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Collagen $\alpha 1(XI)$ in normal and malignant breast tissue

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Abstract

Little is known about collagen XI expression in normal and malignant breast tissue. Tissue microarrays, constructed from 72 patients with breast carcinoma and matched normal tissue, were immunohistochemically stained with five antisera against isoform-specific regions of collagen $\alpha 1(XI)$ N-terminal domain. Staining intensity was graded on a 0–3 scale in epithelial cytoplasm, stroma, and endothelial staining of the vasculature of each tissue core. The staining was compared to known pathologic parameters: age, tumor size, overall tumor grade, nuclear grade, tubule formation, mitotic counts, angiolymphatic invasion, node status, estrogen receptor status, progesterone receptor status, and HER-2/neu status. Estrogen and progesterone receptor status were used as a control for comparison. With antisera V1a and amino propeptide (Npp), stroma surrounding cancerous cells was found to have decreased collagen $\alpha 1(XI)$ staining compared to stroma adjacent to normal epithelium ($P=0.0006$, $P<0.0001$). Collagen $\alpha 1(XI)$ staining with V1a antiserum in cytoplasm of cancer cells demonstrated decreased intensity in metastasized primary tumors when compared to nonmetastasized primary tumors ($P=0.009$). Cytoplasmic staining with Npp antiserum in cancer demonstrated an inverse relationship to positive estrogen receptor status in cancer ($P=0.012$) and to progesterone receptor status ($P=0.044$). Stromal staining for Npp in cancerous tissue demonstrated an inverse relationship with tubule formation score ($P=0.015$). This is the first study to localize collagen XI within normal and malignant breast tissue. Collagen $\alpha 1(XI)$ appears to be downregulated in stroma surrounding breast cancer. Detection of collagen XI in breast tissue may help predict women who have lymph node metastases.

Keywords

collagen type XI; breast cancer; tissue microarray; immunohistochemistry; node status; metastasis

Approximately 180 000 US women will be diagnosed with breast cancer in the year 2007.¹ Sentinel lymph node biopsy has become the favored method for the nodal staging of breast cancer. Looking for alternatives to invasive procedures such as sentinel lymph node biopsy is imperative for improving patient care. Known predictors of metastasis have been studied extensively: age, race, menopausal status, palpability, tumor size, positive margin on initial excision, histopathologic diagnosis, tumor grade, mitotic counts, nuclear polymorphism, tubule formation as an indication of tumor differentiation, lymphatic and vascular invasion, estrogen receptor status, progesterone receptor status, and HER-2/neu status.^{2–8}

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Collagen XI, a minor collagen found in many tissues, but characterized most thoroughly in cartilage, nucleates, and regulates formation of thin fibrils of developing or remodeling tissues.⁹⁻¹³ It is a heterotrimeric protein, consisting of three α -chains organized into a triple helix. Both $\alpha 1(XI)$ and $\alpha 2(XI)$ are unique gene products, and $\alpha 3(XI)$ is a hyperglycosylated version of the collagen ($\alpha 1$)II chain.^{14,15} Originally synthesized as procollagen, collagen XI has its C and N termini proteolytically removed after secretion from the cell.^{16,17} An N-terminal domain (NTD), consisting of a variable region and an amino propeptide (Npp) exists in $\alpha 1(XI)$ and $\alpha 2(XI)$. Alternative splicing of three separate exons (6a, 6b, and 8) may produce eight unique spliceforms encoding the corresponding protein regions p6a (V1a), p6b (V1b), and p8 (V2; Figure 1).^{18,19} Common to all spliceforms are the Npp encoded by exons 2–5, and the C2 region, encoded by exon 7 (Figure 1 and Table 1).

While the major triple helix of collagens may be difficult to access in immunohistochemical procedures, the NTD may be a more useful target. Previous studies have demonstrated the surface location and its persistence following biosynthesis.^{20,21}

It has been found that several genes encoding extracellular matrix components including COL6A3 and COL8A1 were upregulated in cancer cells when compared with normal tissue.²² A previous study evaluated the expression of the gene *COLXIA1* coding collagen $\alpha 1(XI)$ between primary cancer and paired lymph node metastases as a predictor of clinical outcome of node-positive breast cancer patients. It was found that there was decreased expression of *COLXIA1* in lymph node metastatic tissue as confirmed by reverse transcription (RT)-PCR.²³ Finally, downregulation of all collagen has been shown in breast cancer tissue when compared with normal tissue.²⁴ Modification in cancerous breast tissue collagen metabolism may reflect tissue remodeling, which is typical for the invasive phenotype of malignant cells.²⁴

Collagen is known to play an active role in numerous biological processes such as cell morphogenesis, proliferation, migration, differentiation, apoptosis as well as carcinogenesis. Upregulation of collagen in carcinomas has been demonstrated in a variety of organs including colorectal tumors, non-small-cell lung cancer, and high-grade prostate carcinoma.²⁵⁻²⁸ It was found that colon mucosal stroma in the majority of colorectal cancers expressed *COLXIA1*, whereas it was rarely expressed in normal colon mucosa.²⁵ In a study involving non-small-cell lung cancer, collagen $\alpha 1(XI)$ was overexpressed at high frequency among both adenocarcinoma and squamous cell carcinoma.²⁹ This study aims to analyze the presence of collagen $\alpha 1(XI)$ spliceforms in normal and malignant human breast tissue while also evaluating the relationships to clinical/pathologic parameters including its ability to predict lymph node metastases.

Materials and methods

Five antisera were raised against specific portions of the collagen $\alpha 1(XI)$ molecule. A purified 40-aminoacid (AA) synthetic peptide was used to generate a polyclonal antiserum to V1a (exon 6a) that has the potential to recognize spliceforms Col11a1p6a, Col11a1p6a78, Col11a1p6a6b, and Col11a1p6a6b78. A 29-AA synthetic peptide was used to generate a polyclonal antiserum that has the capacity to recognize all possible spliceforms through interaction with the epitope in the constitutively expressed exon 7 region (referred to as C2). An 11-AA synthetic peptide designed from the C terminus of the cleaved Npp was used to generate a polyclonal antiserum to $\alpha 1(XI)$ Npp that has the capacity to recognize all possible spliceforms.^{20,30,31} A 20-AA synthetic peptide designed from the carboxy portion of V2 was used to generate a polyclonal antiserum to $\alpha 1(XI)$ V2 (exon p8) that has the potential to recognize spliceforms Col11a1p8, Col11a1p6a78, Col11a1p6b78, and Col11a1p6a6b78. A purified 51-AA rat recombinant was used to generate a monoclonal antibody to V1b (exon p6b) that has the potential to recognize

spliceforms Col11a1p6b, Col11a1p6a6b, Col11a1p6b78, and Col11a1p6a6b78 (Figure 1 and Table 1). The antibody specificity has been formerly characterized for rat, mouse, bovine, and human tissues.^{19,20,32} RT-PCR was performed to confirm that normal human mammary epithelial cells express Col11a1 at the mRNA level (Figure 1).

Grossly normal and malignant human breast tissue was obtained from pathology archives. Three tissue microarrays containing 1 mm diameter cores from 72 breast cancer cases were prepared using a Quick-Ray arrayer (Woo-Ri Medic, Seattle, WA, USA). Two tissue microarray blocks consisted of nonmetastasized cases containing three cancer cores and one normal core per case. The third tissue microarray block consisted of cases with metastasis and contained three cancer cores, two metastasis cores, and one normal core per case. After completion, the blocks were incubated at 60°C for 45 min, embedded in paraffin, cut to 1 μ m thickness, and heat fixed onto glass slides, as formerly described.³³

To initiate deparaffinization, tissue microarray slides were incubated for 25 min at 65°C. Sequential washes in xylene (twice for 5 min), absolute ethanol (twice for 3 min), 95% ethanol (twice for 3 min), and distilled water for 5 min were used for complete deparaffinization and rehydration. Throughout the subsequent staining process, the slides were stored in a humidified slide moat (Boekel Scientific, Feasterville, PA, USA) to prevent tissue desiccation. A Pap pen (DakoCytomation, Dako North America Inc., Carpinteria, CA, USA) was used to encircle the tissue to contain the solution.

Hyaluronidase (H3506, Sigma-Aldrich Corporation, St Louis, MO, USA) was diluted to 0.01 mg/ml in Tris buffer saline (TBS), pH 7.5 (0.2 M Tris-HCl, 0.17 M NaCl). This solution (200 μ l) was placed on each slide and incubated at 25°C for 45 min. Sequentially, TBS and distilled water were used to rinse slides, which were subsequently placed into 95–99°C Target Retrieval Solution, pH 7.5, (DakoCytomation) for 40 min. The slides were permitted to cool, followed by a 25 min incubation in Dual Endogenous Enzyme Block for Autostainer (200 μ l; DakoCytomation) to quench endogenous peroxidases. Slides were rinsed and immersed 3–5 times in Wash Buffer (DakoCytomation).

Antibody diluent (DakoCytomation) was used to dilute each primary antiserum to an optimal concentration, which was determined by serial dilutions prior to this study (V1a=1:200; C2=1:200; Npp=1:200; V2=1:200; V1b=1:25). The tissue was incubated in 200 μ l of primary antiserum at 25°C for 1 h. After incubation, slides were rinsed, and 200 μ l of anti-rabbit and anti-mouse secondary antibody, EnVision⁺ Dual Link System Peroxidase (DakoCytomation), was applied to each slide and incubated for 30 min at 25°C. Following a wash step, each slide was covered with 200 μ l of liquid DAB⁺ Substrate Chromagen System for Autostainer (DakoCytomation) and incubated for 20 min at 25°C. After a distilled water rinse, hematoxylin (7211, Richard-Allan Scientific, Kalamazoo, MI, USA) was applied to each slide for 2 min at 25°C; then the slides were rinsed thoroughly and submerged in distilled water for 5 min. Dehydration of the tissue was accomplished with consecutive ethanol-concentration steps and xylene. A negative control excluding primary antiserum and a positive control using placenta tissue were run with the tissue microarray slides for quality control.^{34,32}

The distribution of collagen α 1(XI) in tissue microarray cores was assessed by a pathologist (JDK). Staining with five antisera against specific regions of the NTD was evaluated to determine the primary spliceforms present in breast tissue. For three antisera, V1a, C2, and Npp, cores were assessed to determine if epitopes were present in cancer or normal and a score indicative of staining intensity was given to cytoplasm, stroma, and vasculature (intensity: 0=no staining, 1=weak staining, 2=intermediate staining, 3=strong staining). Staining data were collected for the three antisera with other tumor characteristics and case demographics including: age, tumor size, overall tumor grade (I, II, or III, as described in Elston and

Ellis⁸), nuclear polymorphism, tubule formation, mitotic counts, angiolymphatic invasion, node status, estrogen receptor status, progesterone receptor status, and HER-2/neu status. If a case included multiple cores of cancer or normal, the staining intensities were averaged so that each case had only one data point for cancer and one for normal.

SPSS 15 and the SAS package were used for statistical analysis. The Pearson's Product Moment Correlation was used to determine correlation between common pathologic parameters and lymph node status. Repeated measures analysis of variance determined cytoplasm, stroma, and vascular staining outcomes for each antiserum between cancer and normal tissue. Finally, univariate analyses were carried out to determine significance between staining of cancerous breast tissue with the three antisera against collagen $\alpha 1(XI)$ and standard pathologic parameters.

Results

In this study, 72 cases of breast cancer were evaluated. Of these, 18 women had lymph node metastases. The average age of the patients was 63 years old (range: 34–90). Average tumor size of the cases studied was 1.9 cm with 46 patients with tumor size less than or equal to 2 cm and 25 patients with tumor size greater than 2 cm. Of those, 15 patients had angiolymphatic invasion and 55 did not. The study had 29 patients with an overall tumor grade of I, 29 patients with an overall tumor grade of II, and 10 patients with an overall tumor grade of III. In addition, there were 8 patients with a tubule formation score of 1, 18 with a tubule formation score of 2, and 39 patients with a tubule formation score of 3.

Typical clinical pathologic parameters including tumor size, angiolymphatic invasion, and tubule formation score correlated with node status (Table 2). The presence of angiolymphatic invasion directly correlated with positive node status ($P=0.037$). Of the patients with axillary lymph node metastases, 39% had angiolymphatic invasion. Furthermore, of the patients without metastasis, only 15% had angiolymphatic invasion. With increasing tubule formation score and tumor size, there was an increase in probability of metastasis in the breast cancer cases assessed in this study ($P=0.051$, $P=0.037$). Conversely, overall tumor grade was not found to be a significant predictor of lymph node metastasis in our study.

Collagen $\alpha 1(XI)$ was variably expressed and localized to epithelial cell cytoplasm, stroma, and vascular structures within normal and cancerous breast tissue. Col11a1 mRNA was detected in normal human mammary epithelial cells by RT-PCR (Figure 1). Figure 2 illustrates the lack of staining with antisera against the V1b and V2 regions of the NTD when compared with the V1a antiserum used in this study. Table 3 summarizes the significance of staining in the regions with two antisera relative to cancer vs normal tissue. Downregulation of collagen $\alpha 1(XI)$ in the stroma surrounding cancer epithelium compared with normal tissue was evident with V1a and Npp antisera (Figure 3; $P=0.0006$, $P<0.0001$, respectively). Antisera directed to the V1b and V2 regions of collagen $\alpha 1(XI)$ did not detect any epitope within the tissue analyzed. Table 4 reports the statistically significant findings when staining intensity in cancerous breast tissue was compared to the standard pathological parameters, including node status. There was an inverse relationship observed between V1a antiserum cytoplasmic staining and node status; negative node status cases had mean staining intensity of 2 and positive node status cases had mean staining intensity of 1.5 (Figure 3; $P=0.009$). Stromal staining with Npp antiserum in cancerous tissue demonstrated significance with tubule formation score in an inverse manner with more differentiated glandular tissue having more staining ($P=0.015$).

Collagen XI staining was also compared to progesterone and estrogen receptor status for comparison (Figure 4). Cytoplasmic staining with Npp antiserum exhibited significance and

an inverse relationship when assessed relative to positive estrogen receptor status ($P=0.012$) and to progesterone status ($P=0.044$).

Discussion

Collagen XI is a critical regulatory protein primarily associated with fibril formation and limitation of fibril growth. It exists in several spliceforms, which have not been studied previously in breast cancer. The aim of this study was to determine if a correlation exists between collagen $\alpha 1(XI)$ and the progression stage of breast cancer. Collagens have been shown to change in neoplasms, and detection of change in expression may lead to the development of novel diagnostic and/or prognostic tools.

To confirm the validity of this study, known predictors of node status in breast cancer patients were evaluated. It was found that the presence of angiolymphatic invasion correlated with positive node status suggesting the two are directly related and indicate poor prognosis. Previous studies corroborated our results.²⁻⁷ Other pathologic clinical parameters have been evaluated in earlier studies, which suggest direct relationships between node status and tubule formation score, tumor size, and angiolymphatic invasion.^{3,5,6} Although overall tumor grade was not found to be a significant predictor of lymph node metastasis in our study, it has been proven in earlier studies to have significance in relation to node status.^{3,5-7} It is possible that significance was not found due to small sample size of 72 patients.

Cytoplasmic, stromal, and vascular staining was observed with three Col11a1 antisera. Collagen XI is an expected component of stroma. Current studies are underway in our laboratory to understand the cytoplasmic localization that has been observed in some cases. It is possible that the NTD region once cleaved from the major triple helix, may be involved in other cellular processes or alternatively, may be taken up by cells and targeted for degradation and turnover. Alternatively, the data may indicate that the protein accumulates within the cell rather than being properly secreted. Previous studies have identified a type V/XI hybrid molecule in cartilage canals, perichondral invaginations of blood vessels, and blood vessels (36 and unpublished observations).

A relationship between the staining results of cancer vs normal tissue demonstrated significance with two antisera staining the stroma. Staining intensity of stroma with V1a and Npp antisera was significant relative to cancer vs normal, with stroma surrounding normal epithelium consistently staining more intense than that surrounding cancerous epithelium. This result is consistent with previous findings that suggest modification in collagen metabolism of cancerous breast tissue may be a result of tissue remodeling in invasive malignancies.²⁴ On the contrary, various other cancers fail to exhibit this same pattern of downregulation. Studies of prostate cancer, lung cancer, and colorectal cancer have reported upregulation of collagen in cancerous tissue when compared with normal tissue.²⁵⁻²⁹ However, collagen XI was specifically considered only in colon cancer and non-small-cell lung cancer.^{25,29} Other collagens studied in relation to cancer include collagen XVIII, a precursor to angiogenesis inhibitor endostatin, which was shown to indicate poor prognosis and progression of non-small-cell lung cancer when overexpressed in the malignancy.²⁶ In addition, collagen XXIII, was suggested as a biomarker of prostate cancer progression and metastasis.²⁷ Increased collagen XXIII expression in prostate cancer tissue was demonstrated, and it was suggested that the amount of expression is a significant independent predictor of prostate-specific antigen defined disease recurrence.²⁷ However, collagen expression in cancer decreased with increased Gleason sum in a prostate cancer study, which indicated that collagen content in the cancer decreased whereas the collagen levels in the adjacent normal tissue was dramatically upregulated when compared with control normal prostate from a patient without cancer.²⁸ The

increased collagen content in surrounding tissue was hypothesized to be due to a host response against the tumor. Clinically, it was suggested to be a predictor of patient survival.²⁸

In prior breast cancer studies, collagen was downregulated and in an earlier prostate cancer study similar findings were demonstrated.^{24,28} These findings support our results that collagen $\alpha 1(XI)$ staining in stroma surrounding carcinoma is decreased as compared to stroma surrounding normal epithelium.

Not only were staining results of cancer vs normal tissue assessed, but we also looked at staining intensity as a predictor of common pathologic parameters including node status. Collagen $\alpha 1(XI)$ staining with V1a antiserum in epithelial cytoplasm demonstrated decreased intensity in primary tumors that had metastasized when compared with tumors that did not metastasize. These results suggest a downregulation of collagen $\alpha 1(XI)$ in more advanced tumors. This exhibits potential for further study that may lead to developing collagen XI as a marker for metastasis. The inverse relationship between intensity of cytoplasmic staining in cancerous tissue with V1a antiserum and tumor size may indicate that as a tumor progresses the collagen XI content decreases.

Because cytoplasmic staining with Npp antisera in cancerous tissue demonstrated an inverse relationship to positive estrogen receptor status and cytoplasmic staining with Npp antiserum exhibited significance relative to progesterone status, it may indicate that well-differentiated tumors with more positive estrogen and progesterone receptors have a relatively high expression of collagen XI. It appears that as the tumor becomes less differentiated, collagen XI expression declines. The lack of collagen XI, a regulatory molecule, may contribute to the uncontrolled growth of the tumor. Stromal staining with Npp antiserum in cancerous tissue demonstrated significance with tubule formation score demonstrating a trend with well-differentiated cancers of tubule formation score of 1 having more staining than less differentiated tumors of tubule formation score of 2 and 3. This inverse relationship between differentiation and collagen XI expression may indicate that collagen XI must be downregulated for tumors to become less differentiated.

In the future, it would also be valuable to stain normal breast tissue from patients without breast cancer for comparison. In a previous study, normal prostate from cancer-free patients was assessed in addition to cancer and normal tissue from a patient with prostate cancer.²⁸ In addition, further study is warranted to determine the mechanism underlying downregulation of collagen XI in cancer when compared with normal breast tissue. Because collagen XI appears to be present in normal tissue at higher levels, this suggests downregulation in cancerous tissue as a desmoplastic response that although significant statistically, its biological significance is unknown. It may also be valuable to look at collagen $\alpha 1(XI)$ content of intraductal carcinoma, the precursor to breast carcinoma, in normal and cancer tissue.

In conclusion, collagen $\alpha 1(XI)$ is expressed in epithelial cells, stroma, and vessels of normal and cancerous breast tissue. The spliceform containing the region encoded by exon 6a, but excluding regions encoded by exon 6b and exon 8 appears to be the most prevalent form expressed in breast tissue. Collagen $\alpha 1(XI)$ stromal expression is markedly decreased in breast carcinoma as compared to patient matched normal tissue suggesting the possibility of use as a future diagnostic tool. Additionally, quantification of cytoplasmic and stromal expression of collagen XI may also be a valuable tool in diagnosing lymph node status in patients providing an alternative to invasive techniques such as sentinel node biopsies. Further studies will be necessary to validate our findings.

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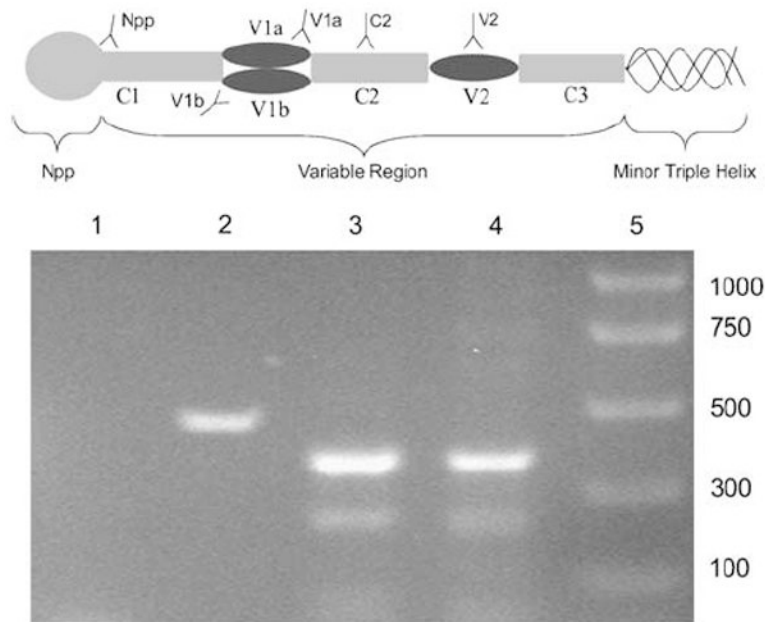


Figure 1. Schematic illustration of collagen $\alpha 1(XI)$ N-terminal domain. Positions of target sequences are labeled for each of the antisera used in this study. The V1a region is recognized by antiserum V1a, the C2 region is recognized by antiserum C2, and the Npp is recognized by antiserum Npp. Col11a1 fragment was amplified by RT-PCR. (Lane 1) PCR control with no reverse transcriptase added; (lane 2) mRNA isolated from human mammary epithelial cells (HMEC), amplified with primers specific for the housekeeping gene GAPDH; (lane 3) mRNA isolated from HMEC, amplified with primers specific for Col11a1; (lane 4) mRNA isolated from human mammary cells, amplified with Col11a1 primers; (lane 5) size of markers specified in # basepairs.

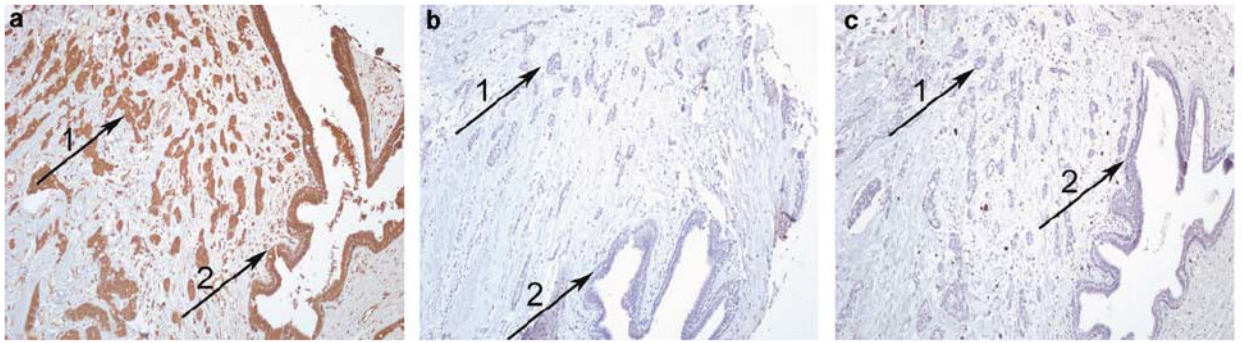


Figure 2. Staining with V1a (a), V2 (b), and V1b (c) antisera in breast cancer with adjacent normal tissue included within field. Immunostaining: original magnification $\times 100$.

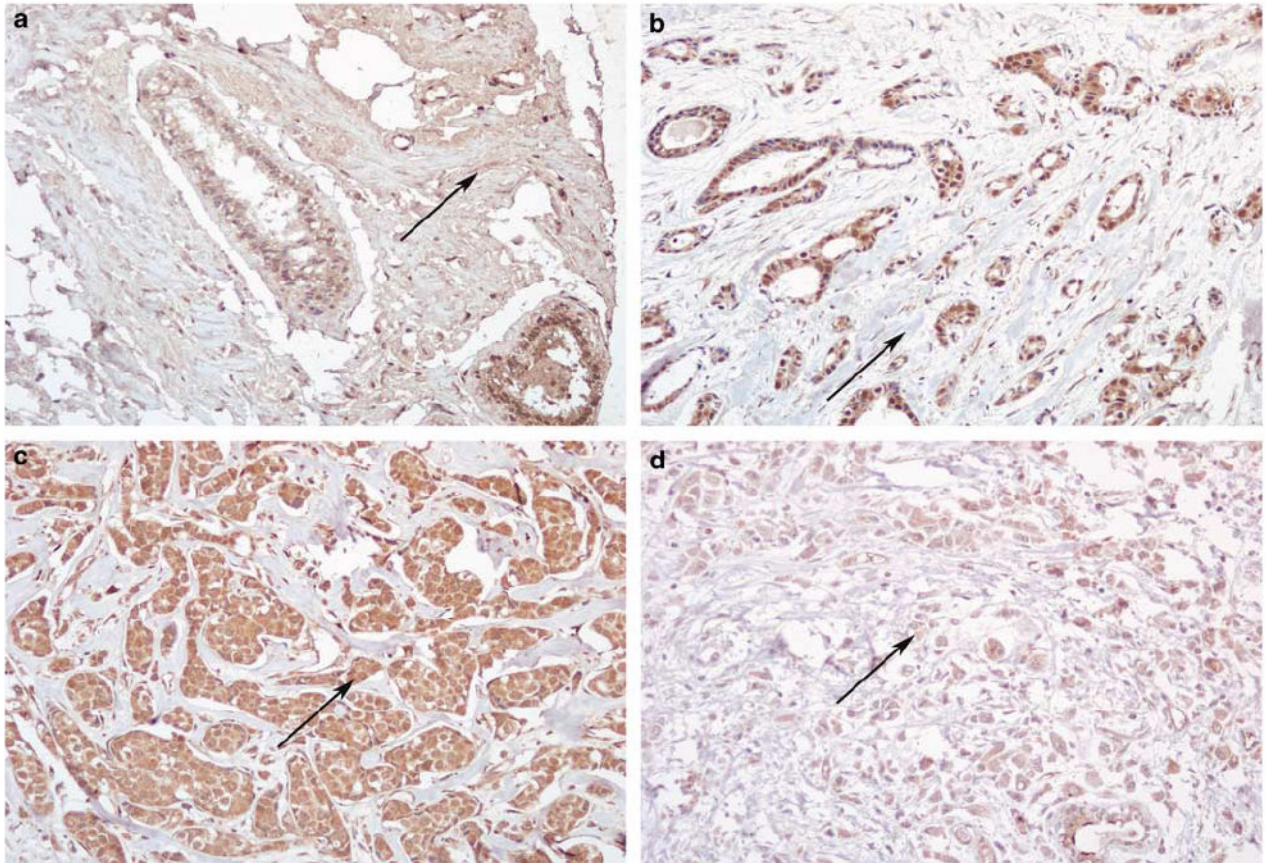


Figure 3. Stromal staining with antiserum Npp in normal (a) and cancerous (b) human breast tissue. Cytoplasmic staining with V1a in primary breast tumors of patients with nonmetastatic (c) and metastatic (d) disease. Immunostaining: original magnification $\times 200$.

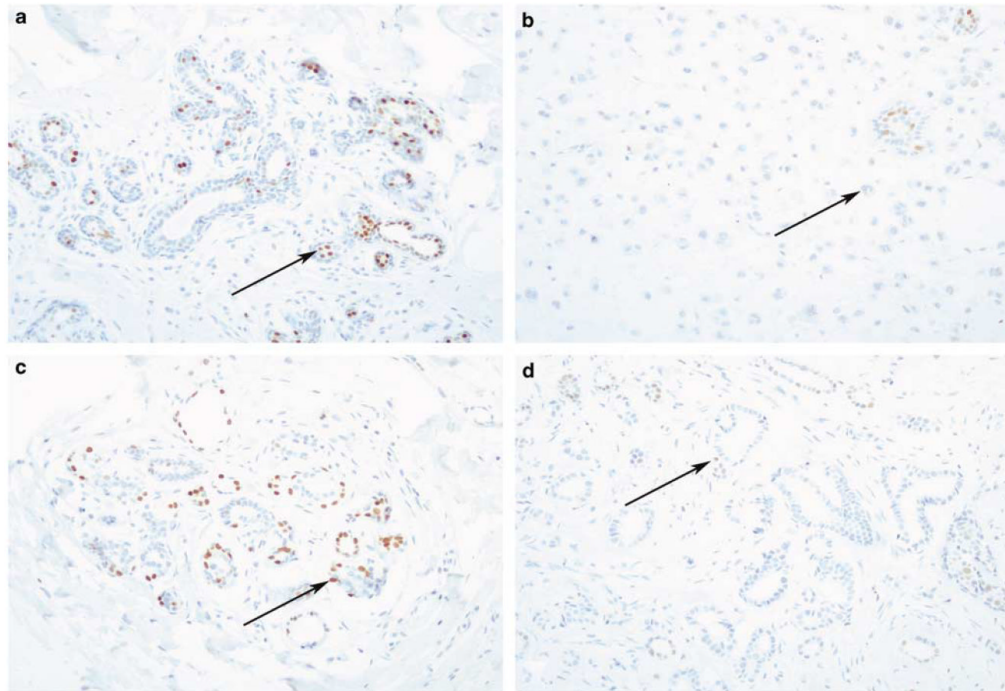


Figure 4. Estrogen and progesterone receptor staining for comparison. Estrogen receptor positivity in normal (a) and cancerous (b) human breast tissue. Progesterone receptor positivity in normal (c) and cancerous (d) human breast tissue. Immunostaining; original magnification $\times 200$.

Table 1

Reactivity of antisera for spliceforms of Collagen $\alpha 1$ (XI)

Spliceform of <i>Col11a1</i>	Antisera				
	V1a	C2	Npp	V2	V1b
Col11a1p7	—	X	X	—	—
Col11a1p6a7	X	X	X	—	—
Col11a1p6b7	—	X	X	—	X
Col11a1p78	—	X	X	X	—
Col11a1p6a78	X	X	X	X	—
Col11a1p6b78	—	X	X	X	X
Col11a1p6a6b7	X	X	X	—	X
Col11a1p6a6b78	X	X	X	X	X

Antisera used for this study have overlapping and/or complementary specificity as indicated by X. The eight potential spliceforms are listed with an indication of which antisera will recognize the spliceforms.

Table 2
 Correlation of common pathologic parameters and node status in breast carcinomas analyzed by tissue microarrays

Parameter	Number of cases	Lymph node positive (%)	Lymph node negative (%)	Statistical significance
<i>Angiolymphatic invasion</i>				
Yes	15	7 (39)	8 (15)	$P=0.037$
No	55	11 (61)	44 (85)	
<i>Tubule formation score</i>				
1	8	1 (6)	7 (15)	$P=0.051$
2	18	2 (12)	16 (33)	
3	39	14 (82)	25 (52)	
<i>Tumor size</i>				
≤ 2 cm	46	8 (44)	38 (72)	$P=0.037$
>2 cm	25	10 (56)	15 (28)	

Table 3

Staining intensity and location in normal vs cancerous tissue for the V1a and the Npp antisera to epitopes of Collagen $\alpha 1(XI)$ N-terminal domain

Antiserum and stain location	Tissue type	Mean of staining intensity	Statistical significance
V1a cytoplasm	Normal	2	NS
	Cancer	2	
V1a stroma	Normal	0.5	$P=0.0006$
	Cancer	0	
V1a vascular	Normal	1.5	NS
	Cancer	1	
Npp cytoplasm	Normal	1.5	NS
	Cancer	1.5	
Npp stroma	Normal	1	$P<0.0001$
	Cancer	0	
Npp vascular	Normal	1.0	NS
	Cancer	1.0	

Npp, amino propeptide; NS, nonsignificant.

Table 4
Statistically significant relationships between staining intensity and established pathologic parameters

Antiserum and stain location	Parameter assessed	Number of samples	Mean stain intensity	Statistical significance
Npp stroma in cancer	Tubule formation score	8	0.5	$P=0.015$
	1	15	0	
	2	0	0	
Npp cytoplasm in cancer	Progesterone receptor	39	0	$P=0.044$
	Positive	28	1	
Npp cytoplasm in cancer	Estrogen receptor	40	1.5	$P=0.012$
	Negative	35	1	
Vla cytoplasm in cancer	Node status	32	1.5	$P=0.009$
	Positive	17	1.5	
	Negative	52	2	

Npp, amino propeptide.