+
<i>L. welshimeri</i>				
L1225		+	+	-
<i>L. seeligeri</i>				
SK2795		+	-	-
<i>L. grayi</i>				
SK2796		-	-	-
<i>L. ivanovii</i>				
SK2797		+	+	+

<sup>a</sup> ECIII, epidemic clone III; ND, not determined. Genomic markers and epidemiological backgrounds for ECI and ECII were determined as described (7, 9). *L. monocytogenes* F6854 was included as the reference strain for ECIII (26). <sup>b</sup> +, susceptibility; -, resistance. Group 1 includes phages 20119-1, 20125-1, 20131-1, 20422-1, 30208, 30210, 30211, 805405-1, and 820320-1; group 2 includes 30102-1 and 31056-1; group 3 includes 904401.

(7, 9). The strains were a subset of those characterized for resistance to heavy metals and to BC (25) and were chosen so as to represent different strain types (genomic fingerprints determined by pulsed-field gel electrophoresis by *AscI* and *ApaI*). The BC resistance phenotypes of the organisms were determined earlier (25) and are also indicated in Table 1. The isolates were obtained from August 2003 to March 2006 following USDA-FSIS isolation procedures (14) from five (A, B, C, D, and F) turkey processing plants in the United States, which were operated by different companies and were located in different, nonadjoining states. Putative serotypic designations were determined by means of multiplex PCR, with serotypic designations being 1/2a (or 3a), 1/2b (or 3b), 1/2c (or 3c), and the serotype 4b complex (4b, 4d, 4e) (8). A complete description of *L. monocytogenes* (including serotypes and genomic fingerprints) and other *Listeria* strains isolated from these plants is being prepared for publication elsewhere. In addition, a panel of strains representing different serotypes was used to deter-

TABLE 3. Phage, *L. monocytogenes*, and *Listeria* spp. recovered from phage-positive environmental samples

Sample collection date (mo/yr)	Source	Phage(s)	<i>Listeria</i> group
06/04	Floor drain 1, plant A	20119-1, 20125-1, 20131-1	<i>L. monocytogenes</i> (serotype 1/2a or 3a and serotype 1/2b or 3b)
06/04	Floor drain 2, plant A	20422-1	<i>Listeria</i> spp.
08/04	Boots 1, Plant A	30102-1	None
08/04	Floor drain, plant A	30208, 30210, 30211	<i>L. monocytogenes</i> (serotype 1/2b or 3b)
08/04	Boots 2, plant A	31056-1	<i>Listeria</i> spp.
05/05	Conveyor belt, plant B	805405-1	None
05/05	Aprons, plant B	820320-1	None
06/05	Floor drain, plant B	904401	<i>Listeria</i> spp.

mine the host range of the listeriophage. These strains, along with representatives of other *Listeria* species, are part of our laboratory's *Listeria* strain collection and are listed in Table 2. Six of these strains were used as indicators to screen environmental samples for the presence of phage. These six strains were *L. monocytogenes* F2365 (serotype 4b; ECI), H7550 (serotype 4b; ECII), 4b1 (serotype 4b; sporadic), F6854 (serotype 1/2a), G3978 (serotype 1/2b), and WSLC 1001 (serotype 1/2c) (Table 2). *Listeria* strains were routinely grown in brain heart infusion (BHI; Difco, Sparks, MD) broth or BHI agar (BHI broth supplemented with 1.5% agar; Difco) at 37°C and preserved at -80°C in BHI broth with 20% glycerol.

**Listeriophage isolation.** For listeriophage isolations, we screened 113 environmental samples that were obtained from four turkey slaughter and processing plants in the United States (plants A, B, C, and H). Sites within the processing plants included floor drains, floor surfaces, surfaces of equipment and fixtures in the plants (sinks, fans, doors, and air-conditioning units), and boots and aprons of employees. The sites yielding phage-positive samples have been listed in Table 3. Samples from plant A (*n* = 49) were obtained, as described previously (9), using SpongeSicle swabs (Biotrace International BioProducts, WA) over six visits (at approximately 2-month intervals) from June 2004 to June 2005. Samples from plant B (*n* = 44) were obtained in two visits in May (*n* = 30) and June (*n* = 14) of 2005, and plant C and H provided samples at only one visit each—plant C in June 2005 (*n* = 11) and plant H in October 2004 (*n* = 9). The sampling sponges were immediately placed on ice and transported to the laboratory (overnight transport on wet ice was employed for samples that were collected from plants from states other than NC). Samples were typically processed for listeriophage and/or *Listeria* within 48 h of collection.

To isolate phage from environmental samples, the sampling sponges were immersed in 10 ml BHI broth; then, each sponge was repeatedly squeezed with forceps, and the tubes were vortexed for 5 min at room temperature. The suspension was filtered through a 0.22-µm filter (Millipore, Bedford, MA), and 100 µl of each filtrate was added to 3 ml BHI broth containing 10 mM CaCl<sub>2</sub>, along with 30 µl of an overnight broth culture of an indicator *L. monocytogenes* strain; each filtrate was tested in a separate tube with each of the six indicator strains. After incubation at 37°C overnight, each culture was centrifuged (13,000 rpm, 2 min) and filtered (0.22-µm filter), and this filtrate was used in a plaque assay with the same strain. If plaques were detected, phage was purified from a single plaque, in two consecutive infections, as described previously (1). Phage enumerations were performed as described previously (1), following incubations of the phage-bacterium mixtures at 37°C for 24 to 36 h.

**Determination of phage susceptibility.** To determine phage susceptibility of the strains, a host-phage mixture spot assay was used first to screen the strains. Phage suspensions (45 µl BHI broth, 10 mM CaCl<sub>2</sub>, 5 µl phage solution with a titer of 10<sup>7</sup> PFU/ml) were prepared in each well of a 96-well microtiter plate, and 1 µl of the host culture (grown overnight at 37°C in BHI broth) was added to each well. After 30 min of incubation at 37°C, 5 µl of each phage-bacterium mixture was spotted onto Luria-Bertani (LB; Difco) agar (1.5%) supplemented

TABLE 4. Recovery of *Listeria* spp. and *L. monocytogenes* from samples screened for listeriophage

Plant	No. of samples	No. (%) of samples positive for:			
		Phage	<i>L. monocytogenes</i> <sup>a</sup>	Other <i>Listeria</i> spp. <sup>b</sup>	<i>Listeria</i> complex <sup>c</sup>
A	49	5 (10.2)	13 (26.5)	10 (20.4)	3 (6.1)
B	44	3 (6.8)	1 (2.3)	13 (30)	2 (4.5)
C	11	0	0	1 (9.0)	0
H	9	0	0	3 (33.3)	0
Total	113	8 (7.1)	14 (12.4)	27 (23.9)	5 (4.4)

<sup>a</sup> Samples yielded only *L. monocytogenes*.

<sup>b</sup> Samples yielded only other *Listeria* spp. (no *L. monocytogenes*).

<sup>c</sup> Samples yielded *Listeria* complex, including both *L. monocytogenes* and other *Listeria* spp.

with CaCl<sub>2</sub> (final concentration, 10 mM); the agar plates were air dried in a laminar flow cabinet for 30 min immediately prior to use. The plates were incubated at 37°C overnight and evaluated for evidence of plaques or lack of growth within each spot. To confirm the results and enumerate plaques, the standard plaque assay was used, as described previously (1). Briefly, the host strains were grown overnight at 37°C in BHI broth, and 200 µl of the culture (10<sup>8</sup> CFU/ml) was mixed with 100 µl phage filtrate (10<sup>7</sup> PFU/ml) and CaCl<sub>2</sub> (10 mM) in 3 ml LB soft agar (LB broth with 0.75% agar). The mixture was poured onto regular agar plates (LB broth with 1.5% agar) containing CaCl<sub>2</sub> (10 mM). All experiments were repeated at least three times with phages 20422-1, 805405-1, and A511 (kindly provided by M. J. Loessner).

**Statistical analysis.** Statistical analysis was performed with SAS version 9.1.3 (Cary, NC). Chi-square tests were utilized to determine the correlation between phage resistance and BC resistance.

**Phage propagation and adsorption assays.** Phage lysates containing approximately 6 × 10<sup>7</sup> PFU/ml were prepared using *L. monocytogenes* DP-L862 (serotype 1/2a) as a host. This strain has been reported to not harbor inducible prophages and is highly effective for phage propagation (R. Calendar, personal communication). For phage propagation, an overnight culture of DP-L862 was diluted (1:100) into fresh BHI broth (10 ml), incubated at 37°C for 2 h (*A*<sub>600</sub>, 0.1 to 0.2) with shaking (120 rpm), and mixed with 200 µl phage suspension (10<sup>7</sup> PFU/ml) and CaCl<sub>2</sub> (final concentration, 10 mM). After 6 hours of further incubation at 37°C (without shaking), a phage lysate was obtained by centrifugation (8,000 rpm for 10 min at 4°C) and filtration (0.22-µm filter). Phage titers were determined following infection of DP-L862 and enumeration of plaques. For phage adsorption assays, host cells were infected as described above and at specific times after infection (0, 0.5, 1.5, 3.5, 6, and 10 h); culture supernatant (150 µl) was obtained by centrifugation and filtered as described above. Unadsorbed phage remaining in this filtrate was enumerated as described above, using *L. monocytogenes* F2365 as the host.

**Nucleotide sequence determinations of genomic fragments of phages 20422-1 and 805405-1.** Phage 20422-1 DNA was purified from phage isolated following infections of *L. monocytogenes* DP-L862 using the Lambda miniprep phage extraction kit (Qiagen, Valencia, CA). The DNA was digested with *Nhe*I (New England Biolabs, Waverly, MA) and cloned into pUC19 (Promega, Madison, WI) digested with *Xba*I (New England Biolabs). The recombinant plasmids were transformed into competent *Escherichia coli* DH5α cells, and transformants were selected using ampicillin (100 µg/ml) and X-Gal (5-bromo-4-chloro-3-indolyl-β-D-galactopyranoside). Inserts from 16 randomly chosen white colonies were amplified using M13 forward (5'-GTAAACGACGGCCAGT-3') and reverse (5'-GTCCTTTGTCGATACTG-3') primers, and insert sizes were estimated following electrophoresis in agarose gels. The three largest amplicons (ca. 1.2 kb, detected in two transformants; ca. 1.5 kb, detected in four transformants; and ca. 2 kb, detected in three transformants) were purified (gel extraction kit; Qiagen) and sequenced (Davis Sequencing, Davis, CA) with M13 forward and reverse primers. Following analysis of the sequence data that revealed strong homology with P100, additional sequence information of 20422-1 and 805405-1 was obtained from eight amplicons from each phage, using primer pairs corresponding to different locations on the annotated P100 genome (GenBank accession number DQ004855), specifically gp10, gp17, gp68, gp89, gp108, gp165-tRNA-pro, gp173-tRNA-Cys, and gp170 (primer sequences available on request). Nucleotide and deduced polypeptide sequences were analyzed using BLAST (<http://www.ncbi.nlm.nih.gov/blast/Blast.cgi>).

**Restriction analysis of 20422-1 and 805405-1.** Phage DNA isolated as described above was digested with *Sac*I (New England Biolabs) under conditions

suggested by the vendor and electrophoresed in 1% agarose (90 mM Tris-borate, 2 mM EDTA [pH 8.0]). *Sac*I-digested DNA of P100 (kindly provided by Steven Hagens) was used for comparisons.

**Sequence of *gtcA* in phage-sensitive and -resistant strains.** The cell wall teichoic acid glycosylation protein gene (*gtcA*) was amplified from genomic DNA of several *L. monocytogenes* isolates (serotypes 1/2a and 1/2b) that were sensitive or resistant to infection by 20422-1, 805405-1, and A511. DNA was extracted as described previously (6) using the DNeasy tissue kit (Qiagen). The primers were VCpNP95\_1/2F (5'-ATAAGCGGCCGCATTAATTTGGCTCTTGAAGGAA TTAC-3') (designed for this study) and VCpNP95R (5'-ATAACCCGGGGTA CTCAGGATGAATTCAG-3') (6). The PCR was programmed to run at 95°C for 3 min, followed by 34 cycles of 94°C for 30 s, 52°C for 1 min, and 72°C for 90 s, with a final 7-min extension at 72°C. PCR amplicons (871 bp) were purified as described above and sequenced (Davis Sequencing) using primer VCpNP95\_1/2F. Nucleotide sequence alignments with *gtcA* of *L. monocytogenes* EGD-e (serotype 1/2a; GenBank accession number AL591824) were done with BioEdit (<http://www.mbio.ncsu.edu/BioEdit/BioEdit.html>).

**Nucleotide sequence accession numbers.** The nucleotide sequences of the sequenced genomic fragments from phages 20422-1 and 805405-1 have been deposited in GenBank under accession numbers FJ147488 to FJ147506.

## RESULTS

**Listeriophage isolation.** Twelve phage isolates were recovered from 8 of the 113 environmental samples that were analyzed (7.1% phage recovery frequency). Of the eight phage-positive samples, five were from plant A (representing 10.2% of the 49 samples tested from that plant) and three from plant B (6.8% of the 44 samples that were screened). The plant A samples that yielded phage were from two of the six visits, in June and August 2004. Two of the phage-positive samples from plant A, both from floor drains, also yielded *L. monocytogenes* with serotypes 1/2a (or 3a) and/or 1/2b (or 3b) (Table 3). The other three plant A samples were either negative for *Listeria* or yielded *Listeria* spp. other than *L. monocytogenes*. None of the three phage-positive samples from plant B yielded *L. monocytogenes*, and only one was positive for other *Listeria* spp. (Table 3). *L. monocytogenes* and other *Listeria* spp. were isolated from 12.4% and 23.9% of the 113 samples, respectively, with 4.4% of the samples yielding both *L. monocytogenes* and isolates of other *Listeria* spp. (Table 4). Neither phage nor *L. monocytogenes* were isolated from any of the samples from plants C and H; however, some of these samples yielded other *Listeria* spp. (Table 4).

On the basis of their host range against a panel of *Listeria* strains representing different species and serotypes, the 12 phages were classified into three groups (Table 2). The majority of the phages (*n* = 9) belonged to group 1 and had broad

TABLE 5. Sequence similarities in selected genomic fragments of 20422-1, 805405-1, A511, and P100<sup>a</sup>

Genomic fragment	Size (kb)	% Identity with:				% Identity between 20422-1 and 805405-1 <sup>d</sup>
		P100		A511		
		DNA	A.A	DNA	A.A	
gp05-06	1.6	97	100	97	100	ND
gp28-29	2.2 <sup>b</sup>	97/98	99/99	99/98	99/97	ND
gp29-30	1.2	100	100	99	100	ND
gp10	0.9	98	99	98	99	100
Cps	1.0	97	100	98	100	100
gp68	0.6	97	99	97	99	100
gp89	0.7	96	97	96	96	100
gp108	0.32	93	94	90	90	100
gp165-tRNA-pro	1.0	97	95	97 <sup>c</sup>	97	100
tRNA-cys-gp173	1.0	97	96	97	96	100
gp170	0.27	94	93	94	93	100
Average		96.7	97.5	96.6	97.1	100

<sup>a</sup> gp05-06, gp28-29, and gp29-30 fragments were obtained from the 20422-1 plasmid library; the other eight were obtained by PCR. A.A, amino acid.

<sup>b</sup> Sequence was determined for 1,966 nucleotides (nt) of this fragment (988 and 978 nt at each of the ends).

<sup>c</sup> In the genome of A511, the corresponding gene (A511\_gp176) lacks an internal stretch of 38 nt (present in P100, 20422-1, and 805405-1).

<sup>d</sup> ND, not determined. The sequences of the gp05-06, gp28-29, and gp29-30 fragments were determined only from 20422-1.

host range, being able to infect *L. monocytogenes* strains of multiple serotypes, as well as strains representing other *Listeria* spp., except for *Listeria grayi*. Phages in this group were isolated from samples derived from both plant A and plant B (Tables 2 and 3). The phages of groups 2 and 3 infected only a subset of *L. monocytogenes*, primarily of the serotype 4b complex, as well as *L. innocua*, *L. ivanovii*, and/or *L. welshimeri* (Table 2).

Two wide-host-range phages, 20422-1 and 805405-1, that had identical host ranges but were obtained at different times and from two different plants (plants A and B, respectively) (Table 3) were chosen for further characterization. Nucleotide sequences of 11 different fragments of the phage 20422-1 genome revealed high homology with fragments of the genome of the broad-host-range virulent listeriophages P100 and A511 (93 to 100% and 90 to 99%, respectively) (Table 5). In addition, we determined the nucleotide sequences of eight of these fragments in the genome of phage 805405-1. The nucleotide sequences of all eight fragments were identical between phages 20422-1 and 805405-1 (Table 5). The two phages also yielded identical DNA fingerprints following digestion with *Sac*I, whereas no similarity was detected with the *Sac*I profile of P100 (Fig. 1) or A511 (as predicted by the distribution of *Sac*I sites in the A511 genome) (data not shown).

***L. monocytogenes* serotypes 1/2a (or 3a) and 1/2b (or 3b) from environmental samples were often resistant to the broad-host-range phages.** To determine whether the broad-host-range phages isolated here could also infect isolates of *L. monocytogenes* from samples similar to those that yielded phage, we investigated the susceptibilities of selected environmental strains. We determined the ability of phages 20422-1 and 805405-1 to infect a panel of *L. monocytogenes* strains from turkey processing plants, including plants A and B, representing different serotypes and genomic fingerprints. Noticeable differences in susceptibility profiles were noted depending on the serotype of the isolates. With one exception (strain 1117), strains of the serotype 4b complex (including those harboring ECI and ECII genetic markers) were susceptible to phages

20422-1 and 805405-1 (Table 1). In contrast, 10 (42%) and 10 (63%) of the strains of serotype 1/2a (or 3a) and serotype 1/2b (or 3b), respectively, were resistant to both phages (20422-1 and 805405-1), failing to form plaques upon infection. All three available environmental strains of serotype 1/2c (or 3c) were resistant to the phages. All strains were found to have identical susceptibility profiles with phages 20422-1 and 805405-1 (Table 1). The prevalence of resistance to the phages in strains of different serotypes is also summarized in Table 6. Efficiency of plaque formation did not vary markedly among the susceptible strains, with the exception of those harboring the ECII genetic markers; these strains had ca. 2- to 10<sup>2</sup>-fold lower efficiency of plaque formation than other strains (data not shown).

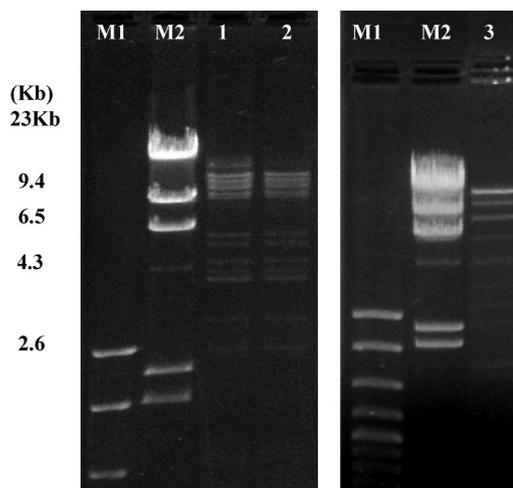


FIG. 1. Genomic profiles of DNA from 20422-1, 805405-1, and P100 following digestion with *Sac*I. Lanes M1 and M2, size markers exACTGene (Fisher Scientific, Fairlawn, NJ) and DNA molecular-weight marker II (Roche, Indianapolis, IN), respectively; lanes 1 to 3, *Sac*I-digested DNA from 20422-1, 805405-1, and P100, respectively.

TABLE 6. Prevalence of phage-resistant strains among different serotypes of *L. monocytogenes* from the turkey processing plant environment

Serotype (no. of strains)	No. (%) of strains resistant to phage:		
	20422-1	805405-1	A511
4b complex (17)	1 (6)	1 (6)	1 (6)
1/2a or 3a (24)	10 (42)	10 (42)	11 (46)
1/2b or 3b (16)	10 (63)	10 (63)	14 (87.5)
1/2c or 3c (3)	3 (100)	3 (100)	3 (100)

To determine whether strains resistant to these phages were also resistant to other broad-host-range listeriophage, the bacteria were also infected with A511. Of the 10 serotype 1/2a (or 3a) strains that were resistant to 20422-1 and 805405-1, 9 were also resistant to A511. Two strains were susceptible to 20422-1 and 805405-1 but resistant to A511 (Table 1). In the case of serotype 1/2b (or 3b) strains, all 10 that were resistant to 20422-1 and 805405-1 were also resistant to A511. Four strains were resistant to A511 but susceptible to 20422-1 and 805405-1. Thus, only 2 of the 16 tested strains of serotype 1/2b (or 3b) were susceptible to all three broad-host-range phages that were tested. Phage susceptibilities of serotype 1/2c (or 3c) isolates and of serotype 4b isolates yielded consistent results with 20422-1, 805405-1, and A511 (Table 1). The serotype-associated susceptibility data have been summarized in Table 6. Serotype 4b isolates with ECII genetic markers had a lower efficiency of plaque formation with A511 (data not shown), as was also observed (and described above) with 20422-1 and 805405-1.

**Phages failed to adsorb on resistant isolates of serotypes 1/2a (or 3a) and 1/2b (or 3b).** To determine whether the observed resistance of certain serotype 1/2a (or 3a) or 1/2b (or 3b) isolates to 20422-1 and 805405-1 was associated with failure of the phage to adsorb onto the host cells, phage adsorption assays were employed with the phage-resistant strains 513 (serotype 1/2a or 3a, plant A) and 597 (serotype 1/2b or 3b, plant A). The phage-susceptible strains 1845 (1/2a or 3a, plant B) and 27 (1/2b or 3b, plant D) were used as controls, along with *L. monocytogenes* F2365 (serotype 4b) (Fig. 2). Enumeration of phage particles remaining in the supernatants 30 min after infection indicated that the phage failed to adsorb onto the resistant strains 513 and 597; in contrast, assays with the susceptible strains indicated that phage adsorption took place, as expected (Fig. 2). When the supernatants were monitored at subsequent time points (up to 10 h), it was noted that phage was amplified when phage-susceptible isolates were used as hosts, as expected; in contrast, infections of *L. monocytogenes* 513 and 597 did not result in detectable amplification of the phage, in agreement with the observed phage resistance of these organisms (Fig. 2).

***gtcA* sequences did not differ between phage-susceptible and -resistant isolates of serotypes 1/2a (or 3a) and 1/2b (or 3b).** In *L. monocytogenes* EGD-e (serotype 1/2a), inactivation of *gtcA* resulted in resistance to phage LMUP121, which could infect strains of serotypes 1/2a, 1/2b, and 4b (3, 12). Phage 20422-1 was also unable to infect a *gtcA* mutant of *L. monocytogenes* EGD-e (data not shown). To determine whether phage resistance among our isolates was associated with mutations in *gtcA*, the gene was amplified and sequenced from three phage-

resistant *L. monocytogenes* environmental strains, including two (720 and 513) of serotype 1/2a (or 3a) and one (1499) of serotype 1/2b (or 3b). The *gtcA* sequences were also determined for three phage-susceptible strains: *L. monocytogenes* strains 175 and 10 of serotype 1/2a (or 3a) and strain 1491 of serotype 1/2b (or 3b). Sequence alignments revealed that *gtcA* of all four serotype 1/2a (or 3a) strains had 100% identity with *gtcA* of *L. monocytogenes* EGD-e (serotype 1/2a), regardless of whether the strains were resistant or susceptible to the phage (data not shown). The *gtcA* sequence of the phage-resistant serotype 1/2b (or 3b) strain 1499 had 98% identity at the nucleotide sequence level with *gtcA* of *L. monocytogenes* EGD-e, but the deduced polypeptides had 100% sequence identity. Only one amino acid substitution was detected among the six strains (in the phage-susceptible strain 1491).

**BC resistance among phage-resistant strains.** An earlier report indicated that *L. monocytogenes* strains resistant to quaternary ammonium compounds were more likely to be phage resistant than other strains (24). In our study, we found that the majority (8/12 [67%]) of the serotype 1/2a (or 3a) isolates that were resistant to two or more of the phages were also BC resistant. The inverse was found for serotype 1/2a (or 3a) strains that were susceptible to all three phages; 9/12 (75%) of these strains were susceptible to BC (Table 1). The difference in prevalence of BC resistance among phage-resistant versus phage-susceptible strains of serotype 1/2a (or 3a) was statistically significant ( $P < 0.05$ ). In the case of serotype 1/2b (or 3b)

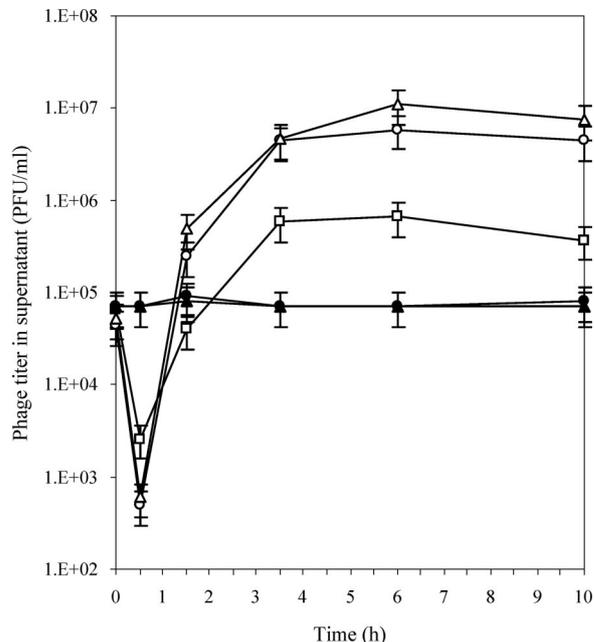


FIG. 2. Assay for adsorption of phage 20422-1 on phage-susceptible and phage-resistant *L. monocytogenes* strains. Open and filled symbols indicate phage-susceptible and -resistant strains, respectively. Phage-susceptible strains 1845 (serotype 1/2a; open triangles) and 27 (serotype 1/2b; open squares) and phage-resistant strains 513 (serotype 1/2a; closed circles) and 597 (serotype 1/2b; closed triangles) were infected with phage 20422-1 propagated in *L. monocytogenes* DP-L862. *L. monocytogenes* F2365 (serotype 4b; open circles) was used as the reference strain. Phage titer (PFU/ml) in the supernatant was determined at the indicated times as described in Materials and Methods.

strains, 6/14 (43%) of those that were phage resistant were BC resistant as well. However, associations between phage resistance and BC resistance were hard to ascertain among strains of this serotype, since only two were susceptible to all three phages (both were also BC susceptible) (Table 1). Prevalence of phage resistance among strains of the serotype 4b complex was too low to determine any correlations with BC resistance (Table 1).

## DISCUSSION

Even though numerous listeriophage have been isolated from sources such as silage, sewage, and *Listeria* lysogens (2, 12, 20, 22), reports on phages from the environment of food processing plants have been lacking. In this study, the majority of the phage isolates from the environment of turkey processing plants were *Listeria* genus-specific, being able to infect *L. monocytogenes* strains of different serotypes and strains of other *Listeria* spp., except for *L. grayi*. The wide host range of the phages was similar to those of A511 and P100, both of which were previously isolated in Europe (5, 23). Interestingly, 20422-1 and 805405-1 were isolated from processing plants located in two different (nonadjoining) states in the United States, but they featured exactly the same host-range spectrum. Furthermore, analysis of selected fragments of the genome of 20422-1 and 805405-1 revealed that the sequences were identical between these two phages, as were their *SacI* restriction profiles. The nucleotide sequences from 20422-1 and 805405-1 were highly conserved (overall 90 to 100% identity) with homologous DNA sequences of P100 and A511. Such findings suggest the widespread presence of a family of genetically closely related wide-host-range phages capable of infecting strains of different *L. monocytogenes* serotypes and different *Listeria* species. Isolation and characterization of additional *Listeria* genus-specific phages from other locations and habitats will be required to adequately assess the extent of genomic diversity among such phages.

Another important finding of this study was that, even though 20422-1 and 805405-1 infected most *L. monocytogenes* strains in the original screening panel, a significant fraction of strains from the processing plant environment were resistant to these phages. This was especially the case for strains of serotype 1/2a (or 3a), 42% of which were resistant to both 20422-1 and 805405-1, and for strains of serotype 1/2b (or 3b), the majority of which (63%) were resistant. Furthermore, the majority of the strains resistant to 20422-1 and 805405-1 were also resistant to A511. However, six strains were susceptible to 20422-1 and 805405-1 but resistant to A511, and the reverse was observed for one strain. These differences in the host-range spectrum suggest that 20422-1 and 805405-1 are distinct from A511 in terms of their potential roles in the ecology of *Listeria*, in spite of the similarity suggested by the available nucleotide sequence data.

The observed serotype-associated differences in prevalence of resistance to phages 20422-1 and 805405-1 (as well as A511) among the environmental strains investigated here suggest an important ecological role for wide-host-range phage in the processing plant environment. We have found that strains of serotype 1/2a (or 3a) were the majority of *L. monocytogenes* isolates (48%) in the turkey processing plants that we had surveyed, followed by

strains of serotype 1/2b (or 3b) (39%) (R. M. Siletzky and S. Kathariou, unpublished findings). Several other investigations of *L. monocytogenes* from the environment of food processing plants have also shown that strains of serotypes 1/2a and 1/2b were markedly more predominant than strains of serotype 4b (17, 27, 30, 31). One may speculate that strains of serotypes with a higher prevalence of resistance to wide-host-range phages (such as the wide-host-range phages investigated here) would be at an advantage in the processing plant environment and would tend to predominate, thus contributing to the observed differential prevalence of different serotypes.

In our study, strains of the serotype 4b complex were typically susceptible to all three phages, with only one strain, 1117, found to be resistant. A study of *L. monocytogenes* samples from ready-to-eat foods indicated that five of the seven tested strains of the serotype 4b complex were resistant to a phage cocktail, whereas 14 of 34 (41.2%) serotype 1/2a (or 3a) strains and 11 of 51 (20%) serotype 1/2b (or 3b) strains were resistant (29). Several reasons may account for the differences between these findings and those from our study, including the different origins of the organisms (processing plant environment versus ready-to-eat foods), different strains, and different phages.

*Listeria* ecology in the processing plant environment is complex and influenced by several attributes. Analysis of 192 isolates from the processing plant environment, including those analyzed here for phage susceptibility, revealed that resistance to the heavy metal cadmium and to the quaternary ammonium disinfectant BC was noticeably higher among strains of serotypes 1/2a (or 3a) and 1/2b (or 3b) than among those of the serotype 4b complex (25). Disinfectant resistance, phage resistance, and other adaptations (e.g., biofilm formation) may all contribute to the observed prevalence of certain serotypes of *L. monocytogenes* in the processing plant environment and to the extensively documented persistence of certain strains (15, 16).

Several diverse mechanisms have been identified as being responsible for phage resistance in bacteria, including failure of the phage to adsorb, inhibition of phage DNA injection, restriction/modification systems, lysogeny with a similar phage, and abortive infections (32). Our findings with two phage-resistant strains (513 and 597) indicated that the phage failed to adsorb, suggesting that these strains lacked phage receptors. Sequencing of *gtcA*, previously implicated in phage adsorption in *L. monocytogenes* (3, 6), failed to identify differences between phage-sensitive and -resistant strains. The receptor for phage A511 has been reported to be peptidoglycan (33), whereas that for 20422-1 may be different and remains unknown (6). Further studies are needed to elucidate possible cell wall alterations in the phage-resistant strains investigated here and also to determine whether alternative mechanisms also contribute to resistance in such strains.

In an earlier study, an association was reported between resistance to quaternary ammonium compounds, such as BC, among serotype 1/2a and 1/2c isolates of environmental or food origin and phage nontypability of the isolates (suggesting resistance to the phages used in the phage typing panel) (24). Examination of BC resistance among our strains suggested that such resistance was indeed more prevalent among phage-resistant strains of serotype 1/2a (or 3a) than among those that were susceptible to all three phages that were tested. Preliminary data from our laboratory indicate that BC resistance in

these strains is associated with a plasmid-borne efflux system (10); however, these phage-resistant strains may harbor additional attributes contributing to BC resistance, e.g., cell surface changes such as those proposed earlier (24).

In conclusion, we have provided evidence for the presence of *Listeria* genus-specific phages in the processing plant ecological system and documented the frequent occurrence of phage resistance among strains of serotypes which are prevalent in the processing plant environment, specifically serotypes 1/2a (or 3a) and 1/2b (or 3b). Such data indicate that strains of these serotypes may pose special challenges to the use of phage as biocontrol in the processing plant environment. Further studies are needed to characterize the ecological role of these phages in the processing plant environment and to elucidate mechanisms underlying the apparent scarcity of resistance among strains of the serotype 4b complex, which have been responsible for the majority of food-borne outbreaks of listeriosis.

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