

Fig. 1. Bear bone sample and archaeological context. (A) Geographical localization of the Chauvet-Pont d'Arc Cave. (B) Cave topography. Red and black characters refer to the color of rock art pictures in the entry and deep sectors, respectively. (C) The sector of the Gallery of the Cross-Hatches from which *Us18* (purple arrow) was retrieved.

(> 90%) of skeletal pieces belong to the cave bear, with a current record of >4,000 remains dispatched into 130 bone assemblages (17). They belong to a variety of individuals, as shown by the presence of a large number of skulls laying on the ground surface. Osteometric data suggest a homogeneous cave bear population with a predominance of females (17). As part of an interdisciplinary research project, we could collect bone samples in different cave sectors for ancient DNA analysis. Our analytical procedure rested on the design of a series of bear-specific oligonucleotide primers that were used to generate hundreds of overlapping DNA fragments enabling the characterization of a complete cave bear mitochondrial genome.

Results and Discussion

After searching for cave bear skeletal elements that could be analyzed for DNA content in the Chauvet-Pont d'Arc cave, we identified a bone sample that reproductively yielded robust PCR amplifications. *Us18* laid along the track of human footprints that extends from the Gallery of the Cross-Hatches to the Chamber of the Skull (Fig. 1). It consists of a sternal bone that was radiocarbon dated to 31,870 (+300, -270) years B.P. (Groningen AMS sample number: GrA-28194).

The Chauvet-Pont d'Arc Cave is expected to contain cave bear rather than brown bear remains (16, 17). We nevertheless initiated the molecular characterization of *Us18* using primers which, although encompassing a highly variable portion of the mitochondrial control region, are conserved enough to allow DNA amplification from a variety of cave bear and brown bear mitochondrial haplotypes. These primers proved to be highly

efficient for PCR amplification (Fig. 2), and generated a DNA fragment that displayed seven substitutions with the closest brown bear sequence, but was identical to sequences for the cave bear B haplogroup obtained in Scladina (40,000 to 45,000

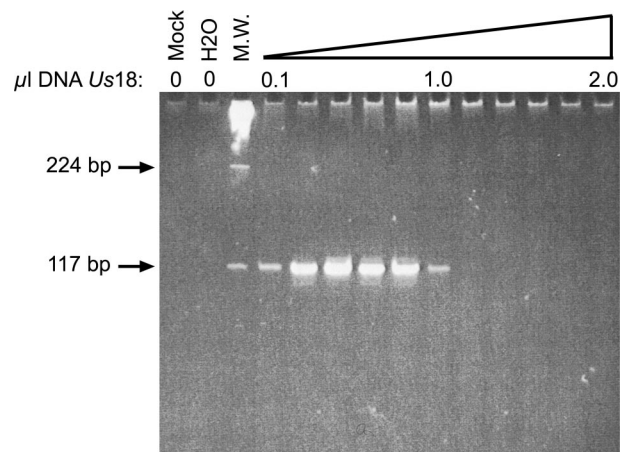


Fig. 2. Gel electrophoresis analysis of mitochondrial genome fragments generated by PCR from *Us18*. Variable amounts of the DNA extract (from 0.1 to 2.0 μ l) were amplified using primer pair # 236, predicting a 117-bp DNA fragment. The total reaction volume was electrophoresed through an acrylamide gel stained with SYBR Green I. Negative controls included reactions carried out on a mock extract (Mock) or in the absence of any extract (H₂O). Molecular weight marker (M.W.) corresponds to λ BstEII digest.

years-old samples) (13). These data therefore strongly support the notion that we have retrieved authentic cave bear DNA. In addition, the amount of *Us18* DNA extract allowing successful amplification (0.1 μ l, or 0.05% of the total amount) was low enough to plan a large series of experiments. Ancient DNA samples usually contain DNA polymerase inhibitors that prevent from using large volume of extracts in the PCR (18, 19), as was indeed observed here. Nevertheless, the range of suitable DNA amounts spanned one order of magnitude, indicating that robust PCR conditions could be easily defined.

Because independent replication is a prerequisite for the study of ancient DNA (18, 19), a second extract was obtained and analyzed by another group of investigators from a different Institute (see *Methods*). The same and another overlapping pair of primers confirmed the sequence initially obtained, further corroborating that the sample was a reliable source of cave bear DNA. Subsequent experiments were carried out on both extracts, using 0.05 to 0.1% of the ancient DNA sample in each PCR.

To decipher the complete cave bear mitochondrial genome, we first selected a series of 147 primer pairs targeting conserved sequence motifs scattered throughout the brown bear and polar bear mitochondrial genomes. Because large DNA fragments are very rarely obtained from Pleistocene specimens, except for animals conserved in permafrost (3–7), most primer pairs were designed to amplify 150- to 180-bp DNA sequences. This first round of whole mitochondrial genome screening yielded 7.2 kb of DNA sequence, i.e., less than half of the mitochondrial genome. Considering that unsuccessful PCRs resulted from the use of primers that may not perfectly match the cave bear genome, we performed a screening iterative procedure using the cave bear sequence to design much more specific primers. We used a total of 245 primer pairs to retrieve a complete mitochondrial genome [supporting information (SI) Table S1].

Several lines of evidence support the conclusion that we deliver a reliable cave bear mitochondrial genome sequence. First, extensive replication was performed, the 245 primer pairs being used to generate 570 PCR fragments (Fig. S1). These PCR fragments were all cloned, and multiple clones were systematically sequenced on both strands to accurately determine a consensus sequence. Second, before assembly, all such consensus sequences were individually analyzed by BLAST to check that the best GenBank match corresponded to an *Ursidae* sequence. Third, among *Ursidae*, mitochondrial fragments previously analyzed in the cave bear displayed the best BLAST score with our sequences. As mentioned above, this was initially observed in the control region. The other published cave bear mitochondrial genome fragment concerns the cytochrome B (cytB). Our cytB sequence is identical to that obtained by Loreille *et al.* (12) for a cave bear from La Balme à Collomb, except for four transitions (0.35% of all cytB nucleotides). Two of these locate at the third base position of codons, and may reveal polymorphisms between cave bear coding sequences. For the two others, we recorded C instead of T residues, suggesting that the Chauvet-Pont d'Arc sample had been better preserved from cytosine deamination, the most frequent damage observed in ancient DNA (18, 19). The cave bear sample from La Balme à Collomb was also analyzed for a highly variable fragment of the control region (13), in which it displays two differences with Chauvet *Us18*. This supports the notion that the two cave bear specimens correspond to different haplotypes.

The length of the cave bear mitochondrial genome (16,810 bp) is in the range of those reported for extant bear genomes, which vary between 16,723 (*Ursus maritimus*) and 17,044 bp (*Ursus thibetanus formosanus*). The length differences between the bear genomes mostly come from the control region, which displays a highly variable number of repeats for a 10-bp motif. This specific domain of the control region could not be retrieved through a single PCR from the Chauvet-Pont d'Arc cave bear sample. We

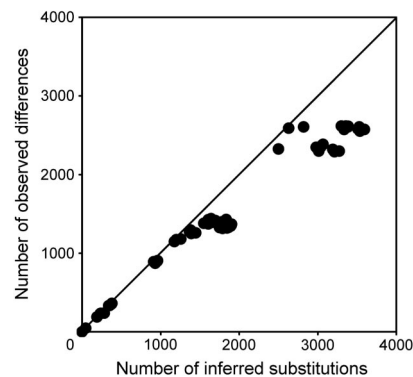


Fig. 3. Mutational saturation analysis of the complete mitochondrial genome dataset. The y axis shows the observed number of differences between pair of species sequences. The x axis shows the inferred number of substitutions between the same two sequences in a Maximum Parsimony tree determined using Patristic software. The straight line represents the case for which there is no saturation, with no reversion occurring in the sequences.

therefore used two primer pairs to separately target its 5' and 3' ends, and assembled all fragments into a repeat region of 350 bp. This is likely a minimal estimate, since the same approach carried out on another cave bear sample yielded a 360-bp sequence for this domain. The G + C nucleotide content of the cave bear mitochondrial genome (40.5%) is quite similar to that reported (40.4 to 41.6%) for extant bears (20). The cave bear and extant bear mitochondrial genomes all contain 13 protein coding genes, 22 tRNA genes, and 2 rRNA genes. The 13 protein coding genes predict polypeptides of similar size in all bear species, except for ND5, in which three additional codons are present in *U. spelaeus* and *U. thibetanus formosanus*. For three protein coding genes (COX3, ND3, and ND4), the stop codon is absent in the cave bear genome, being created by polyadenylation. This phenomenon, widely present in vertebrate mitochondrial genomes, is observed on the same genes in all extant bear genomes.

Because the previously published *Ursus arctos* mitochondrial genome (21) clusters into the brown bear eastern lineage described by Taberlet *et al.* (22), it was essential for a comprehensive phylogeny to also make available a complete mitochondrial genome sequence for the brown bear western lineage. This was accomplished by analyzing a brown bear from a French Pyrenean site (Guzet, Ariège) (Table S2 and Fig. S2). To exclude the possibility of contaminations in future analysis, DNA extraction from the modern brown bear sample was performed in a building different from that where cave bears DNA are extracted and stored.

The *Ursidae* phylogeny was inferred using the newly obtained *U. spelaeus* and *U. arctos* sequences, 10 previously published mitochondrial genomes for extant bears, and the giant panda that served as an outgroup. To estimate the mutational saturation of this dataset, we plotted the genetic distance against the patristic distance for each pair of species (Fig. 3). These distances are almost equal, indicating that mutational saturation is weak. Hence, considering the low extent of homoplasy, these mitochondrial genomes convey an information that can securely be used to analyze phylogenetic relationships.

Using complete mitochondrial genomes, we obtained trees of similar topology for analysis performed with different reconstruction methods (Fig. 4). The transition/transversion ratio is 23:1 (Table S3), confirming that saturation is low (4). The alpha parameter calculated by PhyML and MrBayes are 0.20 (without invariable site) and 1.60 (with 57% of invariable sites), respectively. Albeit different, these values that are approximations of the same phenomenon, both indicate that rate heterogeneity among sites is important. As shown in Fig. 4, the cave bear

species that preexisted to the cave bear, such as *Ursus deningeri*. The sequence information provided by extant bears may not be sufficient to efficiently design experiments aiming at the retrieval of DNA fragments from such an ancient species. Second, the cave bear mitochondrial genome makes feasible to better explore archeological specimens ascribed to this species. Such a possibility was evaluated for Chauvet-Pont d'Arc bear samples that failed to yield any DNA when queried for the control region. Targeting another portion of the mitochondrial region with primers designed from the current cave bear genome (Fig. S7) rescued a series of samples for genetic analysis, providing successful amplification for 48% instead of 17% of the 23 Chauvet-Pont d'Arc samples analyzed so far. Together with the observation that cave bear intrusions extended from at least 37,000 to 29,000 years B.P. (28), these samples indicate that exploring genetic diversity and variation through time is feasible at Chauvet-Pont d'Arc.

In conclusion, we provide a mitochondrial genome sequence for the extinct cave bear. This mitogenomic analysis definitely assesses the cave bear as a sister taxon to the brown bear and polar bear clade, and displays the tempo of bear history during the Pliocene and Pleistocene. Our study also demonstrates the feasibility of retrieving complete mitochondrial genomes from the subterranean milieu, an environment that contains remains for a variety of extinct species, and points to the painted cave of Chauvet-Pont d'Arc as a reservoir for paleogenetic investigations.

Methods

DNA Sequence Authentication. To guarantee the authenticity of the cave bear mitochondrial sequence, we followed previous recommendations for works performed on ancient DNA (18, 19). First, to avoid contaminations from previous and current analyses, pre-PCR steps (i.e., DNA extraction and set-up of PCRs) were carried out in a building where no other molecular work on bear DNA had been performed previously, and handling of amplified products was done in a different building. Second, negative controls included mock extracts and PCR blanks (where water was added instead of DNA), which always failed to yield any amplification product. Third, we selected oligonucleotide primers that display weak homology with non-bear DNA sequences and checked by BLAST analysis that the best hit for each DNA fragment was a recorded cave bear sequence (when available in GenBank) or a sequence for another *Ursidae*. Fourth, we observed an expected molecular behavior for the ancient DNA extracts, with successful amplifications mainly for short (< 180 bp) DNA fragments, whereas sequences >200 bp were exceptionally obtained (4.2% of attempts). Fifth, reproducibility was assessed using the same and a second DNA extract. Sixth, we systematically designed PCR primers generating overlapping fragments. This strategy allowed us to read 8,498 nt (50.6% of the genome) from DNA fragments obtained with different PCR primers. As outlined in refs. 4, 18, and 19, this procedure allows to conclude that numts are unlikely to be present in our sequence. Seventh, to detect errors induced by DNA damage and deduce a reliable consensus sequence, we cloned each PCR fragment and systematically sequenced at least 12 clones on both strands. As a whole, the redundancy achieved through PCR replicates, overlaps between fragments and sequencing of multiple clones provided a mean number of 93 reads for each nucleotide of the cave bear mitochondrial genome. Eighth, DNA extracts obtained in each team (i.e., Saclay and Marseille) and analyzed by different investigators, using their own batch of reagents yielded the same cave bear DNA sequence, which demonstrated that the results could be independently replicated. Finally, to prevent from cross-contaminations, the brown bear sample was handled in a building different from those where the cave bear DNA had been extracted and analyzed, and experiments on the brown bear DNA were initiated once those on the cave bear samples have been completed.

DNA Extraction. DNA was extracted from the bone cortex. One gram of bone powder was incubated 40 h at 42°C under constant agitation in 10 ml of extraction buffer consisting of 0.45 M EDTA, 10 mM Tris-HCl (pH 8.0), 0.1% SDS, 65 mM DTT, and 0.5 mg/ml proteinase K. After centrifugation, the supernatant was recovered, extracted once with one volume of phenol, once with a phenol-chloroform-isoamylalcohol (25:24:1) mixture, and once

with chloroform. The aqueous phase was then concentrated using Centri-con YM-30 (Millipore), and the column was washed five times with distilled water. The DNA extract was subsequently recovered as a ≈ 200 - μ l sample volume.

Primer Design. PCR primers were designed with the help of Oligo 6.0 software (Medprobe). For experiments on cave bear DNA, we aligned the *Ursus arctos* and *U. maritimus* mitochondrial genomes and selected 147 primer pairs targeting conserved sequences. Sixty-four (44%) of these pairs were successfully used in PCR experiments that yielded 7.2 kb of the cave bear mitochondrial genome. We subsequently used this sequence information to iteratively design new series of primer pairs to generate PCR fragments that allowed to fill the gaps. As expected, these subsequent series of primers increased the success rate, with 181 of 250 pairs (72.4%) allowing the amplification of cave bear mitochondrial DNA fragments. For experiments carried out on brown bear DNA, we used 52 primer pairs to retrieve a complete mitochondrial genome sequence.

DNA Amplification and Analysis. PCR was performed in a 50- μ l reaction volume containing mock or ancient DNA extracts, 300 pM sense and antisense primers, 200 μ M dNTP, 2.5 mM MgCl₂, 2.5 μ g of T4 gene 32 protein (USB), 5 μ l of GeneAmp 10X PCR buffer II, and 2.5 U of AmpliTaq Gold DNA polymerase (Applied Biosystems). The amount of DNA to be used was tested in initial experiments and consisted of 0.2–0.4 μ l and 0.1 μ l of the cave bear and brown bear DNA extracts, respectively. An equivalent volume of water was substituted to the DNA sample in PCR blanks. After an activation step (95°C, 8.5 min), a single round of 45 PCR cycles (95°C for 15 s, 50–60°C (according to primers *Tm*) for 20 s, and 70°C for 1 min) was performed in 9600, 7000 or 7300 Applied Biosystems thermal cyclers. The full reaction volume was loaded onto an 8% polyacrylamide gel. To increase the sensitivity of our PCR assay, we used Sybr Green I (Invitrogen) instead of ethidium bromide to stain the gel. PCR amplicons were eluted from the gel and inserted into pCR4-TOPO (Invitrogen). Plasmid minipreparations of the clones were sequenced on ABI 377XL or 3130 XL DNA sequencers, using BigDye 3.1 terminator chemistry (Applied Biosystems). We systematically analyzed a minimum of 12 colonies for each cloned fragment and sequenced both DNA strands using M13 forward and T3 primers.

Phylogenetic Analyses. The complete mitochondrial genomes of *Ursidae* and of the giant panda were aligned using ClustalW with the default parameters. The domain of the control region containing the 10-bp repeat motif was removed before all analyses. Phylogenetic analyses were carried out on different datasets: complete mitochondrial genomes, concatenated nucleotide sequences of protein-coding genes, amino acid sequences of individual proteins, concatenated tRNA genes, and rRNA genes. All of the genes were aligned individually before concatenation. As some of them are overlapping, a few nucleotides are duplicated in our concatenations. Phylogenetic trees were constructed from these datasets with Maximum Likelihood (ML), Maximum Parsimony (MP), and Neighbor-Joining (NJ) methods, using PhyML (29, 30), MrBayes 3.1.2 (31), and Mega 3.1 (32) program packages, as appropriate.

For nucleotide analysis, PhyML and MrBayes were used with the general time reversible (GTR) + 4I⁺ + I model, and, for the NJ method, we used the Tamura 3-parameter and the gamma-distribution shape parameter estimated with PhyML and MrBayes. For amino acid, PhyML and MrBayes analyses were conducted with a gamma substitution rate model and a mammalian mitochondrial model of substitutions (MtMam), and NJ analysis was performed using a gamma substitution rate model and a Jones–Taylor–Thornton (JTT) matrix of substitution. Bayesian analyses were run using four Metropolis coupled Markov Chain Monte Carlo for at least 1 million generations, sampling trees every 100 generations. MP analyses were run with the Mega 3.1 default parameters.

To estimate the robustness of the phylogenetic inferences, we used the bootstrap method (2,000 replicates for NJ and MP, 500 replicates for PhyML). For Bayesian analyses, posterior probabilities of the nodes in the consensus tree were estimated. To evaluate possible bias introduced by saturation, we tested the substitution saturation for the complete mitochondrial genome dataset, using Patristic 12.0.0 software (33).

Divergence times were estimated using complete mitochondrial genomes with BEAST software (34). We used as calibration points the divergence between the giant panda and *Ursidae* and between *Ursinae* and *Tremarctini*-*dae*, set at 12 \pm 1 MY (24) and 6 \pm 0.5 MY (25), respectively, considering a normal distribution. We chose a GTR + 4I⁺ + I substitution model, a relaxed uncorrelated lognormal molecular clock, and a Yule process of speciation (35). We performed two independent chains that each consisted of 10,000,000

points. Data were collected every 1,000 points, and the burn-in was set to 10,000.

To test the impact of sequence length on estimated divergence times, we randomly created alignments of various length from whole mitochondrial genome sequences, and calculated node ages using the parameters described above.

Note Added in Proof. This work was under review and in the publication process when a cave bear mitochondrial genome sequence was obtained from a bone sample found in Gamssulzen Cave, Austria (36). The Chauvet and Gamssulzen cave bear mitochondrial genome sequences are highly homologous and locate at similar positions in a phylogenetic tree. The divergence

dates between ursine lineages deduced from the two studies display however a number of differences.

ACKNOWLEDGMENTS. We thank D. Baffier for giving us the authority to collect cave bear samples, M.-C. Gaillard for help in initiating the project, J. L. Orenco for the brown bear sample, all investigators of the Chauvet scientific team for fruitful discussions, A. Martel for bioinformatics support, M. Azéma and N. Kidman for artwork, P. Legrain for encouragement and helpful suggestions for an early version of the manuscript. This work was supported by grants from the Commissariat à l'Énergie Atomique and the French Ministère de la Culture et de la Communication. C.B. received PhD funding from the Commissariat à l'Énergie Atomique.

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