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## A Phase II Study of Gefitinib, 5-Fluorouracil, Leucovorin, and Oxaliplatin (IFOX) in Previously Untreated Patients with Metastatic Colorectal Cancer

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### Abstract

**Purpose:** To investigate the IFOX regimen (gefitinib, 5-fluorouracil [5-FU], leucovorin and oxaliplatin) as first-line therapy in patients with metastatic colorectal cancer.

**Experimental Design:** Eligible patients had stage IV colorectal adenocarcinoma, and had not received prior chemotherapy for metastatic disease. Each cycle consisted of 14 days. Cycle 1 consisted of FOLFOX-4 (oxaliplatin, leucovorin, and 5-FU). All subsequent cycles consisted of FOLFOX-4 with gefitinib at 500 mg PO daily throughout the 14 days cycle.

**Results:** Forty-five patients were enrolled and are assessable for toxicity. Forty-three patients are assessable for response. Thirty-one of the 43 patients (72%), had either a complete or partial response by RECIST criteria. Median overall survival was 20.5 months. Median time to progression was 9.3 months. Commonly encountered grade 3/4 toxicities included diarrhea in 67% of patients and neutropenia in 60%. Grade 2 acneiform skin rash typical of gefitinib occurred in 60% of patients.

**Conclusions:** IFOX is an active first-line regimen in patients with metastatic colorectal adenocarcinoma, demonstrating higher response rates but also increased toxicities compared with FOLFOX-4 alone in a similar patient population.

### Keywords

EGFR; gefitinib; 5-fluorouracil; oxaliplatin; colorectal cancer

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#### STATEMENT OF CLINICAL RELEVANCE

This phase II study reports a relatively high (72%) remission rate and duration of survival (10.5 months) in patients with metastatic colorectal cancer treated first-line with the IFOX regimen (5-fluorouracil, leucovorin, oxaliplatin, and the small molecule EGFR inhibitor gefitinib). These results have therapeutic implications in colorectal cancer, since most of the focus on EGFR inhibition in this disease has been with monoclonal antibodies rather than small molecule EGFR tyrosine kinase inhibitors (TKI's). Potential future trials testing this approach might compare one of the anti-EGFR monoclonal antibodies versus a TKI against the same target, with both arms receiving standard chemotherapy. Alternatively, standard first-line chemotherapy and bevacizumab could be compared to the same combination with the addition of an anti-EGFR TKI. Future directions for translational research combining chemotherapy with anti-EGFR agents should emphasize identification of molecular determinants of response other than EGFR expression, since this has not been a useful predictive marker for colorectal cancers. The results with IFOX in colorectal cancer are in contrast to several trials in non-small cell lung cancers, which showed no benefit for the addition of EGFR inhibitors to first-line chemotherapy.

## INTRODUCTION

Over the last 10 years, incremental gains in response rates and median survival for patients with metastatic colorectal cancer (CRC) have been achieved with the introduction of active new chemotherapeutic and biologically targeted agents. Combinations of irinotecan or oxaliplatin with 5-FU and leucovorin for first-line therapy of metastatic CRC have demonstrated improved response rates and median survival times over 5-FU and leucovorin alone (1,2). In addition, infusional 5-FU has replaced bolus 5-FU as a platform for combination chemotherapy based on decreased toxicity and improved efficacy (3,4). In the initial study investigating the combination of oxaliplatin, 5-FU, and leucovorin (FOLFOX-4) for first-line therapy of metastatic CRC, there was a 50% objective response rate compared to 22% with infusional 5-FU/leucovorin (LV5FU2) alone (2). The FOLFOX-4 regimen was also associated with a longer time to progression (9.0 months vs. 6.2 months) and a trend towards improved overall survival. Although a direct comparison of FOLFOX-4 and IFL (irinotecan, 5-FU, and leucovorin) in previously untreated patients demonstrated that FOLFOX-4 was superior, a subsequent comparison of FOLFOX-6 and FOLFIRI (folinic acid, 5-FU, and irinotecan) showed no significant difference in efficacy (5,6). This confirmed that both irinotecan and oxaliplatin are active agents against colorectal cancer, and selection of an appropriate regimen could focus on their different toxicity profiles.

The effort to further improve the efficacy and tolerability of treatment for metastatic colorectal cancer has led to the discovery of new agents targeting cell signaling molecules such as epidermal growth factor receptor (EGFR). EGFR expression has been demonstrated in 60-80% of CRC (7,8), and has been associated with decreased survival (9). Preclinical studies inhibiting EGFR with either antibodies or small molecules demonstrated a dose-dependent inhibition of tumor cell growth (10-14) and sensitization of tumor cells to chemotherapy (15-17).

A phase III clinical trial has validated both of these concepts with the demonstration that the anti-EGFR antibody, cetuximab, in combination with irinotecan produced a 22% response rate in patients refractory to irinotecan-based chemotherapy, while cetuximab alone produced a 10% response rate (18). Cetuximab has also been reported to improve response rate and progression free survival when added to FOLFIRI in the first-line treatment of patients with metastatic CRC (19).

Gefitinib (Iressa™, ZD1839), a small molecule inhibitor of the tyrosine kinase domain of EGFR, has been extensively studied in patients with tumors of epithelial origin, such as lung and head and neck cancers, but studies in patients with CRC are limited (20-25). We recently reported a phase II study with gefitinib in combination with FOLFOX-4 for pretreated patients with metastatic CRC that demonstrated a high response rate (33%), further supporting the chemosensitizing role of EGFR inhibition (26). We now report on a phase II study evaluating the efficacy of the IFOX regimen for the first-line treatment of patients with metastatic CRC.

## PATIENTS AND METHODS

### Patients

Patients were considered eligible for this study if they were older than 18 years of age and had histologically confirmed metastatic colorectal adenocarcinoma. Patients had not received prior chemotherapy for this disease, with the exception of 5-fluorouracil for adjuvant therapy greater than 6 months prior to enrollment. Other criteria for eligibility included measurable disease by RECIST criteria, no prior exposure to oxaliplatin or EGFR inhibitors, an ECOG performance status  $\leq 2$ , adequate blood counts (neutrophils  $\geq 1500/\text{mm}^3$  and platelets  $\geq 100,000/\text{mm}^3$ ), renal function within normal limits, total bilirubin  $\leq 1.5$  mg/dL, and transaminases  $\leq 2.5$  times the upper limit of normal. Confirmation of tumor EGFR status was not required for inclusion in

this study and there was no determination made of EGFR status prior to treatment initiation. All patients signed an informed consent form approved by the Stanford University Committee for the Protection of Human Subjects.

## Treatment

The first cycle of treatment was FOLFOX-4 chemotherapy alone at dosages previously published (27). This was done to obtain more experience with the acute toxicities of IFOX compared to FOLFOX-4 alone. On day 1, patients received oxaliplatin 85 mg/m<sup>2</sup> intravenously concurrent with leucovorin 200 mg/m<sup>2</sup> intravenously over 2 hours. Then, 5-FU 400 mg/m<sup>2</sup> was given by intravenous bolus injection followed by 5-FU 600 mg/m<sup>2</sup> given by continuous intravenous infusion over 22 hours. On day 2, leucovorin, bolus 5-FU and infusional 5-FU were delivered at identical doses as day 1. Pretreatment with a 5-hydroxytryptamine-3-receptor antagonist and dexamethasone was given prior to oxaliplatin.

In the second and subsequent cycles of treatment, patients received the IFOX regimen. Each cycle lasted 14 days. Thus, beginning with cycle 2 and for each subsequent cycle, gefitinib 500 mg PO daily was administered continuously.

All toxicities were graded according to the NCI Common Toxicity Criteria (CTC) version 2.0 except for neurotoxicity. Grade 1 neurotoxicity was defined as paresthesias or dysesthesias of short duration that resolved and did not interfere with function, grade 2 as symptoms that interfered with function but not activities of daily living (ADL), grade 3 as symptoms with pain or impairment interfering with ADL, and grade 4 as any paresthesias or dysesthesias that were disabling or life-threatening. Retreatment at the start of each cycle required adequate hematologic function (ANC  $\geq$  1500/mm<sup>3</sup> and platelets  $\geq$  100,000/mm<sup>3</sup>) and resolution of all toxicities to  $\leq$  CTC grade 2.

During treatment, dose modifications for dermatitis, diarrhea and myelosuppression were made. The first episode of dermatitis  $\geq$  CTC grade 3 resulted in a reduction of gefitinib to 250 mg PO daily and the second episode led to a discontinuation of gefitinib. Diarrhea  $\geq$  CTC grade 3, refractory to oral anti-diarrheal medication, resulted in a reduction in the 5-FU bolus and infusion by 20%, with a second episode leading to a reduction in gefitinib to 250 mg PO daily, a third episode resulting in a reduction of oxaliplatin by 20%, and a fourth episode resulting in withdrawal of the patient from study. For myelosuppression, a nadir ANC  $\leq$  500/mm<sup>3</sup> or a nadir platelet  $\leq$  50,000/mm<sup>3</sup>, resulted in a 20% reduction of oxaliplatin, with second, third and fourth episodes resulting in a reduction of 5-FU bolus and infusion by 20%, further reduction in oxaliplatin by 20%, and a final reduction in 5-FU and oxaliplatin at investigator discretion, respectively. Daily gefitinib was continued if initiation of chemotherapy for the next cycle of treatment was delayed due to myelosuppression. No change was made in oxaliplatin dose for grade 1 neurotoxicity; however the oxaliplatin dose was reduced to 65 mg/m<sup>2</sup> for grade 2 neurotoxicity that persisted between cycles. Grade 3 symptoms led to an oxaliplatin dose reduction to 65 mg/m<sup>2</sup> and 40 mg/m<sup>2</sup>, for the first and second episodes respectively, and if the symptoms persisted between cycles oxaliplatin was stopped. Any grade 4 symptoms led to immediate discontinuation of oxaliplatin. Finally, pharyngo-laryngeal dysesthesias that lasted more than 7 days led to an increase in oxaliplatin infusion time to 6 hours.

Treatment was continued until development of progressive disease or unacceptable toxicity, withdrawal of patient consent, completion of protocol, or decision to perform surgical resection of disease.

## Evaluation

Baseline tumor measurements by computed tomography were obtained within 28 days prior to starting study treatment. Physical examination, including medical history, laboratory studies and assessment of performance status, were conducted at the beginning of each two-week cycle. Patients were asked to keep a diary of daily gefitinib ingestion and record their experience of nausea and diarrhea.

Tumor response was evaluated every 8 weeks by computed tomography imaging and tumor measurement performed using RECIST criteria (28). A response was defined as a reduction of  $\geq 30\%$  in the sum of the longest diameters of all measured lesions, confirmed on a subsequent scan performed at least 4 weeks after the initial scan documenting the reduction.

## Immunohistochemical Staining for EGFR Expression

Formalin-fixed, paraffin-embedded tissue was retrieved and four micron sections were cut, placed on slides, deparaffinized in xylene and hydrated. Sections were stained using the DAKO EGFR detection system (Carpinteria, CA, USA) and a DAKO automated staining machine. Antigen retrieval was carried out by proteinase K digestion. Endogenous peroxidase was suppressed by incubation with 3% H<sub>2</sub>O<sub>2</sub>. Positive and negative controls were run in parallel. Immunostaining was scored semiquantitatively by one of the authors (E.S.) using a two-tiered scale for percentage of lesional cells stained (>50% called positive or <50% called negative for EGFR).

## Statistical Analysis

The primary endpoint of the study was to determine the objective response rate for patients with metastatic CRC treated with this study regimen. Secondary endpoints included determination of the safety profile, median time to progression, and overall survival.

Time to progression was defined as the interval of time from enrollment on this study to the first evidence of progressive disease by RECIST criteria. If patients went off study prior to progression either due to toxicity, surgical resection or radiofrequency ablation of residual disease, the first progression date after withdrawal from the study was recorded. The overall survival time was calculated as the interval of time from enrollment until the date of death from any cause or until the date of the last follow-up, at which time the data was censored. Both the time to progression and overall survival times were estimated by the Kaplan-Meier method.

To calculate the proposed sample size, we used a baseline response rate of 40% seen with FOLFOX-4 in the first-line setting as our null hypothesis. In order to detect a 20% improvement in response rate (40% versus 60%) with the proposed regimen, with an alpha and beta of 0.10, we estimated accrual to be 46 patients in a two-stage design (29).

## RESULTS

### Baseline Characteristics

Between May 2002 and September 2004, forty-five patients were enrolled on this study and are evaluable for toxicity. Two patients are not evaluable for response, either due to withdrawal from study prior to completing one cycle of therapy (one patient) or due to misdiagnosis of metastatic disease (one patient). The baseline characteristics of the 45 assessable patients are shown in Table 1. Forty-four of 45 patients had an ECOG performance status of 1 or better, with 37 demonstrating ECOG PS 0.

## Treatment Administration

The total number of cycles administered was 372, with a median of 9 (range, 1-16), and a mean of 8 cycles per patient. Median duration of follow-up is 36 months for surviving patients.

## Efficacy

The primary efficacy endpoint for this study was objective response rate. Of the 43 patients assessable for response, one patient (2%) experienced a complete response, 30 patients (70%) experienced a partial response, for an overall remission rate of 72% (Table 2). Only 3 patients (7%) had evidence of progressive disease at the first assessment time point of 2 months.

The secondary efficacy endpoints were time to progression (TTP) and overall survival (OS). For the 43 evaluable patients, including the 14 patients who discontinued IFOX to receive surgical resection or radiofrequency ablation of residual metastases, median time to disease progression was 9.3 months (95% CI, 6.4 to 20.0 months). IFOX was stopped in 14 patients (33%) with either stable or responding disease in order to attempt definitive treatment with surgical resection or radiofrequency ablation of residual metastases (Table 3). There was no peri-operative mortality in patients going on to hepatic resections, although fatty changes were found in the livers of some patients. One patient experienced a complete response by RECIST and was taken off study after 10 cycles. Fifteen patients (35%) were taken off study treatment for progressive disease, and 12 patients (28%) were taken off due to toxicity. The median OS for all evaluable patients was 20.5 months (95% CI, 14.0 to 28.0 months) (Figure 1). The median OS for responders and non-responders was 25.5 months (95% CI, 20.0 to 33.2 months) and 12.4 months (95% CI, 13.0 to 23.7) (Figure 2).

## Toxicities

The toxicities of IFOX were evaluated as a secondary endpoint of this study. Toxicity data with the IFOX regimen has been previously described in the Phase I study using this regimen as well as a Phase II study with this regimen in previously treated patients with metastatic colorectal cancer (25,30). This study provides further information on toxicities encountered with the IFOX regimen (Table 4). Of the 45 assessable patients for toxicity, 67% of patients experienced grade 3 or 4 diarrhea at some point in the treatment course. Neutropenia was the second most common grade 3 or 4 toxicity, occurring in 60% of patients. Four patients (9%) experienced fever and neutropenia. Additional toxicities included grade 2 dermatitis or dry skin attributable to gefitinib in 27 patients (60%), grade 3 hypokalemia in 15 patients (33%), grade 3 nausea/vomiting in 12 patients (27%), grade 3 fatigue in 10 patients (22%), and grade 2 peripheral neuropathy attributable to oxaliplatin in 7 patients (16%). One patient (2%) had early death from sepsis after 3 cycles of therapy.

A total of 25 patients (56%) underwent at least one dose reduction of oxaliplatin (Table 5) with the most common reasons being grade 3 anorexia (28%) and grade 3 or 4 neutropenia (24%). Thirty patients (67%) underwent a dose reduction of 5-FU due to grade 3 diarrhea (87%), grade 3 or 4 neutropenia (10%), or grade 3 mucositis (3%). Eleven patients (24%) underwent a dose reduction of gefitinib due to grade 3 diarrhea (73%), grade 2 dermatitis or dry skin (18%), or pulmonary infiltrates (9%).

## EGFR Expression

A total of 41 tumor samples were available for EGFR expression analysis. Twenty-eight patients (68%) were EGFR positive by IHC and 13 (32%) negative. The remission rate in patients with EGFR positive CRC was 70% and in patients with EGFR negative CRC was 75%. EGFR expression did not correlate significantly with response or survival (Figure 3).

## DISCUSSION

The recent advent of several new agents for the treatment of metastatic CRC has markedly enhanced the therapeutic armamentarium for this disease. Oxaliplatin in combination with infusional 5-FU in the FOLFOX-4 regimen has been shown to be effective in achieving an improved response and time to progression over LV5FU and IFL in the first-line setting (2, 5). The monoclonal antibodies cetuximab, targeting EGFR, and bevacizumab, targeting vascular endothelial growth factor, have also demonstrated therapeutic efficacy in CRC, and many studies to optimize their utilization in combination with chemotherapies are underway.

The high level of EGFR expression in CRC specimens has sparked great interest in using this target to develop more directed and specific therapies. To date, positive results with EGFR inhibition in CRC have only been reported for the monoclonal antibody cetuximab in combination with irinotecan-based regimens utilizing bolus 5-FU and FOLFIRI (18,19). The combination of EGFR inhibition with FOLFOX-4 is currently being investigated in a randomized phase III trial of FOLFOX chemotherapy plus and minus cetuximab. However, while cetuximab and gefitinib target the same cellular pathway, there is very limited data on small molecule inhibitors of EGFR in combination with chemotherapy in CRC.

Despite preclinical evidence for chemosensitization, four major randomized trials have shown no benefit for the addition of gefitinib or erlotinib added to standard chemotherapy for non-small cell lung cancer (21,22). Our data suggests that colorectal cancers differ substantially from non-small cell lung cancers in the ability of EGFR inhibitors to enhance the effects of chemotherapy. The response rate achieved in this study is higher than reported results with FOLFOX-4 alone in a similar setting. While acknowledging that a direct comparison of the two response rates is not possible, the high response rate seen with IFOX suggests that gefitinib exerts a chemosensitizing effect in CRC. This explanation is consistent with our prior IFOX experience with CRC patients who were receiving second-line therapy (30), as well as the results from two phase III trials showing that cetuximab enhances the antitumor efficacy of irinotecan (18,19).

Two previous studies have demonstrated inconsistent results when combining gefitinib at a dose of 250 mg/day with FOLFOX as first line therapy (31,32). Zampino et al reported gefitinib combined with FOLFOX-6 showed response rates similar to that seen in our study (30). However, this was not confirmed by Cascinu et al. using gefitinib combined with FOLFOX-4 (32). Our study used a higher gefitinib dose of 500 mg/day, which may have added to the efficacy. The question of the efficacy of gefitinib or other oral EGFR inhibitors combined with chemotherapy in CRC will ultimately only be answered by randomized Phase III trials.

The median overall survival in this study was 20.5 months. When time to progression in our study population is calculated, regardless of any subsequent therapy they may have received after discontinuation from the study treatment, the result is 9.3 months.

As would be expected with combination therapy, certain toxicities were significantly increased over FOLFOX alone, as reported in multiple previous studies. Adverse events known to be increased by gefitinib from other phase I and II studies include diarrhea and skin changes (either acneiform rash or dry skin). For example, grade 3 diarrhea was experienced in 67% of patients receiving our study treatment compared with 12% reported previously, strongly suggesting an additive toxicity of gefitinib and 5-FU on the lower gastrointestinal tract (2). Grade 3 or 4 neutropenia was also more prevalent compared to historical controls (60% for IFOX vs. 42% for FOLFOX alone).

This investigation showed no correlation between EGFR expression and response or survival. The limitations of sensitivity for detecting EGFR expression by the IHC assay may have



obscured any effect of such expression on outcomes. The trend for increased survival in the EGFR negative patients in Figure 3, although not statistically significant, is consistent with prior studies, which show an adverse prognosis for EGFR expression in CRC (7-9). Previous studies have shown variation in EGFR detection depending on the type of fixative use as well as the duration of storage (33).

In conclusion, this Phase II study demonstrated that EGFR tyrosine kinase inhibition with gefitinib may enhance the anti-tumor efficacy of FOLFOX-4 chemotherapy in patients with previously untreated metastatic CRC, but also increases toxicity. This study further adds to the growing body of evidence that targeting the EGFR pathway can sensitize some colorectal cancers to cytotoxic drugs.

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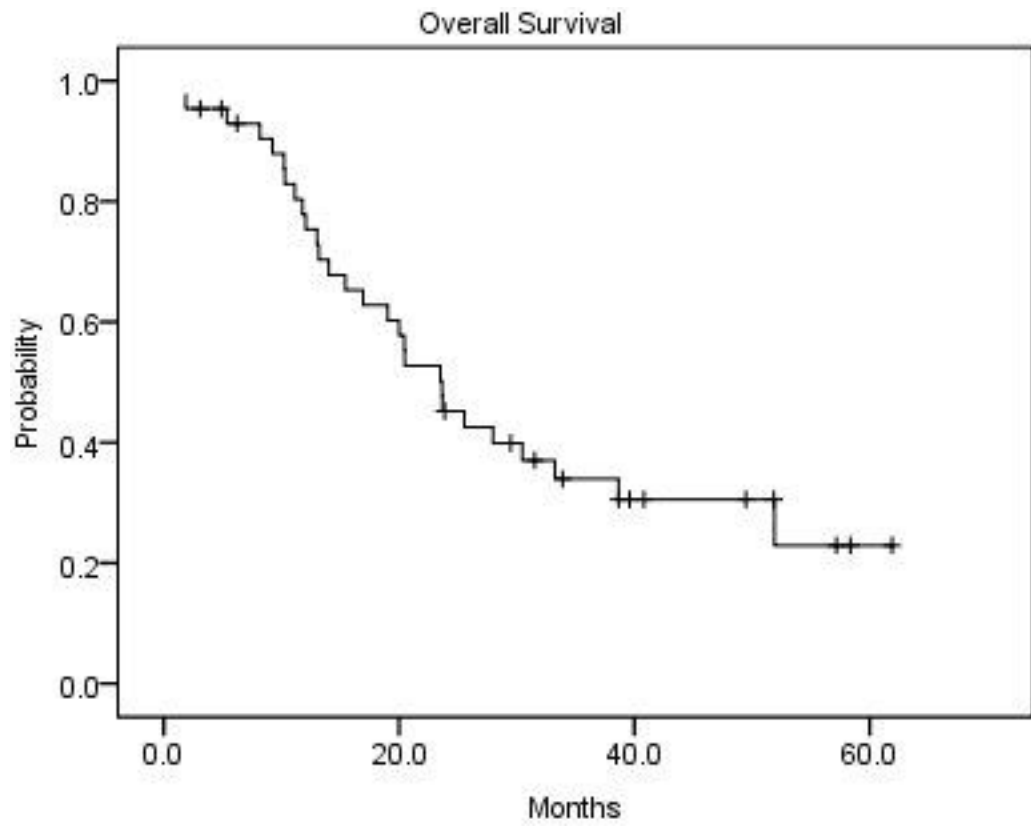
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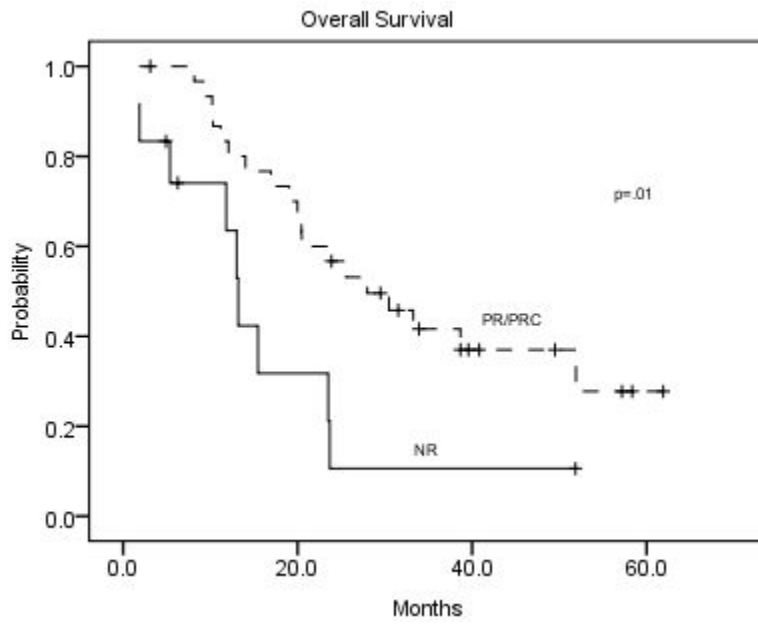
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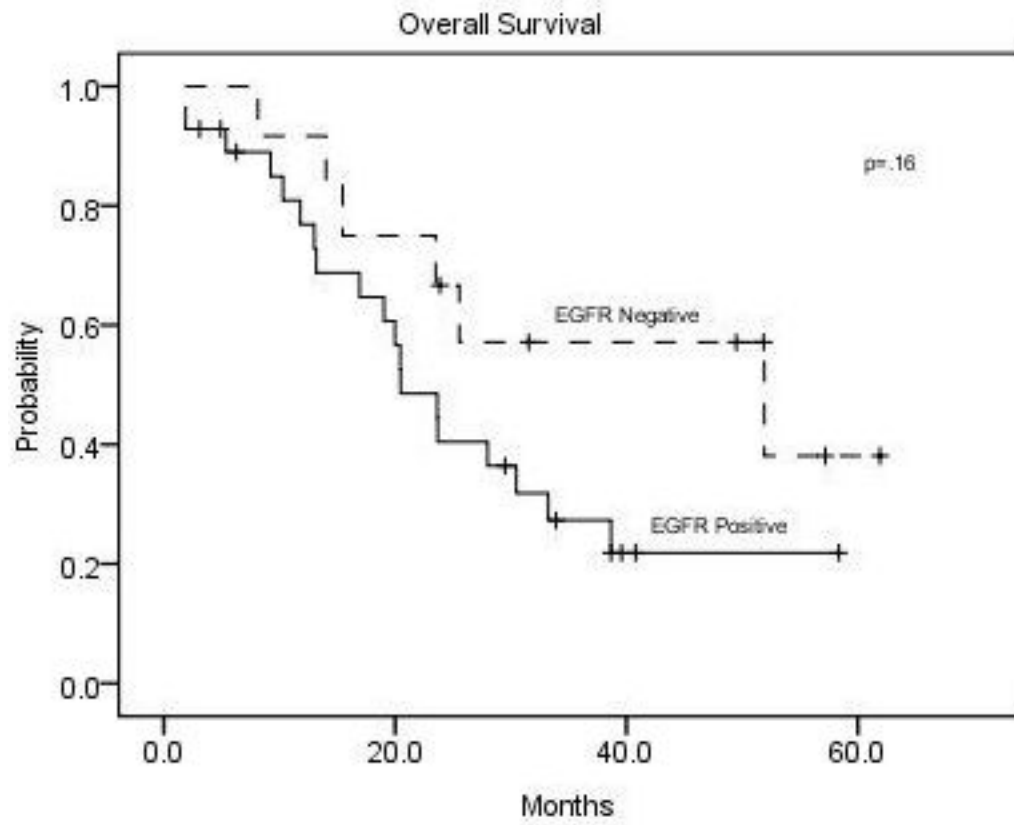
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**Figure 1.** Overall survival for the 43 assessable patients (median 20.5 months).



**Figure 2.** Overall survival for responders vs. non-responders among the 43 assessable patients.



**Figure 3.** Overall survival according to tumor EGFR expression status for the 41 patients with known EGFR expression by immunohistochemical assay.

**Table 1**

## Baseline Characteristics of Patients

<b>Parameter</b>	<b>No. of Patients</b>	<b>% (n = 45)</b>
Age (years)		
Median		57
Range		29-79
<hr/>		
Sex		
Male	24	53
Female	21	47
<hr/>		
Race		
White	35	78
Asian	7	16
Hispanic	2	4
African-American	1	2
Performance Status (ECOG)		
0	37	82
1	7	16
2	1	2

**Table 2**

## Antitumor Response Rates to IFOX Therapy

<b>Response</b>	<b>All evaluable patients (n = 43)</b>
Complete Remission	1 (2%)
Partial Remission	30 (70%)
Stable Disease	8 (19%)
Progressive Disease	3 (7%)
Early Death	1 (2%)



**Table 3**

## Events Leading to Discontinuation of Study Treatment

<b>Event</b>	<b>No. of Patients (%)</b>
Surgery/RFA	14 (33%)
Progressive Disease	15 (35%)
Toxicity	12 (28%)
Completion of Protocol	1 (2%)
Early Death	1 (2%)

**Table 4**

## Grade 3 or 4 Adverse Events Related to Treatment

Adverse Event	All Patients (n = 45)	
	No.	%
Diarrhea	30	67
Neutropenia	27	60
Hypokalemia	15	33
Nausea/vomiting	12	27
Dehydration	10	22
Fatigue	10	22
Anorexia	7	16
Infection	3	7
Thrombosis	3	7
Hyponatremia	3	7
Ileus	3	7
Mucositis	2	4
Renal insufficiency	2	4
Hand and Foot Syndrome	1	2
Syncope	1	2
Anemia	1	2

**Table 5**

Agent	Frequency and Cause of Drug Dose Reductions		Most common reasons for dose reduction					
	No. Requiring Reduction (%)		Anorexia (28%)	Neutropenia (24%)	Fatigue (12%)	Diarrhea (8%)	Neuropathy (8%)	
Oxaliplatin	25 (56%)		Diarrhea (87%)	Neutropenia (10%)	Mucositis (3%)			
5-FU	30 (67%)		Diarrhea (73%)	Dermatitis (18%)				
Gefitinib	11 (24%)							