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## Humanized Mice for the Study of Type 1 Diabetes and Beta Cell Function

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### Abstract

Our understanding of the basic biology of diabetes has been guided by observations made using animal models, particularly rodents. However, humans are not mice, and outcomes predicted by murine studies are not always representative of actual outcomes in the clinic. In particular, investigators studying diabetes have relied heavily on mouse and rat models of autoimmune type 1-like diabetes, and experimental results using these models have not been representative of many of the clinical trials in type 1 diabetes. In this manuscript, we describe the availability of new models of humanized mice for the study of three areas of diabetes. These include the use of humanized mice for the study of 1) human islet stem and progenitor cells, 2) human islet allograft rejection, and 3) human immunity and autoimmunity. These humanized mouse models provide an important pre-clinical bridge between *in vitro* studies and rodent models and the translation of discoveries in these model systems to the clinic.

### Keywords

Humanized mouse; Diabetes; Beta cell function

## INTRODUCTION

Our understanding of the basic biology of diabetes and autoimmunity has been guided by observations made using animal models, particularly rodents. In animal models, the genetics controlling immune responses can be deliberately altered, genes can be transgenically expressed or mutated, and the level and timing of gene expression can be precisely manipulated. Interventions on cell and tissue function can be readily tested in animal models, and ready access to tissues and cells permit in depth analyses. In rodent models, cells and tissues can be purposefully manipulated in ways that simply cannot be performed in humans. However, mice are not humans, and outcomes predicted by murine studies are not always representative of actual outcomes in the clinic, particularly in trials for prevention or treatment of type 1 diabetes based on animal models<sup>1</sup>.

To overcome these limitations, we and others have focused on the development and study of “humanized” mice<sup>for review, see 2</sup>. Operationally, we define humanized mice as mice engrafted with functional human cells or tissues, or mice expressing human transgenes. Humanized mice permit the *in vivo* investigation of human cells, tissues, and immune systems without putting patients at risk.

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The original observation that immunodeficient mice could be engrafted with human tissues was based on genetically athymic mice with a mutation in the *Foxn1* gene (abbreviated nude)<sup>3</sup>. In 1983, mice with mutations in the protein kinase, DNA-activated, catalytic polypeptide (*Prkdc*) gene (abbreviated *scid*) were discovered<sup>4</sup>, and shortly thereafter, it was demonstrated that these mice could be engrafted with human hematopoietic cells<sup>5,6</sup>. Over the last ~20 years, our group has been genetically modifying immunodeficient mice to increase their capacity to support engraftment with human cells and tissues. A major milestone was achieved in 1995 when we observed that NOD-*scid* mice, which have numerous defects in innate immunity, supported enhanced engraftment of human hemolymphoid tissues<sup>7</sup>. These mice rapidly became the “gold standard” for the scientific community using humanized mice<sup>7</sup>. Many genetic modifications to enhance human lymphohematopoietic cell engraftment of the NOD-*scid* stock have been performed, including the generation of targeted mutations in the recombination activating gene 1 or 2 (*Rag1<sup>null</sup>* and *Rag2<sup>null</sup>*), or in the beta 2-microglobulin (*B2m<sup>null</sup>*) gene<sup>2</sup>. However, these models were limited by short lifespans, the presence of NK cell activity and other facets of innate immunity that decreased engraftment of the human lymphohematopoietic cells.

The recent major advance in the field was the development of immunodeficient mice bearing a targeted mutation in the IL-2 receptor common gamma chain (*IL-2r $\gamma$ <sup>null</sup>*)<sup>2</sup>. The IL-2r $\gamma$  chain is required for high affinity signaling through multiple cytokine receptors, including IL-2, IL-4, IL-7, IL-9, IL-15, and IL-21<sup>2</sup>. The inability to signal through these receptors leads to severe defects in both adaptive and innate immunity. There are four stocks of immunodeficient mice that have been described in the literature based on the *IL-2r $\gamma$ <sup>null</sup>* mutation. These immunodeficient strains of *IL-2r $\gamma$ <sup>null</sup>* mice include NOD.*cg-Prkdc<sup>scid</sup>IL2rg<sup>tm1Wjll</sup>* (abbreviated as NOD-*scid IL-2r $\gamma$ <sup>null</sup>*), NOD.*cg-Prkdc<sup>scid</sup>IL-2rg<sup>tm1Sug</sup>* (abbreviated as NOG mice), C.129(*cg*)-*Rag2<sup>tm1Fwa</sup>IL-2rg<sup>tm1Sug</sup>* (abbreviated as BALB/c-*Rag2<sup>null</sup>IL-2r $\gamma$ <sup>null</sup>*) and Stock (H2<sup>d</sup>)-*Rag2<sup>tm1Fwa</sup>IL-2rg<sup>tm1Krf</sup>* (abbreviated as H2<sup>d Rag2<sup>null</sup>IL-2r $\gamma$ <sup>null</sup>)<sup>2</sup>.</sup>

Using these immunodeficient stocks bearing an *IL-2r $\gamma$ <sup>null</sup>* targeted mutation, humanized mice have become important pre-clinical tools for biomedical research in a number of areas. The study of human hematopoiesis, including the study of human hematopoietic stem (HSC) and progenitor cells as defined by their ability to generate multi-lineage hematopoietic cell populations *in vivo*, has been a key component in studies that have identified and characterized human hematopoietic stem cells. Many human-specific infectious diseases such as HIV, Dengue, Ebola, EBV, and measles can now be studied in animal models. The use of humanized mice has also been a critical driving force behind the identification and characterization of cancer stem cells. The use of humanized mice in this area is redefining the critical tumor cell targets of anti-cancer therapies. The emerging field of regenerative medicine is guided by the ability of human cells and tissues to regenerate cell and tissue function *in vivo* in humanized mice. Finally, the study of human immune systems can now be modeled *in vivo* in humanized mice. These uses of humanized mice have recently been reviewed<sup>2</sup>. In this manuscript, we have focused on the development and characterization of humanized mice based on the NOD-*scid IL-2r $\gamma$ <sup>null</sup>* and NOD-*Rag1<sup>null</sup> IL-2r $\gamma$ <sup>null</sup>* stocks of mice to address areas of interest in diabetes research.

### Humanized mice for the analysis of human islet stem and progenitor cells

The use of immunodeficient mice as recipients of syngeneic, allogeneic, and xenogeneic islet grafts has been ongoing for many years. However, the recent emergence of regenerative medicine has opened the possibility that human beta stem and progenitor cells can be identified, expanded and differentiated *in vitro*, and used to provide an essentially unlimited supply of human beta cells for transplantation. However, the promise of this approach relies

on the ability of the generated beta cells to be glucose responsive and secrete physiological levels of insulin to maintain normoglycemia in diabetic hosts.

The immunodeficient recipient most frequently used for most human islet transplantation studies over the last 10 years has been the NOD-*scid* mouse induced to become hyperglycemic by injection of the beta cell selective toxin, streptozotocin<sup>8</sup>. However, this mouse stock has a number of limitations for use in the study of human islet stem and progenitor cells. First, NOD-*scid* mice develop thymic lymphomas, and most die by ~6 months of age, precluding long term studies of islet stem and progenitor cell differentiation and function. Second, although decreased, NOD-*scid* mice retain some NK cell activity<sup>7</sup>. The stem cell sources for human islets are predominately derived from human embryonic stem cells (ESC), mesenchymal stem cells (MSC), HSC, or intra-pancreatic progenitor cells. These stem and progenitor cell populations, and their progeny, are highly sensitive to NK cell killing<sup>9-11</sup>. Therefore, studies using NOD-*scid* mice may lead to “false negatives” due to the duration of the experiment or the sensitivity of the stem and progenitor cells and their progeny to NK cell killing.

Our long-term goal is to determine the ability of human islet stem and progenitor cell populations to develop and function in the presence of an intact human immune system. Human immune system engraftment in NOD-*scid* mice is variable and not robust due to the variability of human peripheral blood mononuclear cell (PBMC) engraftment or the inability of human HSC to generate functional human T cells *in vivo*<sup>7,11</sup>. Furthermore, the induction of hyperglycemia by chemical toxins such as streptozotocin will also severely impair the function of the engrafted human immune system, rendering a chemical approach for the induction of diabetes or transplantation of islets or their precursors not practical.

To address these issues, we are developing the NOD-*Rag1*<sup>null</sup> *IL-2 $\gamma$* <sup>null</sup> *Ins2*<sup>Akita</sup> stock of mice. The Akita mutation is an insulin 2 gene defect that leads to generation of mis-folded insulin protein, induction of endoplasmic reticulum stress, and beta cell apoptosis<sup>12</sup>. A non-autoimmune hyperglycemia will develop in these immunodeficient mice as they age in the absence of toxic chemical treatment that could harm the transplanted human islets or their precursors. We have also found that NOD-*Rag1*<sup>null</sup> *IL-2 $\gamma$* <sup>null</sup> mice, similar to NOD-*scid* *IL-2 $\gamma$* <sup>null</sup> mice, engraft at high levels with human HSC and with PBMC that will permit the study of human islets or their precursors in the presence of a robust human immune system (TP, unpublished observations).

### Humanized mice for the analysis of human immune systems

For the *in vivo* study of a human immune system, investigators have developed three human immune system mouse models (Table 1). First, as early as 1988, investigators engrafted immunodeficient mice with human PBMC, termed Hu-PBL-SCID mice<sup>5</sup>. Second, also in 1988, immunodeficient mice were shown to support engraftment with human HSC<sup>6</sup>. This model system was established using human fetal liver and thymus (SCID-hu), or human HSC (human Scid Repopulating Cell, or Hu-SRC-SCID)<sup>2</sup>. More recently, immunocompetent mice have been genetically engineered to express human genes, in particular human HLA genes that permit the identification of the antigenic epitopes that are presented by human HLA genes to the immune system<sup>13</sup>.

**Hu-PBL-SCID Mice**—This model is based on the injection of human PBMC into unconditioned immunodeficient mice. Although the original stock of mice, the CB17-*scid*, could support engraftment of human PBMC, the engraftment was quite low (<1%) and variable both between different donors and among recipients of a single donor<sup>7</sup>. Although higher engraftment was observed in NOD-*scid* mice, engraftment continued to be low (1–10%) and remained quite variable. We have recently investigated the engraftment of

human PBMC into NOD-*scid IL-2 $\gamma$ <sup>null</sup>* mice<sup>14</sup>. We addressed a number of parameters, including the optimal recipient, cell dose, route of engraftment, and kinetics of engraftment.

To identify the optimal strain, we generated a new stock of mice, the BALB/c-*Rag1<sup>null</sup> IL-2 $\gamma$ <sup>null</sup>* (LDS, unpublished observations) stock, and determined the ability of human PBMC to engraft in this stock as compared with NOD-*scid IL-2 $\gamma$ <sup>null</sup>* mice (Figure 1). We observed that PBMC engraftment in NOD-*scid IL-2 $\gamma$ <sup>null</sup>* mice was much higher than that achieved in BALB/c-*Rag1<sup>null</sup> IL-2 $\gamma$ <sup>null</sup>* mice (Figure 1). Based on this observation, we then used the NOD-*scid IL-2 $\gamma$ <sup>null</sup>* stock of mice to determine that, in contrast to previous Hu-PBL-SCID models<sup>2</sup>, intravenous injection of human PBMC led to high engraftment, and that engraftment could be achieved following intravenous injection with as few as  $1-5 \times 10^6$  PBMC. We further observed that all mice engrafted using PBMC doses that were  $\geq 10 \times 10^6$  cells, and that engraftment increased up to 3–4 weeks after injection<sup>14</sup>. These data documented that immunodeficient NOD-*scid IL-2 $\gamma$ <sup>null</sup>* mice support higher engraftment of human PBMC as compared with the BALB/c-*Rag1<sup>null</sup> IL-2 $\gamma$ <sup>null</sup>* stock of mice or previous stocks of immunodeficient mice not based on the *IL-2 $\gamma$ <sup>null</sup>* mutation<sup>2</sup>.

We further asked whether the engrafted human PBMC were functional. To test this, we determined their ability to reject human islet allografts. We observed that chemically diabetic NOD-*scid IL-2 $\gamma$ <sup>null</sup>* mice transplanted with 4000 IEQ of human islets intrasplenically became normoglycemic. However, if the recipients were transplanted with human islets and injected intravenously with  $20 \times 10^6$  human allogeneic PBMC, the human islet allografts were rejected within 7–15 days<sup>14</sup>.

**Hu-SRC-SCID Mice**—This model system is based on the engraftment of conditioned (irradiated) immunodeficient mice transplanted with human HSC. In this model system, we first optimized the age of the recipient by intravenous injection of newborn and adult NOD-*scid IL-2 $\gamma$ <sup>null</sup>* mice with T cell-depleted umbilical cord blood cells containing  $3 \times 10^4$  CD34<sup>+</sup> cells. We observed that, although human CD45<sup>+</sup> cells develop at comparable levels in both recipients, that T cells were more readily generated in newborn recipients (TP, unpublished observations). We further observed that all human hematolymphoid lineages, including human T cells (CD3, CD4 and CD8), B cells, NK cells, regulatory T cells (CD4<sup>+</sup>CD25<sup>+</sup>Foxp3<sup>+</sup>) and myeloid and plasmacytoid dendritic cells were generated in newborn engrafted mice. Confirming that the engrafted human immune system was functional, we further documented that the Hu-SRC-SCID mice could generate T-dependent antibodies in response to immunization with tetanus toxoid, although the antibody titers were ~1000-fold lower than that observed in sera from humans immunized to tetanus toxoid (Figure 2).

## Humanized mice for the analysis of human autoimmunity

**HLA-Tg Immunocompetent Mice**—Humanized HLA-transgenic immunocompetent mice have been used for the study of autoimmunity in many model systems, including diabetes<sup>13</sup>. Of particular interest is the use of immunocompetent HLA-transgenic mice for the identification of autoantigens. For example, HLA-A2-restricted T cells from immunocompetent NOD/Lt HLA-A2.1 transgenic mice have been used to identify islet autoantigens, including epitopes of islet-specific glucose-6-phosphatase catalytic subunit-related gene (IGRP)<sup>15</sup> that appear to be an autoimmune target in type 1 diabetic patients<sup>16</sup>. Furthermore, spontaneous autoimmune diabetes<sup>17,18</sup> and multiple sclerosis<sup>19</sup> have been observed to develop in HLA-transgenic mice, providing new models for the study of the pathogenesis of autoimmunity.

**Hu-PBL-SCID mice**—This model system has been used extensively for the study of autoimmunity (Table 2). Investigators have adoptively transferred PBMCs from individuals with many autoimmune disorders, including thyroid disease or diabetes, to immunodeficient mice (Table 2). In recipients of PBMC from diabetic individuals, production of islet-antigen-specific autoantibodies was observed without evidence of insulinitis or islet cell damage<sup>20</sup>. Immunodeficient mice have also been co-transplanted with thyroid organoids and PBMCs from patients with Graves' disease, and the production of thyroid-peroxidase-specific autoantibody was observed<sup>21</sup>. Comparable studies were done using cells derived from patients with rheumatoid arthritis; *scid*-mice that received synovial cells or PBMCs from rheumatoid arthritis patients produced antibodies to rheumatoid factor<sup>22</sup>. However, in all cases, CB17-*scid* or NOD-*scid* mice were used as hosts and infiltration and target organ destruction was not observed. This was likely due to low and variable engraftment of human PBMC in CB17-*scid* mice, and based on our new data, we suggest that even BALB/c-*Rag1*<sup>null</sup> *IL-2 $\gamma$* <sup>null</sup> mice are not optimal hosts for human PBMC (Figure 1). It will be important to optimize these models of human autoimmunity using the new humanized NOD mouse Hu-PBL-SCID model based on the *IL-2 $\gamma$* <sup>null</sup> mutation as these mice provide more reliable engraftment (Figure 1). These new models might also provide biomarkers of disease progression based on detection of circulating autoreactive T cells or autoantibodies.

### Humanized mice for the analysis of human autoimmunity: The Future

How can humanized mice be used in the future to study human autoimmunity? Based on the ability of human HSC to engraft and generate a functional human immune system, it will be important to determine if HSC derived from a person with a genetic predisposition to autoimmunity will recapitulate autoimmune process in humanized mice. For example, it is known that HSC from NOD mice or BB rats, animal models of type 1 diabetes, can adoptively transfer the disease to naïve recipients<sup>23</sup>. It has also been documented in the literature that HSC from humans can transfer type 1 diabetes to adoptive recipients<sup>24</sup>. It will be important to test the ability of human HSC with a known genetic predisposition to type 1 diabetes to generate a human immune system that produces islet autoantibodies, generates autoreactive T cells, and induces insulinitis and beta cell destruction. These human HSC could be derived from the bone marrow or mobilized peripheral blood HSC of type 1 diabetic individuals, or from genetically susceptible cord blood HSCs of newborns of type 1 diabetic parents. The availability of standardized islet autoantibody assays as well as tetramers for known islet autoantigens now makes the investigation of the development and function of an autoimmune human immune system in humanized mice possible.

Another approach may be the use of new technology to generate TCR transgenic human immune systems in immunodeficient mice. Human islet autoreactive T cell clones have been generated, and retroviral technology now permits the transduction of human HSC. Coupled with the availability of immunodeficient NOD strains of *IL-2 $\gamma$* <sup>null</sup> mice that support the generation of a functional human immune system from HSCs, we propose that the generation of human islet autoreactive TCR transgenic humanized mice may now be possible. The development and characterization of these mice could permit the investigation of human autoreactive T cell development and function at various stages of the disease process.

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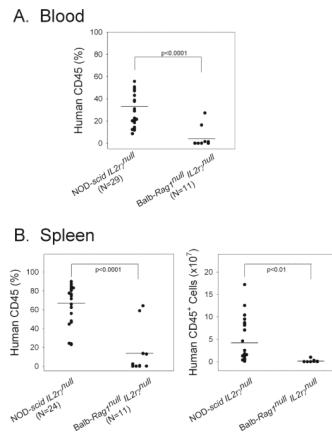
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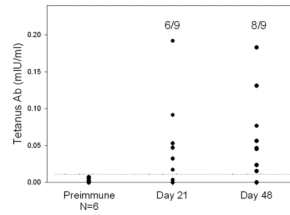
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**Figure 1. Legend: Optimal human PBMC recipient**

Adult mice of the indicated stocks were injected intravenously with  $20 \times 10^6$  human PBMC. Four weeks later, the percent of human CD45<sup>+</sup> cells in the blood, and the percent and number of human CD45<sup>+</sup> cells in the spleen were determined by flow cytometry.





**Figure 2. Legend: Production of T-dependent anti-tetanus antibody following immunization with tetanus toxoid**

Adult NOD-*scid* *IL2 $\gamma$ <sup>null</sup>* mice were irradiated with 240cGy and injected intravenously with  $3 \times 10^4$  CD34<sup>+</sup> T cell-depleted umbilical cord blood cells. Approximately 12 weeks later, the engrafted mice were immunized subcutaneously with 150 microliters of tetanus toxoid.

Twenty one days later, the mice were bled, and boosted on day 36 with tetanus toxoid, and bled again on day 48. Shown are the anti-tetanus antibody titers at the indicated time points. The dashed line represents 3 standard deviations above the mean value of the tetanus antibody level in prebleeds of immunized mice. Some mice were treated for 4 days with 10 $\mu$ g recombinant BLyS prior to boosting. No differences between BLyS treated and PBS treated mice were observed, and the data have been pooled for presentation.

**Table 1**  
**Humanized Immune System (HIS) Mouse Models**

Models of human immune system mice for the *in vivo* study of human immune systems.

<i>Model</i>	<i>Characteristics</i>	<i>Uses</i>
<b>PBMC Engraftment</b>	Immunodeficient mice engrafted with human PBMCs	Study of human-specific infectious agents, alloimmunity and autoimmunity <i>in vivo</i>
<b>Stem Cell Engraftment</b>	Immunodeficient mice engrafted with human HSCs	Study of human HSC and development of a functional human immune system
<b>Human MHC Tg</b>	HLA-Tg mice	Detection of epitopes that are presented by human HLA molecules

**Table 2**  
**Hu-PBL-SCID Models of Autoimmunity**

Human autoimmune diseases modeled in humanized Hu-PBL-SCID mice.

<i>Autoimmune Disease</i>	<i>Target Tissue</i>	<i>Reference</i>
Type 1 Diabetes	Islets/Beta Cells	20
Primary Biliary Cirrhosis	Liver	25
Multiple Sclerosis	Central Nervous System	19
Autoimmune Thyroid Disease	Thyroid	21
Rheumatoid Arthritis	Joint Synovium	22
Psoriasis	Skin	26
Systemic Lupus Erythematosus	Autoantibodies, multiple targets	27
Myasthenia Gravis	Acetylcholine Receptors	28
Autoimmune Hemolytic Anemia	Erythrocytes	29
Pemphigus Vulgaris	Skin	30