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## Chromosomal rearrangements leading to MLL gene fusions: clinical and biological aspects

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### Abstract

Rearrangements of the *MLL* gene located at 11q23 are common chromosomal abnormalities associated with acute leukemia, especially infant and therapy-related leukemias. A variety of chimeric oncoproteins resulting from these rearrangements has been described; all of these include the N-terminal region of *MLL* implicated in protein-protein interactions and transcriptional repression. While the molecular basis for the oncogenic activity of *MLL* chimeric proteins is incompletely understood, it appears to be derived, at least in part, through activation of clustered homeobox (*HOX*) genes. Here, we survey *MLL* gene rearrangements that are associated with acute leukemia and discuss molecular pathways leading to these rearrangements.

### Keywords

MLL; chromosomal translocation; infant leukemia; DNA topoisomerase II; non-homologous end-joining; homeobox

### Introduction

Chromosomal rearrangements involving the *MLL* (*MLL1*, *ALL1*, *TRX*, *HTRX*) gene, including balanced and unbalanced translocations, inversions, insertions, and a partial tandem duplication, have been associated with a heterogeneous group of lymphoid, myeloid, and mixed lineage leukemias (1). The *MLL* gene, a homologue of the *Drosophila trithorax*, is located at chromosome 11q23, consists of 36 exons, and encodes a protein of 3969 amino acid residues, with an estimated molecular weight of 430 kDa (1). Most *MLL* gene rearrangements map to an 8.3 kb breakpoint cluster region (bcr) (2), and result in production of a chimeric onco-protein which fuses the amino terminal portion of *MLL* with the carboxy terminal portion of a partner gene (Figure 1). *MLL* can be regarded as a highly “promiscuous” oncogene, since more than 70 different 11q23 chromosomal partners have been identified, and at least 50 of these have been cloned and characterized on a molecular level (<http://atlasgeneticsoncology.org/Genes/MLL.html>).

### Clinical Findings

Although *MLL* rearrangements are associated with a wide spectrum of leukemias, and numerous translocation partners, there are several unique subsets of *MLL* leukemias that are

defined by associated clinical and laboratory findings. These sub-groups include infant leukemias, therapy-related leukemia, *MLL*-amplified leukemia, and T-cell ALL.

### Infant leukemia

Leukemia in infancy (<12 months of age), whether classified as ALL, AML, or mixed lineage, is often associated with *MLL* gene rearrangements (3). It seems likely that infant leukemia with and without *MLL* are different diseases with different clinical characteristics at presentation, different responses to therapy, and very different genetic profiles (4). When molecular diagnostic techniques are employed, *MLL* gene fusions can be identified in approximately 80% of all infant leukemia patients (4). Although greater than 90% of infant ALL patients achieve a complete remission, despite the use of aggressive intensification regimens and allogeneic stem cell transplantation, event free survival (EFS) for *MLL*-rearranged infant ALL patients remain in the 30–40 % range (4). Several lines of evidence have demonstrated that the *MLL* rearrangement in most infants with leukemia occurs *in utero*. First, *MLL* rearrangements have been identified in congenital infant leukemia, and even in aborted fetuses. Second, the concordance rate for infant leukemia between identical twins is very high, suggesting that the *MLL* rearrangement occurred initially in one twin *in utero*, and subsequently “metastasized” to the second twin (5). This hypothesis has been confirmed for numerous twin pairs that had identical immunoglobulin gene rearrangements (5). Finally, *MLL* gene fusions have been identified in archived neonatal blood spots (“Guthrie” cards) of infants who subsequently developed leukemia (6).

### Therapy-related acute myeloid leukemia (t-AML)

In the 1990s, the use of the epipodophylotoxins became linked to the development of therapy-related acute myeloid leukemia (t-AML) (7). Remarkably, a very high proportion of patients who developed t-AML following therapy with topoisomerase II (topo II) poisons had translocations involving the *MLL* gene (7). This observation led to speculation that topo II poisons were directly involved in the generation of *MLL* rearrangements. The *MLL* partner genes involved in t-AML, including *AF9*, *AF4*, and *ENL*, are similar to those involved in *de novo* AML, except for the *CBP* gene, which is almost always associated with t-AML (8). Although less common than t-AML, therapy-related ALL and lymphoblastic lymphoma with *MLL* gene rearrangement have also been reported.

### *MLL* amplification

Amplification of the *MLL* gene, either at 11q23, distant chromosomal regions (referred to as segmental jumping translocations), or double minute chromosomes were identified using FISH probes (9). In these cases, no rearrangement of the *MLL* gene has been reported, rather, *MLL* has been amplified as part of a large amplicon encompassing up to 10 Mb of genomic sequence. Of note, a wild-type *MLL* transcript is reported to be over-expressed in these cases, as is expression of several documented target genes for leukemogenic *MLL* fusion proteins, such as *HOXA9* and *MEIS1*.

### T-cell ALL

Although T-cell ALL with *MLL* gene rearrangements are uncommon, this is an interesting subgroup as this group of translocations involve relatively few partner genes, almost exclusively t(11; 19) that generate a *MLL-ENL* fusion. In addition, these patients tend to have a good prognosis, with close to 90% long term EFS in one published series (10).

## Effects of *MLL* fusion genes

The MLL protein is widely expressed during development, and continues to be expressed in most adult tissues, including myeloid and lymphoid cells (1). MLL is required for normal development and body pattern formation, as deletion of *MLL* in mice leads to homeotic transformation (1). MLL is processed in the cytoplasm by the threonine- aspartase (TASPASE1) enzyme into a 320 kD amino-terminal fragment (MLL-N) and an 180 kD carboxyterminal fragment (MLL-C), which remain non-covalently bound (1) (Figure 1). The MLL-N fragment is thought to bind DNA as part of a multi-subunit complex that includes components of the basal transcription machinery (1). Recent experiments have suggested that MLL-N binds to regulatory regions of clustered homeobox (*HOX*) genes, including *HOXA9* and *HOXC8*. Upon binding DNA, MLL-N can mediate transcriptional repression of the target gene, likely dependent on recruitment of additional co-factors such as *BMII*. However, in the presence of MLL-C, the MLL-N complex can lead to transcriptional activation (1).

Although no single theme connects all of the *MLL* translocation partners, several are known or putative transcription factors (*AF9*, *ENL*, *CBP*, and *P300*). Other partners, such as *AFIP* and *GAS7*, have no known transcription factor motifs, and have instead hydrophobic coiled-coil domains with the potential to form oligomers. Useful clues as to how MLL fusion proteins might be leukemogenic have been identified by gene expression profiling. Leukemic cells that express *MLL* gene fusions have a gene expression profile that distinguishes them from ALL and AML without *MLL* gene rearrangements; among the genes most differentially expressed are *HOXA5* and *HOXA9*(1). Expression of MLL fusion proteins such as MLL-ENL or MLL-CBP in mouse bone marrow leads to overexpression of *Hoxa7*, *Hoxa9*, and *Meis1*, and it is thought that overexpression of these genes is important for leukemic transformation (11). *Hoxa9* is normally expressed in primitive hematopoietic cells, becomes downregulated as cells differentiate, and promotes hematopoietic stem cell self-renewal (12). Enforced expression of *Hoxa9* in mouse bone marrow cells is leukemogenic, and leukemic transformation is accelerated by co-expression of *Meis1*(12). However, expression of *Hoxa9* is not required for leukemic transformation by MLL fusion proteins, as expression of an MLL-GAS7 fusion in *Hoxa9*-deficient bone marrow remains leukemogenic (13). Given the redundancy of the clustered *Hox* genes, it is possible that upregulation of other *Hox* genes (such as *Hoxa7* or *Hoxa10*) mediates leukemic transformation in this setting.

Several approaches have been used to demonstrate that MLL fusion proteins are leukemogenic in mice. Using retroviral-mediated gene transfer to murine hematopoietic cells, followed by transplantation into irradiated mice, a number of MLL fusions, including MLL-AF9, MLL-GAS7, MLL-ENL, and MLL-CBP have been shown to be leukemogenic (13). Using ES cell gene targeting strategies, an *MLL-AF9* fusion, under the regulatory control of endogenous *MLL* sequences, was leukemogenic (14). Interestingly, although the targeted ES cells expressed the MLL-AF9 fusion ubiquitously, the only malignancies noted were hematopoietic, suggesting that MLL fusions might only be oncogenic in hematopoietic cells (14).

## Mechanisms of *MLL* gene rearrangement

Since MLL gene fusions lead to leukemia, it is important to understand the causes of MLL gene fusions. It should be noted that for any chromosomal rearrangement to be recognized clinically, two criteria must be fulfilled. First, the region involved must undergo a DNA double strand break (DSB) and re-ligation. Second, the break and re-ligation must in some way confer a clonal growth advantage to the cell. If both criteria are not fulfilled, then the translocation will not produce a clonal population, and not be recognized clinically. Proposed mechanisms to account for *MLL* translocations include recombination between *Alu* elements, recombination

mediated by topo II poisons, and an error prone non-homologous end joining (NHEJ) of DNA DSB.

Although chromosomal translocations caused by interchromosomal recombination between *Alu* elements have been implicated in a case of an *MLL-AF9* translocation, these seem to be relatively rare. However, the *MLL* partial tandem duplication (also known as *MLL* self-fusion), which leads to a duplication of *MLL* exons 2–6, is commonly mediated via inter or intra chromosomal recombination between *Alu* elements within the *MLL* locus, via a mechanism that is consistent with the single-strand annealing (SSA) repair pathway (15).

The association of t-AML with *MLL* translocations and topo II poisons has led to the hypothesis that these translocations are directly caused by topo II poisons (16). Topo II normally functions as a homodimeric enzyme that introduces a 4-bp staggered nick in double stranded DNA resulting in a short-lived intermediate in which the topo II monomer is covalently bonded to the DNA phosphodiester backbone. Topo II poisons stabilize this short-lived intermediate, which becomes recognized by the cell as a DNA DSB, which triggers an apoptotic cell death (16). Since topo II normally functions as a homo-dimer, it has been proposed that perfect, (ie, no net gain or loss of genetic material) or near-perfect (gain or loss of 4 or fewer nucleotides) reciprocal translocations could occur via an exchange of topo II subunits and covalently linked chromosomal DNA (17). Chromosomal translocations showing this type of perfect or near-perfect inter-chromosomal exchange have been identified in patients with t-AML following chemotherapy regimens that included topo II poisons. However, it should be noted that these cases may be the exception rather than the rule, as more extensive surveys of *MLL* translocation breakpoints, from *de novo* or t-AML patients, do not contain large numbers of samples with these near-perfect reciprocal interchromosomal exchanges (18).

Site-specific cleavage of the *MLL* bcr has been identified in leukemic patient samples and cell lines following treatment with topo II poisons (19). Although this cleavage site maps close to a consensus topo II cleavage site, it seems likely that this site encompasses a region that is generally susceptible to DNA DSB, as cleavage can be induced by DNase I, or more generally by the high molecular weight (HMW) DNA fragmentation that occurs in cells undergoing apoptosis (19). Taken together, these observations have led to speculation that an “aborted” apoptosis program could lead to *MLL* gene rearrangement following abnormal repair at this cleavage site (19). Interestingly, PCR products consistent with *MLL* gene rearrangements produced by improper repair of DNA double strand cleavage at this site can be identified following treatment with genotoxic (etoposide) or non-genotoxic (fas ligand) triggers of apoptosis (20).

Breakpoint nucleotide sequence data suggests that a majority of the leukemogenic translocations involving *MLL* are mediated by inappropriate repair of DNA DSBs via an “error-prone” NHEJ pathway (18). However, the proximate cause(s) of the DNA DSBs within the *MLL* bcr remain unclear. Moreover, it remains unknown whether the *MLL* bcr is extraordinarily susceptible to DNA DSBs (ie, one of the most susceptible regions throughout the human genome), whether the *MLL* bcr is uniquely susceptible to aberrant, error-prone NHEJ repair of DNA DSBs, or whether neither of these are true, and the frequent presence of *MLL* translocations in leukemic samples is due to a remarkable growth/survival advantage conferred by oncogenic *MLL* fusion proteins.

## Summary

Chromosomal translocations leading to *MLL* gene fusions are a common event in patients with acute leukemia, and are particularly common in infants with AML or ALL, and patients with t-AML. *MLL* is a “promiscuous” oncogene, with numerous partner genes involved in *MLL* fusions. The molecular mechanisms that lead to *MLL* gene rearrangements remain obscure,

but seem to involve aberrant repair of DNA DSB breaks via NHEJ. The normal MLL protein undergoes proteolytic cleavage, and can function as a transcriptional repressor or activator; the activation function is associated with its ability to covalently modify histones. Expression of *MLL* gene fusions is oncogenic in mice, and seems to be due, at least in part, to upregulation of clustered homeobox genes such as *Hoxa9*. The presence of *MLL* fusions are currently used in risk-stratification schemes for childhood leukemia, and the observation that MLL fusion proteins modify histones suggests that this activity might be a target for modulation by small molecules.

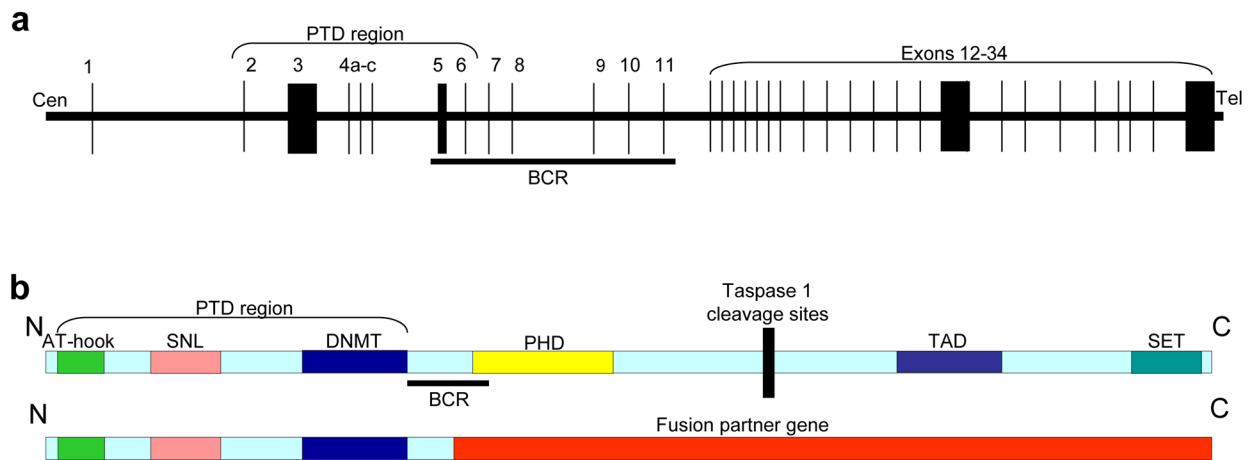
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**Figure 1. Structure of the *MLL* gene and protein**

**(a)** Schematic representation of *MLL* gene showing location of the PTD (partial tandem duplication, see text) and BCR (breakpoint cluster region, which encompasses almost all known *MLL* translocation breakpoints). Exon size and intronic distances are not to scale. **(b)** Location of *MLL* protein domains in relation to BCR, PTD, and fusion partners. AT-hook [DNA binding motif that binds adenosine-thymidine (AT) rich DNA], SNL (speckled nuclear localization sites), DNMT (DNA methyltransferase domain), PHD (plant homeodomains), TAD (transactivation domain), SET [SET (for Suppressor of variegation/Enhancer of zeste/Trithorax) domain]. Cleavage by Taspase (Threonine-aspartase) 1 divides *MLL* in N- and C-terminal fragments.