

Genes and Molecules of Lactobacilli Supporting Probiotic Action

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INTRODUCTION

During the last decades, it became clear that the human body lives in close harmony with a complex ecosystem that is composed of more than 1,000 different bacterial species inhabiting the oral cavity, upper respiratory tract, gastrointestinal tract (GIT), vagina, and skin. This collection, known as the microbiota, is acquired soon after birth and persists throughout life. Together, these microbes play an important role in the physiology of their host, including the digestion and assimilation of nutrients, protection against pathogen colonization, modulation of immune responses, regulation of fat storage, and stimulation of intestinal angiogenesis (12). However, understanding how these different species contribute to human health remains a major challenge. One main difficulty is correlating the health status of the host with the presence or absence of certain bacterial species, bearing in mind that the microbiota varies extensively among individuals. Obviously, the complexity of the microbiota makes genetic approaches to define microbe-microbe and microbe-host interactions a challenging task.

Within this complex research area on the microbiota, the deliberate administration of probiotic bacteria can contribute substantially to gain better knowledge of beneficial microbe-host interactions whereby fundamental, medical, nutritional, and commercial aspects are taken into account. As implicated in the definition of a probiotic bacterium, i.e., “a live microorganism that, when administered in adequate amounts, confers a health benefit on the host” (81), this field investigates mainly the health effects of specific strains after oral intake, for example, in functional food products. Although the term “probiotic” cannot be used merely as a synonym for putatively

beneficial members of the microbiota, members of the human microbiota are often sources from which probiotics are isolated based on recommended properties such as specific health benefits, survival and persistence in the host, proven safety, and stability (250). While bifidobacteria and other genera are also increasingly being applied as probiotics, this review will focus on lactobacilli, given their long history of traditional use in food fermentations of products derived from animals (milk and meat, etc.) or plants (vegetables and olives, etc.).

The lactobacilli belong to the lactic acid bacteria (LAB), since their main end product of carbohydrate metabolism is lactic acid. The genus *Lactobacillus* comprises a large heterogeneous group of low-G+C gram-positive, nonsporulating, and anaerobic bacteria (51). Taxonomically, the genus *Lactobacillus* belongs to the phylum *Firmicutes*, class *Bacilli*, order *Lactobacillales*, family *Lactobacillaceae*. They are nutritionally fastidious, requiring rich media to grow (carbohydrates, amino acids, peptides, fatty acid esters, salts, nucleic acid derivatives, and vitamins) (119).

Besides their key role in food fermentations, lactobacilli are found in the GIT of humans and animals in variable amounts depending on the animal species, age of the host, or location within the gut. However, it is difficult to distinguish true autochthonous lactobacilli from allochthonous or transiently passing lactobacilli, for instance, originating from fermented foods or from the oral cavity, which is home to a considerable amount of lactobacilli (267). Lactobacilli seem to form only a minor proportion of the human adult fecal microbiota, i.e., around 0.01% to 0.6% of total bacterial counts (58, 125, 226, 247). *L. gasseri*, *L. reuteri*, *L. crispatus*, *L. salivarius*, and *L. ruminis* appear to be predominant autochthonous *Lactobacillus* species (267). *L. acidophilus*, *L. fermentum*, *L. casei*, *L.*

rhamnosus, *L. johnsonii*, *L. plantarum*, *L. brevis*, *L. delbrueckii*, *L. curvatus*, and *L. sakei* can also be found in the human GIT at fluctuating levels (104, 267). Although less accessible, lactobacilli are commonly identified in biopsy samples from stomach, small intestine, and colon but in variable and usually rather low numbers (267).

In comparison to the adult microbiota, the infant microbiota is highly unstable but also contains lactobacilli in variable amounts (153). The number of *Lactobacillus* cells in neonates was found to be in the range of 10^5 CFU/g of feces, while in infants 1 month of age and older, the counts ranged from 10^6 to 10^8 CFU/g of feces (153). Sequences retrieved from infant feces grouped with *L. salivarius*, *L. rhamnosus*, and *L. paracasei* (104).

In contrast to the GIT, the presence of lactobacilli is more pronounced in the female urogenital tract, where they often dominate the healthy microbiota (202, 284). The most frequently occurring species found in the vagina are *L. crispatus*, *L. gasseri*, *L. iners*, and *L. jensenii* (7, 36, 37, 259). Moreover, a healthy, stable *Lactobacillus* population seems to protect against urogenital infections and bacterial vaginosis (80).

HEALTH EFFECTS OF LACTOBACILLI

Reported Beneficial Effects of Lactobacilli on the Host

Lactobacilli have been shown to exert health benefits when applied under various conditions. The best evidence exists for the treatment and prevention of enteric infections and postantibiotic syndromes. Several meta-analyses have established the efficacy of some lactobacilli in acute infectious diarrhea and the prevention of antibiotic-associated diarrhea (216). Certain lactobacilli may reduce the recurrence of *Clostridium difficile*-associated diarrhea (192) and prevent necrotizing enterocolitis in preterm neonates (69). Some promising results have also been obtained for the prevention and treatment of inflammatory bowel disease (IBD) (103), prevention of colorectal cancer (199), and treatment of irritable bowel syndrome (41). Although the GIT is the site where probiotic lactobacilli are believed to exert most health-modulating activities, probiotic applications with some *Lactobacillus* strains at other sites of the body have shown promise, such as the prevention and treatment of urogenital diseases and bacterial vaginosis in women (80), the prevention of atopic disease and food hypersensitivity (28), and the prevention of dental caries (168). Probiotic lactobacilli have a high safety profile, recognized by a "generally-regarded-as-safe" status, and the tolerance is usually excellent. However, in rare cases, reports of infections presumably caused by probiotic lactobacilli in immunocompromised patients or patients with severe underlying disease have been published (21, 146).

The levels of evidence for these health effects vary greatly between different clinical trials, different clinical conditions, and different probiotic strains used. Notably, it is also fair to state that many clinical studies did not result in positive outcomes. On the other hand, studies that did report health benefits of lactobacilli often lacked control groups, blinding, validated outcomes, or standards for reporting adverse events (158). It is clear that for a more judicious and scientifically supported application of probiotic lactobacilli, their efficacy

should be addressed in double-blind, placebo-controlled studies of the appropriate sample size. Preferentially, different samples (blood, feces, urine, and biopsies) of individuals should be analyzed. In this way, information on biomarkers (microbes, cytokines, and metabolites, etc.) and putative modes of action can be obtained (see below).

General Mechanisms of Action of Probiotic Lactobacilli

The application of probiotic lactobacilli starts with the general assumption that the mechanisms underlying the health-promoting capacities of lactobacilli belong to one of the following, sometimes overlapping, categories (Fig. 1) (26, 82, 158): (i) pathogen inhibition and restoration of microbial homeostasis through microbe-microbe interactions, (ii) enhancement of epithelial barrier function, and (iii) modulation of immune responses. The capacity of lactobacilli to inhibit pathogens is well known since they have been used for centuries in food preservation to prevent microbial spoilage. Gradually, lactobacilli have been investigated for their capacities to exert immunostimulatory (adjuvant) and immunoregulatory (e.g., in IBD) properties. As probiotics are applied to the GIT mostly via beverages, food, or pills, their capacity to enhance the barrier function of the gut wall epithelium against pathogens and toxins is also increasingly gaining attention.

Given the complexity of these three main functions, it can be understood that different strains evoke different responses in the host. Therefore, results with one specific *Lactobacillus* strain cannot be generalized. Molecular research on lactobacilli should carefully pay attention to these strain-specific properties. Different probiotic *Lactobacillus* strains have been associated with different effects related to their specific capacities to express particular surface molecules or to secrete proteins and metabolites directly interacting with host cells. The specific aspects of the three main mechanisms of action will be discussed in detail at the molecular level below (see Mechanisms of the Health-Promoting Effects of Lactobacilli: Probiotic Factors). First, we will discuss some general aspects (concept of adaptation and probiotic factors, specific cell surface architecture, and genetic tools) that are related to molecular research on the microbial partners in these probiotic interactions.

Adaptation and Probiotic Factors of Lactobacilli

It is believed that many mechanistic studies of probiotic lactobacilli are somehow biased to the characterization of the host response. Considering the significant strain differences and the sometimes disappointing results from clinical trials with lactobacilli while strains showed great promise in vitro, molecular characterization of probiotic strains is of utmost importance. Molecular studies that focus on the probiotic microbes themselves have two important goals: defining the best conditions driving the best performance of the probiotic strains and allowing the selection of novel probiotic strains with well-defined molecular criteria. There are two main categories of factors that contribute to the optimal functioning of probiotic lactobacilli: factors that allow optimal adaptation to the new niches that they temporarily encounter in the host (adaptation factors) and factors that directly contribute to the health-promoting effects (probiotic factors) (Fig. 1). These two categories

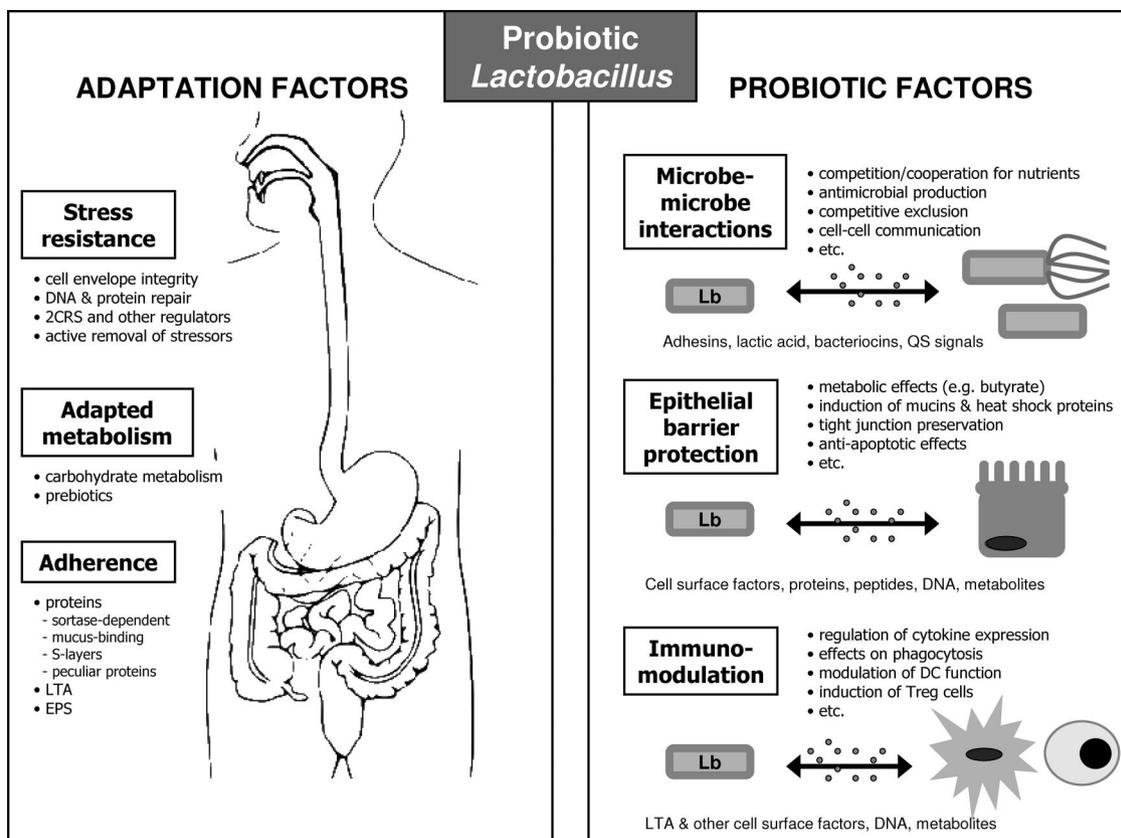


FIG. 1. Mechanistic view of probiotic actions by lactobacilli. Molecular studies of probiotics with focus on lactobacilli (Lb) aim to identify factors that promote survival in, adaptation to, and colonization of the host (adaptation factors) and factors that directly contribute to health-promoting effects (probiotic factors). As probiotic lactobacilli are generally studied in their relation with the GIT, this niche is depicted. The health-promoting effects are thought to be mediated by three main mechanisms of probiotic actions, which include pathogen inhibition, restoration of the microbial balance, enhancement of epithelial barrier function, and immunomodulatory effects via interactions with immune cells such as DCs. The figure reflects the outline of this review.

are also implicitly integrated in the definition of probiotic strains, highlighting live and active microbes with health-promoting capacities (81). Probiotic factors involve the three main mechanisms of probiotic action: maintaining microbial balance, epithelial protection, and immunomodulation (as indicated above). The term “adaptation factors” refers to the factors that contribute to these probiotic effects, without themselves being health promoting, although the distinction between these two categories is sometimes difficult to make. Adaptation factors include stress resistance, active metabolism adapted to the host environment, and adherence to the intestinal mucosa and mucus (see below).

In this aspect, there are many parallels that can be drawn between the unwanted consumption of food-borne gastrointestinal pathogens like *Salmonella enterica* serovar Typhimurium and enteropathogenic *Escherichia coli* and the dedicated consumption of probiotics. From the bacterial point of view, they both need to survive the harsh conditions of the stomach and bile, and both need to be able to interact with the host (as elaborated below). For pathogens, this interaction is characterized by mechanisms of invasion and pathogenesis. For probiotics, this interaction is purported to be health promoting, a more symbiotic (or mutualistic) interaction from which both partners, microbes and the host, gain advantage.

Thus, these adaptation and probiotic factors are proposed in analogy with virulence factors of pathogens where some factors contribute to the survival and adhesion of these strains and other factors are directly disease causing, such as toxin production (167).

CELL SURFACE STRUCTURES OF LACTOBACILLI

Specific metabolic and physiological characteristics of lactobacilli that play a key role in the adaptation to the host and the production and availability of probiotic factors will be discussed below. In this section, we describe the typical cell surface structures of lactobacilli, since these structures are in direct contact with the environment and can function as both key adaptation and probiotic factors. We focus on some aspects of the enormous diversity in cell surface structures and secreted factors of lactobacilli. Functional analyses of these structures related to adaptation and probiotic effects will be dealt with below.

In gram-positive bacteria, the cell wall consists of several characteristic structures: a thick, multilayered peptidoglycan (PG) sacculus decorated with proteins, teichoic acids, and polysaccharides and, in some species, surrounded by an outer shell of proteins packed in a paracrystalline layer (S layer) (Fig. 2). These molec-

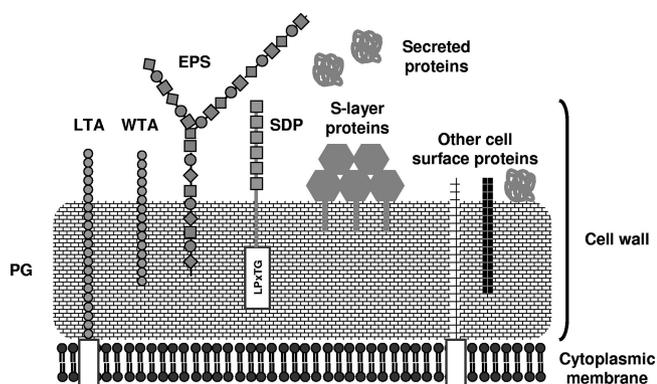


FIG. 2. Cell surface architecture of lactobacilli. The cell wall of lactobacilli is composed of different macromolecules together determining the strain-specific properties that include adaptation to the changing host environment and interaction with host immune receptors and epithelial cells. A thick multilayered PG layer is decorated with teichoic acids (WTA and/or LTA), proteins, and EPSs (65). SDPs (24, 258) and S-layer proteins (9) are best studied in lactobacilli, but many other types of cell surface proteins and protein anchors exist. In contrast to coccoid bacteria, PG and WTA biosynthesis and protein secretion via the general secretion machinery appear to occur in helical patterns around the cell surface of rod-shaped bacteria such as *B. subtilis* (42, 59, 85, 219). Such a helical pattern of cell wall biosynthesis, although not yet documented, can also be postulated for *Lactobacillus* rods.

ular structures provide the bacteria with species- and strain-specific properties. For a more extensive overview on the cell wall biology of lactobacilli, see other reviews (65, 219, 256), although specific biochemical or genetic data on the cell wall biosynthesis pathways are rather scarce for lactobacilli (65).

Peptidoglycan

Like most gram-positive species, the cell wall of lactobacilli is characterized by a thick PG layer. This 20- to 100-nm-thick PG multilayer, sometimes referred to as the murein sacculus, plays a key role in structural integrity and protects the cell against lysis. Cell wall PG is further covalently and noncovalently decorated with teichoic acids, polysaccharides, and proteins (65) (Fig. 2).

PG is composed of glycan chains of repeating β -1,4-linked *N*-acetylglucosamine (GlcNAc) and *N*-acetylmuramic acid (MurNAc) residues extensively cross-linked by two pentapeptide side chains. The chemistries of the glycan chains vary only slightly among different bacteria, but there is considerable variation in the compositions of stem peptides, which are linked to the carboxyl group of MurNAc (219, 256). In LAB, the consensus sequence for the pentapeptide is L-alanine-D-glutamate-meso-diaminopimelic acid or L-lysine-D-alanine-D-alanine, with a preference for L-lysine (65). In several lactobacilli, e.g., *L. casei* and *L. plantarum*, the C-terminal D-alanine residue of the muramyl pentapeptide is replaced by D-lactate, referred to as a pentadepsipeptide. This pentadepsipeptide confers resistance to the glycopeptide antibiotic vancomycin (65).

The biosynthesis of PG involves the synthesis of the nucleotide sugar-linked precursors UDP-MurNAc-pentapeptide and UDP-GlcNAc in the cytoplasm, followed by the assembly

of the PG subunits at the cytoplasmic membrane, lipid anchored to undecaprenol (lipids I and II) (219, 256). The building blocks are translocated across the cytoplasmic membrane. Polymerization and cross-linking occur on the external face of the cytoplasmic membrane. This is achieved mainly through the action of the so-called penicillin-binding proteins, which catalyze the transglycosylation and transpeptidation reactions responsible for the formation of the glycosidic and peptide bonds of the PG, respectively (65, 219). Although this overall scheme of PG biosynthesis is quite similar in all LAB, many variations in the PG structure that may affect interactions of lactobacilli with the environment and the host can be introduced (65). Following polymerization and incorporation into the cell wall PG, GlcNAc and/or MurNAc may undergo different modifications, such as the O-acetylation of the cell wall MurNAc residues in *L. casei* (23). Additionally, the peptide cross-links can differ considerably. Frequently, D-asparagine is used as a cross-bridge between D-alanine and L-lysine in LAB (23), and this residue may also be amidated (65).

Teichoic Acids

Teichoic acids (TAs) are the second major component of the cell walls of most gram-positive bacteria. These anionic cell wall polymers are generally made of polyglycerol phosphate or polyribitol phosphate repeating units covalently anchored to either PG (wall TAs [WTAs]) or attached to the cytoplasmic membrane (lipoteichoic acids [LTAs]) (179). Both WTA and LTA are often substituted with glycosyl or D-alanyl (D-Ala) esters. The D-Ala ester substitution of LTA (and WTAs) requires four proteins that are encoded by the *dlt* operon. Two of these proteins are the D-alanyl:D-alanyl carrier protein ligase (Dcl, encoded by *dltA*), which activates D-alanine by use of ATP, and the D-alanyl carrier protein (Dcp), which is encoded by *dltC*. DltB is a transport protein predicted to be involved in the passage of the activated D-alanyl-Dcp complex across the glycerol phosphate backbone of LTA, while the DltD membrane protein facilitates the binding of Dcp for ligation with D-Ala and has thioesterase activity for removing mischarged D-alanyl carrier proteins (65, 179). Substitution contributes greatly to the functionality of these anionic polymers, as will be discussed below.

There are considerable differences between the WTA and LTA molecules of different *Lactobacillus* strains, especially in the degree of substitution and chain length (97, 184) and the quantity, which may account for 20 to 50% of the dry weight of the cell wall of lactobacilli, depending on the species or strain, growth stage, pH of the medium, carbon source, and availability of phosphate, etc. (65). Moreover, although all lactobacilli have TAs in their cell walls, not all *Lactobacillus* cell walls seem to contain WTA. For example, the cell walls of many *L. rhamnosus* and *L. casei* strains appear to contain only LTA (188), in contrast to most *L. plantarum* strains, which contain the two types of TAs (184). Additionally, a fraction of LTA may be released through deacylation (removal of the lipid anchor) or the inside-to-outside expansion of PG without the removal of the lipid anchor (65), indicating that LTAs of certain *Lactobacillus* strains can sometimes act as soluble factors, which is important for the interpretation of some functional studies discussed below.

Exopolysaccharides

Polysaccharides are also ubiquitous components of the cell envelope of lactobacilli, in addition to PG and TA (65). Conceptually, a distinction is made between the capsular polysaccharides, which form the thick outermost shell intimately associated with, and often covalently bound to, the cell wall, and cell-bound polysaccharides, which are loosely associated with it. Some extracellular polysaccharides are also released into the medium (206, 242). For some members of the *Bacillaceae*, a different class of "nonclassical" secondary cell wall polymers has been identified, which is involved in the anchoring of S-layer proteins to the bacterial cell surface (218). In the case of *Lactobacillus buchneri*, it was shown that hydroxyl groups of a neutral cell wall polysaccharide are responsible for the attachment of the S-layer protein to the cell wall (162). However, differentiation between these various classes of cell wall polysaccharides is often difficult. For lactobacilli, the term exopolysaccharides (EPSs) is preferred and relates to extracellular polysaccharides that can be attached to the cell wall or be secreted into the surroundings. Like polysaccharides in general, EPSs of lactobacilli are complex structures that differ not only in the nature of sugar monomers but also in their modes of linkage, branching, and substitution, greatly contributing to the structural variety of the *Lactobacillus* cell wall (71). EPSs of lactobacilli are generally heteropolysaccharides (HePSs) composed of different sugar moieties (glucose, galactose, rhamnose, GlcNAc, and *N*-acetylgalactosamine) (70, 71). Residues such as glucuronic acid and glycerol-3-phosphate can also be present, as can phosphate groups, acetyl, and pyruvyl groups although only in a subset of *Lactobacillus* strains (70). Information about the structures and biosynthesis pathways of these HePSs in lactobacilli is fragmented. The genes encoding HePS biosynthesis are typically located in a gene cluster of 12 to 25 kb depending on the complexity of the HePS (65, 71, 185, 276). The gene organization of these clusters seems to be highly conserved: a central region with similarity to glycosyltransferases is flanked by regions with similarity to genes involved in polymerization, export, and regulation. Based on homology, it is assumed that in lactobacilli, the repeating units of EPSs are synthesized in a stepwise manner that involves the intracellular formation of sugar nucleotides and the assembly of the subunit on undecaprenol at the cytoplasmic membrane by specific glycosyltransferases (65, 71, 185, 276). This final subunit is translocated across the membrane, followed by the linkage of the repeat units into long-chain polysaccharides. In lactobacilli, most EPSs are secreted or remain weakly bound to the cell wall by electrostatic interactions (ionic, hydrogen bonds, or hydrophobic interactions) (65, 71, 185, 245, 276). EPS production is also highly dependent on the environmental conditions, and the regulation of EPS production and chain length occurs, at least in part, through the action of a phosphoregulatory system that includes an autophosphorylating tyrosine kinase (18, 170, 175, 176). Nevertheless, much remains to be learned about the specific aspects of the biosynthetic pathway to EPSs in lactobacilli. Additionally, more knowledge is needed about the exact locations, compositions, polymer sizes, and conformation properties of surface polysaccharides on lactobacilli. It was recently shown by the application of single-molecule force spectroscopy that the probiotic strain *L. rhamnosus* GG has

two major classes of surface polysaccharides: long galactose-rich EPS molecules and shorter glucose-rich (and/or mannose-rich) polysaccharides of unknown nature (87). Preliminary experiments indicate that some polysaccharide chains could be present as glycoproteins, adding an extra level of complexity to the *Lactobacillus* cell wall architecture.

In contrast to typical heteropolymeric EPS molecules, some *Lactobacillus* strains can also synthesize homopolysaccharides (HoPSs), glucans or fructans, from sucrose by the single action of extracellular glycosyltransferases, termed glucosyltransferases or fructosyltransferases, respectively. These glycosyltransferases use the energy of the osidic bond of sucrose to catalyze the transfer of a glycosyl moiety of sucrose to H₂O, an acceptor carbohydrate, or a growing polymer chain (91). As for HePSs, these HoPSs can show a high degree of diversity in polymer length, linkages, and branching, etc. Because the biosynthesis of these HoPSs does not involve transport processes or the use of activated carbohydrate precursors, there is no energy requirement for the producer organisms other than the biosynthesis of the glycosyltransferase enzymes.

Cell Surface Proteins

Finally, the bacterial envelope of lactobacilli may comprise different cell wall-associated proteins, which are often large proteins consisting of repeating modules or particular domains. Cell surface proteins can be anchored to the cell wall by different mechanisms (178): by single N- or C-terminal transmembrane anchors, lipoprotein anchors (lipobox), LPxTG-type anchors (see below), or other cell wall-binding (repeated) domains such as LysM domains or glycine-tryptophan (GW) dipeptide motifs (16, 258). Other proteins are secreted in the surroundings, mediating interactions with the environment independent from direct cell contact. Some secreted proteins have also been shown to reassociate to the cell wall by electrostatic interactions (9, 16). Sortase-dependent proteins (SDPs) and S-layer proteins are best characterized in lactobacilli and will be further discussed below.

SDPs are an important group of cell surface proteins suggested to play a crucial role in *Lactobacillus*-host interactions (24). These SDPs are characterized by the presence of a cell wall-sorting signal at the C terminus that comprises a short pentapeptide motif (LPxTG) followed by a stretch of hydrophobic side chains and a positively charged tail. After transfer of the surface protein precursor to the plasma membrane and cleavage of the signal peptide, the SDP is retained within the cell wall by the cleavage of the sorting signal at the pentapeptide motif by a membrane-associated sortase. Sortase A (SrtA) cleaves between the threonine and glycine residues and then covalently links the threonine residue to an amino group of PG cross-bridges (177). Interestingly, gram-positive bacteria also utilize sortase-dependent mechanisms to assemble pili or fimbriae where sortase cross-links individual pilin monomers and ultimately joins the resulting covalent polymer to the cell wall PG (156). The occurrence of pili in lactobacilli does not seem to be a general rule, but they have been suggested to occur, for instance, in *L. johnsonii* (196).

Some specific lactobacillus strains are surrounded by a superimposed surface layer, the S layer, made of protein subunits packed into a paracrystalline hexagonal or tetragonal mono-

layer (9). Examples of S-layer-containing lactobacilli are *L. acidophilus* (27), *L. gasseri*, *L. johnsonii* (261), *L. brevis* (263), *L. helveticus* (40), and *L. crispatus* (228). S-layer proteins are usually small and highly basic proteins of 40 to 60 kDa with generally highly stable tertiary structures. These proteins are noncovalently bound to the cell wall, mostly to secondary cell wall polymers (LTA, WTA, and neutral polysaccharides), and assemble into surface layers with high degrees of positional order, often completely covering the cell wall. Although glycan structures have been identified in the S-layer proteins of several gram-positive bacteria, most appear to be nonglycosylated in lactobacilli (9). To date, a detailed glycan structure on S-layer proteins has been reported only for *L. buchneri* (9). Nevertheless, as mentioned above, some lactobacilli such as *L. rhamnosus* GG appear to have glycoproteins on their cell surface (87; data not shown).

GENETIC TOOLS TO STUDY LACTOBACILLI

Recent advances in genome sequencing projects, molecular tools, and genomic-based strategies for functional studies of lactobacilli contribute greatly to the identification of adaptation and probiotic factors (127). The number of genetic tools that have been developed has increased tremendously during the last 20 years. Genetic analysis is made possible for several strains of known probiotic lactobacilli, such as *L. plantarum* WCFS1 (128), *L. acidophilus* NCFM (4), *L. johnsonii* NCC533 (67, 196), *L. salivarius* UCC118 (50), *L. reuteri* ATCC 55730 (266, 277), and *L. rhamnosus* GG (62, 136). For these organisms, the first dedicated mutant analyses have confirmed some postulated roles of genes and molecules of lactobacilli involved in probiotic action, as will be discussed below (see Tables 2 to 5). Mutant studies are of the utmost importance in the unraveling of modes of action of lactobacilli as they can often directly relate genotype to phenotype. Nevertheless, this is still a technical challenge for many lactobacilli, and the number of currently identified genetic loci hypothesized to encode features supporting probiotic action confirmed by mutant analysis is still limited (see Table 5).

With the increasing availability of genome sequences of lactobacilli, holistic approaches that complement traditional genetic and biochemical approaches are possible (51). Genome-scale comparisons of lactobacilli (comparative genomics) can reveal common as well as unique sequences that may shed light on the evolution of these strains and the genetic basis of particular traits, including differences between strains inhabiting the GIT or strains used in dairy industries. For instance, in silico analysis of the genome sequence of the cheese isolate *L. helveticum* DPC4571 (39) reflects considerable differences with the closely related *L. acidophilus* NCFM, a probiotic strain isolated from infant feces (4). *L. helveticum* DPC4571 is particularly marked by the loss of genes reported to be important for the adaptation to the gut environment. Half of the phosphoenol-pyruvate-dependent sugar phosphotransferases (PTS) (PEP-PTS), cell wall-anchoring proteins, and all the mucus-binding proteins predicted for *L. acidophilus* NCFM are absent or classified as being pseudogenes in DPC4571 (39). Genomes can also be compared by using DNA microarrays of a reference strain (comparative genome hybridization [CGH]), as exemplified for *L. plantarum* by a study that investigated the

level of diversity in this species by a comparison of 20 strains (172). The main differences were found in transferable regions like prophages and insertion sequence elements but also in other regions that are predicted to encode the production of the bacteriocin plantaricin (see below), nonribosomal peptides, or EPSs. High levels of strain-specific variation were encountered in a 600-kb region containing genes involved mainly in sugar metabolism and which represents a lifestyle adaptation island (128, 172).

Although the availability of genome sequences will certainly advance the field, they need to be complemented with functional studies. Different methodologies have been developed for large-scale comparisons of differential gene expression, for instance, by comparing expression profiles of a strain grown in vitro in standard laboratory medium versus those of strains grown in vivo or in GIT-related in vitro simulations. Among the genes differently expressed in the GIT environment, candidate genes contributing to the adaptation and fitness of the microbes in the host environment are likely present. Examples of the methods that are yet applied for differential gene expression analysis for lactobacilli under relevant conditions are genome-wide comparisons of RNA profiles using microarrays (see, e.g., reference 66), comparison of protein profiles with two-dimensional (2D) difference gel electrophoresis (see, e.g., reference 141, 149), in vivo expression technology (IVET) using a promoter probe library (see, e.g., reference 31), and differential-display PCR (DD-PCR) (see, e.g., reference 130). Table 1 summarizes some advantages and disadvantages of these genetic tools that need to be taken into account when results are interpreted. Therefore, these molecular techniques can be considered as complementary, as will become apparent in the next sections, where we describe the functions identified by these studies.

MECHANISMS OF SURVIVAL AND PERSISTENCE OF LACTOBACILLI IN THE HOST: ADAPTATION FACTORS

Active Stress Resistance Mechanisms in the Host

Probiotic lactobacilli encounter various environmental conditions upon ingestion by the host and during transit in the GIT (Fig. 1). Firstly, they need to survive the harsh conditions of the stomach. Humans secrete approximately 2.5 liters of gastric juice each day, generating a fasting pH of 1.5, increasing to pH 3 to 5 during food intake (55). The precise effects of acid stress on bacterial physiology are not fully known. Lowering the intracellular pH reduces the transmembrane pH difference, which determines the proton motive force used as an energy source in numerous transmembrane transport processes. Internal acidification also reduces the activity of acid-sensitive enzymes and results in damage to proteins and DNA (255).

Given that the liver excretes approximately 1 liter of bile each day into the small intestine, exposure to bile represents another challenge for bacteria entering the GIT (17). Bile acids are synthesized from cholesterol and are conjugated to either glycine or taurine. Although the toxicity of bile acids for bacterial cells is not well understood, bile acids are surface-active, amphipatic molecules with potent antimicrobial activity and act as detergents, disrupting biological membranes. Moreover, bile salts also seem to induce an intracellular acidification

TABLE 1. Genetic tools used so far in the identification of adaptation and probiotic factors of lactobacilli

Molecular tool	Description	Potential use	Pitfalls	Key reference(s)
Dedicated mutant analysis	Targeted gene mutation by gene deletion or insertional inactivation	Identification of cause-consequence relationships linking genes and their functions; allows in vivo confirmation of proposed functions; allows studies on the role of surface components in their native conformation	Requires a hypothesis from which to start ("bottom-up" approach); requires that a strain is genetically accessible; pleiotropic effects of a certain mutation can occur, complicating cause-consequence relationships	53, 97 ^a
In silico analysis	Algorithm-based analysis of sequences	Mining for yet-undocumented genetic elements and interactions; predictions on evolution	Requires the availability of the genome sequence; assigned functions are putative and need to be confirmed experimentally; erroneously annotated sequences can easily be spread	4, 39, 50, 128, 196
CGH	DNA-DNA hybridization-based comparison of sequences	Enables identification of unique sequences in the reference strain	Gives information only about genes present in the reference strain; cross-hybridization of similar sequences is possible, complicating interpretation	67, 172
DNA microarray for transcription profiling	DNA-cDNA hybridization-based method for analyzing transcription	Provides a global view of transcription under specific conditions	Requires genome sequence; depends on the annotation of open reading frames for a classical microarray (not for a tiling array); only a "snapshot" view of transcription; difficult to obtain sufficient RNA from in vivo samples; good analytical tools are needed; role of identified genes needs to be confirmed by downstream analyses	34, 66, 67, 266, 277
Proteomics	Large-scale analysis of protein profiles (gel or non-gel based)	Proteins are most directly related to functions of the cell; can reveal posttranslational modifications	Identification of proteins requires mass spectrometry facility; coverage is mostly only partial; difficult to obtain sufficient protein from in vivo samples; downstream analyses are needed	141, 149
IVET and R-IVET	Promoter-trap technique that allows selection of active promoters in vivo	Allows in vivo identification of putative adaptation factors; with R-IVET, weak and transiently expressed genes can be identified	Requires a genetically accessible strain; only promoter activities are detected; downstream analyses are needed by, e.g., construction of knockout mutants	31, 269
DD-PCR	Based on PCRs of reverse transcribed RNA (cDNA) with random primers	Availability of genome sequence or special genetic tools is not required	Overrepresentation of structural RNA in total RNA can result in false positives; isolation of bacterial RNA from in vivo samples is difficult	130

^a See Tables 2 to 5.

so that many resistance mechanisms are common for bile and acid stress (17). Indeed, the protonated form of bile salts is thought to exhibit toxicity through intracellular acidification in a manner similar to those of organic acids like the lactic acid produced by the lactobacilli themselves (17). These acids can passively diffuse in the undissociated form through the cell membrane (or via a transporter) and, after entry into the cytoplasm, rapidly dissociate into protons and charged derivatives to which the cell membrane is impermeable (255).

In addition to coping with acid and bile, the contribution of other stress responses to the survival capacity of lactobacilli in the GIT should not be overlooked, although they remain rather unexplored (22). In analogy to the stresses encountered by intestinal pathogens (212), these certainly include oxidative and osmotic stress. Moreover, it can be anticipated that interactions with other microbes and with cells of the immune system and the various antimicrobial products that they produce can also impose a serious threat for the probiotic microbes, two aspects that will be covered below. Interestingly, the phenomenon of cross-adaptation is often observed, i.e., that adaptation to one stress condition also protects against another stress factor, implying some common mechanisms (17, 61, 255). In this respect, non-actively-growing stationary-phase cells are generally more resistant to various stressors than early-log-phase cells.

Multiple genome-wide studies and downstream functional analyses have now been performed to characterize various stress responses in lactobacilli (Table 2). Although different culture conditions, types of stresses, strains, exposure times, and growth phases were used for these studies, some common themes have emerged, which are summarized below. Some factors contribute rather specifically to stress resistance, such as maintaining the cell envelope integrity and protecting and repairing macromolecules, while other mechanisms contribute in a specific way, such as dedicated stress-sensing and export systems. For a detailed overview of acid, bile, and other stress resistance mechanisms of lactobacilli, the reader is referred to more extensive reviews (17, 55, 61, 255).

Maintaining integrity of the cell envelope. The different macromolecules constituting the cell membranes and cell walls of lactobacilli have been shown to contribute to maintaining cell integrity during stress to various degrees. For example, low pH caused a shift in the fatty acid composition of the cell membrane of an oral strain of *L. casei* (86). Similarly, bile salts have been shown to induce changes in the lipid cell membrane of *L. reuteri* CRL1098 (249). For some lactobacilli, the genetic factors involved have been identified, for example, by using a microarray analysis; a gene encoding a putative phosphatidyl glycerophosphatase was shown to be two- to threefold induced in *L. reuteri* ATCC 55730 after an acid shock to pH 2.7 (266). Klaenhammer and colleagues also reported that the inactivation of LBA1272 in *L. acidophilus* NCFM, encoding a cyclopropane fatty acid synthase, resulted in increased acid sensitivity (127).

In addition, genes involved in PG biosynthesis and organization have been identified in various screens for acid responses in lactobacilli. A putative esterase gene, Ir1516, belonging to the family of penicillin-binding proteins, was shown to be induced in *L. reuteri* ATCC 55730 under acidic conditions by the same microarray study mentioned above (266). The

disruption of Ir1516 significantly increased the sensitivity to acid shock. Interestingly, Whitehead et al. identified Ir1516 as being induced by bile exposure as well (277). They also confirmed the importance of this gene for the survival of bile by mutant analysis. In addition, by use of microarray analysis, Pfeiler et al. identified a relatively high number of *L. acidophilus* NCFM genes involved in cell membrane, PG, and surface protein (e.g., *srtA*) biosynthesis as being differentially expressed after exposure to bile (191). Furthermore, genetic characterization of the bile salt response in *L. plantarum* WCFS1 by screening of a promoter probe library also resulted in the identification of several genes involved in cell envelope functions, including genes encoding muramidases (32). Interestingly, in *L. acidophilus* NCFM, the disruption of *cdpA*, a putative cell wall-modifying enzyme that promotes cell division and separation, resulted in an increased level of resistance to bile salts compared to that of the wild type, while the *cdpA* mutant showed reduced resistance to osmotic stress (3, 127). According to those authors, these effects might result from a presumed immature structure of the cell wall in the mutant, where certain components remained cross-linked or uncleaved. Similarly, an *slpA* mutant of *L. acidophilus* NCFM was reported to be more bile resistant while being more sensitive to osmotic stress (127).

Additionally, D-Ala esters of LTA and WTA have been suggested to be necessary for the proper functioning of cell integrity at low pH and in the presence of bile (179). For instance, DNA microarray studies identified the *L. plantarum* WCFS1 *dlt* operon as being induced by bile (34). Additionally, a *dltD* mutant of *L. rhamnosus* GG was shown to be severely affected in its capacity to survive in simulated gastric juice at pH 2 (188). In contrast, the inactivation of *dltA* in *L. reuteri* 100-23, a rodent commensal, did not affect the viability of this strain at low pH in vitro. However, the *dltA* mutation had a pronounced effect on in vitro growth at low pH (acid adaptation) and the colonization capacity of the murine forestomach (270).

The role of EPS in acid and bile resistance is less clear. Microarray expression analyses of *L. acidophilus* (191) and *L. reuteri* (277) identified genes of EPS biosynthesis as being reduced after bile exposure, including *epsB*, *epsC*, and *epsE* in *L. acidophilus* and Ir0957 in *L. reuteri*, respectively. *EpsB*, *EpsC*, and Ir0957 show homology to proteins involved in the phosphoregulatory system that regulates EPS biosynthesis and chain length in streptococci (18, 174). *epsE* encodes the putative priming glycosyltransferase, which catalyzes the transfer of the first sugar in EPS polymer biosynthesis (191). However, EPS production has not been studied in detail after exposure to bile. In fact, to our knowledge, phenotypic analyses of dedicated *Lactobacillus* mutants affected in EPS biosynthesis genes have not yet been performed. HoPSs from *L. reuteri* have been reported to have a more established role in stress resistance by the maintenance of the cell membrane in the physiological liquid crystalline phase under adverse conditions (91). However, although both an *inu* (inulose sucrose) and *gftA* (glucosyltransferase) mutant of *L. reuteri* TMW1.106 showed a lower resistance to lactic acid, the resistance of the mutant strains to low pH was not affected (223).

Repair and protection of DNA and proteins. A number of proteins that play a role in the protection or repair of macro-

TABLE 2. Genes of lactobacilli putatively involved in stress resistance studied by mutant analysis

Functional category	Gene or locus tag(s) ^a	Identification approach ^b	Putative function	Organism	Mutant phenotype	Reference(s)
Cell envelope	Ir1516	Microarray expression analysis	Putative esterase involved in PG biosynthesis and reorganization	<i>L. reuteri</i> ATCC 55730	Increased sensitivity to acid and bile	266, 277
	LBA1272 <i>dild</i>	Dedicated approach Dedicated approach	Cyclopropane fatty acid synthase d-Alanylation of LTA	<i>L. acidophilus</i> NCFM <i>L. rhamnosus</i> GG	Increased sensitivity to acid Increased sensitivity to simulated gastric juice (pH 2); increased sensitivity to defensins	127 188
Protection and repair DNA and proteins	<i>dila</i>	Dedicated approach	d-Alanylation of LTA	<i>L. reuteri</i> 100-23	Decreased adaptation to acid, increased sensitivity to defensins	270
	<i>slpA</i>	Dedicated approach	S-layer protein	<i>L. acidophilus</i> NCFM	Increased resistance to bile, decreased resistance to osmotic stress	127
	<i>cdpA</i>	Comp. gen. (weak similarity to S-layer proteins)	Cell division and separation protein	<i>L. acidophilus</i> NCFM	Increased resistance to bile, decreased resistance to osmotic stress	3, 127
	<i>dps</i>	Microarray expression analysis	DNA protection during starvation and other stresses	<i>L. reuteri</i> ATCC 55730	No significantly increased sensitivity to bile	277
2CRS regulators	<i>clpL</i>	Microarray expression analysis	Clp ATPase (chaperone)	<i>L. reuteri</i> ATCC 55730	Increased sensitivity to acid and bile	6, 277
	<i>clpE</i>	Microarray expression analysis	Clp ATPase (chaperone)	<i>L. reuteri</i> ATCC 55730	No significantly increased sensitivity to bile	277
	<i>clpC</i>	R-IVET	Clp ATPase (chaperone)	<i>L. plantarum</i> WCFS1	Reduced persistence capacity in vivo	31, 33
	<i>msbB</i>	IVET	Methionine sulfoxide reductase	<i>L. reuteri</i> 100-23	Reduced persistence capacity in vivo	268, 269
	<i>haxS</i>	Dedicated approach	Activated methyl cycle	<i>L. rhamnosus</i> GG	Reduced persistence capacity in vivo	135
Active removal of stressors	LBA1524	Comp. gen. (in silico)	Histidine protein kinase	<i>L. acidophilus</i> NCFM	Increased sensitivity to acid	11
	LBA1430*	Microarray expression analysis	Histidine protein kinase	<i>L. acidophilus</i> NCFM	Increased sensitivity to bile	191
	LBA1431*	Microarray expression analysis	Response regulator	<i>L. acidophilus</i> NCFM	Increased sensitivity to acid and bile	191
	LBA1432*	Microarray expression analysis	Hypothetical protein with similarity to KeA/Spot	<i>L. acidophilus</i> NCFM	Increased sensitivity to bile	191
	<i>mp-1</i> <i>mp-48</i>	Dedicated approach Dedicated approach	Response regulator Response regulator	<i>L. sakei</i> 23K <i>L. sakei</i> 23K	Increased sensitivity to acid Increased sensitivity to acid	173 173
Microarray expression analysis	<i>copA</i>	R-IVET	Copper-transporting ATPase	<i>L. plantarum</i> WCFS1	Reduced competitive ability in mouse intestine	31, 33
	<i>gadC</i> (LBA0057)	Comp. gen. (genome) ^c	Glutamate-γ-aminobutyrate antiporter	<i>L. acidophilus</i> NCFM	Increased sensitivity to acid	10
	LBA0867	Comp. gen. (genome) ^c	Transcriptional regulator	<i>L. acidophilus</i> NCFM	Increased sensitivity to acid and bile	10
	LBA0995	Comp. gen. (genome) ^c	Amino acid permease	<i>L. acidophilus</i> NCFM	Increased sensitivity to acid and bile	10
	LBA0996	Comp. gen. (genome) ^c	Ornithine decarboxylase	<i>L. acidophilus</i> NCFM	Increased sensitivity to acid but increased resistance to bile	10
	Lr1265	Microarray expression analysis	Multidrug resistance protein (ABC transporter family)	<i>L. reuteri</i> ATCC 55730	Decreased adaptation to bile	277
	Lr1584	Microarray expression analysis	MDR protein (major facilitator family)	<i>L. reuteri</i> ATCC 55730	Decreased adaptation to bile	277
	LBA1427*	Microarray expression analysis	Putative oxidoreductase, role in bile salt modification?	<i>L. acidophilus</i> NCFM	Increased tolerance to bile	191
	LBA1428*	Microarray expression analysis	Hypothetical protein with similarity to redox proteins, role in bile salt modification?	<i>L. acidophilus</i> NCFM	Increased tolerance to bile	191
	LBA1429*	Microarray expression analysis	MDR protein (major facilitator family)	<i>L. acidophilus</i> NCFM	Increased sensitivity to bile	191
Bile salt hydrolyase	<i>bsfA</i>	Comp. gen. (genome)	Bile salt hydrolyase	<i>L. acidophilus</i> NCFM	Inability to hydrolyze bile salts conjugated to chenodeoxycholic acid; no altered bile tolerance	165
	<i>bsfB</i>	Comp. gen. (genome)	Bile salt hydrolyase	<i>L. acidophilus</i> NCFM	Inability to hydrolyze bile salts conjugated to taurine; no altered bile tolerance	165
	LJ0056, LJ1147, LJ1413	Comp. gen. (genome)	Bile salt hydrolases	<i>L. johnsonii</i> NCC533	A triple mutant showed gut persistence similar to that of the wild type	67
	<i>bsf1</i>	Comp. gen. (genome)	Bile salt hydrolase	<i>L. plantarum</i> WCFS1	Reduced tolerance to glycodeoxycholic acid but not to taurodeoxycholic acid	132

^a ^a, these genes are located in an operon in *L. acidophilus* NCFM (191).

^b Comp. gen., comparative genomics.

^c Genome, information based on genome sequence.

molecules such as DNA and proteins also seem to be essential for acid and bile resistance. Intracellular acidification can result in a loss of purines and pyrimidines from DNA. For example, using Northern analysis and reverse transcription (RT)-PCR, Cappa et al. observed an increased level of expression of *uvrA*, coding for subunit A of the excinuclease ATP-binding cassette (ABC) complex, involved in nucleotide excision repair, at low pH and suggested a role for this system in acid adaptation in *L. helveticus* CNBL 1156 (43). Bile acids have also been shown to induce DNA damage and the activation of enzymes involved in DNA repair (17). In *L. reuteri*, it was observed by microarray analysis that the level of expression of *dps* (DNA protection during starvation) increased after bile exposure (277). *Dps* is involved in several types of stress adaptation in *E. coli*, including oxidative stress, irradiation, metal toxicity, heat stress, and pH stress (160). However, the disruption of *dps* in *L. reuteri* did not significantly affect the organism's ability to survive bile shock or adapt to bile (277), probably due to the redundancy of DNA protection and repair enzymes.

Perhaps even more vital in the general stress response are chaperones that intervene in numerous stresses for important tasks such as protein folding, renaturation, protection of denatured proteins, and removal of damaged proteins. Important molecular chaperones include DnaK, GroEL, and GroES, the well-known heat shock proteins. A 2D proteomic approach to study acid adaptation in *L. delbrueckii* subsp. *bulgaricus* identified three highly induced proteins, i.e., GroES, GroEL, and DnaK (144). Similar approaches were used to demonstrate DnaK, DnaJ, GrpE, GroES, and GroEL production in *L. acidophilus* as a response to acid adaptation (149); GrpE upregulation in acid-tolerant mutants of *L. sanfranciscensis* (60); and increased levels of expression of GrpE and DnaK in *L. reuteri* ATCC 23272 after 1 h of incubation at pH 4 (141). Similarly, in a microarray analysis of *L. acidophilus* NCFM after bile exposure, *groES*, *groEL*, *dnaK*, *htrA*, and *grpE* were found to be upregulated (191). These heat shock proteins seem to be especially pivotal for long-term acid stress resistance.

Clp ATPases perform a similar role by targeting misfolded proteins for degradation by the ClpP peptidase, in addition to protein reactivation and remodeling activities (88). In contrast to the heat shock proteins described above, these Clp proteins seem to be particularly important for the fast response of lactobacilli when they encounter adverse conditions in the GIT. The microarray approach to the study of acid shock in *L. reuteri* ATCC 55730 revealed *clpL* as being one of the induced genes (266). Interestingly, bile shock induced the same *clpL* chaperone gene, while the *clp* chaperone genes were not overexpressed during bile adaptation (277). Mutant analysis confirmed the importance of this ATPase with chaperone activity. The *clpL* knockout mutant of *L. reuteri* showed a significantly decreased rate of survival after incubation at pH 2.7 (266) or incubation in 0.3% bile (277). The vital role of these chaperones for GIT survival is further highlighted by the identification of *clpC* as being one of the genes of *L. plantarum* WCFS1 being induced in the murine GIT through in vivo expression technology (recombinase-based IVET [R-IVET]) (31). A fol-

low-up mutant analysis showed that the persistence capacity of a WCFS1 *clpC* mutant in the murine GIT was 10- to 100-fold decreased compared to that of the wild-type control (33).

As mentioned above, other stressful situations such as oxidative stress can be encountered in the GIT. An IVET study of *L. reuteri* 100-23 identified a gene encoding a methionine sulfide reductase (*Msr*) to be induced in the murine GIT (269). *Msr* reverses the loss of the biological activity of proteins caused by the oxidation of methionine to methionine sulfoxide and therefore contributes to the protection of bacteria against oxidative damage caused by, e.g., reactive nitrogen and oxygen intermediates. The exact role of *Msr* in the in vivo survival of *L. reuteri* remains to be determined. Nevertheless, the ecological performance of an *msrB* mutant was significantly impaired in mice in further downstream mutant analysis experiments (268). Bile stress has also been shown to induce oxidative stress (17). Bron and coworkers identified increased levels of expression of glutathione reductase and the *metC-cysK* operon upon bile treatment in *L. plantarum* (34). Glutathione is an important biomarker for oxidative stress and might play an important role in the in vivo survival of bacteria. In addition to its key role in maintaining the proper oxidation state of protein thiol groups, glutathione also serves a key function in protecting the bacterial cell from the action of low pH, chlorine compounds, and osmotic stresses (161). The metabolism of glutathione and cysteine is tightly linked to the activated methyl cycle and the metabolism of *S*-adenosylmethionine (SAM) (278). This SAM cycle plays a central metabolic role and is involved in rRNA nucleotide modification, polyamine synthesis, and methylation processes. All these processes can be implicated in promoting the stability of macromolecules under stresses (147). Interestingly, the two IVET studies of lactobacilli identified one common gene encoding a putative vitamin B₁₂-independent methionine synthase belonging to this activated methyl cycle (31, 269). The inactivation of this gene in *L. reuteri*, designated *met*, did not affect the ecological performance, probably as *L. reuteri* carries redundant functions. In contrast, the LuxS enzyme, catalyzing the conversion of *S*-ribosylhomocysteine to homocysteine in the same pathway, seems to be crucial for the survival of the probiotic strain *L. rhamnosus* GG in the murine GIT (135). In a competition assay with the wild type, the number of *luxS* mutant cells that survived passage through the GIT gradually decreased to less than 1% compared to the wild type (135). Recently, Lee et al. showed by proteomic analysis that SAM synthetase is upregulated in bile-stressed *L. reuteri* cells (140). Those authors also linked the upregulation of this enzyme to the central metabolic role of the SAM cycle in conferring stability to bacterial components.

Two-component and other regulatory systems. Mechanisms to specifically sense the presence of certain stress factors and regulate gene expression in response to these stimuli are also crucial for bacterial survival under adverse conditions. Although these mechanisms are not well characterized for lactobacilli, they often involve two-component regulatory systems (2CRSs). 2CRSs allow bacteria to sense and respond to changes in their environment after receiving an environmental signal through transmembrane sensing domains of the histidine protein kinase (HPK). Once it receives a signal input, the HPK is activated to autophosphorylate a specific histidine residue. The phosphoryl group is

then transferred to the regulatory domain of the response regulator (RR), which in turn induces a transcriptional response through its DNA-binding domain (237).

Various studies have shown a role for 2CRSs in stress responses of lactobacilli. In *L. sakei* 23K, the disruption of the *rrp-1* and *rrp-48* genes, encoding RRs, resulted in an increased susceptibility to low pH (173). Klaenhammer and coworkers identified a 2CRS (LBA1524-LBA1525) in *L. acidophilus* NCFM that was similar to the acid-related system LisRK from *Listeria monocytogenes* (11). The insertional inactivation of the HPK resulted in decreased rates of survival of log-phase cells after exposure to pH 3.5. Moreover, microarray analysis identified approximately 80 genes in *L. acidophilus* NCFM for which the expression was changed by the HPK mutation (11). The most dramatic changes in expression in the HPK mutant were observed for genes predicted to encode components of the proteolytic enzyme system, including two oligopeptide transport systems. One major function of oligopeptide transport (Opp) systems for bacterial cells is to internalize peptides to be used as carbon and nitrogen sources. These transport systems are also involved in the recycling of the cell wall peptides, which are likely the first targets of physiochemical stress, but this role is not well established in gram-positive bacteria (134). Similarly, in *L. reuteri*, the microarray analysis mentioned above also identified an RR (Ir1804) as being induced after acid shock (266). This Ir1804 gene is part of an operon homologous to the *yycFG* operon in *Bacillus subtilis*, where this RR regulates genes involved in cell wall metabolism, such as components of TA biosynthesis (110). However, no further analysis of the signaling events by this 2CRS has yet been performed.

The microarray study by Pfeiler and coworkers to characterize the bile response of *L. acidophilus* NCFM also identified, among many other genes, a 7-kb eight-gene operon encoding a 2CRS, a transporter, an oxidoreductase, and four hypothetical proteins (191). Mutations in the transporter, the HPK, the RR, and a hypothetical protein that shows similarity to RelA (SpoT) (see below) each resulted in a loss of tolerance to bile. Mutations in other genes of the 7-kb operon encoding another hypothetical protein and a putative oxidoreductase resulted in significant increases in bile tolerance, showing the importance of this operon in both bile tolerance and bile sensitivity. These data suggest that this 2CRS could have a complex bile-sensing role, but the details of the regulatory network still need to be defined (191).

Other common themes for important regulators in the stress responses of lactobacilli are less easy to delineate. For instance, RelA is involved in the synthesis and hydrolysis of (p)ppGpp, a signal known to be involved in the stringent response and induction of tolerance against different types of stresses (235). In *L. lactis*, the inactivation of genes (*guaA*, encoding the GMP synthetase, and *relA*) involved in guanine nucleotide metabolism resulted in increased acid tolerance (201). In *L. reuteri* ATCC 55730, microarray analysis showed that the level of expression of *relA* was decreased after acid shock (266). However, further functional analyses are needed to characterize the role of this system in lactobacilli.

Active removal of acid- and bile-related stress factors. Bacteria have also evolved many direct and rather specific strategies to actively remove different stress factors.

(i) ATPases. The multisubunit F_0F_1 ATPase, which facilitates the extrusion of protons from the cytoplasm by proton motive force, is one of the main proton pumps utilized by gram-positive bacteria (55). DD-PCR experiments showed that exposure to low pH in *L. acidophilus* causes an increase in mRNA levels of a pH-inducible, proton-translocating F_1F_0 ATPase (130), but dedicated mutant analyses have not yet been reported. Corcoran et al. used spontaneous neomycin-resistant mutants of the probiotic strain *L. rhamnosus* GG with reduced F_0F_1 ATPase activity to highlight the importance of the presence of fermentable sugars and ATP generation via glycolysis in proton exclusion by the F_0F_1 ATPase (52). Lee et al. also observed by 2D analysis a significant overexpression of some glycolytic proteins in response to acid stress, including glyceraldehyde-3-phosphate dehydrogenase, phosphoglycerate mutase, and pyruvate kinase, stressing the importance of the generation of energy-rich intermediates (ATP and NADH) at a low pH (141). Furthermore, the genes encoding the F_0F_1 ATPase were also upregulated in *L. plantarum* when exposed to bile (34), consistent with the fact that bile exposure results in a mild acidification of the cytoplasm, as mentioned above.

Recently, a role for heavy-metal-transporting ATPases and copper homeostasis in the acid tolerance of *L. bulgaricus* ATCC 11842 has been suggested (186). The exact function of these transporters is not known, but it is remarkable that Kleerelezem and coworkers also identified a *copA* gene coding for a putative copper-transporting ATPase (lp_3055) as being induced in the murine GIT (31, 157). A competition experiment between the wild type and the *copA* mutant showed that the relative abundance of the *copA* mutant was 100- to 1,000-fold decreased after passage through the murine GIT (33). Interestingly, Denou and coworkers also identified the stomach-specific expression of a copper-transporting ATPase in *L. johnsonii* NCC533 (66), indicating that it must have some important, but not yet completely understood, in vivo role for lactobacilli.

(ii) Amino acid decarboxylation-antiporter reactions. In amino acid decarboxylation-antiporter reactions, an amino acid is transported into the cell, where decarboxylation occurs. A proton is consumed in the reaction, and the product is exported from the cell via an antiporter. The net result is an increase in the intracellular pH. An example of this system is glutamate decarboxylase (GAD), which was biochemically characterized for *L. brevis* (253). It has been proposed that ATP could be generated by glutamate conversion to γ -aminobutyrate in lactobacilli, thereby coupling the GAD system to ATP synthesis (108). In *L. acidophilus* NCFM, insertional inactivation showed the importance of an ornithine decarboxylase, an adjacent amino acid permease, a glutamate γ -aminobutyrate antiporter, and a transcriptional regulator with weak similarity to the regulator of the GAD system in *L. lactis* for acid tolerance (10). Moreover, that study confirmed that stationary-phase cells are generally more tolerant to low pH than are log-phase cells, as elaborated above.

(iii) ADI pathway. An additional mechanism that has been implicated in acid and bile tolerance is the production of alkaline compounds, more specifically, ammonia, by the arginine deiminase (ADI) pathway, which catalyzes the conversion of arginine to ornithine, ammonia, and CO_2 . This also results in the generation of ATP, enabling the extrusion of cytoplasmic

protons by the F_0F_1 ATPase (57). The system has three main enzymes, ADI, ornithine transcarbamylase, and carbamate kinase, encoded by *arcA*, *arcB*, and *arcC*, respectively. Additionally, ArcD, an arginine-ornithine transporter, is present in many organisms and allows the exchange of these two molecules at no energy cost. Whitehead et al. also found the genes of the ADI pathway to be specifically induced during bile adaptation in *L. reuteri* ATCC 55730 (277), further highlighting that exposure to bile can result in a mild acidification of the cytoplasm.

(iv) Transport and hydrolysis of bile. Some bacteria use efflux systems belonging to the family of multidrug resistance (MDR) transporters to export bile (17). The role of an MDR transporter gene of *L. acidophilus* NCFM, as one of the genes of the identified 2CRS operon important for bile tolerance (191), is mentioned above in the paragraph on 2CRS systems. In their screening for bile-responsive genes in *L. plantarum* WCFS1, Bron et al. identified three possible exporter proteins, including a putative MDR transporter gene (*lp_3160*) (32). Microarray analysis of *L. reuteri* ATCC 55730 also identified two putative MDR genes, *lr1265* and *lr1584*, as being induced after bile treatment (277). Mutational analysis showed that the disruption of *lr1265* and *lr1584* did not decrease the survival capacity in bile. However, these mutants could not grow in the presence of bile, suggesting that these MDR transporters play an important role in bile adaptation (277).

Several bacteria are also known to enzymatically modify bile salts (17). Bile salt hydrolases (BSHs) are generally intracellular enzymes that catalyze the hydrolysis of the amide bond between the steroid moiety and the amino acid side chain of the bile acids. BSH activity is found primarily in organisms isolated from the GIT of mammals (*Bifidobacterium* species, *L. acidophilus*, *L. gasseri*, *L. johnsonii*, and some strains of *L. plantarum*), while organisms isolated from environments that do not contain bile acids, such as fermented milk products and vegetables (*L. lactis*, *L. delbrueckii*, *L. helveticus*, and *Streptococcus thermophilus*), do not exhibit BSH activity (17). Nevertheless, the role of BSH in the GIT survival capacity of these lactobacilli remains elusive. A *bsh-1* mutant of *L. plantarum* WCFS1 was recently reported to be affected in tolerance to glycine-conjugated bile salts (131). However, the inactivation of two genes, *bshA* and *bshB*, coding for BSH enzymes with different catalytic activities in *L. acidophilus* NCFM, did not affect bile tolerance (165). Moreover, a triple-knockout mutant for all three BSH proteins of *L. johnsonii* NCC533 was not affected in its capacity to persist in the murine GIT (67).

Adaptation to the Host Nutritional Environment

In addition to the survival capacity of lactobacilli under various stress conditions, the capacity to adapt to the special nutritional conditions is of utmost importance for their residence time and survival in the various microhabitats of the GIT. Pioneering studies with the important human symbiont *Bacteroides thetaiotaomicron* have nicely shown the importance of a flexible carbohydrate-foraging behavior in the lower parts of the intestine (109, 231). Additionally, mutations in sugar acquisition pathways were found to affect the colonization of commensal *E. coli* of the murine GIT (46). Important sources of carbon and energy for bacteria growing in the gut are simple

sugars that are readily utilized in the upper GIT and nondigestible complex carbohydrates that remain abundant in the lower part of the GIT and originate from the diet or from host mucins. Thus, bacteria such as *Bifidobacterium longum*, which contain numerous genes predicted to be involved in polysaccharide degradation, are probably better suited to reside in the colon, whereas species containing various sugar transporters, such as most intestinal lactobacilli, seem better adapted to the proximal compartments of the GIT (83).

Importance of carbohydrate metabolism in the host. For a description of the metabolic activities of lactobacilli that are important for adaptation in the host, we integrated the available genomic information with functional studies (see Table 3 for the studies that included mutant analyses).

(i) *L. johnsonii*. The genome sequence of *L. johnsonii* NCC533 (formerly *L. acidophilus* La1) revealed that this organism lacks genes encoding biosynthetic pathways for amino acids, purine nucleotides, and most cofactors, explaining its complex nutritional requirements (196). In contrast, the *L. johnsonii* genome is predicted to code for highly expressed sugar and amino acid transporters and a large number of peptidases and peptide transporters, illustrating how this bacterium may have evolved efficient mechanisms to acquire such nutrients from its environment. Functional analysis of genes associated with the long gut persistence of this *L. johnsonii* NCC533 strain confirmed the importance of sugar metabolism (67). Denou and coworkers used a combination of comparative genome hybridization with the type strain *L. johnsonii* ATCC 33200 and microarray analysis of genes of NCC533 expressed in the jejunum of NCC533-monoassociated mice (67). The interest in this comparison arose from the fact that *L. johnsonii* NCC533 is able to reside significantly longer in the murine GIT than type strain ATCC 33200. The combination of the microarray data sets of both experiments identified three gene loci that were both specific to NCC533 and expressed in vivo. Among these loci was LJ1654-LJ1656, encoding a PEP-PTS transporter annotated as mannose PTS. Mutational analysis showed a distinct in vitro sugar growth pattern compared to that of the wild type. Moreover, the deletion of LJ1654-LJ1656 resulted in a significantly reduced persistence time in the murine GIT (67). As indicated above, these sugar transporters are especially important in the small intestine. Indeed, *L. johnsonii* NCC533 was recently shown to be rather metabolically inactive in the colon, with only 26 genes being expressed, as detected by microarray analysis (66). In contrast, *L. johnsonii* isolated from the jejunum showed transcripts for 297 genes, including the jejunum-specific expression of three sugar PTS transporters (annotated with fructose, glucose, and cellobiose specificity) and high-level expression of sugar-digesting enzymes. Additionally, the specific expression pattern of NCC533 in the cecum revealed several PTS transporters, including a cecum-specific galactosamine PTS transporter, highlighting the importance of sugar import. On the contrary, an *L. johnsonii* NCC533 mutant of the gene encoding the Prp protease, for degradation and growth on milk proteins, was not affected in its gut persistence capacity, suggesting that this protein acquisition system is less important in vivo (67).

(ii) *L. acidophilus*. Similarly to *L. johnsonii* NCC533, *L. acidophilus* NCFM appears to be unable to synthesize most amino acids, vitamins, and cofactors but compensates with a

TABLE 3. Genes of lactobacilli putatively involved in active metabolism in the host studied by mutant analysis

Functional category	Gene	Identification approach ^a	Predicted function	Organism	Mutant phenotype	Reference(s)
Carbohydrate metabolism	LJ1654-LJ1656	Microarray expression and CGH	PTS sugar transporter	<i>L. johnsonii</i> NCC533	Reduced persistence time in mouse intestine	67
	<i>pts14C</i>	R-IVET	Cellobiose PTS, EIBC	<i>L. plantarum</i> WCFS1	Reduced competitive ability in the murine GIT	31, 33
	<i>xylA</i>	IVET	Xylose isomerase	<i>L. reuteri</i> 100-23	No reduced competitive ability in the murine GIT	268, 269
	<i>inu</i>	Dedicated approach	Inulosucrase	<i>L. reuteri</i> TMW1.106	Reduced competitive ability in the murine GIT	271
	<i>gtfA</i>	Dedicated approach	Glucosyltransferase	<i>L. reuteri</i> TMW1.106	Reduced competitive ability in the murine GIT with <i>L. johnsonii</i> 21 but not with the wild type	271
	<i>bfrA</i>	Comp.gen.	Intracellular β -fructosidase (FOS metabolism)	<i>L. acidophilus</i> NCFM	Reduced ability to grow on FOS; no in vivo studies reported yet	13
	<i>msmE</i>	Comp.gen.	ABC transporter substrate binding protein (FOS metabolism)	<i>L. acidophilus</i> NCFM	Reduced ability to grow on FOS; no in vivo studies reported yet	13
	<i>fosE</i>	Shotgun microarray approach	Extracellular β -fructosidase (FOS metabolism)	<i>L. paracasei</i> 1195	Reduced ability to grow on FOS; no in vivo studies performed yet	93
	<i>treC</i>	Comp.gen. (genome)	Trehalase, osmoprotection?	<i>L. acidophilus</i> NCFM	Reduced ability to grow on trehalose, cryosensitive; no in vivo studies reported yet	77
Protein metabolism	<i>prtP</i> (LJ1840)	Comp.gen.	Cell wall-bound proteinase	<i>L. johnsonii</i> NCC533	No altered competitive ability in murine GIT	67
	<i>met</i>	IVET	Putative vitamin B ₁₂ -independent methionine synthase	<i>L. reuteri</i> 100-23	No altered competitive ability in murine GIT	268, 269

^a Comp.gen., comparative genomics.

large number of transport systems for amino acids and peptides and genes encoding peptidases and proteases (4). In addition, the genome of *L. acidophilus* NCFM encodes a large number of proteins related to carbohydrate transport and metabolism, reflecting its ability to use a variety of sugars including mono-, di-, and polysaccharides such as raffinose and fructooligosaccharides (FOS). Recently, the transport and catabolic machinery involved in carbohydrate utilization by *L. acidophilus* NCFM was characterized by using microarrays. Specific transporters were identified for different sugars, including PTS transporters for monosaccharides and ABC transporters for the uptake of oligosaccharides. Examples are the previously identified locus for trehalose utilization putatively involved in osmo- and cryoprotection (77) and the operon for FOS utilization (13). Genes central to glycolysis were found to be among the most highly expressed in the genome (14). According to those authors, this flexible carbohydrate metabolism of *L. acidophilus* NCFM likely contributes to its competitive ability for limited carbohydrate sources available in the human GIT (14). Moreover, the capacity of strain NCFM to degrade a variety of complex carbohydrates, next to simple sugars, suggests that it is adapted to survive in the lower parts of the

intestine, more so than *L. johnsonii* NCC533 (see above). Additional evidence for the importance of this flexible carbohydrate utilization for the competitive ability of *L. acidophilus* NCFM in the GIT results from the comparison with the closely related strain *L. helveticus* DPC4571, which has adapted to milk and dairy environments (39). This cheese isolate has lost all the ABC transporters for FOS and raffinose and most of the glucosidase enzymes and contains only 9 out of the 20 PEP-PTS transporters described for *L. acidophilus* NCFM, as mentioned above. However, to our knowledge, the confirmation of the in vivo role of this versatile carbohydrate utilization capacity in the persistence capacity of *L. acidophilus* NCFM has not yet been reported.

(iii) *L. plantarum*. The *L. plantarum* WCFS1 genome has also yielded information on how this bacterium may have adapted to growth in diverse environments such as fermented foods, plants, and the human GIT (128). For example, the flexible and adaptive behavior of WCFS1 is reflected by the relatively large number of regulatory and transport functions, including 25 complete PTS sugar transport systems. Consistent with the classification of *L. plantarum* as a facultative heterofermentative lactic acid bacterium, the genome also encodes

all enzymes required for the glycolysis and phosphoketolase pathways, all of which appear to belong to the class of potentially highly expressed genes in this organism. Moreover, *L. plantarum* WCFS1 encodes a large pyruvate-dissipating potential, emphasizing its fermentative capacity. Many genes encoding sugar transport and utilization, as well as genes encoding extracellular functions, appear to be clustered in the 600-kb lifestyle adaptation island mentioned above. This genome information on *L. plantarum* WCFS1 has been complemented with some functional analyses. Several genes encoding proteins involved in metabolizing various carbon sources were indeed identified by R-IVET analysis in *L. plantarum*, including five PTS systems (for GlcNAc, sorbitol, sucrose, and cellobiose), a ribose permease, a ribokinase, and two di- and polysaccharide-hydrolyzing enzymes (31). Follow-up real-time quantitative RT-PCR confirmed that the *L. plantarum* ribokinase is expressed along the murine GIT (157). Moreover, the transcription of an important indicator for active fermentation, *ldhL*, was induced more than twofold in the cecum and colon, probably because of the longer residence time in these distal compartments (157). Mutational analysis of the cellobiose PTS (*lp_1164*), ribose transport protein, and ribokinase (*lp_3559-lp_3660*) confirmed the key role of the cellobiose PTS in the functionality of *L. plantarum* WCFS1 in the murine GIT (33). In a competition experiment, the relative abundance of the Δ *lp1164* mutant was 100- to 1,000-fold lower than that of the wild-type control strain after passage through the GIT.

Although carbohydrate metabolism is of primary importance in the metabolism of lactobacilli in the GIT (and other niches), other nutrients also need to be acquired, as shown by the R-IVET study of *L. plantarum* WCFS1. Bron and coworkers identified nine genes that are involved in the acquisition of nonsugars, including factors involved in the uptake and synthesis of amino acids, nucleotides, cofactors, and vitamins (31). Examples are genes involved in arginine, proline, and biotin metabolism and metal ion acquisition. In *L. monocytogenes*, proline metabolism is induced under high-osmolarity conditions (229), such as those present in the small intestine and colon. Under conditions of osmotic stress, lactobacilli have systems for accumulating specific solutes that do not interfere with the physiology of the cell, such as the accumulation of glycine betaine, carnitine, proline, and glutamate (61).

(iv) *L. salivarius*. In *L. salivarius* UCC118, the genome comprises a 1.8-Mb chromosome and a 242-kb megaplasmid (*pMP118*) (50). The annotation of the *pMP118* sequence suggests that it confers a range of additional metabolic capabilities upon *L. salivarius* UCC118, such as rhamnose, ribose, and sorbitol utilization, and two key enzymes of the pentose phosphate pathway (transketolase and transaldolase). According to those authors, this megaplasmid could provide a selective advantage for this *L. salivarius* strain to adapt to environments known for frequent dietary fluctuations, such as the GIT. However, to our knowledge, no in vivo functional studies have yet been performed.

(v) *L. reuteri*. Although no genome sequence is publicly available for an *L. reuteri* strain, an IVET study was performed with *L. reuteri* 100-23 (269). One of the genes identified was a gene predicted to encode a xylose isomerase, indicating that xylose is available in the GIT for lactobacilli either from direct feeding or from the breakdown of dietary xylan and pectin by

other intestinal microorganisms. In a follow-up study, the ecological performance of the *xyIA* mutant in the murine GIT was not significantly impaired (268). This might be due to a flexible carbohydrate-foraging behavior for *L. reuteri* and the presence of redundant functions.

As mentioned above, some *L. reuteri* strains also synthesize HoPSs (glucans or fructans) from sucrose by extracellular glycosyltransferases. These HoPSs are suggested to have a function as a carbohydrate reserve for conditions of carbohydrate depletion (91). Some of these HoPSs might have prebiotic characteristics (see below). In many strains of *L. reuteri*, sucrose hydrolysis is mediated exclusively or predominantly by these glycosyltransferases, and fructose liberated by Gtfs or the hydrolase activity of Ftfs can be reduced to mannitol with the concomitant oxidation of NADH to NAD⁺, enabling the formation of acetate from acetyl phosphate and the gain of an additional molecule of ATP in the metabolic flux through the pentose phosphate pathway (91). Such an energy-conserving system may turn out to be beneficial in the GIT, where substrate availability is subject to sudden changes. To test this hypothesis, the in vivo performances of two HoPS mutants in the murine GIT were investigated (271). The inactivation of *gtfA* in *L. reuteri* TMW1.106 abolished glucan synthesis, while the inactivation of *inu* abolished the production of FOS. Both mutations affected sucrose turnover, mannitol formation, and acetate formation (223). Although it is difficult to discriminate between the roles of these HoPSs in metabolism and adherence (see below), experiments using ex-*Lactobacillus*-free mice revealed that the ecological performance of the *inu* mutant of *L. reuteri* TMW1.106 was reduced in the GIT when in competition with the parental strain. The competitive ability of the *gtfA* mutant was impaired only when it was administered together with *L. johnsonii* 21, but not with wild-type *L. reuteri*, indicating extracellular complementation by wild-type glycan (271).

(vi) *L. casei*. A promoter study of *L. casei* DN-114 001 compared the promoter activities for four genes in the GIT: the lactose operon promoter, the promoter of lactate dehydrogenase, the *ccpA* promoter involved in catabolite repression, and the *dlt* operon promoter for LTA biosynthesis (181). The *lacT* and *ldh* promoters were induced in the GIT, indicating that *L. casei* is metabolically active during GIT transit. However, the fact that the *dlt* promoter was not induced indicated that the majority of the administered *L. casei* cells did not multiply in the GIT, since LTA biosynthesis occurs mainly during cell division (181).

Application of prebiotics to improve persistence capacity. As elaborated above, the capacity to ferment sugars plays a key role in the competitive ability of lactobacilli and gut commensals to survive and persist in the GIT. This concept is exploited by the application of prebiotics, which are administered mainly to fortify the resident beneficial microbiota. They are also applied in combination with probiotics (as synbiotics) to improve their ecological performance in the gut. A prebiotic is defined as “a nondigestible food ingredient that beneficially affects the host by selectively stimulating the growth and/or activity of one or a limited number of bacteria in the colon and thus improves host health” (92). Although bifidobacteria are the main targets, some lactobacilli are also known to ferment different pre-

biotics such as FOS. However, this capacity seems to be highly strain specific (121), and the mechanisms by which FOS metabolism occurs in *Lactobacillus* species are only beginning to be elucidated.

Based on an *in silico* analysis of the *L. acidophilus* NCFM genome sequence, a gene cluster that encodes an operon involved in FOS utilization that resembles the *msm* operon of *Streptococcus mutans* was identified (13). Genes encoding the ABC transport system (*msmEFGK*) as well as a putative intracellular β -fructosidase (*bfrA*) were located in this multiple-sugar metabolism (*msm*) operon. All of the genes in this operon are coexpressed in the presence of sucrose and FOS but not glucose or fructose, suggesting specificity for non-readily-fermentable sugars and regulation by catabolite repression. The insertional inactivation of the genes encoding the ABC transporter substrate-binding protein and the fructosidase confirmed the function of these genes, as the mutants were unable to grow on FOS. Another system for FOS utilization was detected in *L. paracasei* 1195 (94). Microarray expression analyses of this strain grown on FOS led to the identification of a putative *fosABCDXE* operon that encodes a putative fructose/mannose PTS transporter (FosABCDX) and a β -fructofuranosidase precursor (FosE). The latter contains an N-terminal signal peptide sequence and a sortase-dependent cell wall sorting signal at the C terminus, suggesting that FOS is hydrolyzed extracellularly by FosE, with the subsequent uptake of the hydrolysis products mediated by the FosABCDX PTS. The inactivation of *fosE* disrupted the ability to grow on FOS, and further functional studies confirmed that FOS hydrolysis occurs exclusively at the cell surface (94). Moreover, this system was also shown to be subject to catabolite regulation by glucose (93, 94). In *L. plantarum* WCFS1, the β -fructofuranosidase is apparently intracellular (215). It is part of a sucrose transport and metabolic system, as identified after microarray analysis of *L. plantarum* grown on FOS (215). This locus is predicted to encode a sucrose PTS (possibly also for the uptake of short-chain FOS), a β -fructofuranosidase, a fructokinase, an α -glucosidase, and a sucrose operon repressor. In contrast to *L. paracasei* 1195 (94) and *L. acidophilus* NCFM (13), *L. plantarum* showed a preference for short-chain FOS (215).

The functional importance of these FOS utilization systems and the application of FOS to improve the survival of these probiotic strains *in vivo* remain to be validated. However, a recent study showed that FOS can increase the retention time of certain exogenously applied *Lactobacillus* strains in the murine GIT. In contrast to glucose, FOS and inulin prolonged the retention period of *L. acidophilus* LAFTIL10 from 24 to 30 h and that of *L. casei* LAFTIL26 from 2 to ≥ 6 days after a single administration (240). Additionally, FOS has also been described to increase the number of endogenous luminal and mucosa-associated lactobacilli in humans (133).

Adherence Mechanisms in the Host

It is generally assumed that a good adherence capacity is a desirable trait for probiotic lactobacilli, as it can promote the gut residence time, pathogen exclusion, and interaction with host cells for the protection of epithelial cells or immune modulation (224) (also see below). The adhesive capacity of pro-

biotic lactobacilli is usually studied *in vitro*. Mostly, adherence to epithelial cell lines (e.g., Caco-2 or HT-29 human-derived adenocarcinoma cells) or immobilized intestinal mucus or extracellular matrix molecules (e.g., collagen and fibronectin) is investigated in short-term assays (187). However, in most natural niches, adherent bacteria can form microcolonies and multicellular structures, recognized as biofilm-like communities (30). This more dynamic adherence process can also be simulated *in vitro* for lactobacilli (137, 270). In the human gut of healthy individuals, isolated bacteria and microcolonies seem to be the predominant colonization form (151). Thick biofilms appear to be observed only in the human gut under disease conditions such as in IBD (243). Additionally, biofilms are frequently found on nonglandular, squamous, stratified epithelial cells in the forestomach of rodents or the crop of chicken (267).

It is of crucial importance that *in vitro* results for adherence capacities of lactobacilli are difficult to extrapolate to the GIT situation *in vivo*, where the host defense systems, competition with the resident microbiota for nutrients and space, mucosal shedding, and peristaltic flow that continuously washes the GIT epithelium are likely to modify adhesion (224). A few studies have already investigated the adhesion and colonization dynamics of lactobacilli *in vivo* in animal models (270, 271) or humans (254). However, exogenously applied lactobacilli are generally able to only temporarily colonize the GIT. This phenomenon was linked to colonization resistance or the niche exclusion principle, where each niche in the GIT is colonized by well-adapted species (267). A healthy human gut microbiota is quite stable and seems to show some resistance to colonization with new species. For example, Tannock et al. showed that the establishment of *L. rhamnosus* DR20 in the intestinal microbiota of human subjects was inversely related to the presence of a stable indigenous population of lactobacilli (247). Other researchers also reported that in contrast to human adults, a more permanent colonization by probiotic lactobacilli such as *L. rhamnosus* GG could be achieved in the gut of newborn infants, whose microbiota still needs to develop (222).

Even though the *in vivo* adherence capacity of lactobacilli depends on many external factors, in the next paragraphs, we will summarize the genetic studies that have been performed so far on the cell surface factors of lactobacilli that can mediate adherence (recently reviewed in reference 187). Although the information on these *Lactobacillus* surface molecules is still fragmentary, there are some common themes that have emerged. We have classified these cell surface factors as mediating either specific adhesin-receptor interactions with molecules of the host or mediating nonspecific interactions, e.g., by electrostatic interactions, or interactions based on hydrophobicity. However, these specific and aspecific adherence mechanisms are sometimes intertwined (see below). For an overview of studies including *Lactobacillus* mutants affected in adhesion, see Table 4.

SDPs and specific adherence mechanisms. Many specific interactions between lactobacilli and host cells seem to be mediated by SDPs with a typical modular structure that includes an N-terminal signal peptide and a C-terminal LPxTG motif (177). Additionally, other surface proteins can be in-

TABLE 4. Genes of lactobacilli putatively involved in adhesion studied by mutant analysis

Functional category	Gene	Identification approach ^a	Predicted function	<i>Lactobacillus</i> strain	Mutant phenotype	Reference(s)	
Cell surface proteins	<i>srtA</i>	Comp.gen. (genome)	Sortase	<i>L. plantarum</i> WCFS1	Reduced mannose-specific binding (yeast agglutination); no altered competitive ability in the murine GIT	195	
	<i>msa</i>	CGH and gene-trait matching	Mannose-specific adhesin	<i>L. plantarum</i> WCFS1	Reduced mannose-specific binding (yeast agglutination)	195	
	<i>lp_2940</i>	R-IVET	Sortase-dependent cell wall protein	<i>L. plantarum</i> WCFS1	Reduced persistence in the murine GIT	31, 33	
	<i>lp_1403</i>	R-IVET	Putative secreted cell surface protein	<i>L. plantarum</i> WCFS1	No altered competitive ability in the murine GIT	31, 33	
	<i>fbpA</i>	Comp.gen. (genome)	Putative fibronectin-binding protein	<i>L. acidophilus</i> NCFM	Reduced adherence to Caco-2 cells	35	
	<i>mub</i>	Comp. gen. (genome)	Putative mucus-binding protein	<i>L. acidophilus</i> NCFM	Reduced adherence to Caco-2 cells	35	
	<i>slpA</i>	Dedicated approach	S-layer protein	<i>L. acidophilus</i> NCFM	Reduced adherence to Caco-2 cells	35	
	<i>cdpA</i>	Comp.gen. (genome)	Cell wall-modifying enzyme involved in cell division and separation	<i>L. acidophilus</i> NCFM	Reduced adherence to Caco-2 cells	3	
	LBA1663- LBA1664	Comp.gen. (genome)	R28 homologs (adhesins in <i>S. pyogenes</i>)	<i>L. acidophilus</i> NCFM	No significantly altered adherence to Caco-2 cells	35	
	<i>srtA</i>	Comp.gen. (genome)	Sortase	<i>L. salivarius</i> UCC118	Reduced adherence to HT-29 and Caco-2 cells	258	
	<i>ispA</i>	Comp.gen. (genome)	Large surface protein, putative mucus-binding protein	<i>L. salivarius</i> UCC118	Reduced adherence to HT-29 and Caco-2 cells	258	
	<i>ispB</i>	Comp.gen. (genome)	Large surface protein, putative mucus-binding protein	<i>L. salivarius</i> UCC118	No altered adherence to HT-29 and Caco-2 cells	258	
	<i>ispD</i>	Comp.gen. (genome)	Large surface protein, putative mucus-binding protein	<i>L. salivarius</i> UCC118	No altered adherence to HT-29 and Caco-2 cells	258	
	<i>isp</i>	Screening of a phage library with an antisera	Large surface protein	<i>L. reuteri</i> 100-23	Reduced persistence in murine GIT	268	
	LJ1476	Comp.gen. (genome)	Transpeptidase sortase	<i>L. johnsonii</i> NCC533	Gut persistence pattern similar to that of the wild-type strain	67	
	LTA	<i>dlrD</i>	Dedicated approach	d-Alanylation of LTA	<i>L. rhamnosus</i> GG	No significant difference in adherence to Caco-2 and HT-29 cells; increased biofilm formation on polystyrene under certain conditions	137, 188
		<i>dlrA</i>	Dedicated approach	d-Alanylation of LTA	<i>L. reuteri</i> 100-23	Decreased in vivo biofilm formation in the murine forestomach	270
	EPS	LJ1021-LJ1035	Microarray expression and CGH	Entire EPS cluster	<i>L. johnsonii</i> NCC533	Slightly prolonged persistence in the murine GIT	67
		<i>lamA</i>	Comp.gen. (genome)	Response regulator of a 2CRS involved in regulation of EPSs and membrane proteins	<i>L. plantarum</i> WCFS1	Decreased biofilm formation of glass substrates	239
<i>wzb</i>		Dedicated approach	EPS biosynthesis, phosphotyrosine protein	<i>L. rhamnosus</i> GG	Altered biofilm formation on polystyrene	137; our unpublished observations	
<i>inu</i>		Dedicated approach	phosphatase Inulosucrase	<i>L. reuteri</i> TMW1.106	Reduced in vitro biofilm formation; no reduced biofilm formation in vivo on the forestomach	271	
	<i>gffA</i>	Dedicated approach	Glucosyltransferase	<i>L. reuteri</i> TMW1.106	Reduced in vitro biofilm formation; no reduced biofilm formation in vivo on the forestomach	271	

^a Comp.gen., comparative genomics.

volved in specific adherence mechanisms, as will be exemplified below for several *Lactobacillus* species.

(i) *L. plantarum*. An elegant approach has been followed to identify the mannose-specific adhesin in *L. plantarum* WCFS1 (195). First, a genome-wide microarray-based genotyping of *L. plantarum* strains was performed to select WCFS1 genes whose presence or absence in the different strains was significantly correlated with the presence or absence, respectively, of the yeast agglutination phenotype observed for the same strains (gene-trait matching). This yeast agglutination capacity was previously shown to be related to the mannose-specific adherence of *L. plantarum* to human intestinal epithelial cells (1). This resulted in four candidate genes that showed a 100% gene-trait match, including two SDPs (lp_0373 and lp_1229). To validate the role of SDPs for mannose-specific adhesion, a sortase-lacking mutant (*srtA*) was constructed. This *srtA* mutant was not able to agglutinate yeast cells. Subsequently, knockout mutants were constructed for lp_0373 and lp_1229. The deletion of lp_1229 completely abolished the agglutination capacity. Moreover, the overexpression of lp_1229 resulted in a slight but significant enhancement of this capacity. Overall, those researchers concluded that lp_1229 encodes the mannose-specific adhesin of *L. plantarum* WCFS1 and renamed the gene *msa*. It encodes a large surface protein (>1,000 residues) with characteristic multidomain structure, including the mucus-binding domains described below.

The R-IVET study in *L. plantarum* WCFS1 identified two SDPs (lp_0800 and lp_2940) as being induced in the murine GIT (31). The follow-up quantitative RT-PCR study showed that they are induced primarily in the small intestine (157). lp_0800 was induced along the length of the small intestine, while lp_2940 was most active at the distal end of the small intestine and in the cecum. Subsequent mutational analyses showed that the persistence capacity of the lp_2940 knockout mutant was 100- to 1,000-fold decreased in the murine GIT, while the colonization dynamics of an lp_1403 knockout were not significantly different from those of the wild type (33). This lp_1403 protein is a putative secreted cell surface protein of *L. plantarum*, for which the expression has also been shown to be induced in the murine GIT by R-IVET (31) and, more specifically, in the small intestine (157). However, the in vivo persistence capacity of an *srtA* mutant of *L. plantarum* was not significantly affected (195), which might be due to the fact that the analysis of fecal samples reflects mainly the survival of the majority of administered bacteria during GIT transit.

(ii) *L. reuteri*. Roos and Jonsson also applied a rewarding method to identify the *mub* gene, encoding mucus-binding protein in *L. reuteri* ATCC 53608 (strain 1023) (209). Using the immunoglobulin G (IgG) fraction of an antiserum raised against cell surface proteins of *L. reuteri* 1023, they screened a λ phage library and identified a number of clones that were reactive with the antiserum and adhered to mucus. Subcloning resulted in the identification of the *mub* gene, encoding a very large SDP with a highly repetitive structure (>3,000 residues). Domains with the two main types of repeats (designated Mub1 and Mub2) were shown to adhere to mucus after recombinant expression in *E. coli*. Competition experiments indicated that Mub binds to carbohydrates on the mucus components. In another *L. reuteri* strain, 100-23, a similar approach using an antiserum against the surface proteins was used to identify the

lsp gene, which encodes a high-molecular-mass cell wall protein, Lsp (268). Mutational analysis showed a reduced ecological performance of the *lsp* mutant in the murine GIT. When the *lsp* mutant was administered to mice in a 1:1 ratio with the wild type, it was recovered in the feces at rates of <1.5% of the total *Lactobacillus* population. Moreover, when the *lsp* mutant was administered as pure cultures to mice, scanning electron microscopic observations of forestomachs revealed less biofilm formation by the mutant.

(iii) *L. acidophilus*. The complete genome sequence of *L. acidophilus* NCFM was in silico analyzed for the presence of genes encoding proteins that were previously implicated in the adherence of other bacteria. Some of these genes were inactivated, including two streptococcal R28 homologs, a fibronectin-binding protein (FbpA), a mucin-binding protein (Mub), and a surface layer protein (SlpA) (also see below), of which the R28 homologs and the Mub protein (LBA1392) are SDPs (35). That study showed that the genes encoding FbpA, Mub, and SlpA all contribute to the ability of *L. acidophilus* NCFM to adhere to Caco-2 cells in vitro, confirming that adhesion is determined by multiple factors. *mub* and *fbpA* mutations resulted in 65% and 76% decreases in adherence, respectively.

(iv) *L. salivarius*. van Pijkeren and coworkers mined the genome of *L. salivarius* UCC118 for the presence of sortase gene homologs and genes encoding SDPs (258). The sortase gene *srtA* was deleted, three genes encoding SDPs (*lspA*, *lspB*, and *lspD*) were disrupted, and the capacity of adherence of these mutants to HT-29 and Caco-2 cells was investigated. Both the *srtA* and the *lspA* mutant showed a significant decrease in adherence. While the adherence of the *srtA* mutant was on average 50% of wild-type levels, the *lspA* mutant adhered at around 65%, only slightly better than the *srtA* mutant, indicating that LspA plays a key role in adherence to these intestinal cells. LspA is a large protein (>1,000 residues), which contains seven repeats similar to mucus-binding domains previously identified in *L. reuteri* (209).

(v) *L. johnsonii*. Genome analysis of *L. johnsonii* NCC533 also predicted an abundance of SDPs (>16) (196, 258). LJ0047, LJ0484, and LJ1839 are of special interest because they share significant similarity to the Mub protein of *L. reuteri* described above (209), but no functional analyses of the role of these Mub homologs in the reported protease-sensitive ability of NCC533 to bind intestinal mucins in vitro (251) have yet to be reported. The knockout of the sortase gene LJ1476 resulted in a gut persistence pattern for the sortase mutant similar to that for the wild type (67). Nevertheless, as mentioned above, the analysis of fecal samples, which is primarily a measure for survival during GIT transit, generally does not reflect subtle differences in adherence capacities. Further mechanistic studies for this sortase, LJ1476, of NCC533 will have to delineate its role in adherence. Moreover, *L. johnsonii* NCC533 also encodes a second sortase (196), which could be involved in the assembly of the putative pili or fimbriae (156). The genome of NCC533 contains a nine-gene predicted fimbrial operon (196). According to those authors, one predicted cell surface protein of this operon, LJ0391, and another putative surface protein, LJ1711, both with extensive sequence repeats, may encode glycosylated cell surface-adhesive or fimbrial pro-

teins analogous to Fap1 of *Streptococcus parasanguis* (236). However, this glycoprotein or fimbria-mediated adhesion is not proven yet.

On the contrary, the adhesive capacities for two peculiar proteins of *L. johnsonii* NCC533 have been documented. The first corresponds to elongation factor Tu (EF-Tu), normally involved in protein synthesis in the cytoplasm. However, EF-Tu of NCC533 has been located at the cell surface, although no secretion or cell wall-binding motifs are present to explain this observation (95). Competition experiments with the isolated protein suggested that EF-Tu has an important role in the binding of NCC533 to Caco-2 and HT-29 intestinal epithelial cells and mucins. Another usually cytoplasmic protein has been found to be located at the cell surface of NCC533, namely, the heat shock protein GroEL (20). This protein was also shown to mediate adhesion to epithelial cells and mucins. Interestingly, the presence of normally cytoplasmic proteins at the cell surface and a role for these proteins in adhesion have also been described for other lactobacilli. In *L. plantarum* LA 318, a surface plasmon resonance BIAcore-based assay demonstrated that cell surface glyceraldehyde-3-phosphate dehydrogenase (GAPDH) adheres to human colonic mucin (126). In *L. crispatus*, the glycolytic enzymes enolase and GAPDH were found to bind and activate plasminogen (112). They were localized on the cell surface at pH 5, bound to LTA but released into the medium at an alkaline pH (6). Importantly, these results indicate that lactobacilli rapidly modify their surface properties in response to changes in pH and that the pH used in buffers is a crucial factor in *in vitro* adhesion experiments (6).

(vi) Mucus-binding proteins. A common theme that has emerged from the analysis of these specific adherence mechanisms is the frequent identification of mucus-binding proteins. Based on the above-described Mub protein of *L. reuteri* 1063 (209) and the Msa protein of *L. plantarum* (195), Boekhorst et al. performed an *in silico* search for potential mucus-binding proteins present in several publicly available databases (25). As a result, a total of 48 proteins containing at least one MUB domain were identified in 10 lactic acid bacterial species. The identified MUB domains varied in size, ranging from ca. 100 to more than 200 amino acid residues per domain, and appeared most abundant, although not exclusively, in lactobacilli that are found mainly in the GIT, i.e., 13 in *L. gasseri* ATCC 33323, 12 in *L. acidophilus* NCFM, and 9 in *L. johnsonii* NCC533. Recent evidence that these mucus-binding proteins are involved mainly in GIT colonization also results from the genome sequence of the dairy isolate *L. helveticus* DPC4571 (39). In comparison to the closely related gut isolate *L. acidophilus* NCFM, with which it has 75% of genes in common, strain DPC4571 has lost all mucus-binding proteins. However, experimental confirmation of the specific binding of these putative mucus-binding proteins to specific mucus components is still needed. A striking difference between the various mucus-binding proteins is the number of repeats of the MUB domain. This high variability suggests that the MUB domain is often duplicated (or deleted) in evolution, and it might be interesting to investigate whether the number of repeats correlates with the capacity of binding to mucus (25).

Other cell surface factors determining adherence. (i) S-layer proteins. S-layer proteins of lactobacilli have been commonly suggested to be involved in the adherence of lactobacilli, although not all lactobacilli have an S layer (9). We mentioned above that an *slpA* mutant of *L. acidophilus* NCFM was severely affected in its capacity to adhere to Caco-2 cells, although it is likely that multiple surface-associated proteins are disrupted with the removal of the S layer (35). Interestingly, the cell morphology of this *slpA* mutant was significantly altered (small, curved bacilli), indicating a role for SlpA in cell shape determination. That same group identified another cell surface protein, designated CdpA, as being involved in adherence to Caco-2 cells (3). The *cdpA* mutant adhered only ca. 17% of wild-type levels. According to those authors, this significant drop in adhesion is caused by pleiotropic effects generated by the mutation of this cell wall-modifying enzyme that promotes cell division and adherence. The lack of CdpA is suggested to result in a loss of anchoring or translocation or a loss of integrity of important adherence-determining proteins (3).

In *L. crispatus* JCM 5810, the S layer has been suggested to act as a specific adhesin, as it was reported to promote binding to components of the extracellular matrix of target cells *in vitro*, particularly collagen (5, 228). The domain structure of this collagen-binding protein, CbsA, was also analyzed after heterologous expression in *E. coli* and *L. casei* (5, 228). These analyses revealed that CbsA has an N-terminal assembly domain exhibiting affinity for pericellular tissue components (collagens and laminin) and a cationic C-terminal domain binding to negatively charged LTA. Flagellar display experiments also showed that SlpA of *L. brevis* ATCC 8287 has an N-terminal adhesive domain but with affinity for epithelial cells and fibronectin (113).

(ii) LTA. LTA is suggested to provide the main component of the hydrophobicity of the *Lactobacillus* cell envelope, although this depends on the D-alanine ester substitutions (65). Therefore, LTA seems to contribute mainly to adhesiveness in a nonspecific way. For instance, it was shown that the adhesion of *L. johnsonii* strain La1 to Caco-2 human intestinal cells could be inhibited by LTA purified from that strain in a concentration-dependent manner (96). The inactivation of *dltD* in the probiotic strain *L. rhamnosus* GG revealed that the D-alanylation of LTA is not required for short-term adherence to Caco-2 cells (188), while the *dltD* mutation increased the biofilm formation capacity of *L. rhamnosus* GG after 72 h of growth on polystyrene (137). In *L. reuteri* 100-23, a *dltA* mutant, also deficient in D-Ala residues on LTA, was shown to be severely impaired in colonizing the GIT of ex-*Lactobacillus*-free mice (270). This mutant was especially affected in its capacity to form *in vivo* biofilms in the forestomachs of these mice. However, when the *ex vivo* adherence of the wild type and the *dltA* mutant to tissue from the forestomach was investigated, no difference in adherence capacities was observed, indicating that D-alanyl esters on LTA do not contribute to initial adhesion events of *L. reuteri*. It should be noted that the modulation of the LTA structure and charge by mutation can have a significant impact on binding and conformational properties of attached cell surface proteins. For instance, for the *dltD* mutant of *L. rhamnosus* GG, we observed a marked differ-

ence in the secretion of proteins compared to that of the wild type (unpublished observations). Moreover, the formation of biofilms in the GIT is a more complex process than mere adherence to a substrate, as was also apparent from in vitro simulations of lactobacilli (137). For *L. reuteri* 100-23, D-alanylation might be important for later events in biofilm formation. Increased repulsive electrostatic forces in the mutant due to increased negative charges might contribute to the disruption of biofilm structure. Moreover, the reported impaired growth of the *dltA* mutant under acidic culture conditions and increased susceptibility to cationic peptides might further impair the growth of mature biofilms under normal biofilm-permissive conditions.

(iii) **EPS.** As for LTA, EPSs generally play a role in non-specific interactions of lactobacilli with abiotic and biotic surfaces by contributing to the cell surface physicochemical properties. EPSs have also been shown to have an indirect effect on adhesion by shielding other cell surface adhesins. For example, in *L. johnsonii* NCC533, the deletion of the entire EPS cluster slightly prolonged the gut persistence of the knockout mutant compared to the persistence of the parental control strains (67). In *L. acidophilus* CRL639, EPSs have also been shown to negatively mediate adhesion to extracellular matrices (148). Ruas-Madiedo and coworkers found both positive and negative effects of EPS on the adhesion of probiotics and enteropathogens to human intestinal mucus (211). Generally, aspecific mechanisms seem to dominate, but these EPS molecules could also act as ligands for host or pathogenic lectins, mediating specific adhesion and coaggregation (211). Much remains to be learned about the specific interactions of EPSs with lectin adhesin receptors.

In contrast to these assays that investigate relative short-time adherence events, EPSs seem to play a more specific role in the formation of microcolonies and biofilms (30). EPSs can promote intercellular interactions and the formation of microcolonies, although this step also depends on many other intrinsic and environmental factors. In *L. plantarum* WCFS1, an *agr*-like 2CRS regulatory system, encoded by *lamBDCA*, which regulates EPS production and adherence, was recently identified (239) (see also below). An RR-deficient *lamA* mutant showed reduced biofilm formation on glass substrates. Global transcription analysis of the wild type and the RR *lamA* mutant showed that LamA is involved in the regulation of the expression of genes encoding surface polysaccharides, cell membrane proteins, and sugar utilization proteins, indicating that this system has a key role in the regulation of cell surface properties. For *L. rhamnosus* GG, cell surface polysaccharides have also been shown to play an important, but condition-dependent, role in in vitro biofilm formation (135, 137). A *wzb* knockdown mutant in a gene encoding a putative EPS chain-length modulator and a spontaneous polysaccharide mutant, CMPG5413, of *L. rhamnosus* GG were significantly affected in their biofilm formation capacities (135, 137). Recently, the role of two HoPSs of *L. reuteri* TMW1.106 was also investigated in biofilm formation (271). In vitro assays showed that both the *gftA* and *inu* mutant were impaired in biofilm formation under acidic conditions. This was related to a role for glucan in autoaggregation and a role for Inu as

a glucan-binding protein mediating coaggregation. However, experiments using ex-*Lactobacillus*-free mice could not show a significant difference in biofilm formation in vivo on forestomach epithelial cells (271).

MECHANISMS OF HEALTH-PROMOTING EFFECTS OF LACTOBACILLI: PROBIOTIC FACTORS

Microbe-Microbe Interactions

Although adaptation factors contribute to the survival of lactobacilli in the host and are relatively easy to study mechanistically, the ultimate goal of molecular research on probiotics is the characterization of the key probiotic factors that result in direct health-promoting effects (see Table 5 for an overview of the studies that have yet included mutant analyses of lactobacilli).

An important mechanism of probiotic action is the capacity of probiotics to beneficially affect the host by direct effects on the microbiota. Traditionally, most attention is given to the antipathogenic properties of probiotics by competition for nutrients, the production of antimicrobials, and/or competitive exclusion. However, synergistic interactions could also occur between probiotics and endogenous beneficial members of the microbiota.

Competition and cooperation for nutrients. Interactions at the metabolome level are very complex to decipher, and it is almost impossible to investigate the impact of probiotic lactobacilli. However, Sonnenburg and coworkers used a simplified mouse model to investigate the metabolic impact of probiotics such as *L. casei* DN-114001 on the human symbiont *Bacteroides thetaiotaomicron* (233). They showed that this *Bacteroides* strain expands its capacity to utilize polysaccharides upon co-colonization with certain probiotic strains. This expansion is characterized by a general shift from mainly host mucus-derived to also dietary plant-derived glycans, such as xylose- and arabinose-containing glycans. This clearly shows the importance of competition for carbohydrates, as is expected from the importance of carbohydrate metabolism for microbes in the GIT (see above). Additionally, synergistic metabolic interactions could occur, particularly in the lower part of the GIT. For instance, primary degraders of complex carbohydrates such as *Bacteroides* may release a wider range of polysaccharides than they can utilize. The oligosaccharides generated by the numerous glycosylhydrolases of these species can be fermented by, e.g., LAB to lactic acid, which can then be metabolized by lactic acid-utilizing bacteria (279). The removal of substrates from the vicinity of the producer cells may also be advantageous for thermodynamic reasons, driving reactions that are otherwise energetically unfavorable. The net result is the promotion of symbiotic and syntrophic relationships. Moreover, nutrient harvest and metabolic interactions seem to be stimulated when the bacteria are present in multispecies biofilm-like communities (232).

Although the contribution of endogenous and exogenously applied lactobacilli to this metabolic cross-feeding has not been investigated, some studies have shown that *ldh* (encoding lactate hydrogenase) of exogenously applied lactobacilli is induced upon delivery into the GIT (157, 181), indicating that lactobacilli do produce lactate in situ. This lactic acid could be

TABLE 5. Genes of lactobacilli encoding putative probiotic functions studied by mutant analysis

Functional category	Gene	Identification approach ^a	Predicted function	<i>Lactobacillus</i> strain	Mutant phenotype	Reference(s)
Antipathogenic effects	<i>labT</i>	Comp.gen. (genome)	Putative ABC exporter for lactacin B	<i>L. acidophilus</i> NCFM	Reduced bacteriocin activity against closely related strains	74
	<i>abpT</i>	Comp.gen. (genome)	Putative ABC exporter for bacteriocin Abp118	<i>L. salivarius</i> UCC118	Reduced effectiveness against <i>L. monocytogenes</i> infections in mice	53
	<i>luxS</i>	Dedicated approach	Direct role in the production of AI-2; indirect in the production of AI-3-like agonist molecules	<i>L. reuteri</i> 100-23	Reduced capacity to induce virulence genes of pathogenic <i>E. coli</i> (EHEC)	246
	<i>luxS</i>	Dedicated approach	Direct role in production of AI-2; indirect role in production of AI-3-like antagonist molecules	<i>L. reuteri</i> ATCC 55730	Reduced capacity to repress virulence genes of pathogenic <i>E. coli</i> (EHEC)	115
Immunomodulation	<i>dltB</i>	Dedicated approach	d-Alanylation of LTA	<i>L. plantarum</i> NCIMB8826	Increased anti-inflammatory potential <i>in vitro</i> in PBMCs and <i>in vivo</i> in a murine model of colitis or in a rat model for visceral pain perception	76, 97
	<i>dltD</i>	Dedicated approach	d-Alanylation of LTA	<i>L. rhamnosus</i> GG	No significant difference in immunomodulation in PBMCs or HT-29 cells; increased sensitivity to human β -defensin-2	188
	<i>cpsIA-J</i>	Comp.gen. (genome)	Cell wall-associated high-molecular-mass polysaccharide biosynthesis	<i>L. casei</i> Shirota	Reduced proinflammatory potential in mouse macrophages and spleen cells	283
	LJ1680	Microarray and CGH	30% identity to IgA proteases	<i>L. johnsonii</i> NCC533	Reduced persistence in murine GIT	67

^a Comp.gen., comparative genomics.

converted to butyric acid by lactate-utilizing butyrate-producing colon bacteria such as *Eubacterium hallii* (75). This metabolic conversion is especially interesting, as butyrate has been shown to have beneficial effects on the GIT epithelium (220). This interaction can also explain why lactic acid generally does not accumulate in healthy adults and why many researchers have described an increase in butyrate levels after prebiotic consumption of, e.g., FOS that target mainly the LAB bifidobacteria and lactobacilli (see, e.g., reference 138). Additionally, some *L. reuteri* strains were shown to produce fructans and FOS, which could act as prebiotics *in vivo* and stimulate certain beneficial members of the GIT microbiota (91). Although this concept is attractive, this hypothesis remains to be verified *in vivo*.

Production of antimicrobial compounds. Besides competition for nutrients, lactobacilli are known to produce a variety of compounds that exert a direct antimicrobial action toward competing bacteria and viruses, although effects on viruses are not yet well documented at the molecular level.

(i) Lactic acid. Lactic acid can be considered to be a key antimicrobial compound produced by lactobacilli (224). For example, the strong antimicrobial activity of *L. rhamnosus* GG against *S. enterica* serovar Typhimurium was shown to be due to the accumulation of lactic acid (64). The exact mode of

action underlying this observed antimicrobial effect of lactic acid has not yet been completely clarified, although it is clear that both *Salmonella* growth and the expression of virulence factors are affected by lactic acid (78). Besides exerting its activity through lowering the pH and through its undissociated form, lactic acid is also known to function as a permeabilizer of the gram-negative bacterial outer membrane (2), allowing other compounds to act synergistically with lactic acid. In addition, organic acids such as lactic acid can capture elements essential for growth, such as iron, by their chelating properties (194).

(ii) Bacteriocins. Many lactobacilli are reported to secrete antimicrobial peptides called bacteriocins. These bacteriocins are usually active against closely related bacteria that are likely to reside in the same ecological niche. Most *Lactobacillus* bacteriocins are small, heat-stable proteins with a high isoelectric point (class II bacteriocins) that act generally by inducing membrane permeabilization and the subsequent leakage of molecules from target bacteria (79). Bacteriocin production is controlled in many strains in a population density-dependent manner using a secreted peptide pheromone for quorum sensing (QS). The sensing of its own growth, which is likely to be comparable to that of related species, is suggested to enable the producing organism to switch on bacteriocin production at

times when competition for nutrients is likely to become more severe (79). Although this proposed role for bacteriocin production has not been validated in vivo for lactobacilli, the R-IVET study of *L. plantarum* WCFS1 already gave some indications, as a *plnI* gene, encoding the plantaricin immunity protein, was found to be induced in the murine GIT (31). This gene belongs to a bacteriocin locus in *L. plantarum* WCFS1 that includes *plnABCD*, encoding a plantaricin 2CRS and an autoinducing peptide, PlnA, and several genes encoding class II bacteriocins (*plnE-plnF*, *plnJ-plnK*, and *plnN*) (128, 238). Marco et al. further confirmed that *plnI* is induced in the small intestine, cecum, and colon of mice (157), suggesting that the production of this bacteriocin is important for *L. plantarum* in the highly competitive environment of the GIT. However, no mutant analysis has yet been performed to confirm this hypothesis.

Nevertheless, some functional studies of lactobacillus mutants affected in bacteriocin production have been reported. In *L. acidophilus* NCFM, an operon involved in lactacin B production that includes genes encoding a 2CRS and an ABC transporter was identified (74). The inactivation of a predicted ABC transporter (encoded by *labT*) completely abolished bacteriocin activity against closely related strains such as *L. delbrueckii* ATCC 4797. Additionally, a pheromone-like peptide encoded by this operon (by LBA1800 or *labIP*) that was able to induce lactacin B production was identified. However, no in vivo competition experiment or experiments with pathogens have yet been reported for these mutants. This is in contrast to a recent study by Corr et al. demonstrating the in vivo functionality of a bacteriocin of *L. salivarius* UCC118 as an effective antimicrobial strategy (53). UCC118 produces a potent broad-spectrum class II bacteriocin, Abp118, and its production is also regulated by an induction peptide, AbpIP. To investigate the role of this bacteriocin in the antimicrobial activity of UCC118 against *L. monocytogenes*, the *abpT* gene, encoding the AbpT transporter, was inactivated, resulting in abolished bacteriocin production. In contrast to the wild type, this mutant was not able to reduce *Listeria* infection in mice. To confirm that this was due to a direct antimicrobial action of the bacteriocin Abp118, a strain of *L. monocytogenes* immune to Abp118 was created by the heterologous expression of the associated immunity determinant, *abpIM*, which conferred specific resistance to Abp118. This way, the protective effect of UCC118 against *L. monocytogenes* was lost when the Abp118-resistant variant was used as the infectious strain.

(iii) **H₂O₂.** H₂O₂ production by lactobacilli has also been suggested to be an important antimicrobial mechanism, especially in the vagina of healthy women (224). Recently, Pridmore et al. (197) described an in vitro role for H₂O₂ in the anti-*Salmonella* activity of *L. johnsonii* NCC533. This strain produces up to millimolar quantities when resting cells are incubated in the presence of oxygen. The genetic basis for this H₂O₂ production is not clear. The roles of different enzymes were investigated by mutant analysis: LJ1853, encoding a putative pyruvate oxidase enzyme; LJ1826, encoding a putative lactate oxidase; LJ1254-LJ1255, encoding a predicted NADH oxidase gene interrupted by a perfect 13-bp direct repeat; and LJ1810-LJ1814, encoding a putative cytochrome *d* ubiquinol oxidase operon. Single mutants still produced wild-type levels of H₂O₂, but the combination of all four mutations resulted in

a non-H₂O₂-producing mutant. However, this mutant rapidly reversed to the production of H₂O₂, complicating further functional analyses.

Competitive exclusion. Intestinal pathogens such as type 1-fimbriated *E. coli* utilize oligosaccharide receptor sites in the gut (139). There is some evidence that probiotics could use the same attachment site so that the pathogen is in competition for binding to the host mucosal interface and thereby could be inhibited from invading the mucosal layer. This antipathogenic mechanism is known as competitive exclusion and generally requires that the probiotic lactobacilli are administered in a preventive setup, as the displacement of a pathogen by a *Lactobacillus* strain is usually not observed. The various specific adhesins described above probably contribute to this mechanism of probiotic action, although aspecific mechanisms based on steric hindrance are also possible.

One putative competitive exclusion factor is the mannose-specific adhesin Msa of *L. plantarum* (195). Its identification allows detailed studies with deletion and overproduction strains to identify strains that effectively exclude pathogens containing type 1 fimbriae. Mack et al. demonstrated that a spontaneous and noncharacterized *adh* mutant of the probiotic strain *L. plantarum* 299v, but which is suggested to be affected in the *msa* gene, showed 10-times-less adherence to HT-29 epithelial cells and was not able to inhibit the adherence of enteropathogenic *E. coli*, in contrast to the wild type (152).

Surface layer extracts from *L. helveticus* R0052 were recently shown to inhibit the adhesion of *E. coli* O157:H7 to epithelial cells (118). This process seems to be mediated partly by the high hydrophobicity of the S layers, and it is not yet known whether it involves interactions with specific receptors. Similar results were obtained for the S layers of *L. crispatus* ZJ001, which were shown to play a role in the competitive exclusion against enterohemorrhagic *E. coli* (EHEC) and *S. enterica* serovar Typhimurium (47). Another example is the putative collagen-binding protein of *L. fermentum* RC-14, which was reported to inhibit the adhesion of *Enterococcus faecalis* 1131 (105). An additional mechanism to decrease the pathogenic load during infections is coaggregation, which is thought to facilitate the clearance of pathogens during mucus flushing. For example, a *cpf* gene encoding a surface protein that mediates coaggregation with *E. coli* K88, *Campylobacter coli*, and *Campylobacter jejuni* was identified in *L. coryniformis* DSM20001^T (217). Bergonzelli et al. also described a role for the cell surface-located GroEL of *L. johnsonii* NCC 533 in the specific aggregation of *Helicobacter pylori* (20). Nevertheless, whether the preventive administration of probiotics is really able to reduce infections in vivo by competitive exclusion remains to be determined.

Bacterial cell-cell communication. Given the high number and level of diversity of bacteria that comprise the GIT, it was postulated that the members of this community somehow communicate to coordinate various adaptive processes that include competition and cooperation for nutrients and adhesion sites (120). QS is a cell-to-cell signaling mechanism through which bacteria produce and/or respond to chemical signals called autoinducers.

(i) **Intraspecies communication.** As described above, QS is best studied in lactobacilli in relation to bacteriocin production. Intraspecies bacterial communication in gram-positive

bacteria is generally mediated by specific autoinducing signaling peptides that are often posttranslationally modified and exported by dedicated transport systems (238). These signals are sensed by responsive cells via dedicated 2CRSs. In silico analysis predicted the presence of five QS 2CRSs in *L. plantarum* WCFS1, two in the intestinal species *L. acidophilus* NCFM and *L. johnsonii* NCC533, one in the intestinal species *L. salivarius* UCC118 and the food species *L. delbrueckii* subsp. *bulgaricus* ATCC BAA-365, and none in the intestinal species *L. gasserii* ATCC 33323 (238). The high number identified in *L. plantarum* WCFS1 could reflect the ecological flexibility of this species, which can be found on plants, in fermented foods, and in the GIT (128). In comparison, the other lactobacilli described seem to be more restricted to specific environments, possibly resulting in fewer peptide-based QS 2CRSs (238). The functionality of *abpIPKR* in *L. salivarius* UCC118 (53) and LBA1798-LBA1800 in *L. acidophilus* NCFM (74) in QS-regulated bacteriocin production is described above. Additionally, a *Lactobacillus agr*-like module was functionally characterized in *L. plantarum* WCFS1 (239). Analogous with the staphylococcal *agr* system, the *L. plantarum lamBDCA* locus is involved in the production of a cyclic thiolactone peptide. Analysis of a *lamA* mutant revealed a role for the *lam* operon in the *L. plantarum* biofilm-forming capacity and EPS production, as we described above in relation to adhesion. Nevertheless, an in vivo role for these QS systems in the competitive ability of lactobacilli in the GIT remains to be elucidated.

(ii) Interspecies communication. In the late 1990s, a new family of signal molecules, autoinducer-2 (AI-2), and its cognate synthase LuxS, which are present in both gram-negative and gram-positive bacteria, were described (241). In *Vibrio harveyi*, AI-2, a furanosyl borate diester, is one of the signals that regulate bioluminescence through a complex phosphorelay system (106). The binding of AI-2 to the periplasmic receptor LuxP modulates the activity of the inner membrane sensor kinase LuxQ, transducing AI-2 information into the cytoplasm. *V. harveyi* can produce light in response to the AI-2 produced by many other bacterial species. These observations of *V. harveyi* resulted in the development of a bioassay to detect AI-2 production and led to the suggestion that AI-2 acts as a universal signal molecule that fosters interspecies cell-cell communication (15). Using the *Vibrio* bioluminescence assay, De Keersmaecker and Vanderleyden tested the spent culture supernatant of various lactobacilli for the presence of AI-2-type molecules (63). Strains such as *L. rhamnosus* GG, *L. plantarum* NCIMB8826, *L. johnsonii* VPI1088, and *L. casei* ATCC 393 were shown to produce AI-2-like molecules (63).

In the gastrointestinal pathogen *Vibrio cholerae*, the accumulation of two QS signals, cholerae AI-1 (CAI-1) and AI-2, represses the expression of virulence factors at high population density. AI-2 functions synergistically with CAI-1 to control virulence gene expression, although CAI-1 is the stronger of the two signals (107). However, apart from bioluminescence in *V. harveyi* and a role in virulence of *V. cholerae*, no obvious phenotype has been associated with the extracellular accumulation of this molecule in most bacteria (260). The functional studies of AI-2-mediated QS are complicated by the fact that the AI-2 synthase LuxS forms an integral part of the activated methyl cycle, as mentioned above in relation to stress resistance. In this cycle, LuxS catalyzes the conversion of *S*-ribosyl-

homocysteine, yielding (*S*)-4,5-dihydroxy-2,3-pentanedione and homocysteine (260). (*S*)-4,5-Dihydroxy-2,3-pentanedione undergoes spontaneous rearrangements to form AI-2, while homocysteine is recycled to methionine and SAM. The disruption of *luxS* also disrupts the activated methyl cycle, resulting in many metabolic defects that cannot be easily discriminated from QS defects. However, since only the LuxS AI-2 synthase is widespread in bacteria, as opposed to the LuxPQ AI-2 receptor, most functional studies have been performed with *luxS* knockout mutants. This is also the case for lactobacilli, and only two functional analyses of *luxS* knockout mutants have been described in detail. In *L. reuteri* 100-23, the disruption of *luxS* resulted in increased biofilm formation in vitro in a bioreactor and on the epithelial surface of the murine forestomach (246). Whether this was due to disrupted QS control of the biofilm thickness or disturbed metabolism is difficult to discriminate, as the addition of purified AI-2 to the biofilm culture medium could not restore the phenotype to the wild-type level, and the *luxS* mutant showed a reduced ATP level in exponentially growing cells. Moreover, the ecological performance of the *luxS* mutant, when in competition with *L. reuteri* strain 100-93, was significantly reduced in the highly competitive conditions of the murine cecum but not in the stomach or jejunum (246). In *L. rhamnosus* GG, the disruption of the *luxS* gene resulted in pleiotropic effects on in vitro growth, biofilm formation, and in vivo persistence in the murine GIT, and these effects were shown to be caused merely by metabolic defects (135, 136). Therefore, although AI-2 is an attractive candidate for multispecies communication in the GIT, it is very difficult to verify this hypothesis in vivo. Clearly, the strategy to investigate this hypothesis has to include, in addition to the characterization of *luxS* mutants, the identification of putative AI-2 receptors and signaling pathways between different species, both pathogenic and probiotic bacteria.

(iii) Interkingdom communication. Recently, it was discovered that bacteria can also exploit QS signals to communicate with the host in a process referred to as cross-kingdom cell-to-cell signaling (111). This cross-kingdom signaling involves small molecules, such as hormones that are produced by eukaryotes and hormone-like chemicals that are produced by bacteria. For instance, Sperandio and colleagues could show a role for (nor)epinephrine produced by the host, similar to the aromatic signal AI-3 produced by commensal microbiota: both signaling molecules could activate virulence genes of EHEC mainly by inducing *ler* expression (234). *ler* (locus of enterocyte effacement [LEE]-encoded regulator) encodes the principal transcriptional activator of the LEE genes present on a pathogenicity island referred to as LEE of EHEC (234). The identity and exact structure of this AI-3 signal is currently unknown, but its production in EHEC seems to be indirectly dependent on the LuxS enzyme described above. AI-3 appears to be an aromatic compound derived from tyrosine, whose biosynthesis is linked to the activated methyl cycle and metabolism of methionine (272). Interestingly, lactobacilli were recently shown to interfere with this signaling. The supernatant of *L. reuteri* ATCC 55730 exhibited a negative effect on *ler* expression by EHEC, in contrast to the one of the corresponding ATCC 55730 *luxS* mutant and growth medium alone (115). Similarly, *L. acidophilus* La-5 also reduced the expression of virulence genes of EHEC (166). On the contrary, the stationary-phase

supernatant of *L. reuteri* 100-23C induced *ler* expression, and this induction was abolished in the isogenic *luxS* mutant (246). Therefore, Medellin-Pena and coworkers proposed a LuxS-dependent production of as-yet-unknown competing antagonistic molecules in *L. reuteri* ATCC 55730 and *L. acidophilus* La-5 versus AI-3-like agonistic molecules in *L. reuteri* 100-23C (166). As LuxS plays a central role in metabolism, and mutation has pleiotropic effects on many compounds in the supernatant, it will be difficult to identify these AI-3-like molecules.

Beneficial Interactions with Gut Epithelium

The intestinal epithelial cells are presumed to be the first and most important target cells of probiotic action. In this section, we discuss *Lactobacillus* molecules that can beneficially influence the optimal functioning of the gut epithelium, related to its nutritive and its barrier function.

Metabolic interactions. Metabolic interactions between lactobacilli and the host could modulate the primarily nutritive function of the epithelium, but these interactions are difficult to delineate. A holistic approach was recently used by Martin and coworkers (159). They investigated the metabolic effects of exposure to either *L. paracasei* NCC2461 or *L. rhamnosus* NCC4007 in a humanized microbiome mouse model (germ-free mice colonized with human baby microbiota) by an impressive top-down systems biology strategy of samples taken from plasma, urine, liver, ileum, cecum, and feces. Although it concerned a simplified model with only seven different strains making up the microbiota, they could show that probiotic exposure can modify the microbiota and alter hepatic lipid metabolism, coupled with lowered plasma lipoprotein, increased triglyceride levels, and apparent stimulated glycolysis. They also observed changes in a diverse range of other pathways, including amino acid metabolism, methylamines, and short-chain fatty acids such as butyrate (159). As mentioned above, butyrate is a particularly important source of energy for the colonic mucosal cells, and it was suggested to be necessary for the maintenance of the colonic epithelium (100, 220). It was also suggested that part of these observed effects is due to the capacity of these lactobacilli to metabolize bile acids, the primary function of which is to emulsify fats, so that these probiotics seem to affect how much fat that the body can absorb. However, the relationship with the presence of, for instance, *bsh* genes in these lactobacilli has not yet been made. This holistic approach is of particular interest to further investigate the potential of probiotic lactobacilli in modulating mainly metabolic disease risks for, e.g., diabetes (244), obesity (143), and colon cancer (68).

Other metabolic effects of lactobacilli on the host are less easy to delineate. Some lactobacilli are able to catalyze the isomerization of the double bond at the C-9 position in linoleic acid (c9,c12; 18:2) to form t10,c12-conjugated linoleic acid (18:2), which is hypothesized to be involved in additional metabolic effects of lactobacilli (142). Also, although lactobacilli are traditionally known as being auxotrophic for B vitamins, some strains of lactobacilli such as *L. reuteri* JCM1112 can synthesize the B vitamins folate (vitamin B₉) and/or vitamin B₁₂, which could be interesting in cases of vitamin deficiency (213, 214). Additionally, five genes essential for folate biosynthesis from *Lactococcus lactis* have successfully been cloned in

the folate auxotroph *L. gasseri*, changing this strain from a folate consumer to a folate producer (274), but the potential of such engineering in relation to probiotic effects remains to be studied.

Preservation of epithelial barrier function. Alterations in epithelial barrier function are implicated in a variety of intestine-related disorders including enteric infections, IBD, food allergy, autism, and stress (252). Probiotic lactobacilli are suggested to strengthen the epithelial barrier by various mechanisms such as the induction of mucin secretion (152), enhancement of tight-junction functioning (117, 183, 225), upregulation of cytoprotective heat shock proteins (190, 248) and prevention of apoptosis of epithelial cells (280). In some cases, parts of the signaling pathways have been identified (Fig. 3), but the *Lactobacillus* effector molecules and their corresponding receptors mediating these effects are largely unknown.

(i) **Cell surface factors.** Many studies have demonstrated that direct cell contact between lactobacilli and epithelial cells is needed for certain beneficial effects on the epithelium, and some studies identified important cell surface factors of lactobacilli involved. For instance, LTA molecules from *L. johnsonii* La1 and *L. acidophilus* La10 inhibited *E. coli*- and lipopolysaccharide (LPS)-induced interleukin-8 (IL-8) release by HT-29 epithelial cells, and the lipid moiety of the LTA molecules proved to be important (262). Mack et al. also showed that the induction of mucin expression by probiotic lactobacilli was dependent on direct cell contact between lactobacilli and epithelial cells (152). To show this, they used a spontaneous *adh* mutant of the probiotic strain *L. plantarum* 299v, resulting in 10-times-less adherence to HT-29 epithelial cells. This *adh* mutant had lost the ability to induce mucin expression and was not able to inhibit the adherence of enteropathogenic *E. coli*, in contrast to the wild type. However, the exact molecular details of the *adh* mutation remain to be determined (as elaborated above).

Also, other studies have shown that some host pathways are modulated by direct contact with lactobacilli without identifying the *Lactobacillus* elicitors. For instance, *L. acidophilus* ATCC 4356 was reported to mediate anti-inflammatory and antiapoptotic effects in epithelial cells dependent on direct cell contact by the activation of mitogen-activated protein kinases (MAPK) and Akt, the prevention of I κ B degradation, and the prevention of inactivation of the epidermal growth factor (EGF) receptor (204, 205, 280). Yan and Polk showed that *L. rhamnosus* GG exerts a specific antiapoptotic effect through the inhibition of tumor necrosis factor alpha (TNF- α)-stimulated activation of the proapoptotic MAPK p38, for which direct contact is needed (281), in addition to the activation of Akt, which is induced by soluble proteins (see below). As-yet-unknown cell surface factors were also found to be responsible for the inhibition of I κ B degradation and induction of nerve growth factor by *L. reuteri* in epithelial cells, resulting in an anti-inflammatory effect (150). Interestingly, a quite unexpected receptor that mediated beneficial effects of a specific *Lactobacillus* strain was recently identified. *L. acidophilus* NCFM was shown to induce the expression of opioid and cannabinoid receptors in intestinal epithelial cells and mediate analgesic functions in the gut, similar to the effects of mor-

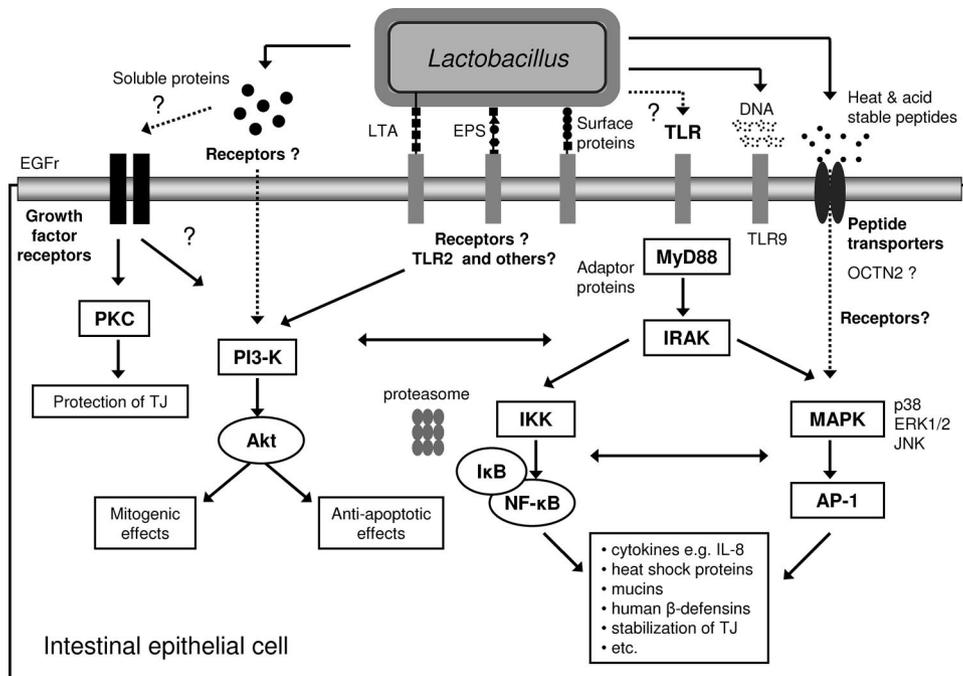


FIG. 3. Modulation of epithelial barrier function by lactobacilli. Several *in vitro* studies have identified signaling pathways that are involved in the interaction between lactobacilli and epithelial cells. The MAPKs p38, ERK1/2, and JNK have an important function in the dynamic regulation of the cell cytoskeleton, tight junctions (TJ), and other effectors of epithelium barrier function, and these MAPKs are often influenced by lactobacilli (see, e.g., reference 205). Given the critical role of EGF signaling in many aspects of gastrointestinal physiology and epithelial repair, some beneficial effects of probiotics are also related to interference with this signaling (204, 282). Akt (or protein kinase B) plays a central role in promoting epithelial cell survival by lactobacilli by the inactivation of several proapoptotic pathways, including caspase 9 and caspase 3, and stimulation of cell proliferation by the activation of cell cycle regulators (see, e.g., reference 280). Akt is generally activated in a phosphatidylinositol 3-kinase (PI3-K)-dependent manner by, e.g., EGF receptor (EGFr) signaling or TLR signaling. Additionally, inhibition of the activation of the NF- κ B pathway that plays a key role in inflammatory responses seems to be a primary target of lactobacilli by inhibiting the ubiquitination and proteasome degradation of I κ B and thus preventing the nuclear translocation of the NF- κ B transcription factor (see, e.g., reference 190). Clearly, a complex network of interacting signaling pathways can be influenced by lactobacilli. However, there is a general lack of knowledge of the *Lactobacillus* effector molecules and their corresponding host receptors mediating these effects (89, 116, 190, 198, 204, 205, 225, 248, 280) (double arrows indicate cross-signaling events; dotted lines indicate ligand-receptor interactions that are not yet well defined). PKC, protein kinase C.

phine (210). These effects seemed to be mediated by direct cell contact, but the probiotic effectors are yet unknown.

(ii) **Secreted proteins.** Other beneficial effects of lactobacilli on epithelial cells do not require direct cell contact. For instance, two secreted proteins of *L. rhamnosus* GG, designated p40 and p75, were recently identified to promote *in vitro* intestinal epithelial homeostasis through specific signaling pathways (280, 281). p40 and p75 show low similarity with putative cell wall-associated hydrolases or cell wall-modifying enzymes and are abundantly present in spent *L. rhamnosus* GG supernatant. Similar proteins were identified in the supernatant of *L. casei* ATCC 334 and ATCC 393 but not in the supernatant of *L. acidophilus* ATCC 4356 (280). These proteins stimulated the activation of Akt, promoted epithelial cell growth, and inhibited TNF- α -induced epithelial cell apoptosis. Akt was activated by p40 and p75 in a phosphatidylinositol 3-kinase-dependent manner, probably mediated by the activation of the EGF receptor (280, 282). In another model system, p40 and p75 of *L. rhamnosus* GG appeared to protect the intestinal epithelial tight junctions and attenuate the H₂O₂-induced epithelial barrier disruption in Caco-2 cells. These effects of p40 and p75 were shown to be mediated by protein kinase C and the MAPK extracellular signal-regulated kinase 1 (ERK1)/ERK2 (225), indicating that these

proteins can affect various pathways. The probiotic mixture VSL#3, which includes *L. casei*, *L. plantarum*, *L. acidophilus*, and *L. delbrueckii* subsp. *bulgaricus*, was also reported to stabilize tight junctions and induce mucins in intestinal epithelial cells by large but unidentified proteinaceous soluble factors (>50 kDa) (183). Also, more research is needed to identify the unknown factor(s) in spent culture medium of various probiotic lactobacilli and VSL#3 that were reported to induce expression of enterocyte β -defensin 2, an antimicrobial peptide that is important for gut barrier function, via the induction of pathways including NF- κ B and activator protein 1 (AP-1) as well as MAPKs (221).

(iii) **Soluble peptides.** Low-molecular-weight, heat- and acid-stable peptides of *L. rhamnosus* GG were shown to activate the MAPK p38 and JNK and to induce cytoprotective heat shock proteins in intestinal epithelial cells (248). Similarly, unknown peptide-soluble factors of the probiotic mixture VSL#3 were shown to inhibit the degradation of the NF- κ B inhibitor I κ B and induce heat shock proteins through specific proteasome inhibition (190). In contrast, the well-characterized pentapeptide QS molecule colony-stimulating factor (CSF) of *B. subtilis* was recently reported to exert a protective effect against the loss of epithelial barrier function in gut epithelial cells by the induction of cytoprotective heat shock proteins (89). Moreover, Fujiya et al.

identified a mammalian apical membrane cationic oligopeptide transporter (OCTN2) for CSF uptake that is typically present on epithelial cells. Whether peptides of lactobacilli could serve a similar function remains to be evaluated, but apparently, *L. rhamnosus* GG and *L. plantarum* exerted similar effects on the induction of heat shock proteins and interactions with the OCTN2 receptor (89).

(iv) **Unmethylated CpG DNA.** Finally, in the presence of proinflammatory stimuli, bacterial DNA of the VSL#3 mixture was shown to inhibit IL-8 secretion, reduce p38 MAPK activation, delay NF- κ B activation, stabilize levels of I κ B, and inhibit proteasome function (116). Rachmilewitz et al. reported that Toll-like receptor 9 (TLR9) signaling mediates the anti-inflammatory effects of VSL#3 DNA in murine experimental colitis (198).

(v) **Multiple probiotic factors and multiple signaling pathways.** Taken together, multiple cell surface and secreted factors of lactobacilli seem to exert a protective effect on intestinal epithelial cells mediated by multiple signaling pathways (Fig. 3). Some of these effects are related to immune responses (see below). However, the exact effector-receptor interactions and downstream signaling events still need to be characterized in most cases. Moreover, many of these in vitro studies need to be complemented with in vivo data. For instance, Di Caro et al. investigated the influence of *L. rhamnosus* GG administration on gene expression in the small bowel mucosa by using human gene arrays (72). *L. rhamnosus* GG affected the expression of genes involved mainly in the immune response and inflammation (transforming growth factor β [TGF- β] and TNF family members, cytokines, nitric oxide synthase 1, and α -defensin), apoptosis, cell growth, cell differentiation (cyclins, caspases, and oncogenes), cell-cell signaling (ICAMs and integrins), cell adhesion (cadherins), and signal transcription and transduction (72). This is in agreement with some of the in vitro effects of *L. rhamnosus* GG on epithelial cells that are described above.

Immunomodulatory Interactions

Different cell types involved. In addition to their nutritive function and their role as a physical barrier that separates luminal contents from the internal milieu, intestinal epithelium cells (IECs) actively participate in immune reactions. Together with IECs, dendritic cells (DCs) and macrophages continuously sense the environment and coordinate various defenses for the protection of mucosal tissues (Fig. 4). Innate defenses include the production of antimicrobial compounds (defensins and nitric oxide, etc.) and the secretion of chemokines such as IL-8 that recruit neutrophils, i.e., phagocytes that are capable of ingesting microorganisms or particles. In addition, many adaptive immune responses against commensal, probiotic, and pathogenic bacteria are mediated in mucosal lymphoid follicles that are distributed throughout the GIT and are referred to as the gut-associated lymphoid tissue (GALT) (8) (Fig. 4). DCs are the most important antigen-presenting cells in the mucosa. Particularly, the modulation of DC function and induction of regulatory T cells are increasingly gaining attention as important mechanisms of probiotic action (26). Related to this, the “hygiene hypothesis” suggests that the lack of exposure

to certain organisms, referred to as “old friends microbes,” could be partially responsible for the increased rates of some chronic diseases such as allergies and IBD (99). Lactobacilli are suggested to be among these “old friends microbes” that are thought to be especially needed to prime immunoregulatory responses and to induce regulatory DCs and T cells (208).

First, we will give examples of various effects of lactobacilli on immune cells. Subsequently, the *Lactobacillus* factors and their putative receptors in the host will be summarized, although knowledge of these *Lactobacillus* elicitors is limited, given the focus on host responses by most studies reported recently.

(i) **Specific effects of lactobacilli on macrophages.** It was shown that macrophages exposed to *L. rhamnosus* strain GG or GR-1 produce large amounts of granulocyte CSF, resulting in reduced levels of TNF- α production, related to the ability of these probiotics to activate Stat3 and the subsequent inhibition of JNK (124). Lin and coworkers also reported that unknown small soluble factors of *L. reuteri* strain ATCC PTA6475 suppressed TNF- α in primary monocyte-derived macrophages from children with Crohn’s disease by inhibiting the activation of the MAPK JNK and the transcription factor AP-1 and not by affecting NF- κ B activation (145). Others reported that live *L. rhamnosus* GG induces NF- κ B with subsequent STAT1- and STAT3 DNA-binding activity in human macrophages (169). Roessler et al. showed that probiotic lactobacilli can even increase the phagocytic activity of monocytes and granulocytes in healthy subjects (207). Interactions by certain lactobacilli and macrophages seem to be, at least in part, mediated by the mannose receptor (CD206) (155a).

(ii) **Specific effects of lactobacilli on DCs.** As DCs play a key role in mucosal immunity, many studies have investigated the effect of lactobacilli on DCs. Most studies have shown that lactobacilli can modulate DC function by differentially inducing their maturation and the expression of MHC class II, costimulatory, adhesion, and activation molecules for antigen presentation to T cells or regulatory cytokines such as IL-10. However, lactobacilli seem to differ significantly in their capacities to modulate DC responses and T-cell balances. For instance, Christensen et al. showed that mouse bone marrow DCs were differentially stimulated by various *Lactobacillus* species (49). In particular, those authors showed that some strains were strong inducers of IL-12 and TNF- α , while others were considerably less potent inducers. Similarly, the various strains exhibited different capacities to induce IL-10 and IL-6. Mohamadzadeh et al. demonstrated that *L. gasseri* ATCC 19992, *L. johnsonii* ATCC 33200, and *L. reuteri* ATCC 23272 were able to induce the secretion of IL-12 and not of IL-10 and hence skew T-cell polarization toward Th1 and Tc1 CD8⁺ cytotoxic T cells, although differences among the three strains in this capacity were observed (171). Additionally, they also suggested that TLR2 is the principal TLR involved, as the *Lactobacillus* species used in their study upregulated the expression of TLR2 transcripts (for TLRs, see below). Karlsson et al. showed that monocytes produced higher levels of IL-12 and TNF- α in response to *L. plantarum* than in response to *E. coli* (122). In contrast, DCs secreted large amounts of IL-12, TNF- α , IL-6, and IL-10 in response to *E. coli* but were practically unresponsive to *L. plantarum*. On the other hand, Hart

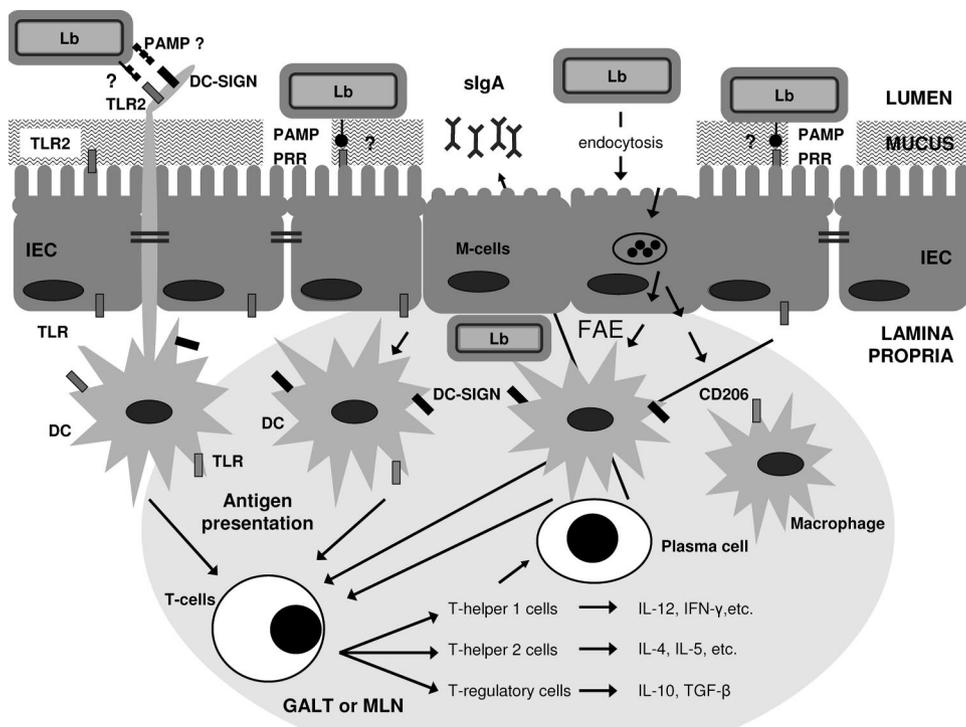


FIG. 4. Interaction of lactobacilli with the GALT. Together with IECs, DCs and macrophages continuously sense the environment and coordinate defenses for the protection of mucosal tissues. DCs are the most important antigen-presenting cells of the mucosa. It has been demonstrated that immature DCs in the lamina propria can even extend their appendices between epithelial cells, like periscopes, into the intestinal lumen to take up bacteria (203). DCs are also involved in the sampling of antigens and bacteria, including lactobacilli that are transported through microfold epithelial cells (M-cells) to the dome region of the GALT. M cells are specialized epithelial cells for antigen sampling, which are located in the follicle-associated epithelium (FAE) overlying the GALT such as Peyer's patches (PP) in isolated lymphoid follicles and are individually present in the gut epithelium. Once released into the dome region, the antigens are captured by immature DCs, which become activated when they encounter microbial products through these different pathways. This triggers a switch in cytokine and chemokine production and an upregulation in costimulatory molecules. This activation is mediated by PRRs such as TLRs, DC-SIGN on DCs, and mannose receptor (CD206) on macrophages, and these PRRs recognize pathogen-associated molecular patterns (PAMP). Activation allows the DCs to migrate to the draining lymph nodes such as the mesenteric lymph nodes (MLN) or subepithelial dome of the GALT, where the DCs orchestrate the conversion of naïve T cells into a mature, balanced response of T-helper cells or regulatory T cells, depending on the microbial products which they have encountered (8). The DCs can also activate naïve plasma cells into becoming protective sIgA-producing B cells, especially in the Peyer's patches (155). Tolerance and homeostasis in the intestine are also maintained by specialized subsets of T lymphocytes, which can all be influenced by lactobacilli (Lb). T-helper 1 (Th1) responses are usually associated with inflammatory reactions, and Th2 cells are usually associated with allergic responses. Some cytokines are released by both cell types, e.g., IL-3 and TNF- α , whereas Th1 cells secrete cytokines such as gamma interferon (IFN- γ) and IL-12 and Th2 cells secrete IL-4 and IL-5, etc. T_{reg} cells are essential in modulating immune responses and preventing overreaction and are thought to be a key target of probiotics. At least three different T_{reg} cells have been identified: CD4⁺ CD25⁺ T_{reg} cells, Tr1 cells mediating bystander suppressor function by secreting IL-10, and Th3 cells that produce TGF- β and are believed to play a role in oral tolerance (54).

et al. observed that VSL#3 organisms induce high levels of IL-10 and low levels of IL-12 compared to levels of LPS in DCs from either the lamina propria or the circulation (101). In addition, these organisms inhibited LPS-induced IL-12 while maintaining IL-10 production.

(iii) Specific effects of lactobacilli on regulatory T cells. Some studies have focused on the induction of regulatory T (T_{reg}) cells by lactobacilli in more in detail. The probiotic mixture VSL#3 was reported to ameliorate Th1-mediated colitis by inducing TGF- β -bearing regulatory cells (73). Interactions between DCs and *L. rhamnosus* were also shown to induce hyporesponsive T-helper cells (29). Smits and coworkers reported that certain lactobacilli (*L. casei* NIZO B255 and *L. reuteri* ASM20016) but not *L. plantarum* NIZO B253 or commensal *E. coli* induced T cells to produce IL-10 when cultured with DCs and that such T cells exert mild to moderate suppressive effects on peripheral CD4⁺ T cells (230). Of in-

terest, these *Lactobacillus* strains did not activate TLRs, whereas *E. coli* (an organism without the capacity to induce regulatory cells) did induce TLR signaling. On the other hand, *L. casei* NIZO B255 and *L. reuteri* ASM20016 were found to bind to cells transfected with the C-type lectin DC-SIGN (DC-specific intercellular adhesion molecule 3-grabbing nonintegrin) (see below), and this binding mediated by DC-SIGN was necessary to induce regulatory T cells (230). Foligne and coworkers reported that *L. salivarius* Ls33 and *L. rhamnosus* Lr32, but not *L. acidophilus* NCFM, induce regulatory or tolerogenic DCs (only partially matured) and CD4⁺ CD25⁺ regulatory cells in an IL-10-independent but TLR2- and NOD2-dependent way (84).

Overall, the results suggest that various *Lactobacillus* strains can direct immunological responses toward pro- or anti-inflammatory responses, depending on the specific bacterial strains applied, the specific immune cells used, and the specific

experimental setup. This is also nicely illustrated by a study by O'Mahony and coworkers that showed that DCs from different lymphoid compartments exhibit divergent cytokine responses to probiotic and pathogenic bacteria (180). In particular, DCs from mesenteric lymph nodes produced IL-10 and TGF- β in response to *L. salivarius* UCC118, while those from peripheral blood produced IL-12. On the other hand, *S. enterica* serovar Typhimurium stimulated IL-12 in both populations, seemingly maintaining the optimal responsiveness of these cells to pathogens.

PRRs. How are some lactobacilli able to exert these immunomodulatory effects, and which ligand-receptor interactions are involved? Many immune responses against the GIT microbiota are mediated by pattern recognition receptors (PRRs) such as TLRs that are present on IECs, DCs, and macrophages and intracellular nucleotide binding oligomerization domain (NOD)-like receptors (NLRs) present in the cytosol of many immune and epithelial cells. These PRRs play a crucial role in the innate immune system and have broad specificities for conserved, invariant, and generally repetitive features of microorganisms, in contrast to the specific antigen receptors of the adaptive immune system (167). The targets of these PRRs are often components of the bacterial cell wall such as LPS, PG, LTA, and cell wall lipoproteins. For example, triacylated bacterial lipoproteins interact with TLR2, LPS interacts with TLR4, flagellin interacts with TLR5, DNA interacts with TLR9, and muropeptides derived from PG interact with NOD1 or NOD2. These microbial ligands are present in pathogens and nonpathogenic organisms, including lactobacilli. To discriminate between microbes, it seems that the information for the different ligand-PRR interactions is integrated and converged to determine a final response. These signaling events need to be delicately balanced toward tolerance against commensals and reactivity against pathogens, and imbalance might result in the uncontrolled upregulation of inflammatory responses toward commensal bacteria, as seen in IBD (167).

(i) TLR and NLR signaling. TLRs are transmembrane proteins with an extracellular domain made of leucine-rich repeats involved in ligand recognition and an intracytoplasmic domain containing the highly conserved Toll/IL-1 receptor domain. These Toll/IL-1 receptor domains are homologous to the IL-1 β receptor-like intracellular domain and utilize some of the same signaling components involved in the response to IL-1 β , including the cytoplasmic adapter molecule MyD88, the protein kinase IL-1 receptor-associated kinase (IRAK), and the adapter protein TNF receptor-associated factor 6 (TRAF6) as well as Tollip (Toll-interacting protein). Upon stimulation, IRAK is recruited to the TLR through MyD88. IRAK subsequently undergoes phosphorylation and relays the signal downstream by interacting with TRAF6 (Fig. 3). The cytosolic NLR proteins also have a leucine-rich repeat at the C terminus for ligand recognition, in addition to a Nod domain, and caspase activation and recruitment domains (CARDs) at the N terminus. TLR and NLR expressions and responsivenesses are highly localized and vary extensively with cell type (DCs versus IECs), location in the body (e.g., spleen versus mucosa), and disease status, etc. The interaction of these PRRs with their specific ligand induces NF- κ B signaling and MAPK pathways, with the subsequent secretion of proinflam-

matory cytokines, chemokines, costimulatory molecules, and antimicrobial peptides (44). NOD and TLR signaling can also modulate each other's pathways, e.g., Watanabe et al. showed that NOD2 can be a negative regulator of TLR2-dependent NF- κ B signaling (273). Moreover, innate immune recognition of microorganisms by TLRs not only is involved in induction of proinflammatory responses but also has been shown to have an important role in intestinal homeostasis and protection against intestinal injury (200). Otte et al. also reported that repeated contact with bacterial components including LTA inhibited intracellular signaling through TLRs by upregulating Tollip, an inhibitor of TLR-mediated cell activation (182). Of note, this dampening of the innate immunity in IECs and other cells does not totally mute these cells. Immune responses can still be observed upon exposure to proinflammatory cytokines and newly encountered potentially dangerous microbial products, all of which presumably activate alternative TLRs or pathways (182).

(ii) DC-SIGN signaling. The calcium-dependent C-type lectin DC-SIGN present on DCs is an interesting PRR as it relates to the induction of T_{reg} cells by lactobacilli (230). How lactobacilli interact with DC-SIGN is currently unknown. DC-SIGN binds to mainly mannose-containing glycoepitopes, triggering the internalization of microbes for processing and antigen presentation. Certain pathogens also use DC-SIGN as an escape mechanism for immune surveillance and the induction of immunosuppressive effects (257). For instance, it was shown that some pathogens trigger DC-SIGN on human DCs to activate the serine and threonine kinase Raf-1, which subsequently leads to the acetylation of the NF- κ B subunit p65 but only after the TLR-induced activation of NF- κ B, implying cross talk between TLR and DC-SIGN (98). The acetylation of p65 both prolonged and increased IL-10 transcription to enhance anti-inflammatory cytokine responses (98).

Lactobacillus ligands for PRRs. As mentioned above, ligands for PRRs are generally cell surface components, although some ligands can be released upon lysis (e.g., DNA fragments). Additionally, as interactions between ligands and PRRs are not as specific as those between antigens and antibodies, the ligands for PRRs, such as TLR and DC-SIGN, are generally present in repetitive structures to increase avidity.

(i) LTA. Since TAs are abundantly present on the cell surface of lactobacilli and are present only on gram-positive bacteria, they have until now gained most attention as probiotic effector molecules interacting with PRRs. It was shown that LTA molecules from *L. casei* YIT9029 and *L. fermentum* YIT0159 have the capacity to induce TNF- α in murine macrophages (163). Experiments by using cells from TLR2^{-/-} mice or using expression plasmids for TLR2 or TLR4 and CD14 (a coreceptor for TLR2 and TLR4), along with a luciferase reporter plasmid for NF- κ B in HEK293T cells, showed that TLR2, and not TLR4, is involved in this activity.

Grangette and colleagues used a dedicated *dltB* knockout mutant of *L. plantarum* NCIMB8826 to investigate the relevance of D-alanine substituents of LTA on the modulation of specific immune responses (97). A *dltB* mutant showed a substantial reduction in D-alanine content with a marked increase in glucose substitutions on its LTA molecules. This *dltB* mutant of *L. plantarum* induced an increased level of secretion of the anti-inflammatory cytokine IL-10 in peripheral blood mono-

nuclear cells (PBMCs). The use of the *dltB* mutant in a murine colitis model was also found to be more protective against TNBS (2,4,6-trinitrobenzene sulfonic acid)-induced colitis than was the use of the wild type. Moreover, the importance of TLR2 was investigated by using cells from TLR2^{-/-} mice. Highly purified LTA isolated from wild-type *L. plantarum* was able to induce TNF- α in bone marrow cells from TLR2^{+/+} mice but not from TLR2^{-/-} mice. The TNF- α -inducing capacity of isolated LTA from the *dltB* mutant was significantly reduced in cells from TLR2^{+/+} mice and lost from TLR2^{-/-} mice. However, cytokine induction by whole *L. plantarum* cells was not completely lost in cells from TLR2^{-/-} mice, indicating that other surface molecules mediated by other PRRs play a role.

Interestingly, the role of D-alanylation of LTA in immunomodulation by lactobacilli seems to be species specific (188). A *dltD* mutant of *L. rhamnosus* GG, which completely lacks D-alanine residues on its LTA molecules, was also tested in the same assay using PBMCs. In contrast to *L. plantarum* NCIMB8826, no major differences in the levels of induction of cytokines in PBMCs for the *dltD* mutant and wild-type strains of *L. rhamnosus* GG were observed. Interestingly, the cytokine levels induced by wild-type *L. plantarum* NCIMB8826 and those induced by wild-type *L. rhamnosus* GG in PBMCs also seem to differ considerably. It will be very interesting to investigate the various differences in cell wall composition between these strains at the molecular level. Nevertheless, it is already clear that the LTA compositions of the respective wild-type and *dlt* mutant strains differ significantly with respect to D-alanine contents, presence of glucose residues, presence of WTA, lengths of the glycerol phosphate chain, and lipid anchors (97, 188). Moreover, since mutations affecting LTA and its charge properties as described here can have pleiotropic consequences affecting other cell surface structures such as cell surface proteins, EPS, and/or PG, only a detailed molecular characterization of different mutant strains can help to elucidate the exact role of LTA and its specific characteristics in the immunomodulatory capacity of lactobacilli. Interestingly, certain LTA molecules are also suggested to interact with the DC-SIGN receptor of DCs (38). However, the exact cell surface structures interacting with DC-SIGN have not yet been determined (also see below).

(ii) **Cell surface proteins.** The putative key immunostimulatory activity of LTA is sometimes debated. It was recently shown that contaminants in LTA purifications can significantly affect immune interactions and cytokine induction. Not LTA but lipoproteins appeared to be the dominant immunologically active compounds in some LTA isolations from gram-positive bacteria interacting with TLR2 (102). The role of lipoproteins in *Lactobacillus* immune interactions is quite unexplored. In the *L. johnsonii* La1 genome sequence, sequences encoding two putative lipoproteins, one with similarity to the CD4⁺ T-cell-stimulating antigen of *L. monocytogenes* and the second with similarity to the saliva-binding protein of *Streptococcus sanguis*, have been identified (196), but to our knowledge, functional analyses have not yet been reported. Additionally, glycoproteins are also attractive candidates for interactions with lectin receptors such as DC-SIGN or TLR receptors such as TLR2, but their role in bacteria in general is quite unexplored (19).

On the other hand, immunomodulation studies have shown

that cell surface EF-Tu and GroEL from *L. johnsonii* La1 stimulate IL-8 secretion in HT-29 cells and isolated blood macrophages in a soluble-CD14-dependent mechanism (20, 95). CD14 is known as a coreceptor for TLR2 and TLR4, but its exact function as a possible PRR for lactobacilli is currently not known. Similarly, experiments with a spontaneous nonaggregation mutant, MU5, of *L. crispatus* M247 also suggest a role for surface proteins and EF-Tu as interesting PRR ligands (265). Although the exact mutation causing the nonaggregation phenotype in MU5 is currently not known, it is suggested to be related to the differential expression of cell surface proteins, with a possible important role for EF-Tu (227). *L. crispatus* M247 was shown to increase TLR2 mRNA levels both in the colonic mucosa and in epithelial cells, while it reduced TLR4 expression levels in epithelial cells, in a pathway possibly involving ERK1 signaling. On the contrary, the mutant MU5 did not modulate TLR2 and TLR4 levels in the colonic mucosa (265).

The role of other surface proteins in immune reactions remains elusive. Secretory IgA (sIgA) is abundantly present in the GIT and plays an important role in the modulation of gut immune responses against commensal bacteria (189) and the modulation of bacterial adherence to the gut mucosa (232). Although sIgA is not a low-affinity PRR of the innate immune system, it has been shown that IgA responses against commensal bacteria, in contrast to responses against toxins and pathogens, are mediated by a primitive T-cell-independent mechanism, resulting in the control of the microbiota by a broad spectrum of reduced-affinity sIgA (154, 155). How lactobacilli interact with sIgA responses is currently unknown, but Denou et al. identified LJ1680, encoding a putative sortase-dependent IgA protease, as being specifically induced in *L. johnsonii* NCC533 cells in the small intestine (67). The mutation of LJ1680 significantly decreased the gut persistence time of NCC533. LJ1680 contains a PF07580 peptidase domain and shows 30% identity to the IgA1 protease of *S. pneumoniae*, which cleaves the antibody in the hinge region so that Fab fragments, which bind to the bacterial cell surface and increase the binding to epithelial cells, are released (275). However, the role of LJ1680 in *L. johnsonii* NCC533 and its possible interaction with IgA remain unclear. Peptidase domains are also present in proteins involved in the metabolism of the bacterial cell wall, and the specific induction of LJ1680 in the GIT might also be involved in general stress resistance, as most genes identified by IVET or related strategies such as those followed by Denou et al. (67) belong to this functional class (see above).

(iii) **EPS.** EPSs and, particularly, the heteropolysaccharides are also attractive candidates as probiotic effector molecules interacting with PRRs, as they display a high level of diversity and complexity among lactobacilli, but they have not yet been studied in detail by molecular analysis. One obvious question would be why many *Lactobacillus* strains invest biosynthetic energy in producing such complex structures. A possible answer is that they do so to circumvent enzymatic breakdown by competing microbiota or host enzymes, implying an important role in the competitive environment of the GIT. Another but complementary explanation would be to evade the host immune system and induce tolerance. Support for this explanation comes from studies with the gut symbiont *Bacteroides fragilis* (see, e.g., reference 56) and studies with pathogenic

streptococci where capsular polysaccharides have been shown to impede phagocytosis by macrophages and neutrophils (123). In this way, the role of EPS in immune responses seems to be rather indirect, by protecting or shielding other surface molecules such as LTA from their cognate host receptors.

It thus remains to be determined whether *Lactobacillus* EPS molecules can interact with specific lectin receptors of the immune system, such as TLR2, DC-SIGN, or CD14. Nevertheless, isolated EPS molecules of lactobacilli have been shown to exert some immune responses. For instance, it was reported that the oral administration of EPS (in rather high concentrations of 2 mg per day) produced by *L. kefirifaciens* ATCC 43761, consisting of equal amounts of glucose and galactose, induced a mucosal immune response in mice that included the induction of IgA-positive cells (264). High-rhamnose-EPS molecules from *L. rhamnosus* RW-9595 M, composed of heptasaccharide subunits of rhamnose-, glucose-, and pyruvate-substituted galactose in a molar ratio of 4:2:1, were reported to stimulate TNF- α , IL-6, and IL-12 in human PBMCs and macrophages and gamma interferon in mouse splenocytes in significantly higher concentrations than LPS from *E. coli* (45). Others also reported that the purified polysaccharide-PG fraction of *L. casei* Shirota is active in a concentration of 10 μ g/ml in suppressing the cytokine production by macrophages after induction by LPS and that the polysaccharide moiety is responsible for this activity (164). Recently, knockout mutants defective in the major cell wall-associated EPS molecule of *L. casei* Shirota were shown to induce more proinflammatory cytokine secretion in the mouse macrophages and spleen cells than the corresponding wild type (283). These results indicate that EPS can function as an immune modulator to reduce excessive immune reactions during the activation of macrophages by *L. casei* Shirota. However, those authors used heat-killed wild-type and mutant bacteria for these assays, demanding some caution with the interpretation of the results.

(iv) **PG.** NOD1 and NOD2 are the principal intracellular PRRs for PG. NOD1 senses γ -D-glutamyl-meso-diaminopimelic acid (44), present mostly in gram-negative bacteria but also present in some lactobacilli such as some *L. plantarum* strains (65). NOD2 recognizes muramyl peptide (44), which is present in all lactobacilli (65). For PG fragments to be released, this requires autolysis or an exogenous lysozyme attack, which is common in the gut. Muramylpeptides derived from *L. plantarum* ATCC 8014, for instance, are known to display immunoadjuvant activity (129). It was also shown that *L. salivarius* Ls33 and *L. rhamnosus* Lr32, but not *L. acidophilus* NCFM, induce regulatory DCs and T cells in a TLR2- and NOD2-dependent way (84), but the role of PG fragments in the immunomodulatory effects of lactobacilli remains rather unexplored. This is particularly of interest for IBD, as a genetic link between NOD2 mutations and susceptibility to Crohn's disease exists, mainly in European and North American patients (48). Most polymorphisms in the *NOD2* (or *Card15*) gene that are linked to Crohn's disease are located within or near the leucine-rich repeat of the NOD2 protein, which is the domain that senses the bacterial products, indicating an altered capacity to recognize microbial ligands. This loss of NOD2 function in certain Crohn's disease patients needs to be taken into account when probiotics are applied. It is important that most intervention studies reported to date with probiotic lac-

tobacilli in this class of IBD patients failed to demonstrate any benefit (26, 103, 193).

(v) **Unmethylated CpG DNA.** DNA of the probiotic cocktail VSL#3 has been shown to exert a systemically induced protective effect against dextran sulfate sodium-induced colitis mediated by TLR9 (198). Those authors suggested that DNA-TLR9 signaling in a subset of mucosal plasmacytoid DCs probably resulted in the differentiation of naïve CD4⁺ T lymphocytes into T_{reg} cells, mediating the protective effect. Another example of the strong immunomodulatory capacity of probiotic DNA is shown by chromosomal DNA of *L. rhamnosus* GG (including a derived TTTCGTTT oligonucleotide) that was able to induce murine B-cell proliferation and activate DCs (114). However, further functional studies are required to investigate the relative contribution of DNA to the overall immunomodulatory activity of lactobacilli.

CONCLUSIONS

In this review, we have summarized the current knowledge of genes and molecules that contribute to the presently best-documented probiotic and health-promoting actions of lactobacilli. Important *Lactobacillus* factors can be grouped into two categories: adaptation and probiotic factors directly mediating health effects. Adaptation factors include determinants of stress resistance, metabolism in the host, and adherence to the gut mucosa. Knowledge of these adaptation factors will help to determine the optimal frequency, dose, and mode of administration for potential probiotic *Lactobacillus* strains. Probiotic factors include antipathogenic, epithelium barrier-preserving, and immunomodulatory molecules. A literature survey reveals that in contrast to adaptation factors, knowledge of the probiotic factors of lactobacilli is still limited but is starting to be built. In order to advance the field, future molecular studies should continue to address these factors, taking into account that various cell surface structures or metabolites of lactobacilli also influence the adaptation and adherence capacity in the host.

Related to adaptation to the host, molecular studies have shown that a combination of cell surface structures, chaperones, specific regulatory systems, and exporters or enzymes determines the survival capacity of particular *Lactobacillus* strains encountering acid and bile stress. However, in vitro screening for acid and bile tolerance does not always predict the in vivo survival capacity. This indicates that other stresses such as osmotic and oxidative stress, nutrient limitation, and the presence of antimicrobial products produced by competing microbes and host cells also need to be considered when potential probiotic strains are selected. Nevertheless, the fact that most probiotic lactobacilli were originally isolated and selected from the GIT is meant to overcome possible problems of surviving the harsh environments after oral administration.

The need to include a selection criterion based on adhesion and colonization of the human intestinal mucosa by lactobacilli to obtain probiotic effects is currently being debated. Several in vitro mechanistic studies have shown a role for adhesion (or direct cell contact) in pathogen exclusion, the enhancement of epithelial barrier function, and immunomodulation. Moreover, these studies showed that the best effects are observed when the lactobacilli are administered in a preventive setup. How-

ever, others have shown that short contact times or the release of various soluble factors is sometimes sufficient to modulate signaling pathways in the host. Extrapolation of these findings to clinical applications requires caution. Permanent colonization by exogenously applied lactobacilli seems difficult to achieve due to the niche exclusion principle and colonization of the GIT by thousands of well-adapted species. However, the available data suggest that probiotic effects can be obtained when lactobacilli are administered regularly. Although the criteria for live probiotics still remain valid, there is currently no ground to state that introduced probiotic lactobacilli need to persist and rapidly multiply in the GIT. Detailed mechanistic studies, including adhesion mutants and in vivo experiments, should further substantiate the importance of adhesion as a key selection criterion.

The antipathogenic effect of lactobacilli is one of the hallmarks of probiotic action. However, mechanistic data on their in vivo efficacy are currently scarce, notwithstanding that a relatively high number of publications reported in vitro antipathogenic effects of certain molecules of lactobacilli. In this respect, the study showing an in vivo role for bacteriocin production by *L. salivarius* against *L. monocytogenes* infection (53) is an important contribution to the field. Additionally, although the concept of competitive exclusion of pathogens by adherent lactobacilli is attractive, there is currently almost no evidence that this concept is applicable in vivo to limit pathogen colonization in the GIT.

Related to the beneficial effects of lactobacilli on the host epithelium and immune cells, much remains to be learned about the specific *Lactobacillus* ligand-host receptor interactions. Interpretation of some of the currently available data related to *Lactobacillus* ligands is blurred by the fact that isolated cell wall components may be contaminated or that mutations in genes determining a given cell surface factor may significantly affect the presence or presentation of other surface appendages. Nevertheless, many mechanistic in vitro studies have convincingly shown that lactobacilli or their products can modulate signaling pathways, resulting in beneficial effects. Interestingly, both anti-inflammatory and (transiently induced) mild proinflammatory responses have been reported for lactobacilli. Although this seems to be contradictory at first sight, it indicates that probiotic lactobacilli have a principally balance-controlling effect on gut epithelium homeostasis whereby strain specificity is observed. For example, a limited activation of NF- κ B and MAPKs may be favorable, as activation below the inflammation threshold might render the host defense system more alert against hostile confrontations. This implies that the health conditions in which specific *Lactobacillus* strains are administered need to be carefully defined, as it can be anticipated that different immune-stimulating effects are intended for, e.g., IBD patients than for subjects with constipation. Additionally, most mechanistic studies of immunological and epithelial responses are currently performed in vitro only. They need to be confirmed in complex in vivo environments where the information of many intracellular and extracellular signals is integrated. Hereby, researchers studying *Lactobacillus*-host interactions must consider that the impact of probiotics on the host physiology is usually much smaller and more subtle than that of pharmaceutical products, which complicates the delin-

ation of clear cause-consequence relationships between *Lactobacillus* factors and host responses.

In conclusion, the closer the molecular machinery is studied, the more similarities are observed between ingested probiotic and pathogenic bacteria. It is perhaps not surprising that efficient probiotic *Lactobacillus* strains resemble pathogens in many aspects, such as in survival and adherence (i.e., adaptation factors). It can be imagined that for efficient competition with pathogens, lactobacilli must utilize similar nutrients and adhesion sites on host cells. Additionally, the available data indicate that probiotic lactobacilli interact with various receptors of immune cells and modulate epithelial cell functions. Some of these interactions are similar for pathogens and absent for resident and commensal microbiota. In this respect, it is interesting to look at the mechanisms that some pathogenic bacteria use for immune evasion and the downregulation of inflammatory reactions. A remarkable example is that, similarly to some pathogens using DC-SIGN as an escape mechanism, some lactobacilli interact with DC-SIGN and induce regulatory T cells. As lactobacilli lack tissue-destructive functions and genuine virulence factors, the overall result of their interactions with the host is generally beneficial for the host.

A continuing challenge for the future is to reveal more effector-receptor relationships in *Lactobacillus*-host interactions that contribute to the beneficial effects of lactobacilli on mucosal homeostasis. These fundamental studies involving many disciplines will substantiate translational research attempting to directly relate insights from the bench to the manufacturer, the consumer, and the clinic.

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