

Enhanced translocation of single DNA molecules through α -hemolysin nanopores by manipulation of internal charge

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Both protein and solid-state nanopores are under intense investigation for the analysis of nucleic acids. A crucial advantage of protein nanopores is that site-directed mutagenesis permits precise tuning of their properties. Here, by augmenting the internal positive charge within the α -hemolysin pore and varying its distribution, we increase the frequency of translocation of a 92-nt single-stranded DNA through the pore at +120 mV by ≈ 10 -fold over the wild-type protein and dramatically lower the voltage threshold at which translocation occurs, e.g., by 50 mV for 1 events⁻¹· μ M⁻¹. Further, events in which DNA enters the pore, but is not immediately translocated, are almost eliminated. These experiments provide a basis for improved nucleic acid analysis with protein nanopores, which might be translated to solid-state nanopores by using chemical surface modification.

DNA sequencing | electroosmosis | nanopore | protein engineering | single-molecule detection

Pores with diameters of a few to hundreds of nanometers, “nanopores,” are being developed for a wide variety of analytical applications (1–5). Nanopores can be fabricated by using particle beams and etchants to treat various substrates, including silicon nitride (3, 6) and plastics, for instance poly(ethylene terephthalate) (4). Alternatively, protein pores such as α -hemolysin (α HL) can be used (1, 5). In both cases, to be detected, an analyte must travel into the pore by electrodiffusion, but few systematic attempts have been made to optimize this process. In the present work, we show how the manipulation of charge on the internal surface of the α HL pore can be used to improve the rate of capture of an important analyte, DNA.

The α HL protein nanopore is advantageous in sensing applications because it can be engineered with subnanometer precision with reference to the high resolution crystal structure (7). Further, the α HL pore is far more stable than commonly held, operating as normal at temperatures approaching 100 °C (8). In stochastic sensing, a binding site for a family of analytes is formed within the pore by site-directed mutagenesis or targeted chemical modification (1). The concentration of an analyte is estimated from the number of binding events per unit time to a single pore. An analyte is identified through the signature provided by the amplitude and mean duration of the individual binding events. In this way, a great variety of analytes have been examined including: cations, anions, organic molecules, and various polymers (1). For example, all 4 DNA bases can be detected as nucleoside monophosphates by an α HL pore equipped with an aminocyclodextrin adapter (9). In addition, individual covalent chemical reactions occurring within the lumen of the pore can be observed (5), offering a basis for the detection of reactive molecules (10).

Polymers can also be analyzed from the characteristics of transit events through the α HL pore (11–15). Studies of nucleic acids have been especially intensive, following the demonstration of the transit of single strands in 1996 (16). The examination of mean transit times and current amplitudes during transit have allowed the analysis of single-strand nucleic acid length (2), base composition (17), phosphorylation state (18) and secondary structure (19). The formation

(20, 21) and dissociation of nucleic acid double strands (22–24) and hairpins (25, 26) have also been examined. In addition, the interactions of individual proteins with nucleic acids have been observed (27–30). Similarly, protein-ligand interactions can be examined indirectly, when the ligand is incorporated into nucleic acid strands (31).

Nucleic acid analysis with nanopores may also permit DNA sequencing (16, 32, 33) at a low cost commensurate with the \$1,000 genome (<http://grants.nih.gov/grants/guide/rfa-files/RFA-HG-04-003.html>) (34, 35). Possible means of nanopore sequencing include the direct reading of bases in single strands as they pass through the pore (16), the reading of strands moved through the pore with the aid of an enzyme (30) and the detection or stripping of oligonucleotide probes on single strands as they are translocated (36, 37). All of these approaches require the efficient capture of DNA strands from solution.

DNA capture by nanopores occurs above a threshold potential (38) and even then it is inefficient. Rough estimates suggest that, at voltages close to the threshold, ≈ 1 DNA molecule in every 1,000 that collides with the pore is translocated (39). By contrast, at the highest accessible applied potentials (approximately +300 mV), $\approx 20\%$ of the collisions result in capture (and most likely translocation) (40). In the present work, we lined the lumen of the α HL pore with positively charged groups by using site directed mutagenesis (Fig. 1). Through this manipulation, we both increased the rate of DNA translocation and lowered the voltage threshold for translocation.

Results

Electrical Recording of the Interactions of DNA with the WT α HL Nanopore. Single-channel electrical recordings in planar lipid bilayers were carried out in 1 M KCl, 25 mM Tris-HCl (pH 8.0), containing 100 μ M EDTA. Under these conditions, the WT α HL pore had a unitary conductance of 1.04 ± 0.01 nS (+120 mV, $n = 23$). After the addition of a 92-nucleotide synthetic ssDNA to the *cis* compartment (0.5 to 2.5 μ M), the current through a single pore was interrupted by short-lived blockades (16). At +120 mV, the interactions of the DNA molecules with the WT nanopore produced 5 different current patterns (types A–E) that are combinations of three conductance levels: the open state, a midamplitude state and a low-amplitude state (Fig. 2A) (41). Events shorter than 10 μ s were judged to represent transient collisions of DNA with the mouth of the pore and were ignored in our analysis. As substanti-

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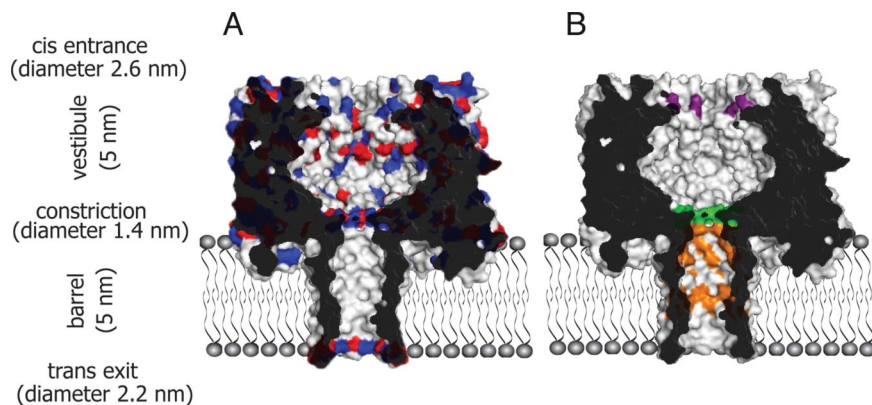


Fig. 1. Sections through the α HL nanopore (PDB: 7AHL). (A) Charge distribution in the WT α HL nanopore. Positively charged amino acids of α HL are colored in blue and negatively charged amino acids in red. (B) Sites in the α HL nanopore modified in this work. Lys-8 is in purple. The constriction formed by the ion pair Glu-111/Lys-147 is colored in green. Met-113, Thr-115, Thr-117, Gly-119, Asn-121, Asn-123 and Thr-125 are in orange.

ated below, in all 5 types of event, DNA is captured by the pore, but only in type A and C events is the DNA translocated through the pore, from the *cis* to the *trans* side.

In a histogram displaying the mean current associated with each event (Fig. 2B), the current levels are separated into 2 peaks centered at 0.11 times (low amplitude) and 0.58 times (mid amplitude) the open-pore current. The type A events characterized by a fractional current of 0.11 (Fig. 2B) had a most likely duration of 0.14 ± 0.01 ms ($n = 7$) as determined from a Gaussian fit to a histogram of the event durations (Fig. 2C) (17). The peak in the events histogram at a fractional current of 0.58 comprises type B current blockades, which show a midstate current level only (Fig. 2B). Type C, D, and E events contain both midamplitude and low-amplitude current levels. Type C events corresponded to 10%

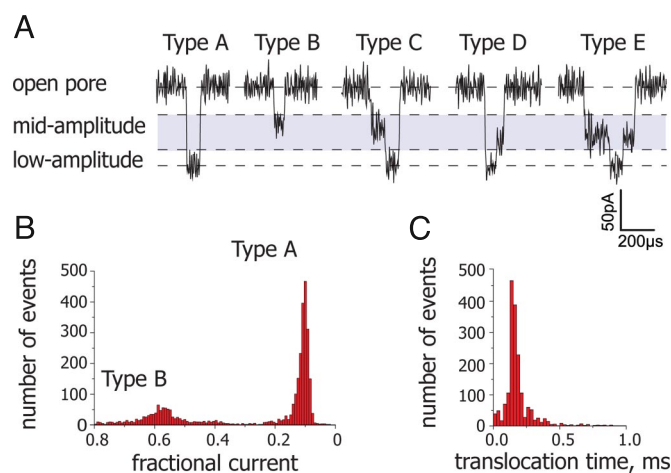


Fig. 2. Interactions of DNA with the α HL pore at +120 mV. (A) Type A event: A simple low-amplitude event (interpreted as the direct translocation of DNA through the pore). Type B event: A simple midamplitude event (DNA is captured in the vestibule and exits the pore from the side of entry, the *cis* side). Type C event: A midamplitude signal, followed by a low-amplitude signal within the same event (DNA is captured in the vestibule before it translocates through the β barrel to exit at the *trans* side). Type D event: A low-amplitude signal, followed by a midamplitude signal within the same event (DNA enters the β barrel directly and then retracts into the vestibule to exit the pore from the *cis* side). Type E event: A midamplitude signal, followed by a low-amplitude signal, followed by a second midamplitude signal within the same event (DNA explores the vestibule, threads into the β barrel, but retracts back into the vestibule and exits the pore from *cis* side). (B) Events histogram showing mean residual currents for 2,500 events expressed as fractions of the open pore current through the WT α HL pore. The peaks representing type A and type B events are labeled. The type C, D, and E events, characterized by their mean event currents, appear between the two major peaks. (C) Histogram of the DNA translocation times for the type A events. DNA (0.95 μ M) was presented from the *cis* chamber in 1 M KCl, 100 μ M EDTA, 25 mM Tris-HCl (pH 8.0).

of the total number of events, type D events 2% of the total and type E events only 0.6%. The type C, D, and E events are scattered between the two main peaks in the histogram, reflecting their wide distribution of mean currents.

DNA Interactions with Engineered Nanopores. We made a variety of homoheptameric α HL pores in which the charge distribution within the lumen was altered. The pores were homoheptamers, so the mutations appear in all 7 subunits, e.g., M113R has a ring of 7 positively charged side chains at position 113. The mutants were made by using the WT or RL2 genes as templates. The RL2 gene encodes 4 amino acid replacements in the barrel domain (Val-124 \rightarrow Leu, Gly-130 \rightarrow Ser, Asn-139 \rightarrow Gln, Ile-142 \rightarrow Leu) and one at the *cis* entrance of the pore (Lys-8 \rightarrow Ala) (42). The properties of the mutants differed significantly from the WT pore with respect to the open-pore current and the frequency of occurrence of DNA translocation events (Table 1). The distribution of the 5 types of interaction of DNA with the nanopore (Fig. 2A) also depended on the mutant [supporting information (SI) Fig. S1 and Table 2]. For pores other than WT, RL2, A8R-RL2, and A8K-RL2, the numbers of captures without translocation were negligible and therefore the term “translocation” is used henceforth. Nevertheless, in all cases, the number of type A and type C events was used to determine the frequency of DNA translocation.

Effects of Point Mutations on DNA Translocation. The effects of charged amino acid side chains on the passage of DNA through the α HL pore were examined at +120 mV (Fig. 3). Lys-8 is the charged residue in the closest proximity to entering DNA. The substitution of Lys-8 with Ala (in the mutant RL2) decreased the number of translocation events per unit time by almost an order of magnitude, whereas substitution with Arg (in A8R-RL2) produced a 3-fold increase in the frequency of translocation (Table 1). The additional mutations in RL2 (with respect to WT) did not affect DNA translocation, as confirmed by reintroducing Lys-8 into the RL2 background (A8K-RL2, Table 1 and Fig. S1).

Removal of the positive charge from the *cis* entrance of the pore, combined with the introduction of a positive charge just below the constriction, restored (M113K-RL2) or even slightly enhanced (M113R-RL2) the frequency of translocation (Fig. 3 and Table 1). The effect of charge is cumulative as shown by the 10-fold increase in translocation frequency of M113R-WT compared with WT and the increase of 2 orders of magnitude when M113R-WT is compared with RL2 (Fig. 3 and Table 1). By contrast with the effects of positive charge, the placement of negative charge just below the constriction (M113D-RL2) eliminated DNA capture at all potentials tested (+100 to +300 mV). In accord with these findings, removal of the negative charge at the constriction (E111N-WT) increased the frequency of translocation 6-fold.

Amino acid substitutions within the β barrel of α HL also affected the frequency of DNA translocation. Individual replacements of

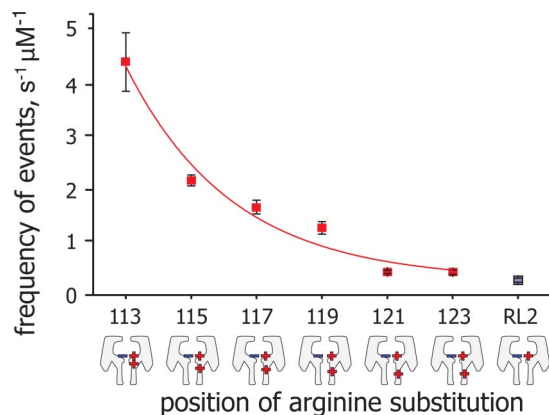


Fig. 4. Effects of arginine substitution in the β barrel of α HL on the frequency of DNA translocation through the pore at +120 mV. The mutants in the RL2 background are M113R, T113R, T113R, G113R, N113R, and N113R. The line is an exponential fit. Additional conditions are described in the legend to Fig. 2.

used here are anion selective, electroosmotic solvent flow occurs in the same direction (*cis* to *trans*) and assists DNA transport (43). At this point, the effect of the applied field on the DNA is strongest (44) and it is pulled through the pore into the *trans* compartment ($V \rightarrow I$), the only irreversible step in the scheme. The translocation intermediate (V) was observed experimentally by the abrupt reduction of the current to 90% of the open pore value (during type A and C events), an assignment that is supported by several observations in the literature (16, 44–46) (*SI Text*). For example, Kasianowicz and coworkers showed that the *cis* addition of ssDNA produces short-lived current blockades of 85–100% of the open pore current. PCR analysis of the *trans* chamber revealed that DNA had passed through the α HL pore (16).

In the case of the WT- α HL pore, not all of the current blockades were due to straightforward DNA translocation. In one-third of the events, a midamplitude level was observed (Fig. 2*A*). This current signature represents DNA molecules captured within the α HL vestibule (VI, Fig. 6), as supported by the fact that occupancy of the vestibule is associated with a lowered conductance, but not one reduced to the extent caused by occupancy of the barrel (44, 45, 47, 48) (*SI Text*). For example, when DNA duplexes are tethered within the vestibule, a 38% reduction of the pore current is observed (44). Capture within the vestibule is similar to the phenomenon of entropic trapping described by Han and Craighead (49).

DNA molecules captured in the midamplitude configuration (VI, Fig. 6) can either exit the pore from the *cis* compartment (type B event, Fig. 2*A*), or proceed into the β barrel producing a low-amplitude signal (intermediate VII). From this configuration, the DNA can either complete translocation through the pore and exit on the *trans* side (type C event, Fig. 2*A*, via intermediate V, Fig. 6) or retract back into the vestibule and exit on the *cis* side (type E event, Fig. 2*A*). Finally, DNA can visit the vestibule, move at once into the β barrel to produce a low-amplitude signal, but instead of proceeding through the pore (type A event) reverse into the vestibule to produce a midamplitude signal, before exiting on the *cis* side (type D, Fig. 2*A*). Butler and colleagues recently observed similar phenomena with 6 homopolymeric deoxyribo- and ribonucleotide 50-mers and presented a detailed analysis of the origin of the current levels (41). In further support of our scheme, we have also observed a few events with multiple mid-to-low (VI \rightarrow VII) steps (Fig. S3). In addition, we have observed type A–E events with additional DNAs, both with and without weak secondary structure (D. Japrun, unpublished data). The 92-mer used in the present work is unlikely to possess stem-loop structures, but the existence of helical structure within single-stranded nucleic acids remains a contentious area (50).

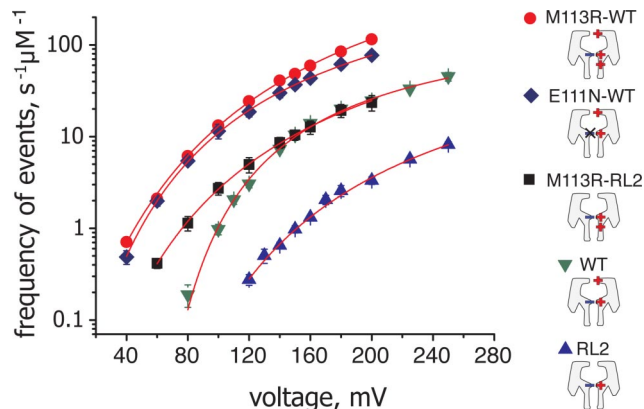


Fig. 5. Voltage dependence of the frequency of DNA translocation plotted on a logarithmic scale. Only type A and C events are included in the analysis. Red circles, M113R-WT; blue diamonds, E111N-WT; black squares, M113R-RL2; green inverted triangles, WT; blue triangles, RL2. The lines show fits of the data with Eq. 1.

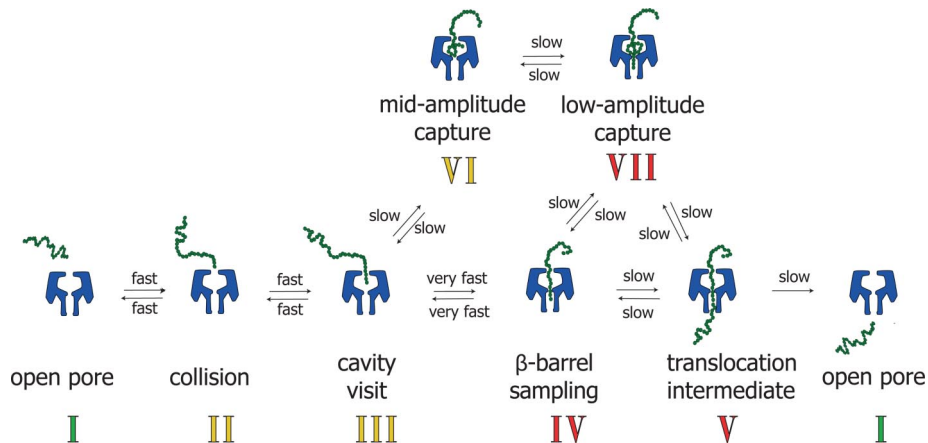
Effects of Charge in the Lumen of the Pore. A ring of 7 positive charges introduced by site-directed mutagenesis near the internal constriction increased the frequency of translocation of DNA molecules (Fig. 3), and reduced the fraction of captures with midamplitude components (Fig. 2*A* and *B*). However, according to our proposed scheme, the DNA is within a Debye length of the residues forming the constriction only as intermediate IV, V or VII (Fig. 6) and cannot sense these charged groups from bulk solution. Therefore, to explain the effect of charge at the constriction, we propose that a DNA molecule enters and quickly exits the top end of the β barrel (sampling, III \rightarrow IV, Fig. 6) many times before either retracting back into the *cis* compartment or proceeding to intermediate V, VI or VII. An increase in positive charge at the constriction (Glu-111 \rightarrow Asn or Met-113 \rightarrow Arg) increases the affinity of DNA for the pore at this location. Therefore, in these mutants the number of molecules that return to the vestibule after sampling the β barrel is reduced and the rate of occurrence of direct DNA translocation events (type A) is increased. In addition, because the fraction of time spent as intermediate III is decreased, the probability of a midamplitude event (VI) is reduced and fewer type B to E events occur. The sampling of the β barrel by ssDNA was observed at +100 mV for short DNA overhangs protruding from a duplex DNA tethered near the *cis* entrance (44). For untethered DNA molecules, we propose that the sampling of the barrel is much quicker and not recorded because of bandwidth limitations.

The elimination of a charge at the *cis* entrance of the pore in the RL2 mutant (Lys-8 \rightarrow Ala) reduced the fraction of type B and C events (Table 2), and decreased the frequency of DNA translocation by an order of magnitude (Fig. 3). We attribute these effects to a reduced affinity of DNA for the pore. A weaker interaction with the pore during collisions with the entrance and visits to the vestibule (intermediates II and III) reduces the overall number of observable captures.

Importantly, we have observed an increased number of capture events with α HL pores with increased internal positive charge with several additional DNAs and RNAs, as described here for the 92-mer. Therefore, the phenomena we describe are not peculiar to a specific DNA sequence.

Role of the β Barrel. The depth to which DNA samples the β barrel (step IV) was investigated by introducing a ring of arginines at various positions. When DNA was added from the *cis* side, we found that the DNA translocation frequency decreased approximately exponentially as the ring was moved from the constriction

Fig. 6. Model for the interaction of DNA with the α HL pore. To pass through the pore (V), DNA must first collide with the *cis* entrance (II), be transported through the vestibule (III) and enter the β barrel (IV). The DNA can prolong its visit within the vestibule and produce midamplitude and low-amplitude events corresponding to VI and VII. All steps are reversible with exception of translocation. In type A events, DNA collides with the pore, enters the vestibule and then directly translocates through the β barrel to exit on the *trans* side. Sampling of the barrel (III \rightarrow IV) during this process is too fast to observe. In type B events, DNA is captured by the pore but instead of penetrating the β barrel it has a prolonged interaction with the vestibule to produce the midamplitude event (I \rightarrow II \rightarrow III \rightarrow VI). The DNA exits the pore from the *cis* entrance from which it entered (VI \rightarrow III \rightarrow II \rightarrow I). Like type B events, type C events first show a midamplitude current (I \rightarrow II \rightarrow III \rightarrow VI), but then one end of the DNA enters the β barrel (VII) and translocation occurs (VII \rightarrow V \rightarrow I). In type D events, the DNA enters the β barrel (I \rightarrow II \rightarrow III \rightarrow IV) but then stops translocating (VII), retracts back into the vestibule (VI) and exits the pore from the *cis* side (VI \rightarrow III \rightarrow II \rightarrow I). Finally, in type E events, the DNA begins to translocate as in type C events (I \rightarrow II \rightarrow III \rightarrow VI \rightarrow VII \rightarrow V), but then retracts into the vestibule (V \rightarrow VII \rightarrow VI) and exits the pore from the *cis* side as in type D events (VI \rightarrow III \rightarrow II \rightarrow I). Intermediates expected to give midamplitude events are numbered in yellow, although II and III would be too short for observation. Intermediates expected to give low-amplitude events are numbered in red, although IV would be too short for observation.



(M113R-RL2) toward the *trans* entrance (N123R-RL2) of the pore (Fig. 4), suggesting that DNA molecules can sample the β barrel deeply. When presented from the *cis* side, the DNA must occasionally come close to residue 119, which is 2.3 nm away from the constriction. Accordingly, when the DNA was added on the *trans* side of the pore, more translocation events were observed (at -120 mV) when the ring of arginines was closer to the *trans* entrance (Fig. S2). As the ring of charges is moved toward the *trans* entrance, the unitary conductance of the pore is decreased by approximately 2-fold (Table 1), but this is unlikely to be the sole cause of a change in DNA translocation frequency of more than 10-fold. Further, in other cases, the trend is not seen. For example, RL2 has a similar conductance to the WT pore, but a far lower translocation frequency.

Electroosmotic Effect. In the preceding discussion, we have ignored the differences in electroosmotic solvent flow through the various α HL pores. For example, the WT α HL pore is slightly anion selective ($P_+/P_- = 0.78$, 1 M NaCl *cis* and 0.2 M NaCl *trans*) and, therefore, there will be a net flow of water from *cis* to *trans* under a positive potential (43). The M113R-WT pore is more anion selective ($P_+/P_- = 0.38$, 1 M NaCl *cis* and 0.2 M NaCl *trans*) (43) than the WT pore and the increased frequency of DNA translocation with this mutant could be due, at least in part, to enhanced electroosmotic flow. A simple calculation, based on equation 2 in ref. 43, assuming that 5 molecules of water are carried per ion, suggests that with a weakly anion selective pore ($P_+/P_- = 0.9$) only two additional molecules of DNA are transported to the mouth of the pore per second in a 1 μ M DNA solution. With a moderately selective pore ($P_+/P_- = 0.5$), 23 additional molecules of DNA arrive per second and with a more strongly selective pore ($P_+/P_- = 0.1$) an additional 53. These numbers are of a similar order of magnitude to the effects seen here.

However, several lines of evidence suggest that electroosmosis does not have a dominant effect on DNA translocation through the α HL pore at $+120$ mV. For example, E111N-WT shows a similar translocation frequency to M113R-WT, but it is less anion selective ($P_+/P_- = 0.71$, 1 M NaCl *cis* and 0.2 M NaCl *trans*) (43). The mutant RL2 ($P_+/P_- = 0.68$, 0.9 M KCl *cis* and 0.3 M KCl *trans*) (R. Madathil, unpublished data) has a similar ion selectivity to WT α HL, but the DNA translocation frequency with RL2 is 10-fold lower (Table 1). RL2 also shows the same DNA translocation frequency as N123R-RL2 (Fig. 5), which has a higher anion

selectivity ($P_+/P_- = 0.24$, 0.3 M NaCl *cis* and 1.0 M NaCl *trans*) (R. Madathil, unpublished data). Finally, if the trend in DNA translocation frequencies observed when a ring of arginines is placed at different positions within the β barrel were due to electroosmosis, we would not expect the trend to reverse when DNA is threaded from the *trans* rather than the *cis* side. Previous workers have recognized the possibility that electroosmosis might affect the rate of DNA translocation through nanopores (51, 52), whereas others have ignored the effect (39, 40) or considered it an unlikely contributor under the prevailing conditions (53). It is also possible that electroosmosis has a role in decreasing the type B–E events by promoting laminar flow through the vestibule.

Movileanu and colleagues (13, 15) have explored the passage of positively charged peptides through α HL pores with additional negative charge in the lumen. Both the rate of capture and the translocation time were increased, which they explained in terms of reduced barriers to transit. The differences between nucleic acids, for which the translocation time is not greatly altered (τ , Table 1), and peptides will require further experimentation to resolve, but one obvious distinction is the higher charge density on nucleic acids.

Voltage Dependence of DNA Translocation. The frequency of DNA translocation events through α HL pores has a strong nonlinear dependence on the applied potential (Fig. 5A). At the low applied potentials of our experiments, the oligonucleotides that visit the vestibule (III, Fig. 6) do not sense a strong potential drop [<10 mV at an applied potential of $+100$ mV (44)]. Further, the contributions of local potentials from fixed charges in the protein are expected to be small, given the high ionic strength. Therefore, only intermediates IV and V (Fig. 6) in which the DNA is inside the β barrel and subjected to a strong electric field are expected to be significantly influenced by the applied potential. The existence of a voltage threshold for DNA translocation and the steep dependence of the frequency of translocation on potential at <150 mV (Fig. 5) suggests that the energy barrier for DNA translocation is large and only a fraction of the DNA molecules that reach the β barrel (intermediate IV) pass through the entire pore. Increasing the charge near the constriction lowers the overall barrier and more DNA molecules can pass with a lower voltage threshold (Table S1). Other groups working with WT α HL pores have observed the threshold and the steep voltage dependence and fitted their observations to exponential functions (38–40).

At higher potentials ($V > 150$ mV), the voltage dependence of

DNA translocation is less steep (Fig. 5). Groups, working with the WT α HL pore, have described a linear dependence on voltage at elevated potentials (39, 40), but in our case the dependence is stronger. Interestingly, the maximum frequency of DNA translocation (f_{\max}) for WT α HL and the mutant pores varies considerably (Fig. 5), the hallmark of electroosmosis (52), which may play a greater role when the applied potential is high.

Conclusions

We have used site-directed mutagenesis to increase the frequency of ssDNA translocation through α HL pores, and at the same time the voltage threshold for DNA translocation has been lowered. The most likely mechanisms for the increased frequency of translocation are rapid sampling of the interior of the pore by the DNA (Fig. 6), increased electroosmotic flow (51, 52) or a combination of the two that depends on the applied potential. The newly engineered pores or derivatives of them will be useful for enhancing the sensitivity of α HL as a biosensor of nucleic acids (2, 17–25). An additional potential application is in nanopore sequencing, where the bases in a single DNA strand are read off one by one during translocation (16, 30, 32–37). In this area, progress has been made on base identification (9, 54) and in controlling the rate at which DNA moves through the pore (29, 30). An increase in the frequency of ssDNA translocation through α HL pores, as demonstrated here,

would reduce the dead-time between reads. By analogy, engineered pores might be used to increase the capture efficiency of individual bases during exonuclease sequencing (9), which would be vital for accurate reads. Finally, these results with protein nanopores might be translated to solid-state nanopores by using chemical surface modification (55).

Methods

Full details of the experimental procedures can be found in *SI Text*.

Protein Preparation. α HL protein was produced by expression in an *E. coli* in vitro transcription and translation (IVTT) system and assembled into heptamers on rabbit red blood cell membranes. Mutants α HL genes were prepared by either QuikChange or cassette mutagenesis.

Planar Bilayer Recordings. Lipid bilayers were formed from 1,2-diphytanoyl-sn-glycero-3-phosphocholine (Avanti Polar Lipids). Both compartments of the recording chamber contained 0.4 ml of 1 M KCl, 25 mM Tris-HCl, pH 8, with 100 μ M EDTA. The α HL pores and the DNA were added to the *cis* compartment, which was connected to ground. The 92-nt DNA was 5'-AAAAAAAAAAAAAAAAAAAAAAAAAT-TCCCCCCCCCCCCCCCCCTTAAAAAAAAAATCCCCCCCCCTTAAAAA-AAAAATCCCCCCCCC-3'.

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