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Using Neurofibromatosis-1 to Better Understand and Treat Pediatric Low-Grade Glioma

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Abstract

Relatively little is known about the seminal genetic events that trigger the development of low-grade gliomas in children. Genetically engineered mouse models of the neurofibromatosis-1–inherited tumor predisposition syndrome have identified key intracellular growth control pathways, defined the contribution of the tumor microenvironment to glioma growth, and helped researchers understand the genetic basis for glioma susceptibility. In addition, genetically engineered mouse low-grade glioma models have recently been used in preclinical therapeutic studies to evaluate the efficacy of particular biologically based therapies and to define outcome measures.

Keywords

Neurofibromin; astrocytoma; tumor microenvironment; preclinical therapeutics; brain tumor; genetically engineered mice

Introduction

Low-grade gliomas represent the most common brain tumor in the pediatric population, accounting for 30% of all central nervous system primary tumors in individuals younger than 20 years of age.¹ Both grade I (pilocytic astrocytomas) and grade II (diffuse fibrillary astrocytomas) tumors are included within the World Health Organization group of low-grade glial neoplasms.2 Pilocytic astrocytomas are the more common histologic subtype (15%-20% of all primary central nervous system neoplasms) and are generally circumscribed, slowgrowing tumors composed of neoplastic glial fibrillary acidic protein (GFAP)–immunoreactive cells. These tumors may arise anywhere within the neuroaxis but are most commonly seen in the optic pathway, cerebellum, and brainstem. Microscopically, these tumors are characterized by a biphasic cellular appearance, in which areas composed of compacted bipolar cells with Rosenthal fibers alternate with areas composed of loose textured multipolar cells with microcysts and eosinophilic granular bodies. Consistent with their slow growth rates, pilocytic astrocytomas have rare mitotic activity; however, despite their benign nature, significant microvascular proliferation may be seen.

In contrast to pilocytic astrocytomas, World Health Organization grade II astrocytomas are usually diffusely infiltrative neoplasms. They may develop in any region of the central nervous system but are most commonly located in the cerebral lobes and brainstem. Microscopically,

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diffuse fibrillary astrocytomas are composed of well-differentiated neoplastic glial fibrillary acidic protein–immunoreactive cells, with moderately increased cellularity and occasional nuclear atypia. Necrosis and microvascular proliferation are not usually found in these tumors. It is worth noting that grade II astrocytomas in adults typically progress to higher grade malignancies over time, whereas in children, grade II astrocytomas exhibit a more generally benign clinical course. In this regard, the 10-year overall survival for children and young adults with pilocytic astrocytoma or fibrillary astrocytoma is greater than 90% and 80%, respectively. 1

Treatment for pediatric low-grade glioma has more recently focused on the use of chemotherapy, owing to the neurocognitive and endocrine sequelae associated with radiation therapy.^{3,4} However, most of the agents currently in clinical use are antineoplastic drugs that have been used for the treatment of adult brain tumors and do not specifically target the unique biochemical or cellular abnormalities found in pediatric low-grade glioma. Unfortunately, efforts to develop such drugs are limited by the relative lack of information regarding the key genetic changes important for pediatric low-grade glioma formation and growth.

One approach to identifying the seminal molecular changes that drive low-grade glioma development and continued growth involves the study of inherited cancer syndromes in which affected individuals are prone to low-grade glioma formation. The most common of these pediatric syndromes is neurofibromatosis type 1, also known as von Recklinghausen's disease⁵: 15% to 20% of children with neurofibromatosis type 1 develop low-grade gliomas affecting the optic nerves, optic chiasm, and hypothalamus (optic pathway gliomas). 6.7 Most of these gliomas are classified as World Health Organization grade I tumors with intense glial fibrillary acidic protein immunostaining and low proliferative indices.^{8,9} Interestingly, in the context of neurofibromatosis type 1, optic pathway gliomas typically develop in young children (mean age = 4 years), exhibit indolent growth patterns, and have even been reported to regress spontaneously.¹⁰

Examination of pilocytic astrocytomas from children with neurofibromatosis type 1 has confirmed biallelic *NF1* gene inactivation and loss of *NF1* protein (neurofibromin) expression. 8 In contrast, sporadic pilocytic astrocytomas do not harbor inactivating *NF1* mutations and likely result from different genetic changes.^{11,12} Despite the fact that only 15% of all pilocytic astrocytomas result from loss of *NF1* function, it is highly likely that pilocytic astrocytoma formation in the general population reflects deregulation of growth control pathways similar to those modulated by *NF1*. Support for this notion derives from studies demonstrating that neurofibromin is a negative regulator of the *RAS* proto-oncogene in vitro and in vivo, such that *NF1* inactivation leads to increased activation of RAS and RAS downstream effectors.¹³⁻¹⁵ Similar to neurofibromatosis type 1–associated pilocytic astrocytomas, sporadic pilocytic astrocytomas also exhibit increased activation of RAS downstream effectors, 16 suggesting that molecular changes that mimic *NF1* loss may contribute to gliomagenesis in sporadic pilocytic astrocytomas. One of these changes is mutational activation of *RAS*, resulting in a RAS molecule that is constitutively activated, similar to the effects of neurofibromin loss. Recently, there have been two reports of *KRAS* oncogenic mutations in sporadic pilocytic astrocytoma. 16,17

In many respects, neurofibromatosis type 1 represents a tractable model system to study the molecular and cellular pathogenesis of pediatric low-grade glioma. Importantly, neurofibromatosis type 1 is an excellent genetic model with which to study low-grade glioma formation and growth, since the initiating molecular event (loss of *NF1* function) is well established. Using neurofibromatosis type 1 as a portal into sporadic gliomagenesis, we will discuss how cell-based and genetically engineered mouse studies have defined some of the key intracellular signaling pathways responsible for low-grade glioma growth, elucidated the role

of the tumor microenvironment in gliomagenesis, and uncovered the contribution of "modifier genes" to glioma formation (Figure 1). Lastly, we will discuss how genetically engineered *Nf1* mouse optic glioma models can be used for both therapeutic discovery and candidate drug evaluation prior to human clinical trials.

Intracellular Signaling Pathways

With the identification of the *NF1* gene in 1990,^{18,19} it became possible to define the mechanism underlying *NF1* tumor suppressor function. Analysis of the predicted *NF1* protein sequence revealed that neurofibromin contains a small domain remarkably similar in structure to the functional domain of a family of proteins that negatively regulate RAS proteins.²⁰ These negative RAS regulators, termed guanosine triphosphatase (GTPase)–activating proteins, inactivate RAS by accelerating the conversion of active, GTP-bound RAS to inactive, GDPbound RAS.²¹ Active RAS in many cell types drives cell proliferation by initiating a cascade of protein phosphorylation events that culminate in increased cell proliferation and/or decreased cell death. Moreover, activating RAS mutations are oncogenic and lead to tumor formation in mice. In this regard, loss of neurofibromin expression in neurofibromatosis type 1–associated tumors leads to increased RAS activity, which likely initiates the process of tumor formation.

The observation that RAS hyperactivation results from *NF1* inactivation in tumors prompted investigators to inhibit RAS activity in cells and tumors lacking neurofibromin expression. These in vitro experiments clearly demonstrated that RAS inhibition, either pharmacologically or genetically, reversed the growth advantage conferred by *NF1* loss. Based on these exciting preliminary preclinical data, a series of human clinical studies using RAS inhibitors to treat neurofibromatosis type 1–associated tumors was initiated. RAS activation requires a posttranslational lipid modification that facilitates the insertion of RAS into the plasma membrane and allows RAS to efficiently activate its downstream effects and promote cell growth.22 This lipid modification (farnesylation) is inhibited by farnesyltransferase inhibitors that had been developed to treat other cancers.²³ Unfortunately, treatment of neurofibromatosis type 1 patients harboring peripheral nerve tumors (plexiform neurofibromas) with farnesyltransferase inhibitors has not resulted in reproducible tumor shrinkage to date. 24

These seemingly disappointing clinical trial results may have been foreshadowed by elegant genetically engineered mouse studies by Mahgoub and colleagues.25 Using their *Nf1* leukemia mouse model, they found that RAS inhibition by farnesyltransferase inhibitors attenuated the growth of *Nf1*-deficient mouse leukemic cells in vitro, but had no effect on leukemia development in vivo. One possible explanation for these results is preferential regulation of specific RAS isoforms by neurofibromin. Using *Nf1*-deficient mouse astrocytes, we first demonstrated that neurofibromin loss results only in K-RAS activation, despite the fact that all three RAS isoforms (H-RAS, K-RAS, and N-RAS) are expressed in astrocytes.26 Moreover, optic glioma formation in *Nf1*+/− mice is induced by K-RAS (but not H-RAS) activation in astroglial cells in vivo. Recent studies have now shown that neurofibromin selectively regulates specific RAS isoforms in other cell types, 27.28 suggesting that future therapeutic approaches will need to target the RAS isoform that is specifically hyperactivated in any given cell as a result of neurofibromin loss.

To identify other signaling intermediates activated by neurofibromin loss in primary mouse astrocytes, we used a proteomics-based approach. We found that a large number of proteins involved in ribosomal biogenesis and protein translation control were increased in *Nf1*−/− astrocytes relative to wild-type controls.29 Consistent with this observation, protein translation was increased fivefold to eightfold in *Nf1*-deficient astrocytes. One of the major signaling pathways responsible for regulating protein translation is the mammalian target of rapamycin

(mTOR) pathway (Figure 2). mTOR is a large serine/threonine protein kinase molecule that integrates a diverse number of extracellular cues (eg, hypoxia, amino acid availability). $30,31$

Recent studies have shown that one way mTOR regulates ribosomal biogenesis and protein translation is by modulating the synthesis of a nucleolar shuttling protein called nucleophosmin.32,33 In part, nucleophosmin functions to chaperone newly synthesized ribosomal subunits from the nucleolus to the cytoplasm, where protein translation occurs.34 In this fashion, mTOR regulation of nucleophosmin controls the rate of protein synthesis at the level of the ribosome. We have shown that neurofibromin regulates nucleophosmin levels in astrocytes in a mTOR-dependent, rapamycin-inhibitable fashion in vitro and in vivo.³³ Moreover, inhibition of nucleophosmin nuclear shuttling reverses the abnormal cellular phenotypes (proliferation, motility, and actin cytoskeleton organization) found in *Nf1*-deficient astrocytes. Studies are ongoing to determine precisely how nucleophosmin regulates protein translation at the ribosome and to identify specific translationally regulated transcripts that underlie the various *Nf1*-deficient cellular phenotypes.

Similar to deregulated RAS activity, mTOR activation is a common feature of sporadic lowgrade glioma. In this regard, several key glioma-associated genetic changes result in increased mTOR activity (Figure 2). The inherited tumor predisposition syndrome, tuberous sclerosis complex, results from inactivating mutations in the tuberous sclerosis complex genes, *TSC1* and *TSC2*. 35,36 The gene products of *TSC1* (hamartin) and *TSC2* (tuberin) form a single signaling complex that functions to negatively regulate the small RAS-like protein, Ras homolog enriched in brain (Rheb), which in turn binds to and activates mTOR.³⁷⁻³⁹ Loss of TSC function results in increased Rheb activity and high levels of mTOR pathway activation. Moreover, inhibition of mTOR function using rapamycin results in decreased growth of human tuberous sclerosis complex–associated brain tumors.⁴⁰

Mutational inactivation of the *PTEN* gene is a common genetic signature of high-grade glioma. ⁴¹ PTEN is a negative regulator of the phosphoinositol-3-kinase protein,⁴² such that PTEN loss in human and mouse tumors leads to high levels of Akt activity. Akt can either directly or indirectly activate mTOR by phosphorylating tuberin to result in loss of TSC complex function, high levels of Rheb activity, and mTOR activation.⁴³⁻⁴⁵ Lastly, mutational activation of EGFR is also observed in many high-grade gliomas.46 Increased EGFR signaling leads to increased RAS and phosphoinositol-3-kinase activity, which culminates in increased mTOR activation. Taken together, while *NF1* loss only accounts for a small fraction of all low-grade glioma-associated genetic changes, the identification of mTOR as a target for neurofibromin growth regulation has expanded our understanding of the key growth control pathways operative in glioma, and suggests that additional low-grade glioma genetic changes will be identified by virtue of their ability to result in increased mTOR pathway activation.

Stromal Influences

As does the developing brain, neoplastic glial cells respond to both positive and negative signals that emanate from cells in the local tumor microenvironment. In addition to non-neoplastic glial cells and neurons, at least two other important cell types (endothelial cells and microglia) that can influence tumorigenesis and growth derive from the normal brain (Figure 3). Endothelial cells lining the tumor vasculature not only produce a vast number of growthpromoting molecules but may also create a specialized cellular niche for progenitor cells important for tumor viability.47 In addition, immune system macrophage-like cells (microglia) are also found in human brain tumors.48,49 These cells likewise elaborate a large number of growth factors and cytokines that could potentially dictate when and where tumors form.^{50,} 51 Collectively, these findings support the notion that low-grade gliomas represent complex cellular microcosms composed of neoplastic glial cells nested within an environment rich in

growth factors that recapitulate some of the cues that regulate normal brain development during embryogenesis.

Insights into the role of the tumor microenvironment have derived from experiments in which mice were engineered to lack *Nf1* expression in astrocytes or Schwann cells. Because optic gliomas and neurofibromas in humans are associated with *NF1* loss in glial and Schwann cells, respectively, mice lacking neurofibromin in these cell types might be predicted to develop gliomas and neurofibromas. While these mice had increased numbers of glial and Schwann cells, they did not develop gliomas or neurofibromas.^{52,53} Because individuals with neurofibromatosis type 1 start life with one mutated (nonfunctional) *NF1* gene in all cells of their body and lose the one remaining functional allele only in select glial or Schwann cells to result in glioma or neurofibroma formation, *Nf1+/−* mice (harboring one mutated and one wild-type *Nf1* gene) that lack neurofibromin expression in glial or Schwann cells were developed. *Nf1+/−* mice with Schwann cell neurofibromin loss developed neurofibromas,52 while *Nf1+/−* mice with neurofibromin loss in glial cells formed optic gliomas.⁵⁴ These results strongly suggested that *Nf1+/−* cells in the tumor microenvironment are crucial for tumor formation in both the central and peripheral nervous system.

Based on the observation that microglia are found in human low-grade gliomas as well as in a genetically engineered *Nf1* mouse optic glioma model,55 studies were designed to address the possibility that *Nf1+/−* microglia have unique properties relevant to promoting the growth of *Nf1*[−]/− astrocytes.⁵⁶ First, *Nf1*+/− microglia were shown to proliferate faster than wild-type microglia in vitro. Second, inactivation of microglia in *Nf1* genetically engineered mice resulted in reduced optic glioma proliferation in vivo. Third, *Nf1+/−* microglia elaborate paracrine factors that increase the proliferation of *Nf1−/−* but not wild-type, astrocytes in vitro. One of these factors was hyaluronidase, an enzyme that degrades hyaluronan, a component of the extracellular matrix, and influences both cell proliferation and motility.⁵⁷ Lastly, hyaluronidase promotes *Nf1*-deficient astrocyte proliferation by signaling through the neurofibromin-regulated mitogen-activated protein kinase (MAPK) pathway. Taken together, these data support a clear role for microglia in neurofibromatosis type 1–associated glioma growth and suggest therapeutic approaches that target either microglia or microglia-produced growth factors should be considered.

In addition to hyaluronidase, *Nf1*+/− microglia also produce increased levels of a cytokine termed CXCL12 or stromal-derived growth factor-1 α (SDF-1 α) that modulates both RAS activity and cyclic AMP levels.58 Previous studies have shown that another function of neurofibromin is regulation of intracellular cyclic AMP levels, such that loss of neurofibromin in astrocytes and other cell types leads to reduced cyclic AMP generation.59,60 Examination of both neurofibromatosis type 1–associated human and mouse optic gliomas revealed that CXCL12 was not only produced by microglia but also by endothelial cells and neurons.⁶¹ Interestingly, in these studies, CXCL12 expression was found to be highest along the optic pathway in young mice. This regional and spatial pattern of expression raises the possibility that glioma formation in the optic pathway in young children with neurofibromatosis type 1 may reflect the availability of a critical ligand, CXCL12, that dictates where and when tumors grow. Support for this hypothesis derives from the observation that CXCL12 promotes *Nf1−/ −* astrocyte survival in a cyclic AMP-dependent fashion, whereas CXCL12 treatment leads to cell death (apoptosis) in wild-type astrocytes.

Lastly, the tumor microenvironment might not only influence glioma formation by providing critical developmentally regulated growth-promoting signals but also by generating cellular niches for specific cell types important for tumor maintenance. Recent studies have shown that a small proportion of cells present in human gliomas have stem cell-like properties and may be critical for the generation (or maintenance) of the tumor.⁶² In this regard, glioma stem cell-

like cells can be isolated and shown to have properties attributed to normal neural stem cells (the ability to undergo self-renewal and multilineage differentiation). $63,64$ Moreover, transplantation of these cells into naïve mouse brains leads to the formation of a glioma that is histologically identical to the original tumor. 65

Neural stem cells reside in specialized cellular compartments rich in blood vessels, suggesting that endothelial cells might provide unique signals important for stem cell maintenance. Support for this idea derives from elegant experiments by Calabrese and colleagues in which they demonstrate that glioma stem cell-like cell survival in vitro and in vivo is enhanced by endothelial cells.⁴⁷ Because pilocytic astrocytomas are rather vascular tumors, it is possible that therapeutic agents that target the tumor vasculature will have the added effect of eliminating the cells in the tumor most responsible for tumor growth and maintenance.⁶⁶

Genomic Influences

Epidemiologic studies focused on identifying environmental causes for brain tumors have largely been negative, with the notable exception of radiation exposure. While there may be no discernible connections between environmental exposure and glioma development, recent investigations have found that polymorphisms in the mouse genome may account for differences in glioma susceptibility. Seminal studies by Reilly and colleagues have shown that mice heterozygous for mutations in the *Nf1* and *p53* genes (NPCis mice) are prone to the development of malignant peripheral nerve sheath tumors or gliomas, depending on the genetic background of the mice.67 In this regard, NPCis mice maintained on a 129 inbred background do not develop gliomas, whereas NPCis mice on the C57BL/6 background develop gliomas (Figure 4). The investigators used advanced mouse genetic mapping techniques to localize a mouse glioma susceptibility gene to mouse chromosome 11.⁶⁸ These exciting findings suggest that one important determinant influencing glioma formation is the genetic background, namely genetic polymorphisms in the genome that modify the effects of glioma-causing genetic changes. Future work in both mice and humans may lead to predictive testing for glioma susceptibility.

Preclinical Mouse Glioma Models

The development of robust small-animal models of neurofibromatosis type 1–associated optic glioma provides unique opportunities not only to define the molecular and cellular pathogenesis of gliomagenesis but also to improve the treatment of individuals with these low-grade brain tumors (Figure 5). Investigations focused on identifying the critical molecular signals and participating cells in glioma formation and growth provide exceptional opportunities for discovery-based activities. As described above, future therapies may result from targeting different cell types within the tumor microcosm, including glioma stem cell-like cells, microglia, and endothelial cells as well as neutralizing or inhibiting stroma-derived signals that emanate from the tumor microenvironment (eg, hyaluronidase, CXCL12). These newly identified therapeutic targets can subsequently be evaluated in preclinical genetically engineered mouse treatment studies to determine their efficacy in the intact animal under conditions that most closely recapitulate glioma formation in humans.

Importantly, small-animal low-grade glioma models may be used to identify surrogate markers of tumor progression or response to therapy. Current endpoints in human clinical trials of lowgrade glioma employ changes in overall tumor size as measured by magnetic resonance imaging (MRI). Given the slow growth rate and infiltrative nature of these tumors, a reduction in overall tumor volume may not be an adequate endpoint measure. Advances in small-animal imaging now provide opportunities to exploit MRI to obtain information about the efficacy of chemotherapy using diffusion-based methods. While this has not been applied to low-grade glioma, experiments initially performed in rodent high-grade glioma models and later in human

malignant gliomas have shown that changes in water diffusion significantly predate changes in tumor size.69,70 The ability to use MRI as a predictive measure of tumor response to therapy affords unprecedented opportunities to change therapy early during the course of treatment.

In addition to radiologic biomarkers, small-animal models allow investigators to obtain body fluids for analysis. The identification of serum or cerebrospinal fluid biomarkers of glioma disease activity would likewise provide surrogate measures of tumor growth and/or response to therapy. As a proof of concept exercise, we studied our *Nf1* optic glioma mouse model to identify cerebrospinal fluid proteins overexpressed in tumor-bearing, but not in control, mice. One such marker, methionine aminopeptidase-2, was shown to be overexpressed in optic gliomas from both mice and children with neurofibromatosis type $1⁷¹$

Genetically engineered mouse models also can be used to evaluate candidate therapies.⁷² Using the *Nf1* optic glioma mouse model, we have recently shown that temozolomide monotherapy results in decreased glioma proliferation, increased glioma apoptosis, and decreased tumor volume.⁷³ Because optic gliomas can be detected in these mice using MRI, 74 tumor-bearing mice can be randomly assigned to treatment or control arms, and the effect of therapy on tumor growth assessed. Current studies in our laboratory and others using biologically based therapies (eg, rapamycin) highlight some of the important information that can be derived from preclinical studies using *Nf1* genetically engineered mouse models. First, one can determine whether the drug reaches its target and inhibits the molecule against which it is primarily designed ("target validation"). Second, the ability of the drug to inhibit other pathways against which the drug was not originally designed can be assessed ("off-target effects"). Third, the mechanism underlying reduced tumor growth can be determined. For example, the ability of the drug to cause cell death (apoptosis) versus reversible effects on cell proliferation can be measured. Similarly, the effect of the drug on stromal cells and glioma stem cell-like cells can be determined to identify the true cellular target of the drug. As such, it is possible that a drug could effectively inhibit differentiated glioma cell growth with little or no effect on cancer-generating cells. Similarly, a drug could target the endothelial cells without a demonstrable impact on neoplastic tumor cells.

Lastly, it is important to use small-animal models to determine why certain therapies might fail. In addition to the reasons outlined above, defining tumor escape mechanisms (eg, feedback loops, activation of other signaling pathways) are critical to the design of future anticancer drugs.75-77 As we move into an era of personalized medicine, it is important to begin to develop therapies that maximally inhibit tumor growth by disabling the cells most essential for continued glioma growth, blocking the intracellular and stroma-derived signals that drive glioma growth and preventing or minimizing the ability of the tumor cell to evade the effect of the anti-cancer drug.

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Figure 1.

Low-grade glioma formation represents the composite effects of molecular changes that reflect deregulated intracellular growth control pathways (intracellular changes), important cellular and biochemical signals that emanate from the tumor microenvironment (stromal influences), and modifier genes in the genome (genomic contributions).

Figure 2.

Activation of the mammalian target of rapamycin (mTOR) pathway can result from numerous glioma-associated genetic changes. Inactivation of the *NF1* gene results in increased RAS activity, which in turn leads to activation of phosphoinositol-3-kinase and Akt. Increased Akt activity leads to increased mTOR activation, either by direct activation of mTOR or via phosphorylation and inactivation of the TSC signaling complex. Loss of TSC gene function results in increased RAS homolog enriched in brain activity, which in turn results in increased mTOR activity. In addition, the *PTEN* tumor suppressor gene is frequently mutationally inactivated in gliomas, leading to increased phosphoinositol-3-kinase activity and mTOR activation. Similarly, mutational activation or constitutive signaling through receptor tyrosine kinases, such as EGFR, results in RAS and phosphoinositol-3-kinase hyperactivation and downstream increased mTOR pathway activation.

Figure 3.

The low-grade glioma microcosm is composed of numerous distinct cell types that each may contribute uniquely to tumorigenesis and continued glioma growth. For example, microglia and endothelial cells provide important stroma-derived signals that promote glioma growth.

Figure 4.

Glioma susceptibility in mice is determined by modifier genes in the mouse genome. Studies by Reilly and coworkers⁶⁷ have shown that mice harboring the identical glioma-causing genetic changes (combined *Nf1* and *p53* heterozygosity; NPCis mice) exhibit different susceptibilities to glioma formation that reflects the presence of a glioma susceptibility locus on mouse chromosome 11.

Figure 5.

Genetically engineered mouse (GEM) glioma models provide unique opportunities to discover and evaluate new therapies for human low-grade gliomas (for details, refer to the text).