

## Evidence of DNA damage in Alzheimer disease: phosphorylation of histone H2AX in astrocytes

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**Abstract** Phosphorylation of the histone family is not only a response to cell signaling stimuli, but also an important indicator of DNA damage preceding apoptotic changes. While astrocytic degeneration, including DNA damage, has been reported in Alzheimer disease (AD), its pathogenetic significance is somewhat unclear. In an effort to clarify this, we investigated the expression of  $\gamma$ H2AX as evidence of DNA damage in astrocytes to

elucidate the role of these cells in the pathogenesis of AD. In response to the formation of double-stranded breaks in chromosomal DNA, serine 139 on H2AX, a 14-kDa protein that is a member of the H2A histone family and part of the nucleosome structure, becomes rapidly phosphorylated to generate  $\gamma$ H2AX. Using immunocytochemical techniques, we found significantly increased levels of  $\gamma$ H2AX in astrocytes in regions known to be vulnerable in AD, i.e., the hippocampal regions and cerebral cortex. These results suggest that astrocytes contain DNA damage, possibly resulting in functional disability, which in turn reduces their support for neurons. These findings further define the role of astrocyte dysfunction in the progression of AD.

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### Introduction

Astrocytosis is a sequential morphological change resulting from astrocytic reaction to various kinds of stresses, e.g., oxidative stress (Iida et al. 2004), in the brain. In one study, a significant correlation between astrocytosis (or astrogliosis) and astrocyte degeneration (or astrodegeneration) was observed in cases of frontotemporal dementia (FTD), such that brain tissue expressing minimal to abundant degrees of astrogliosis also showed minimal to severe nuclear degeneration, respectively (Martin et al. 2001). Interestingly, it

has also been shown that most regressive GFAP-positive astrocytes are apoptotic in AD (Kobayashi et al. 2002). In fact, DNA damage in astrocytes has been reported in FTD (Martin et al. 2001; Su et al. 2000), human alcoholic brains (Ikegami et al. 2003), and Pick's disease (Gleckman et al. 1999), as evidenced mostly by increased TUNEL positivity in astrocytes.

While the pathogenic role of astrocytic DNA damage or apoptosis is unclear, previous findings support the idea that cellular degeneration during the course of disease progress in FTD and AD is not limited to neurons (Kobayashi et al. 2002; Martin et al. 2001) and, therefore, glial degeneration may be a concomitant process with neurodegeneration. Since the supportive role of glial cells, especially astrocytes, for neurons is very well known, astrocytic DNA damage and resultant apoptosis or degeneration may contribute to the pathogenesis of AD through the loss of supportive functions.

Phosphorylation of H2AX ( $\gamma$ H2AX) at Ser139 is known to play a very early and important role in the cellular response to DNA double-strand breaks and is mediated by ataxia telangiectasia mutated kinase (ATM) (Burma et al. 2001; Rogakou et al. 1998; Sedelnikova et al. 2003). The 14-kDa protein H2AX is a member of the H2A histone family and part of the nucleosome structure. Within minutes following DNA damage,  $\gamma$ H2AX localizes to sites of DNA damage at subnuclear foci. Phosphorylation of ubiquitinated H2AX may be a factor in the structural change in chromatin seen following induction of apoptotic cell death (Enomoto et al. 2004). The amino-terminal tails of core histones also undergo various post-translational modifications, including acetylation, phosphorylation and methylation (Cheung et al. 2000). These modifications occur in response to cell signaling stimuli and have a direct effect on gene expression. Phosphorylation of H2AX is also known to precede the translocation of phosphatidylserine to the outer cell membrane and the appearance of internucleosomal DNA fragments during apoptosis (Rogakou et al. 2000).

Nevertheless, astrocytic DNA damage involving  $\gamma$ H2AX, an important marker of early DNA damage, has never been reported in neurodegenerative disorders such as AD. To address this point, we performed an immunocytochemical staining for  $\gamma$ H2AX on age-matched control and AD brains to characterize DNA damage in astrocytes in AD.

## Materials and methods

### Tissue samples

Hippocampal tissue samples with adjacent temporal cortex were obtained at autopsy and fixed in either formalin or methacarn (methanol:chloroform:acetic acid; 6:3:1) and embedded in paraffin. Paraffin sections were cut at 6  $\mu$ m using a microtome and placed on coated slides. For this study, 13 cases of AD [ages 71–95 years, mean 85; and with a mean post-mortem interval (PMI) of 17 h], 5 younger control cases (ages 15–37 years, mean 26; mean PMI of 14 h) and 8 aged-matched controls (ages 57–86, mean 71; mean PMI of 22 h) were examined. The clinical diagnosis was confirmed pathologically for all patients using CERAD criteria (Khachaturian 1985; Mirra et al. 1991). Table 1 lists detailed information about each of the cases used for this study.

### Immunocytochemistry

Immunocytochemical analysis was performed using the peroxidase-anti-peroxidase method (Ogawa et al. 2003b; Sternberger 1986). Briefly, paraffin sections were deparaffinized in two changes of xylene and rehydrated through a graded series of ethanol to Tris-buffered saline (TBS) (50 mM Tris, 150 mM NaCl, pH 7.6). Endogenous peroxidases were inactivated with a 30 min incubation in 3% H<sub>2</sub>O<sub>2</sub> and, thereafter, sections were incubated in 10% normal goat serum (NGS) in TBS and then in primary antibodies overnight at 4°C. After rinsing in 1% NGS, and 10 min in 10% NGS, goat-anti-rabbit or mouse was applied for 30 min. Again, after rinsing, rabbit or mouse specific peroxidase-anti-peroxidase complexes were applied for 1 h at room temperature. Immunostaining was visualized using 3'-3'-diaminobenzidine as chromagen. All sections were counterstained with haematoxylin and eosin. Sections were dehydrated and mounted.

For double-label immunocytochemistry, the second primary antibody was developed using the alkaline-phosphatase method with Fast Blue as chromagen. Stained sections were mounted with crystal mount. Antibodies including anti- $\gamma$ H2AX (Cell Signaling, Danvers, MA; rabbit polyclonal), anti-activated microglia (clone TAL1B5; Dako, Carpinteria, CA; mouse monoclonal), anti-GFAP (MP Biomedicals,

**Table 1** Detailed case information. *PMI* Post-mortem interval

Age (years)	PMI (h)	Gender	Cause of death
Alzheimer disease (AD)			
71	13	F	AD (8 year history)
76	47	F	AD (advanced)
78	3	M	AD (severe)
81	5	F	AD (Braak III/IV)
82	15	M	AD (Braak III)
85	3	M	AD
85	22	F	AD (moderate)
87	5	F	AD (Braak V)
89	9 <sup>a</sup>	M	AD
91	41	F	AD
91	7	F	AD (Braak V)
95	7	M	Alzheimer (12 year history)
95	46 <sup>a</sup>	F	AD (probable)
Controls			
15	3	F	Cystic fibrosis
17	15	M	Accident
31	4	F	Cystic fibrosis
31	24	M	NA <sup>c</sup>
37 <sup>b</sup>	24	F	Pleural sepsis
57	15	M	Cirrhosis
61	5	F	Lymphoma
65	17	M	Aortic aneurysm
66	46	M	Diabetes
74 <sup>b</sup>	24	M	Cancer
78	18	M	Accident
82	NA	F	Pneumonia
86	10	M	Heart disease

<sup>a</sup>Negative Alzheimer disease case

<sup>b</sup>Positive control case

<sup>c</sup>Not available

Solon, OH; mouse monoclonal), and AT8 recognizing phosphorylated tau (Endogen, Woburn, MA; mouse monoclonal) were used for immunocytochemistry.

Immunostained hippocampal sections were examined throughout the entire area, including gray and white matters, under a light microscope. Double immunostained slides were analyzed qualitatively to verify the type of cells showing  $\gamma$ H2AX immunopositivity.

## Results

### $\gamma$ H2AX immunoreactivity in AD and control brains

Specific nuclear immunopositivity for  $\gamma$ H2AX was seen in the gray and white matter in 11 out of 13 AD

cases. The positive nuclei, morphologically characterized as those of glial cells, were found in both gray and white matter, and consistently in the cornu ammonis (CA) regions of the hippocampus rather than other adjacent areas in the brain (Fig. 1 shows the CA4 region). Interestingly, only 2 of the 13 control brains (ages 37 and 74 years) demonstrated significant immunoreactivity in glia, while all other control cases showed no immunoreactivity (Fig. 1). The two positive control cases (highlighted in Table 1), were a younger patient with pleural sepsis and a 74-year-old cancer patient, who showed no neuropathological abnormalities.  $\gamma$ H2AX was not present in the neurons in any regions including the hippocampal area (Fig. 1a,c).

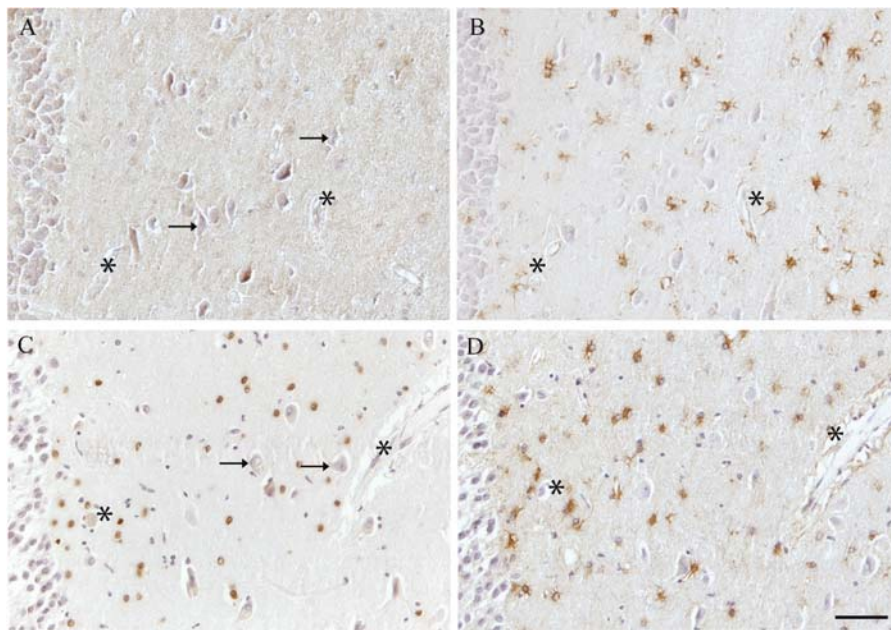
### Double-immunostaining of $\gamma$ H2AX with GFAP, AT8, and TAL1B5

To confirm the specific cell types containing increased  $\gamma$ H2AX, we performed double immunocytochemistry with specific cell markers. All the immunopositive nuclei were proven to be those of astrocytes following double immunostaining for  $\gamma$ H2AX and GFAP, a specific marker for astrocytes (Fig. 2a,b). All cases, AD and control, displayed many GFAP-positive astrocytes, yet only AD cases, and a minority of controls, revealed concomitant  $\gamma$ H2AX. In fact, in many of the AD cases, most of the GFAP-positive astrocytes also contained  $\gamma$ H2AX. In contrast, double immunostaining of  $\gamma$ H2AX with a microglia marker, TAL1B5 (class II MHC, an activated microglia indicator), showed no colocalization of  $\gamma$ H2AX-positive nuclei with microglia (Fig. 2c). Likewise, neurofibrillary-tangle-bearing neurons (identified with AT8 antibody to phosphorylated tau) also never contained  $\gamma$ H2AX (Fig. 2d). These data suggest that the expression of  $\gamma$ H2AX is limited to astrocytes in AD.

## Discussion

In this study, we found evidence for unique and selective DNA damage in the astrocytes of AD brains, as evidenced by the astrocytic nuclear accumulation of  $\gamma$ H2AX.

DNA damage to astrocytes has been reported in a variety of neuropathological conditions, including Pick's disease (Gleckman et al. 1999), FTD (Su et al.



**Fig. 1a–d** Expression of  $\gamma$ H2AX in astrocytes in Alzheimer disease (AD). Virtually no nuclear H2AX is detected in the CA4 region of the hippocampus in control cases (**a**), even though a large number of astrocytes are present, as seen in an adjacent serial section immunostained with anti-GFAP (**b**). However, specific nuclear immunoreactivity is seen in many

astroglial cells in the hippocampus of a 91-year-old AD case (**c**), while the number of GFAP-positive astrocytes in an adjacent serial section (**d**) is similar to that in the control case. Individual cells are visualized by counterstaining with hematoxylin. The pyramidal neurons remain unlabeled for H2AX (arrows in **a** and **c**). Asterisks Landmark vessels. Bar 100  $\mu$ m

2000), amyotrophic lateral sclerosis (ALS) (Migheli et al. 1997) as well as AD (Lucassen et al. 1997; Petito and Roberts 1995). In these latter studies, DNA damage was found in the reactive (Parkinson's disease, FTD, ALS) or non-reactive (ALS) astrocytes and had the features of fragmentation or degeneration, which seemed to appear prior to or unrelated to apoptotic cell death.

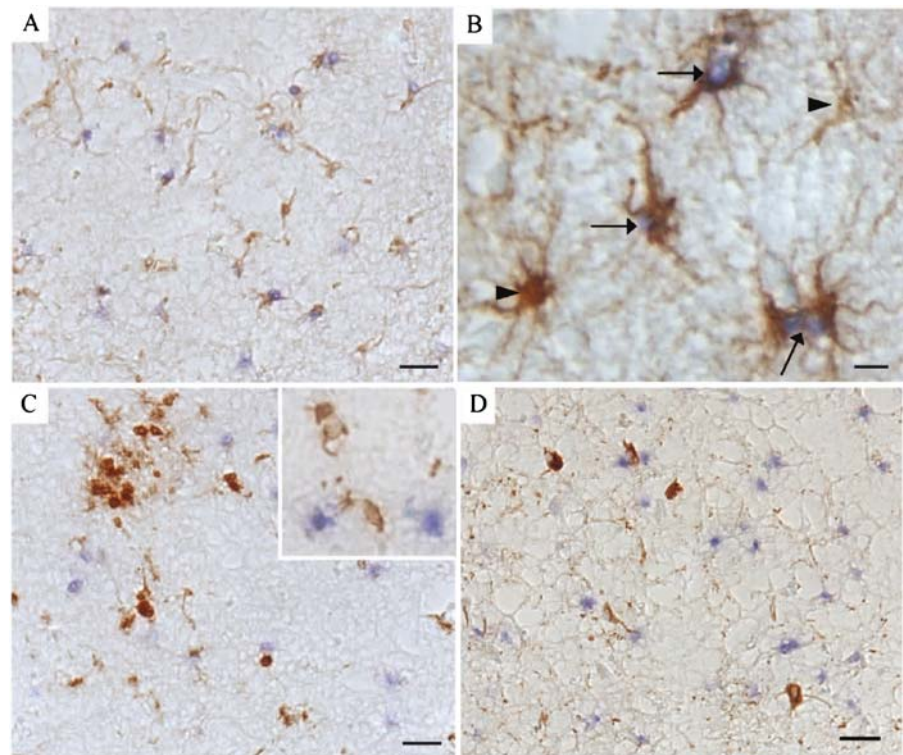
The role of degenerated astrocytes in the pathogenesis of AD is not clear although several lines of evidence indicate that astrogliosis in chronic neurodegenerative disorders (AD, Parkinson's disease, ALS, and Huntington's disease) may play a secondary compensatory or protective role. Ablation of normal proliferating astrocytes exacerbates loss of neurons and tissue after brain or spinal cord injury (Bush et al. 1999; Faulkner et al. 2004; Myer et al. 2006). In addition, large numbers of proliferating astrocytes in the cortex after mild contusion injury are associated with a very normal appearing cortex, in which there is very little loss of neurons or tissue in mice. Therefore, DNA damage in astrocytes might affect the vitality of astrocytes or directly alter gene expression essential to the supportive functions of astrocytes.

Our results show that  $\gamma$ H2AX-immunopositive nuclei were significantly increased in the astrocytes of AD in comparison to those of control cases. Also,  $\gamma$ H2AX was selectively found in the astrocytic nuclei and not in the nuclei of vulnerable neurons or microglia, as demonstrated using double immunocytochemistry for GFAP or phosphorylated tau. Our results suggest that AD patients have selectively increased DNA damage in astrocytes, whereas no significant DNA damage is found in the astrocytes of age-matched controls. Interestingly,  $\gamma$ H2AX expression was consistently found in the hippocampal areas, a region known to be vulnerable in AD, thus suggesting a correlation with the clinical symptoms of AD.

In proliferating cells, DNA damage may be repairable, or non-repairable resulting in apoptosis. Without a DNA repair system, cells could not live because DNA damage occurs constantly: about 10,000 DNA damaging events every day in each cell. DNA damage, if not repaired, interferes with DNA replication and transcription. The DNA damage response is a complex event comprised of many different enzymes and factors, including  $\gamma$ H2AX,

**Fig. 2a–d** In an 82-year-old AD case, double-labeling immunocytochemistry confirms the localization of H2AX in astrocytes.

**a, b** H2AX-positive nuclei (blue, arrows) display complete overlap with astrocytes detected with antisera to GFAP (brown). Only a small percentage of astrocytes do not display H2AX (b, arrowhead). **c** (and inset) Microglia are stained brown and never contain H2AX positive nuclei (blue). **d** Neurofibrillary tangles, stained brown, also do not contain H2AX (blue). Bars **a, c, d** 50  $\mu$ m; **b** 10  $\mu$ m



which works as an early marker for DNA double-strand breaks to activate other factors and enzymes responsible for DNA repair, apoptotic signaling, or cell cycle arrest. Of note, in AD, the activation of a number of cell cycle molecules in neurons followed by arrest is common (Bowser and Smith 2002; Harris et al. 2000; McShea et al. 1997, 1999; Ogawa et al. 2003a; Zhu et al. 2004). Presumably, if the DNA damage is too severe to be repaired, a cell activates the apoptotic program, a part of the DNA damage response that serves to eliminate such cells. Once a DNA double-strand break is repaired,  $\gamma$ H2AX becomes dephosphorylated and is no longer recognizable by the  $\gamma$ H2AX antibody used here (Bartek and Lukas 2007; Kruman 2004; Rios-Doria et al. 2006; Tanaka et al. 2007).

Technically, it is difficult to determine both apoptosis and DNA damage in chronic diseases such as AD compared to acute damage such as ischemia (Perry et al. 1998; Raina et al. 2001; Zhu et al. 2006). In proliferating cells, the repair machinery is much more powerful than in post-mitotic cells. For example, the same concentrations of DNA damaging agents that kill neurons do not kill astrocytes, i.e., the threshold is higher in proliferating cells. Double-

strand breaks are the most dangerous type of DNA damage, and the occurrence of this type of damage is much lower than 8-oxoguanine modification or single-strand breaks; 8-oxoguanine in neurons is associated with hereditary chronic disorders in animal models (Bogdanov et al. 2000, 2001; Kruman et al. 2002). While cell culture models provide clear evidence that cells will either undergo apoptosis if they cannot repair DNA after DNA damage or display dephosphorylated  $\gamma$ H2AX following repair, the actual situation in vivo, especially in a chronic disease condition, is likely different than in a cell culture model system. If cells are under acute cytotoxic stress causing severe DNA damage in vivo, such as under ischemic conditions,  $\gamma$ H2AX would be increased and the cells would progress down apoptotic pathways resulting in massive cell death. If under chronic, subcytotoxic, or intermittent cytotoxic stress, cells may keep repairing the damage until the damage level becomes intolerable; in this scenario,  $\gamma$ H2AX would continuously disappear and reappear in such cells. Since the massive level of acute cell death as shown in either ischemia models or in cell culture models is not evident in AD, the latter mechanism more reasonably explains the pathogenesis of AD, where

minimal levels of apoptosis in neurons and astrocytes are observed (Perry et al. 1998; Raina et al. 2001; Zhu et al. 2006). Astrocytes and neurons likely have different endurable levels of DNA damage and would express  $\gamma$ H2AX at different levels.

While the exact effect of the astrocytic DNA damage on the functions and vitality of astrocytes is unclear, the presence of DNA damage alone may not signify apoptosis but more likely identifies a subset of vulnerable astrocytes in which mechanisms of both cell death and repair have been activated (Gleckman et al. 1999).

In conclusion, our results demonstrate that AD brains contain DNA damage, as evidenced by the selective presence of  $\gamma$ H2AX in astrocytes, and that the damaged astrocytes were diffusely distributed in the cortex, white matter and hippocampal region. These data suggest that astrocytic DNA damage might be involved in the pathogenesis of AD in a significant way such as to compromise the neuronal support functions of astrocytes.

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