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The Cone Electroretinogram in Retinopathy of Prematurity

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Abstract

Purpose—To test the hypothesis that retinopathy of prematurity (ROP) affects the cone photoreceptors less than the rod photoreceptors.

Methods—Electroretinogram (ERG) responses to a 1.8 log unit range of red flashes on a white, rod saturating background were recorded from 42 subjects with a history of preterm birth and ROP (28 untreated; 6 treated) or no ROP (N=8). The sensitivity (S_{CONE}) and saturated amplitude (R_{CONE}) of the cone photoresponse were calculated by fit of a model of the activation of cone phototransduction to the a-waves. The cone-driven b-wave amplitude was evaluated as a function of stimulus intensity. S_{CONE} and R_{CONE} were compared to the rod response parameters (S_{ROD} , R_{ROD}) recorded from the same preterm subjects. Responses in the former preterms were compared to those in control subjects.

Results—The values of S_{CONE} and R_{CONE} in the former preterms overlapped broadly with those in the control subjects. The shapes of the b-wave stimulus/response functions did not differ between preterms and controls. The relative value of S_{CONE} was significantly greater than that of S_{ROD} .

Conclusions—ROP has less effect on the cone than on the rod photoresponses suggesting that cones are more resistant to the ROP disease process. The similar shape of the b-wave stimulus/response function in preterms and controls is evidence that ROP does not alter the balance of ON and OFF signals in the cone pathway.

The sensitivity of rod mediated vision and of the rod photoresponse is low in infants and children with a history of retinopathy of prematurity (ROP).¹⁻³ In rat models of ROP, oxygen levels that are too high or too low have adverse effects on the structure and function of the immature rods⁴⁻⁸, and early rod dysfunction predicts the abnormal retinal vasculature⁵ which is the hallmark used by clinicians to diagnose ROP. Less is known about the role of cones in ROP. Children's cone mediated visual functions, including acuity and color vision, are affected by ROP^{9, 10}, and the cone driven multifocal ERG responses of the central retina are attenuated in older children with a history of mild ROP.¹¹ The effects of ROP on cone and cone driven function in the peripheral retina are unknown.

Cone ERG responses to full-field stimuli are relatively more mature than are rod ERG responses in healthy 4- and 10- week old infants.¹² This is in keeping with the earlier anatomic development of the cones than rods.¹³ Primate cones differentiate earlier than rods, and peripheral cone outer segments mature earlier than rod outer segments.¹⁴⁻¹⁶ We reasoned that the greater maturity of infants' cones, as well as the structure of the cones¹⁷, would offer relative protection from the adverse events that induce ROP. In the present study, we compared full-field cone and rod ERG responses in the same subjects to test the hypothesis that ROP has less effect on cones than on rods.

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Methods

Subjects

Forty-two subjects with a history of preterm birth were studied. All had been monitored in the newborn intensive care nursery by experienced pediatric ophthalmologists using indirect ophthalmoscopy following schedules for examination similar to those used in the multi-center treatment trials.^{10, 18, 19} Gestational age at birth ranged from 23 to 32 (median 27) weeks and birth weight from 490 to 1850 (median 815) grams. The subjects were categorized by ROP history: treated ROP, untreated ROP, or no ROP. None had active ROP at the time of the ERG test. The treated subjects (N=6) had severe ROP that required ablation of the peripheral avascular retina at preterm ages; none had a retinal detachment. In these subjects, the median estimated area of residual retina was 80% (range 75% to 90%) of the total retinal area at age of test.²⁰ In those categorized as untreated (N=28), mild ROP had been documented but resolved spontaneously without treatment. Eight subjects never developed ROP.

Nineteen subjects were tested as infants at median age 10 (range 7 to 11) weeks post-term. Term is at 40 weeks gestation. Twenty-three other subjects were tested at median age 13 (range 5 to 23) years. In normal subjects, both cone and rod ERG responses to full-field stimuli are completely mature by age one year.^{12, 21} Previously reported term born 10 week old (N=28) and mature (N=13) control subjects provided data for comparison.¹² The rod responses of 11 of the 42 preterm subjects have been reported.²

This study conformed to the tenets of the Declaration of Helsinki and was approved by the Children's Hospital Committee on Clinical Investigation. Informed consent was obtained from the parents of the infants and children, assent from the older children, and consent from those 18 years and older.

General ERG procedure

Parents stayed with infants and children throughout the procedure. The pupil was dilated with cyclopentolate 1%, and the subject was dark-adapted for 30 minutes. Then, under dim red light, proparacaine 0.5% was instilled, and a bipolar Burian-Allen electrode was placed on the cornea. A ground electrode was placed on the skin over the ipsilateral mastoid.

Thirty-seven subjects were tested using a Compact 4 system (Nicolet, Madison, WI) and five using an Espion system (Diagnosys, Lowell, MA). Despite differences between the two systems in the spectral bandwidth of the stimuli (described below) and in data acquisition (2,564 Hz digitization rate for the Nicolet; 2,000 Hz for the Espion), rod and cone photoresponse parameters in adult control subjects obtained using the Espion system (N=7) did not differ significantly from those obtained previously using the Nicolet system (N=13).^{12, 21} Therefore, the data obtained using the two systems have been combined.

Responses were differentially amplified (bandpass 1 to 1,000 Hz), displayed, digitized, and stored for analysis. A voltage window was used to reject responses contaminated by artifacts. Two to 16 responses were averaged in each stimulus condition. The inter-stimulus interval ranged from 2 to 60 seconds and was selected so that subsequent b-wave amplitudes were not attenuated.²¹

Cone ERG

After 3 to 5 minutes of adaptation to a steady, white, rod-saturating background ($\sim +3$ log phot td), responses were recorded to a 1.8 log unit range (+1.4 to +3.2 log phot td s) of full-field, brief (<3 ms), red stimuli, incremented in 0.3 log unit steps. In the Nicolet system, a Wratten 29 filter ($\lambda > 610$ nm) was used; in the Espion system, a 630 nm LED (half bandwidth 30 nm)

was used. Cone photoresponse parameters were derived from the a-wave as described below. On records such as shown in Figure 1, the trough to peak amplitude and implicit time of the b-wave were measured and examined as a function of log flash intensity.

Fit of a model of the activation of cone phototransduction^{22, 23} was restricted to the first 11 ms of the response to reduce post-receptor contamination.^{12, 22, 24-27} This model incorporates a low pass exponential filter to represent the capacitance of the cone membrane^{28, 29, 30} by numerical convolution of the filter output with the delayed Gaussian function used to model the rod response.^{31, 32} The cone model²⁹ is:

$$R(i,t) = \left[\left(1 - \exp \left\{ -0.5 \left[\frac{I}{S_{\text{CONE}}} (t - t_d)^2 \right] \right\} \right) R_{\text{CONE}} \right] * \exp(-t/\tau) \quad (\text{Eq. 1})$$

where I is the flash in phot td s, S_{CONE} a sensitivity parameter ($(\text{phot td})^{-1} \text{ s}^{-3}$), t_d a brief delay (ms), R_{CONE} the saturated response amplitude (μVolts), and τ the time constant of the low pass filter (ms). The symbol $*$ represents the convolution operation. In the present study, as in the study of normal infants' cone responses¹², τ was fixed at 1.8 ms and t_d at 3 ms. Goodness of fit of the model (Eq. 1) to the a-wave was evaluated by the RMS errors.

Rod ERG

Responses to full-field, brief (<3 ms), blue stimuli ranging from those that evoked a small b-wave (<15 μVolts) to those saturating the a-wave were recorded. In the Nicolet system, a Wratten 47B filter ($\lambda < 510 \text{ nm}$) was used; in the Espion system, a 470 nm LED (half bandwidth 30 nm) was used. The rod photoresponse parameters (S_{ROD} and R_{ROD}) were calculated by fit of the Hood and Birch³³ formulation of the Lamb & Pugh^{31, 32} model to the a-waves. The equation is

$$R(i,t) = \left[1 - \exp \left\{ -0.5 \left[\frac{I}{S_{\text{ROD}}} (t - t_d)^2 \right] \right\} \right] R_{\text{ROD}} \quad (\text{Eq. 2})$$

where I is the flash in scot td s, S_{ROD} ($(\text{scot td})^{-1} \text{ s}^{-3}$) a sensitivity parameter, R_{ROD} the saturated response amplitude (μVolts), and t_d a brief delay (ms). All three parameters, (S_{ROD} , R_{ROD} , and t_d) were free to vary.²

Calibrations

Stimuli were measured with a detector and appropriate photopic or scotopic filter (IL 1700, International Light, Newburyport, MA) placed at the position of the subject's cornea. Retinal illuminance varies directly with area of the pupil and transmissivity of the ocular media and inversely with the square of the posterior nodal distance.³⁴ We used direct estimation of each subject's dilated pupil and published estimates of ocular media density^{35, 36} and axial length of infant and mature eyes³⁷⁻³⁹ to make this calculation. In summary, equal intensity stimuli produce approximately equal retinal illuminance in 10 week old infant and mature subjects.^{34, 40-42} For both the Nicolet and Espion systems, the maximum intensity red stimulus produced a retinal illuminance of approximately +3.2 log phot td s; the maximum intensity blue stimulus produced an illuminance of approximately +3.6 log scot td s.

Analyses

The values of S_{CONE} and R_{CONE} and the b-wave stimulus/response functions in the former preterms were compared to those of normal term born 10-week old infants or mature controls.¹² Each subject's S_{CONE} and S_{ROD} values were expressed as proportion of the normal mean for age to facilitate comparison of cone and rod sensitivity.^{12, 21} Comparisons between groups and between cone and rod response parameters were made using Student's t-test. For both infants and mature subjects, the photopic b-wave amplitude and implicit time were evaluated for variation with stimulus intensity and group (former preterm, control) using analysis of variance. Cone and rod response parameters were evaluated for significant variation with ROP

category (treated, untreated, none) using analysis of variance. For the former preterms with treated ROP, the response parameters were evaluated for possible relation to residual retina using Spearman rank order correlation. For all statistical tests, the level of significance was chosen as $p < 0.01$.

Results

Sample cone ERG records from a former preterm infant with a history of untreated ROP are shown in Figure 1. Also shown is the fit of the model of the cone photoresponse (Eq. 1) to the a-waves. The model describes reasonably well the leading edge of the a-wave. The RMS errors did not vary significantly with age and did not differ significantly between the former preterm and control subjects.

The values of the cone photoresponse parameters, S_{CONE} and R_{CONE} , in all former preterm subjects and the mean values in control subjects are shown in Figure 2. S_{CONE} and R_{CONE} in the former preterms were broadly distributed about the mean control values. The means and standard errors are summarized in Table 1. S_{CONE} and R_{CONE} did not differ significantly between former preterms and controls in either age group. All S_{CONE} and R_{CONE} values in those with treated ROP (Fig. 2) are below average with one exception. Neither parameter was correlated with the estimated area of retina remaining after treatment (S_{CONE} : Spearman rho = 0.717; $p = 0.109$; R_{CONE} : Spearman rho = 0.717; $p = 0.109$). Similarly, the rod response parameters (Table 1) in those with treated ROP were not correlated with estimated area of residual retina (S_{ROD} : Spearman rho = -0.359; $p = 0.485$; R_{ROD} : Spearman rho = 0.837; $p = 0.038$).

The shapes of the b-wave stimulus/response functions in the former preterms are similar to those in age appropriate controls (Fig. 3). For both groups of infants, there was a monotonic increase in amplitude with stimulus intensity, whereas in the older subjects, whether former preterm or control, a photopic hill⁴³⁻⁴⁷ was seen with the peak at $\sim +2.3$ log photopic td s. The absence of a photopic hill in healthy infants has been recognized.^{12, 48} At the +2.3 log photopic td s stimulus, in both infants and older subjects, the amplitude of the b-wave response in former preterms was about the same proportion of that in controls (infants, 0.76; older subjects, 0.87). For the infants, the b-waves were significantly smaller in former preterms than controls ($F=17.1$, $df=1,322$, $p<0.01$), with the greatest difference at stimulus intensities $\geq +2.3$ log photopic td s. Among the mature subjects, b-wave amplitudes did not differ significantly between former preterms and controls ($F=2.09$; $df=1, 236$; $p = 0.15$). Analysis of variance showed no significant interactions, consistent with the impression that the shapes of the b-wave stimulus/response functions did not differ between former preterms and controls in either age group. The implicit times of the b-wave responses (data not shown) did not vary significantly with stimulus intensity (+1.4 to +3.2 log photopic td s) or group (former preterms, controls) in either age group (infants: $F = 6.10$; $df 1, 322$, $p = 0.02$; mature subjects: $F = 0.54$; $df 1, 245$; $p = 0.46$).

When expressed as proportion of normal mean for age, the mean relative value of S_{CONE} was significantly larger than S_{ROD} ($t=2.68$; $df=41$; $p<0.01$). The mean relative values of R_{CONE} and R_{ROD} did not differ significantly ($t=-0.246$; $df=41$; $p = 0.814$). In Figure 4, the sensitivity of the photoreceptor responses, S_{CONE} and S_{ROD} , expressed as percent of the normal mean for age, is compared for each ROP category. S_{CONE} varied significantly with ROP category ($F = 5.81$; $df 2,41$; $p < 0.01$) as did S_{ROD} ($F = 5.81$; $df 2,41$; $p < 0.01$).

Discussion

In subjects with a history of preterm birth, the sensitivity of the cones is higher than that of the rods (Fig. 4). The data show only minimal dysfunction of the cones in those with mild, untreated ROP and somewhat greater dysfunction in those who had more severe ROP that required treatment (Figs. 2 and 4). We suspect that cellular dysfunction, rather than loss of cells or area of responsive retina, underlies the deficits in sensitivity because the magnitude of the deficits was not accounted for by loss of retinal area. Similarly, the attenuation of the rod response parameters in these subjects and others²¹ was not correlated with area of retina remaining after treatment.

The shapes of the b-wave stimulus/response functions are similar in former preterms and controls (Fig. 3). The cone pathways include both ON and OFF bipolar cells, each contributing their relative strengths and timing to determine the shape of the observed b-wave function in the mature⁴⁹ and immature¹² retina. Thus, our results suggest that the combining of the ON and OFF signals in the cone pathways is not altered by ROP.

Although the shapes of the functions are similar in the former preterms and controls, the amplitudes of the b-wave response to full-field stimuli are mildly attenuated in the former preterms (Fig. 3). Post-receptor responses of the central retina to multifocal stimulation were significantly attenuated¹¹, but it could not be determined if the relative contributions of ON and OFF signals were altered. In view of the b-wave responses to full-field stimuli (Fig. 3), it is unlikely that the relative ON and OFF contributions are differentially affected by ROP. In experimental ROP, neural changes accompany abnormal retinal vascularization^{5, 50}, and in our own recent high resolution OCT observations of adolescents and young adults with a history of mild ROP, the abnormal intraretinal capillaries encroach on the neurons in the central retina.⁵¹ Although the neurovascular abnormality does not appear to discriminate between ON and OFF neurons, we suspect it has a role in attenuating the post-receptor activity that is represented in the ERG b-wave.

At least two explanations for the lower vulnerability of cones than rods (Fig. 4) warrant consideration. First, earlier maturation may protect the cones. It is the immature photoreceptors that appear particularly vulnerable to retinal oxygen levels that are too high or too low.⁸ Second, cones appear more resistant to pathological processes. Compared to rods, cones have twice as many mitochondria and approximately three times the surface area of mitochondrial cristae.¹⁷ Thus, the cones are equipped for greater aerobic ATP production, and this, Perkins et al.¹⁷ theorize, protects against metabolic insults and apoptosis. As a corollary, they postulate that therapeutic interventions that support mitochondrial energy production may be beneficial in many photoreceptor diseases.¹⁷ Furthermore, cones, in contrast to rods, have the capability of utilizing endogenous glycogen, affording protection against the adverse effects of hypoxia and attendant hypoglycemia.⁵² The data from the subjects represented in Figure 4 and additional subjects² indicate that ROP affects the rods, and it is known that in some patients, ROP has a progressive, degenerative course.⁵³ Thus, therapies that support mitochondrial energy production may be beneficial in ROP and possibly even have a role in preventing ROP, because it is rod sensitivity that predicts the vascular abnormalities in rat models of ROP.⁵

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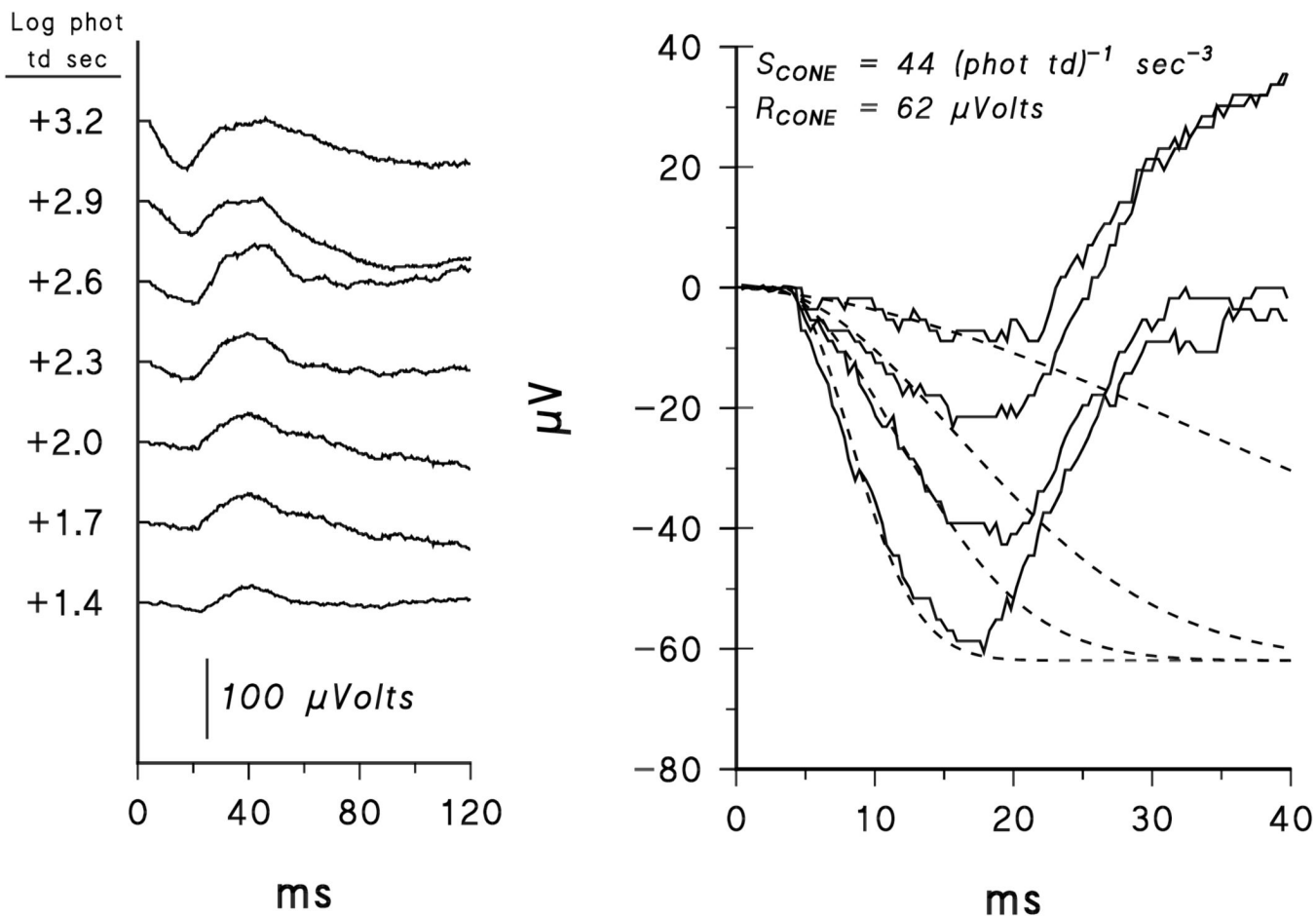


Figure 1.
Left panel. Sample ERG records from a 10-week old infant with a history of mild, untreated ROP. Responses to a 1.8 log unit range of red flashes on a steady white background are shown.
Right panel. The first 40 ms of the records. The dashed lines represent Eq. 1 fit to these records; for clarity, responses to only four of the seven intensities are shown. The values of S_{CONE} and R_{CONE} are close to the median values in the 19 preterm infants.

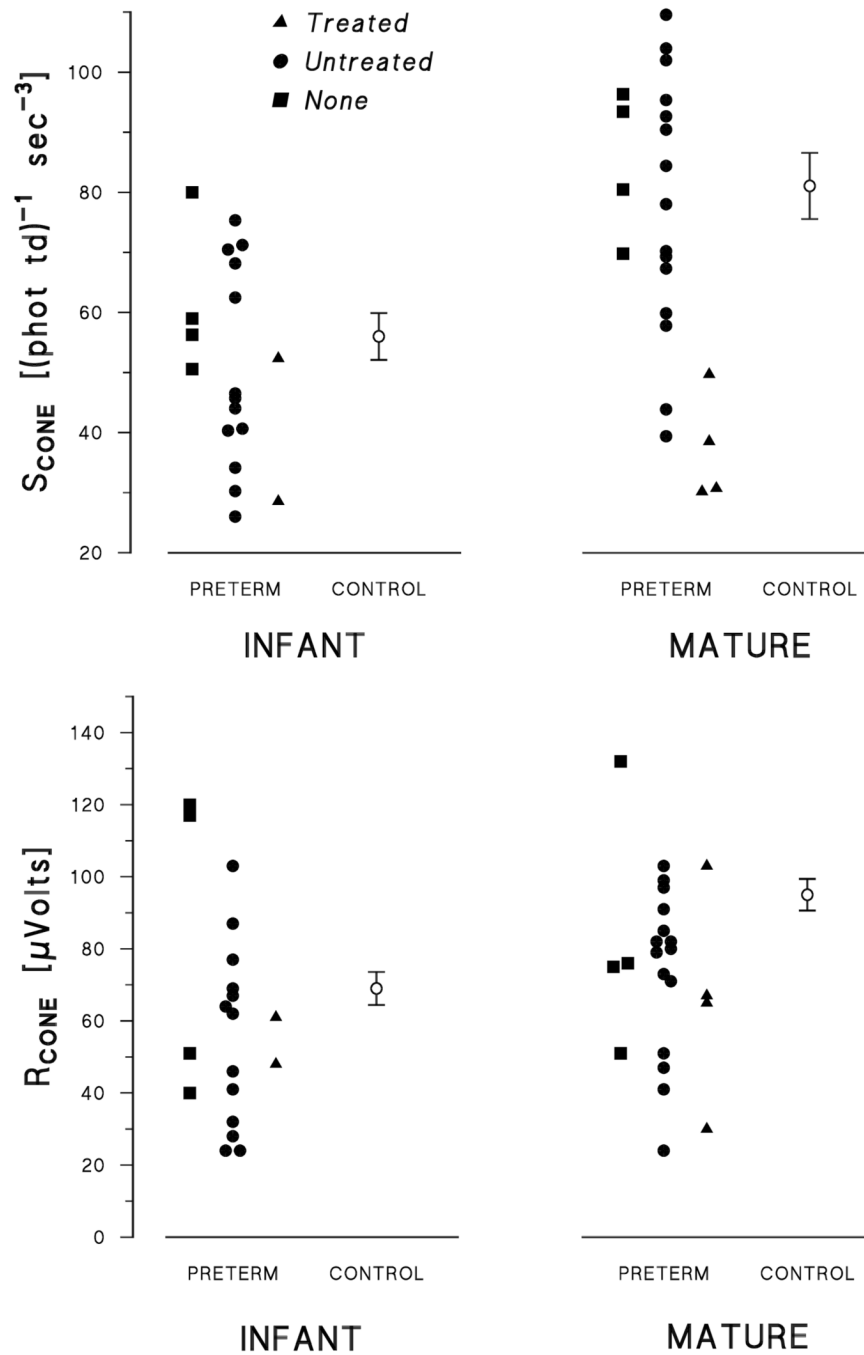


Figure 2. Values of S_{CONE} (upper panels) and R_{CONE} (lower panels) in former preterm subjects (N=42), grouped by age at test. Different symbols indicate each ROP category: treated, untreated, none. In each panel, the mean (\pm SEM) for the term born controls¹² is also shown.

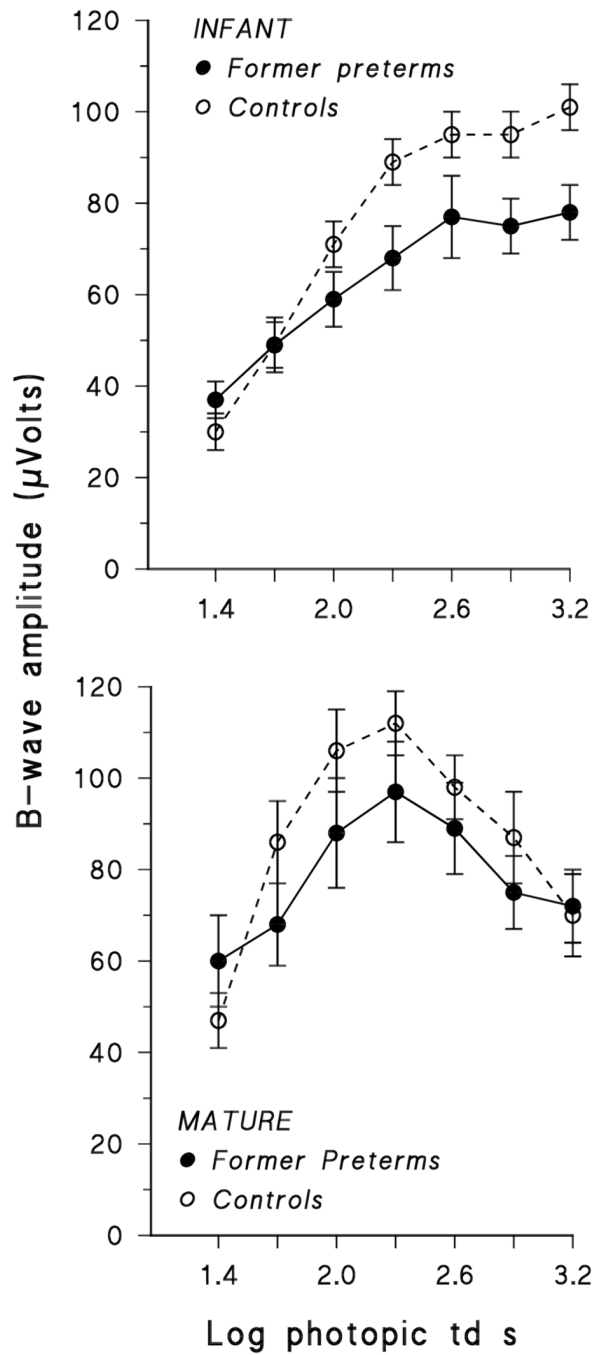


Figure 3. B-wave stimulus/response functions in former preterms compared to those in age appropriate control subjects.¹² The means (\pm SEM) are shown.

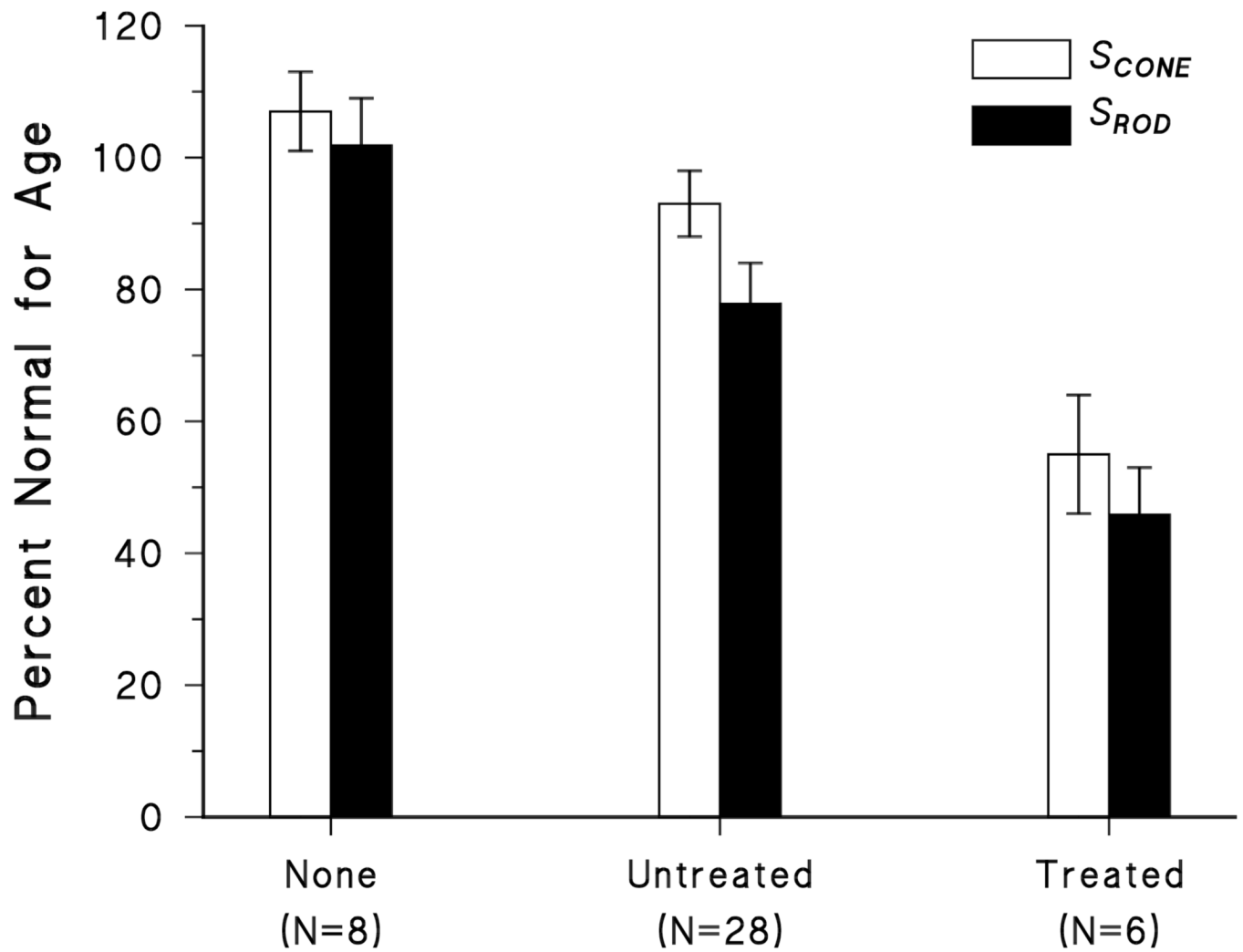


Figure 4. Cone (S_{CONE}) and rod (S_{ROD}) photoresponse sensitivity, expressed as percent of normal mean for age, displayed by ROP category: none; untreated; treated. Error bars represent \pm SEM.

Table 1

Summary of Activation Parameters

	INFANT*				MATURE [†]			
	Mean (SEM)		t	p [§]	Mean (SEM)		t	p [§]
	Preterm	Control [‡]			Preterm	Control [‡]		
CONES								
S _{cone} (phot td) ⁻¹ sec ⁻³	52 (3.8)	56 (3.9)	-0.76	0.45	72 (5.2)	81 (5.5)	-1.19	0.24
R _{cone} (μVolts)	62 (6.8)	69 (4.6)	-0.91	0.37	76 (5.9)	96 (4.4)	-2.27	0.03
RODS								
S _{rod} (scot td) ⁻¹ sec ⁻³	31 (3.5)	41 (3.0)	-2.19	0.03	71 (5.1)	90 (2.9)	-2.71	<0.01
R _{rod} (μVolts)	184 (12.8)	167 (8.7)	1.19	0.24	271 (17.5)	389 (21.3)	-4.35	<0.01

* df for all tests = 45

[†] df for all tests = 34

[‡] data from Hansen & Fulton¹²

[§] level of significance for all tests: p<0.01.