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Metabolic Syndrome, Endothelial Dysfunction, and Risk of Cardiovascular Events: the Northern Manhattan Study

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Abstract

Background—Metabolic syndrome (MetS) predisposes to cardiovascular disease. Endothelial dysfunction is thought to be an important factor in the pathogenesis of atherosclerosis. We tested the hypothesis that both MetS and endothelial dysfunction are vascular risk factors and provide additive prognostic values in predicting cardiovascular events in a multi-ethnic community sample.

Methods—The study population consisted of 819 subjects (467 female, mean age 66.5 ± 8.8 years, 66% Hispanic) enrolled in the Northern Manhattan Study. MetS was defined using the revised Adult Treatment Panel III criteria. Brachial artery flow-mediated dilation (FMD) was measured using high-resolution ultrasound. Endothelial dysfunction was defined as FMD < 8.44% (lower three quartiles). Cox proportional hazards models were used to assess the effect of MetS and endothelial dysfunction on risk of cardiovascular events.

Results—During 81±21 months of follow-up, events occurred in 84 subjects. MetS was independently associated with cardiovascular events in a multivariate model including cardiovascular risk factors (adjusted HR 2.08, 95% CI 1.27–3.40). Subjects with both MetS and endothelial dysfunction were at higher risk for cardiovascular events than those with either one of them alone (adjusted HR 2.60, 95% CI 1.14–5.92).

Conclusions—MetS is associated with incident cardiovascular events. Combined use of MetS and FMD identifies those who are at higher risk of cardiovascular events. MetS and non-invasive FMD testing can be used concurrently for cardiovascular risk prediction.

Introduction

The metabolic syndrome (MetS), a concurrence of impaired glucose and insulin metabolism, overweight and abdominal fat distribution, dyslipidemia, and hypertension, has been shown to predict cardiovascular and coronary heart disease mortality (1–4). Insulin resistance has been postulated as an underlying mechanism in this syndrome (5;6). To aid in the research and

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clinical applications, the World Health Organization (WHO) and the National Cholesterol Education Program (NCEP) Adult Treatment Panel (ATP) III established a definition of the metabolic syndrome (7;8) The ATP III definition was later modified by the joint American Heart Association/National Heart, Lung, and Blood Institute Panel in 2005 and the threshold for impaired fasting glucose (IFG) was reduced, corresponding with revised American Diabetes Association criteria for IFG (9;10).

Endothelial function is thought to be an important factor in the pathogenesis of atherosclerosis (11). Endothelial dysfunction is both an early marker of vascular disease and a facilitative process in the development of atherosclerosis (12;13). Previous studies have shown that endothelial function assessed using intra-arterial infusion of endothelium-dependent vasodilator acetylcholine in a coronary or peripheral artery identifies individuals at increased risk for cardiovascular disease events (14–18). However, because of the invasive nature of the studies, use of these techniques has been limited to small studies, and large epidemiological studies are lacking. Instead, endothelial function assessment by brachial-artery flow-mediated dilation (FMD) has been proposed as a surrogate marker for cardiovascular risk (19–21). A previous study in our cohort showed that lower FMD was associated with cardiovascular events in the multi-ethnic cohort of Northern Manhattan (22).

Little is known about the combined effect of MetS and endothelial dysfunction in a communitybased cohort. The aim of our study was therefore to test the hypothesis that both the metabolic syndrome and endothelial dysfunction are risk factors and provide additive prognostic values in predicting cardiovascular events such as myocardial infarction (MI), stroke, and vascular death in a multi-ethnic community cohort.

Method

Study population

The Northern Manhattan Study (NOMAS), the first to focus on stroke risk factors in African-Americans, Hispanics, and whites living in the same community, has been previously described (23–25). Briefly, random digit dialing of households was performed by Audits and Surveys, Inc. Community participants were enrolled in NOMAS if they: (1) had never been diagnosed with stroke, (2) were over age 40, and (3) resided in Northern Manhattan for >3 months in a household with a telephone (26). Ninety-one percent of those called participated in a telephone interview, and 75% of those who were eligible and invited to participate came to the Columbia University Medical Center (CUMC) for an in-person evaluation (overall participation rate 68%). Subjects with a history of MI at baseline were excluded from this analysis. The study was approved by the Institutional Review Board at CUMC. All patients provided written informed consent directly or through a surrogate where appropriate.

ATP III definition of metabolic syndrome

We used the modified ATP III definition of MetS proposed by the National Cholesterol Education Program (10). The ATP III-defined metabolic syndrome required three or more of the five criteria linked to insulin resistance: (1) waist circumference (\geq 102 cm (40 in) in men, \geq 88 cm (35 in) in women), (2) triglycerides \geq 150 mg/dL, (3) high-density lipoprotein (HDL) cholesterol (<40 mg/dL in men, <50 mg/dL in women), (4) fasting plasma glucose concentration \geq 100 mg/dL, and (5) blood pressure \geq 130/85 mmHg.

Endothelial function study

All subjects enrolled in NOMAS between January 1998 and April 2001 were invited to undergo endothelial function study. It was not performed if subjects refused, or if ultrasonography was not technically adequate in a given individual. There was no significant difference in race-

ethnicity, level of education or other risk factors between those with and without endothelial function assessment (26).

Endothelial function was assessed using brachial artery flow-mediated dilation (FMD). FMD was measured using high-resolution vascular ultrasound (Philips 5500, Andover, MA) as previously described (20;22;27). Briefly, brachial diameter was measured at rest and during reactive hyperemia 6 cm above the antecubital crease with a 15 MHz linear array transducer. Reactive hyperemia was induced by inflating a blood pressure cuff on the proximal portion of the arm to at least 50 mmHg above systolic blood pressure to occlude arterial flow for 5 minutes.

End-diastolic images were acquired and digitized by a frame grabber (model LG3, Scion Corporation) at baseline and 1 minute after cuff deflation (20;27). A blinded reader analyzed brachial artery diameters by using analysis software. Three consecutive cardiac cycles were analyzed for both baseline and hyperemia studies of each subject, and the measurements averaged. The values of FMD were calculated with the formula Brachial artery diameter at peak hyperemia – Diameter at rest $\times 100$.

Diameter at rest

Absolute intra-observer variability for FMD measurement was 1.3% (n =15) (22). A previous study in our cohort showed that lower FMD was associated with cardiovascular events (22). In the current study, endothelial dysfunction was defined as FMD <8.44% (lower three quartiles).

Assessment of cardiovascular events

Subjects were followed prospectively to a combined endpoint of stroke, MI, or vascular death. Stroke was defined by the first symptomatic occurrence of ischemic stroke as defined by the WHO criteria (28). Myocardial infarction was defined by criteria adapted from the Cardiac Arrhythmia Suppression Trial(29) and the Lipid Research Clinics Coronary Primary Prevention Trial(30) and required at least of two of the three following criteria: (a) ischemic cardiac pain determined to be typical angina; (b) cardiac enzyme abnormalities defined as abnormal CPK-MB fraction or troponin I values; and (c) electrocardiographic abnormalities (22). Vascular death was classified based on information from the family, medical records, and death certificate. These outcomes were adjudicated and confirmed by a panel of physicians.

Statistical analysis

Data are expressed as means \pm standard deviations (SD) unless otherwise indicated. Univariate and multivariate analyses using Cox proportional hazards models were performed to examine the associations of MetS and endothelial dysfunction with cardiovascular events during followup. To control for confounding factors, the following factors were included in the model: age, gender, race, diabetes mellitus, low-density lipoprotein (LDL) value, and current cigarette smoking.

To assess correlation between FMD and the various components of MetS, Spearman's correlation analyses were performed between FMD values and each continuous variable of MetS. To determine whether the combination of MetS and endothelial dysfunction increased the risk of cardiovascular events in an additive way, we divided the study population into four groups on the basis of the presence or absence of MetS and endothelial dysfunction and hazard ratios (HRs) of cardiovascular events were calculated in each group. Interaction was evaluated as proposed by Rothman(31) and Hallqvist et al(32). A synergy index (SI) is a means of evaluating additive interaction: a SI of 1 indicates no interaction and SI > 1 indicates positive interaction between the two variables. Kaplan-Meier event-free curves were constructed in each group and log-rank test was performed to evaluate the difference among the groups.

95% confidence intervals (CIs) were constructed; a value of P < 0.05 was considered significant. Statistical analyses were conducted with SAS 9.1 (SAS Institute, Cary, NC).

Results

Population characteristics

The full NOMAS cohort comprises 3298 stroke-free individuals age \geq 40 years. Of the 1223 participants who underwent FMD measurement, 919 (75%) participants had images that were of sufficient quality for analysis. Participants with a history of MI (n = 74) or with missing values on basic risk profiles, components of MetS or follow-up for outcomes (n = 26) were excluded from our study. For this analysis, 819 subjects (467 female, mean age: 66.5±8.8 years, 17% African-American, 66% Hispanic, 15% white) were enrolled from January 1998 through April 2001. The clinical characteristics of participants with and without MetS are summarized in Table 1. Those with MetS are more likely to be female and Hispanic and have history of diabetes mellitus compared with those without MetS. FMD was lower in those with MetS (*P* <0.0001). There was no difference in age and smoking status. Participants were prospectively followed for 81 ± 21 months.

Metabolic syndrome and endothelial function study results

We first evaluated the associations of MetS and endothelial dysfunction with cardiovascular events individually. For the entire group of subjects, 377 (46.0%) subjects had the MetS. MetS was independently associated with cardiovascular events in a multivariate model including endothelial dysfunction, age, gender, race, diabetes mellitus, LDL value, and current smoking (adjusted HR 2.08, 95% CI 1.27–3.40), while endothelial dysfunction was not (adjusted HR 1.43, 95% CI 0.78–2.60, Table 2).

Interrelationships between metabolic syndrome, endothelial dysfunction, and cardiovascular events

Spearman's correlation coefficients between FMD and each continuous variable of MetS are shown in Table 3. There was a statistically significant negative correlation between FMD values and waist circumference, fasting glucose level, and systolic blood pressure. The strongest correlation (r = -0.16) was observed between FMD and waist circumference and systolic blood pressure.

HRs for cardiovascular events categorized by presence or absence of MetS or endothelial dysfunction are shown in Table 4. HRs in those with either MetS or endothelial dysfunction were 43% and 24 % greater than HR in those with neither of them, respectively. When MetS and endothelial dysfunction coexisted, the hazard ratio almost tripled. The HR of those with both of MetS and endothelial dysfunction was 2.60 after adjustment for cardiovascular risk factors. The Rothman's synergy index between MetS and endothelial dysfunction was 2.9, suggesting that there was a 190% relative excess risk compared with that expected under an additive model (95% CI -74% to +3034%).

Figure 1 shows Kaplan-Meier event-free curves categorized by presence or absence of MetS and endothelial dysfunction. Logrank test in the Kaplan-Meier curves showed significant differences in cumulative survival rate between the four groups (P < 0.001).

Discussion

This study tests the hypothesis that concurrent finding of MetS and poor FMD identifies individuals that may be at a higher risk than those with only one of these findings. To our

knowledge, this is the first study to combine these two important risk factors, especially in a multi-ethnic community population.

The importance of MetS and endothelial dysfunction has been discussed previously. Epidemiological studies have shown an increased risk of cardiovascular mortality in subjects with MetS (33-35). In the Atherosclerosis Risks in Communities study, subjects with MetS were at ~1.5-2 times increased risk for long-term cardiovascular outcomes (34). In the Cardiovascular Health Study (CHS), MetS was associated with ~1.4 fold increase risk of cardiovascular disease (35). In a European population, MetS was associated with an approximate 2-fold increased risk of incident cardiovascular morbidity and mortality (33). Our study is in agreement with these previous studies. Several previous studies showed the association between endothelial dysfunction and cardiovascular events in community-based cohorts (22;36). Shimbo et al showed previously in our population that lower FMD was associated with incident cardiovascular events (22). Recently, FMD has been shown to be a predictor of future cardiovascular events in 2791 older adults in the CHS (36). Our study didn't show significant association between FMD and cardiovascular events. This could be because of lack of power in our study as previously discussed (22). Or it may be attributable to racial difference between the CHS and NOMAS. Our study cohort is different from the CHS in that our cohort has a predominantly Hispanic population. There is age difference between the two cohorts: mean age was 78 years old in the CHS and 66 years old in our cohort. Despite these differences, both studies suggest that FMD may give long-term prognostic information in a community-based study population.

Our study showed that FMD may provide incremental value over MetS in predicting risk of cardiovascular events in a multi-ethnic community cohort. The pathophysiology of MetS remains inconclusive, but insulin resistance is thought to be the basis of most if not all of the features of the syndrome (37). Previous studies revealed that insulin resistance was related to endothelial dysfunction (38;39). As such, MetS and endothelial dysfunction are likely to be a consequence of insulin resistance. Intriguingly, however, the combination of MetS and endothelial dysfunction could increase the risk of cardiovascular events. Thus, we were able to identify a subset at a higher risk of CVD. This finding is relevant in clinical and research settings since MetS and non-invasive FMD can be readily available and have a potential to identify those subjects at higher risk. Further study is needed to verify the combined use of MetS and non-invasive FMD testing in different populations. Further study is also warranted to validate measures of endothelial function in a clinically useful way, since FMD assessment has not been standardized across institutions.

Limitations of this study need to be considered. First, the cutoff points of FMD were arbitrary. FMD was examined as a continuous value in the previous paper (22). In the current study, we set an arbitrary cutpoint of FMD to examine interaction between MetS and FMD. There is no consensus on the cutpoint of FMD. Universal cutoff point might help utilize FMD as a tool for risk stratification. Second, we did not measure endothelium-independent (nitrate-induced) dilation. Use of nitroglycerin might have added further information on endothelial function. Lastly, this study did not include novel inflammatory risk factors such as highsensitivity C-reactive protein, interleukin-6, and tumor necrosis factor- α . Unfortunately, these inflammatory markers were not available in all of our study participants. These markers might add prognostic information in the population.

Conclusion

This is the first study to show that a combination of MetS and endothelial dysfunction may identify subjects at higher risk of cardiovascular events in a multi-ethnic community cohort. Both metabolic syndrome and endothelial dysfunction are risk factors for cardiovascular

events. Measurement of endothelial function may be helpful in predicting the level of risk in patients with metabolic syndrome in a community cohort.

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Suzuki et al.

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Suzuki et al.





Table 1

Clinical characteristics

Variahle (n – 819)	No MetS (n-442)	MetS (n-377)	<i>P</i> value
	$\frac{1}{10}\frac{1}{1000}\frac{1}{10000000000000000$	Meto (n=577)	1 Value
Age (years)	66.5 (9.3)	66.6 (8.3)	0.87
Sex (% female)	217 (49.1)	250 (66.3)	< 0.0001
Race			< 0.005
African-American (%)	81 (18)	55 (15)	
Hispanic (%)	267 (60)	275 (73)	
White (%)	80 (18)	42 (11)	
Diabetes mellitus (%)	47 (11)	134 (36)	< 0.0001
Hypertension (%)	248 (56)	293 (78)	< 0.0001
Hypercholesterolemia (%)	194 (44)	204 (54)	< 0.005
Current smoking (%)	72 (16)	55 (15)	0.51
Coronary artery disease (%)	64 (15)	66 (18)	0.237
Peripheral vascular disease (%)	59 (14)	68 (18)	0.065
Flow-mediated dilation (%)	6.29 (3.76)	5.26 (3.70)	< 0.0001
Body mass index (kg/m ²)	26.2 (4.1)	30.1 (5.0)	< 0.0001
Waist circumference (in)	35.2 (4.1)	38.6 (4.2)	< 0.0001
Total cholesterol (mg/dL)	200 (38)	207 (41)	< 0.05
Triglyceride (mg/dL)	101 (41)	172 (99)	< 0.0001
High-density lipoprotein (mg/dL)	51 (15)	40 (10)	< 0.0001
Low-density lipoprotein (mg/dL)	129 (36)	134 (36)	0.06
Fasting plasma glucose (mg/dL)	90 (32)	121 (59)	< 0.0001
Systolic blood pressure (mmHg)	138 (19)	148 (19)	< 0.0001
Diastolic blood pressure (mmHg)	82 (11)	86 (10)	< 0.0001

Diabetes mellitus was defined by a fasting blood glucose level greater than 126 mg/dL, the subject's self-report of a history of diabetes mellitus, or use of insulin or oral hypoglycemic agents. Hypertension is defined as a systolic blood pressure of 140 mmHg or higher or a diastolic blood pressure of 90 mmHg or higher or the subject's self-report of such a history or use of antihypertensive medication. Hypercholesterolemia was defined as a history of elevated cholesterol, taking medications for elevated cholesterol or a total cholesterol level greater than 240 mg/dL. Metabolic syndrome was defined using the modified ATP III criteria. Coronary artery disease was defined as history of angina, history of bypass surgery, bypass surgerty or angioplasty. Peripheral vascular disease was defined as self-reported peripheral arterial disease.

Am Heart J. Author manuscript; available in PMC 2009 August 1.

Suzuki et al.

Table 2

Hazard ratios for cardiovascular events by metabolic syndrome and endothelial dysfunction

	Unadjusted HR (95% CI)	<i>P</i> value	Adjusted HR [*] (95% CI)	P value
Metabolic syndrome	2.12 (1.35–3.33)	0.001	2.08 (1.27–3.40)	0.004
Endothelial dysfunction	1.64 (0.92–2.92)	0.09	1.43 (0.78–2.60)	0.247

* adjusted by either endothelial dysfunction (for MetS) or MetS (for endothelial dysfunction), age, gender, race, diabetes mellitus, LDL level, and current smoking

Am Heart J. Author manuscript; available in PMC 2009 August 1.

Table 3

Spearman's rank correlations between FMD and components of MetS

Components of MetS	FMD (%)	P value
Waist circumference (in) Triglyceride (mg/dL) High-density lipoprotein (mg/dL) Fasting plasma glucose (mg/dL) Systolic blood pressure (mmHg)	$ \begin{array}{r} -0.16 \\ -0.03 \\ 0.05 \\ -0.09 \\ -0.16 \\ \end{array} $	<0.0001 0.44 0.14 <0.01 <0.0001

Suzuki et al.

Table 4

Hazard ratios for cardiovascular events categorized by presence of metabolic syndrome or endothelial dysfunction

MetS	Endothelial dysfunction	Incidence rates per 1000 person-years	Unadjusted HR (95% CI)	Adjusted HR [*] (95% CI)
(-)	(-)	8.35	1 (ref)	1 (ref)
(–)	(+)	10.36	1.24 (0.53-2.91)	1.17 (0.49-2.77)
(+)	(-)	12.17	1.43 (0.50-4.08)	1.53 (0.50-4.68)
(+)	(+)	24.31	2.89 (1.31-6.38)	2.60 (1.14-5.92)

* adjusted by age, gender, race, diabetes mellitus, LDL level, and current smoking

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