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## **Trunk and Hip Control Neuromuscular Training for the Prevention of Knee Joint Injury**

**Gregory D. Myer**1,2, **Donald A. Chu**2,3, **Jensen E. Brent**1,4, and **Timothy E. Hewett**1,5

1*Sports Medicine Biodynamics Center and Human Performance Laboratory, Cincinnati Children's Hospital Medical Center*

2*Graduate Program in Athletic Training, Rocky Mountain University of Health Professions*

3*Athercare Fitness and Rehabilitation Clinic*

4*Ohlone College Physical Therapist Assistant Program*

5*Departments of Pediatrics, Orthopaedic Surgery, College of Medicine and the Departments of Biomedical Engineering and Rehabilitation Sciences, University of Cincinnati*

#### **Keywords**

Trunk Control; Neuromuscular; Dynamic Valgus

## **Background**

Female athletes are currently reported to be 4 to 6 times more likely to sustain a sports related non-contact ACL injury than male athletes in comparable high-risk sports.<sup>1–4</sup> Altered or decreased neuromuscular control during the execution of sports movements, which manifests itself in resultant lower limb joint mechanics (motions and loads), may increase the risk of ACL injury in female athletes.  $5-10$  The established links between lower limb mechanics and non-contact anterior cruciate ligament (ACL) injury risk led to the development of neuromuscular training interventions designed to prevent ACL injury by targeting deficits identified in specific populations.<sup>7, 10–15</sup> Injury prevention protocols have resulted in positive preventative and biomechanical changes in female athletic populations at high risk for knee injury.<sup>12, 14, 16, 17</sup> Pilot work also indicates that female athletes categorized as high risk for ACL injury, based on previous coupled biomechanical and epidemiologic studies<sup>7</sup>, may be more responsive to neuromuscular training.<sup>11</sup> Yet, following neuromuscular training, the highrisk categorized females may not reduce risk predictors to levels similar to those of low-risk categorized athletes.<sup>11</sup> In addition, Agel et al.<sup>18</sup> performed a thirteen year (1989–2002) retrospective epidemiological study to determine the trends in ACL injury rates of National Collegiate Athletic Association soccer and basketball athletes. These authors reported significant decrease in ACL injuries in male soccer players, whereas female soccer athletes showed no change over the same time period. Both female basketball and soccer players showed no change in the rates of non-contact ACL injuries over the study period and the

**Correspondence:** Name: Gregory D. Myer, Address: Cincinnati Children's Hospital, 3333 Burnet Avenue; MLC 10001, Cincinnati, OH 45229, Telephone: 513-636-1246, Fax: 513-636-0516, Email: greg.myer@cchmc.org.

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magnitude of difference in rates (3.6 X) between their male counterparts remained unchanged. 18

There is evidence that neuromuscular training not only reduces the levels of potential biomechanical risk factors for ACL injury, but also decreases knee and ACL injury incidence in female athletes.12 However, reevaluation of ACL injury rates in female athletes indicates that this important health issue has yet to be resolved.<sup>18</sup> The purpose of the current review of the literature is to provide evidence to outline a novel theory used to define the mechanisms related to increased risk of ACL injury in female athletes. In addition, this discussion will include theoretical constructs for the description of the mechanisms that lead to increased risk. Finally, a clinical application section will outline neuromuscular training techniques designed to target deficits that underlie the proposed mechanism of increased risk of knee injury in female athletes.

## **Biomechanics related to Increased Risk of ACL injury in Female Athletes**

Altered or decreased neuromuscular control during the execution of sports movements, which result in excessive resultant lower limb joint motions and loads, may increase risk of ACL injury in female athletes.<sup>19</sup> Hewett et al. (2005) prospectively demonstrated that measures of lower extremity valgus, including knee abduction motion and torque, during jump-landing tasks, predicted ACL injury risk in young female athletes with high sensitivity and specificity.  $7$  Females also exhibit increased lower extremity valgus alignment and load compared to males during landing and pivoting movements.  $5, 9, 20-27$  Similar lower extremity valgus alignments are often demonstrated by females at the time of injury.<sup>28–30</sup> While the understanding of the biomechanics associated with ACL injuries that predict increased injury risk is important , it may be more relevant to define the mechanisms which actually induce the high-risk biomechanics. If this is determined, more effective and efficient neuromuscular intervention could be made available to high risk female populations.

## **The Relationship of Growth and Maturation to Development of High Risk Mechanisms**

Contrary to the findings of sex differences in ACL injury risk in the adolescent female athlete, there is no evidence that a sex difference in ACL injury rates is present in pre-pubescent athletes.  $31-34$  While knee injuries do occur in the pre-adolescent athlete, with up to 63% of the sports related injuries in children aged 6–12 years reported as joint sprains, and with the majority of these sprains occurring at the knee,  $34$  specific sprains such as injuries to the anterior cruciate ligament are more rare, and sex differences do not appear to be present in children prior to their growth spurt.<sup>31–33</sup> However, following their growth spurt, female athletes have higher rates of sprains than males, and this trend continues into maturity.<sup>35</sup>

During peak height velocity in pubertal athletes, the tibia and femur grow at relatively rapid rates in both sexes.<sup>36</sup> Rapid growth of the two longest levers in the human body initiate height increases and, in turn, an increased height of the center of mass, making muscular control of trunk more difficult. In addition, increased body mass, concomitant with growth of joint levers, may initiate greater joint forces that are more difficult to balance and dampen during high velocity maneuvers.<sup>22, 37, 38</sup> Thus, it can be hypothesized that following the onset of puberty and the initiation of peak height velocity, increased tibia and femur lever length, with increased body mass and height of the center of mass, in the absence of increases in strength and recruitment of the musculature at the hip and trunk, lead to decreased "core stability" or control of trunk motion during dynamic tasks.39 As female athletes reach maturity, decreased "core stability" may underlie their tendency to demonstrate increased dynamic lower extremity

valgus load (hip adduction and knee abduction) during dynamic tasks (Figure 1).<sup>22, 37, 38,</sup> 40–42

We have developed a concept of trunk and lower extremity function that identifies the body's "core" as a critical modulator of lower extremity alignments and loads during dynamic tasks. The trunk and hip stabilizers may pre-activate to counterbalance trunk motion and regulate lower extremity postures.  $40-42$  Reduced pre-activation of the trunk and hip stabilizers may allow increased lateral trunk positions the can incite knee abduction loads.<sup>43</sup> Decreased "core stability" and muscular synergism of the trunk and hip stabilizers may affect performance in power activities and may increase the incidence of injury secondary to lack of control of the center of mass, especially in female athletes.<sup>44, 45</sup> Zazulak et al. reported that factors related to core stability predicted risk of knee injuries in female athletes but not in male athletes.<sup>46</sup> Thus, the current evidence indicates that compromised function of the trunk and hip stabilizers, as they relate to "core" neuromuscular control, may underlie the mechanisms of increased ACL injury risk in female athletes.7, 28, 29, 46

## **Neuromuscular Training Targeted to the Trunk (TNMT)**

Appendix I presents a neuromuscular training protocol to be instituted with female athletes in order to target deficits in trunk and hip control.<sup>47</sup> Five exercise phases are utilized to facilitate progressions designed to improve the athletes' ability to control the trunk and improve "core stability" during dynamic activities (Table 1). All exercises in each phase progressively increase the intensity of the exercise techniques. End stage progressions incorporate lateral trunk perturbations that force the athlete to decelerate and to control the trunk in the coronal plane in order to successfully execute the prescribed technique. Selected protocol sets and repetitions should only be "soft" guidelines that an attainable goal for the athlete (Table 1). Initial volume selection should be low to allow the athlete the opportunity to learn to perform the exercise with excellent technique and relative ease. Volume (or resistance, when applicable) should be increased until the athlete can perform all of the exercises at the prescribed volume and intensity with near-perfect technique. The individual supervising the athletes should be skilled in recognizing the proper technique for a given exercise, and should encourage the athlete to maintain proper technique. If the athlete fatigues to a point that she can no longer perform the exercise with near-perfect form, or she displays a sharp decline in proficiency, then she should be instructed to stop. The repetitions for each completed exercise should be noted, and the goal of the next training session should be to continue to improve technique and to increase volume (number of repetitions) or intensity (resistance). Once an athlete becomes proficient with all exercises within a progression phase, she can advance to the next successive phase. To improve exercise techniques, instructors should give continuous and immediate feedback to the young athlete, both during and after each exercise bout. This will make the athlete aware of proper form and technique, as well as undesirable and potentially dangerous positions. All of the exercises selected for the initial phase prior to progression are adapted from previous epidemiological or interventional investigations that have reported reductions in ACL injury risk or risk factors (Table 2). $48-51$  The protocol progressions were developed from previous biomechanical investigations that reported reductions in knee abduction load in female athletes following their training protocols.<sup>10, 11, 13, 14</sup> The novelty to this training approach is that the current protocol will incorporate exercises that perturb the trunk to improve control of trunk and improve "core stability" and decrease the mechanisms that induce high knee abduction loading in female athletes.

## **Effects of Trunk Neuromuscular Training on Hip Abduction Peak Torque**

Pilot studies that utilized the proposed TNMT protocol indicate that increased standing hip abduction strength can be improved in female athletes (Table 3–Table 15).<sup>47</sup> Hip abduction

strength and recruitment may improve the ability of female athletes to increase control of lower extremity alignment and decrease knee abduction motion and loads resulting from increased trunk displacement during sports activities. Future investigations are needed to determine if improved hip strength following TNMT translates into reduced knee abduction load in high ACL injury risk female athletes. If this association is observed, then parallel investigations should be undertaken to determine if TNMT is effective in pubertal and pre-pubertal athletes to artificially induce "neuromuscular spurt" (defined as the natural adaptation of increased power, strength and coordination that occur with increasing chronological age and maturational stage in adolescent boys) especially related to relative hip strength and control which is often reduced as young female athletes mature.15, 52

## **Summary and Conclusions**

Dynamic neuromuscular analysis oriented training appears to reduce ACL injuries in adolescent and mature female athletes.<sup>17, 48, 50, 51</sup> Targeted neuromuscular training, at or near the onset of puberty, may simultaneously improve lower extremity strength and power, reduce dangerous biomechanics related to ACL injury risk and improve single leg balance. 22, 53 Neuromuscular training could be advocated in pre- and early pubertal children to help prevent the development of high risk knee joint biomechanics that develop during this stage of maturation.54 A preemptive approach that institutes early interventional training may also reduce the peak rate of ACL injuries that occurs near age sixteen in young girls<sup>55</sup>. Due to the near 100% risk of osteoarthritis in the ACL injured population,  $56$  with or without surgical reconstruction, prevention is currently the only effective intervention for these life-altering injuries. Additional efforts towards the development of more specific injury prevention protocols targeted towards the mechanism demonstrated in high risk athletes with the determination of the timing of when these interventions should most effectively be utilized is imperative. Specifically, neuromuscular training that focuses on trunk control instituted just prior to pubertal development may provide the most effective interventional approach alleviate high risk biomechanics in female athletes.

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## **Figure 1.**

Theory linking growth, neuromuscular adaptation, neuromuscular control, valgus and joint load to ACL injury risk.





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#### **Table 2**

#### Exercise progressions and the published intervention it was derived and adapted from.

#### **Phase I Exercise Adapted from Intervention**

Single-Leg Anterior Progression **Hewett** 1999 Prone Trunk Stability Progression and The Stability Progression and The Myer 2007 Kneeling Trunk Stability Progression<br>
Single Leg Lateral Progression<br>
Myer 2007<br>
Myklebust 2003, Petersen 2006 Single Leg Lateral Progression **Myklebust 2003**, Petersen 2006 Tuck Jump Progression **Hewett** 1999 Lunge Progression and the contract of the contract of the contract of the contract of the Mandelbaum 2004 Lunge Jump Progression **Hewett** 1999 Hamstring Specific Progression **Mandelbaum 2004** Single Leg Rotatory Progression **Myklebust 2003**, Petersen 2006 Lateral Trunk Progression and the set of the set of the Myer 2007 Trunk Flexion Progression **Myklebust 2003**, Petersen 2006 Trunk Extension Progression **Myer 2007** Myer 2007

Lateral Jumping Progression and Single-Leg Anterior Progression and Hewett 1999, Mandelbaum 2004<br>Hewett 1999



**Table 3**

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Figure 3a–3aa, Exercise 2. Single-Leg Anterior Progression



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## Figure 7a–7x, Exercise 6. Tuck Jump Progression

Phase I - Single Tuck Jump Soft Landing The athlete starts in the athletic position with her feet shoulder width apart. The athlete initiates a vertical jump with a slight crouch downward while she extends her arms behind her. The athlete then swings her arms forward as she simultaneously jumps straight up and pulls her knees up as high as possible. At the highest point of the jump the athlete should be positioned in the air with her thighs parallel to the ground. On landing, the athlete should land softly, using a toe to mid-foot rocker landing. The athlete should not continue this jump if she cannot control the high landing force or keep her knees aligned during landing. If the athlete is

unable to raise the knees to the proper height, it may be valuable to instruct her to "grasp the knees and they bring the thighs to horizontal." **Phase II – Double Tuck Jump** Similar to the single tuck jump described above but with an additional

jump performed immediately after the first jump. The athlete should focus on maintaining good form and minimizing time on the ground between jumps.

#### **Phase III – Repeated Tuck Jumsp**

The athlete starts in the athletic position with her feet shoulder width apart. The athlete initiates a vertical jump with a slight crouch downward while she extends her arms behind her. The athlete then swings her arms forward as she simultaneously jump straight up and pull her knees up as high as possible. At the highest point of the jump the athlete should be positioned in the air with her thighs parallel to the ground. When landing the athlete should immediately begin the next tuck jump.

#### **Phase IV – Side to Side Tuck Jumps**

The athlete starts in the athletic position with her feet shoulder width apart. The athlete initiates a vertical jump over a barrier with a slight crouch downward while she extends her arms behind her. The athlete then swings her arms forward as she simultaneously jumps straight up and pulls her knees up as high as possible. At the highest point of the jump the athlete should be positioned in the air with her thighs parallel to the ground. When landing, the athlete should immediately begin the next tuck jump back to the other side of the barrier.

#### **Phase V – Side to Side Reaction Barrier Tuck Jumps**

The athlete starts in the athletic position with her feet shoulder width apart. The athlete initiates a vertical jump over a barrier with a slight crouch downward while she extends her arms behind her. The athlete then swings her arms forward as she simultaneously jump straight up and pull her knees up as high as possible. At the highest point of the jump the athlete should be positioned in the air with her thighs parallel to the ground. When landing the athlete should immediately begin the next tuck jump. When prompted, the athlete should jump to the other side of the barrier without breaking rhythm.







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#### Figure 11a–11u, Exercise 10. Single Leg Rotatory Progression



#### prevent too much forward lean **Phase II – Single Leg 90° AIREX Hop-Hold**

The starting position for this jump is with the athlete in a semi-crouched position on the single limb being trained. The jump should focus on attaining maximum height while maintaining good form upon landing. During the flight phase the athlete should rotate 90°. The landing occurs on the same leg and should be performed with deep knee flexion (to 90°). The landing should be held for a minimum of three seconds on an AIREX pad to be counted as a successful landing. Coach this jump with care to protect the athlete from injury.

**Phase III – Single Leg 90° Hop-Hold Reaction Ball Catch** The starting position for this jump is with the athlete in a semi-crouched position on the single limb being trained. The jump should focus on attaining maximum height while maintaining good form upon landing. During the flight phase the athlete should rotate 90°. The landing occurs on the same leg and should be performed with deep knee flexion (to 90°). The landing should be held for a minimum of three seconds on an AIREX pad to be counted as a successful landing. Upon landing a ball will be passed back and forth with the athlete to increase the difficulty of a successful landing.

#### **Phase IV – Single Leg 180° AIREX Hop-Hold**

The starting position for this jump is with the athlete in a semi-crouched position on the single limb being trained. The jump should focus on attaining maximum height while maintaining good form upon landing. During the flight phase the athlete should rotate 180°. The landing occurs on the same leg and should be performed with deep knee flexion (to  $90^{\circ}$ ). The landing should be held for a minimum of three seconds on an AIREX pad to be counted as a successful landing.

**Phase V – Single Leg 180° AIREX Hop-Hold Reaction Ball Catch** The starting position for this jump is with the athlete in a semi-crouched position on the single limb being trained. The jump should focus on attaining maximum height while maintaining good form upon landing. During the flight phase the athlete should rotate 180°. The landing occurs on the same leg and should be performed with deep knee flexion (to 90°). The landing should be held for a minimum of three seconds on an AIREX pad to be counted as a successful landing. Upon landing a ball will be passed back and forth with the athlete to increase the difficulty of a successful landing







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**Table 15**