In Vitro Activity of LK-157, a Novel Tricyclic Carbapenem As Broad-Spectrum β -Lactamase Inhibitor^{∇}

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LK-157 is a novel tricyclic carbapenem with potent activity against class A and class $C \beta$ -lactamases. **When tested against the purified TEM-1 and SHV-1 enzymes, LK-157 exhibited 50% inhibitory concen**trations (IC₅₀s) in the ranges of the clavulanic acid and tazobactam IC₅₀s (55 nM and 151 nM, respectively). Moreover, LK-157 significantly inhibited AmpC β -lactamase (IC₅₀, 62 nM), as LK-157 was **>2,000-fold more potent than clavulanic acid and approximately 28-fold more active than tazobactam. The in vitro activities of LK-157 in combination with amoxicillin, piperacillin, ceftazidime, cefotaxime, ceftriaxone, cefepime, cefpirome, and aztreonam against an array of Ambler class A (TEM-, SHV-, CTX-M-, KPC-, PER-, BRO-, and PC-type)- and class C-producing bacterial strains derived from clinical settings were evaluated in synergism experiments and compared with those of clavulanic acid, tazobactam, and sulbactam. In vitro MICs against ESBL-producing strains (except CTX-M-containing strains) were reduced 2- to >256-fold, and those against AmpC-producing strains were reduced even up to >32-fold.** The lowest MICs $(\leq 0.025$ to 1.6 μ g/ml) were observed for the combination of cefepime and cefpirome with **a constant LK-157 concentration of 4 µg/ml, thus raising an interest for further development. LK-157 proved to be a potent** β **-lactamase inhibitor, combining activity against class A and class C** β **-lactamases, which is an absolute necessity for use in the clinical setting due to the worldwide increasing prevalence of** bacterial strains resistant to β -lactam antibiotics.

Antibiotics have drastically reduced illness and death due to infectious diseases. However, bacteria have exhibited a remarkable capacity to quickly become resistant to one or several classes of antibiotics, which is widely considered to be one of the major problems in human medicine today (1, 19). The dramatic increase in antibacterial resistance is now a global threat, both for nosocomial and for community-acquired infections.

Although bacteria have developed several strategies for escaping the lethal action of β -lactam antibiotics, the most common and clinically important mechanism is the synthesis of β -lactamases, leading to hydrolysis of the antibiotic. Currently, the β -lactamase superfamily has more than 700 members, many of which differ only by a single amino acid (K. Bush and G. A. Jacoby, personal communication).

Class A enzymes TEM-1 and SHV-1 (functional group 2b) are the most widely disseminated. The mutated β -lactamases either have broader substrate specificities, including extendedspectrum cephalosporins (extended-spectrum β -lactamases [ESBLs]; functional group 2be), or exhibit decreased sensitivities to β -lactamase inhibitors (inhibitor-resistant TEMs; functional group 2br) (4).

Among the cephalosporin-resistant organisms causing concern were mutants of *Enterobacter* spp., *Citrobacter freundii*, *Serratia* spp., *Morganella morganii*, *Providencia* spp., and *Pseudomonas aeruginosa*, where high- or low-level con-

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stitutive expression of class C β -lactamases may be induced by exposure to certain β-lactams. Chromosomal or plasmidencoded AmpC cephalosporinases (functional group 1) may be hyperproduced through reversible induction or stable derepression and typically confer resistance to most cephalosporins and monobactams.

Commercially available inhibitors, such as potassium clavulanate, sulbactam, and tazobactam, have been successfully used against bacteria producing the ubiquitous and prevalent class A and some class D β -lactamases, but activity against class C types is poor in general (13). In recent years, several quite potent inhibitors, such as alkylidene penems, 2β-substituted penam sulfones, oxapenems, cephalosporinderived compounds, and cyclic acyl phosphonates, which exhibit antibacterial synergistic activity against both class A and class $\mathcal C$ β -lactamases, have been developed, but none has been approved by the FDA yet (3, 5, 9, 10, 12, 14, 21). In addition, bacterial susceptibility to such combinations has recently been challenged by the spontaneous appearance of new β-lactamases of the TEM family, which are resistant to the mechanism-based inhibitors on the market (inhibitor-resistant TEM ß-lactamases [www.lahey.org/Studies]). Given the current situation, it is vital to develop new, effective agents and to sustain the clinical utility of these and existing therapies.

Recently, following a rational structure-based drug design approach, novel tricyclic carbapenem compounds (trinems) with potent inhibitory activity against serine β -lactamases have been synthesized by Lek Pharmaceuticals, d.d. (Fig. 1). Lead LK-157 was identified as a promising β -lactamase inhibitor to be coadministered with a selected cephalosporin antibiotic in bacterial infections caused by β -lactam-resistant bacteria (7, 8, 11, 15–17, 20). LK-157 is a close structural analogue of a

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FIG. 1. Structural formula of tricyclic carbapenem LK-157.

broad-spectrum antibiotic, sanfetrinem, whose development was stopped in phase II clinical trials (2, 18).

The main objective of the present study was to evaluate the activity of LK-157 by in vitro synergism experiments with various β -lactam antibiotics against a wide range of clinically relevant bacteria expressing class A and class C β -lactamases (4). We also present the 50% inhibitory concentrations (IC₅₀s) of LK-157 against purified TEM-1, SHV-1, and AmpC enzymes.

MATERIALS AND METHODS

Antibacterial agents. LK-157 was synthesized by Lek Pharmaceuticals, d.d. (Ljubljana, Slovenia). Ceftazidime, cefotaxime, ceftriaxone, aztreonam, clavulanic acid, sulbactam, and tazobactam were supplied by Sandoz, GmbH (Kundl, Austria). Amoxicillin and piperacillin were purchased from Sigma-Aldrich, and cefepime was derived from cefepime hydrochloride (Maxipime), purchased from a pharmacy, by physicochemical means at Nabvriva Therapeutics AG (Vienna, Austria).

Stock solutions of the test compounds and control antibiotics were prepared in distilled water according to the Clinical and Laboratory Standards Institute (CLSI) (6) guidelines and further diluted with water or Mueller-Hinton bouillon. All drug weights were corrected for salt forms and referred to the pure drug substance.

Bacterial strains. All tested strains and clinical isolates were either purchased from the American Type Culture Collection (ATCC); kindly provided by A. Georgopoulos (Vienna General Hospital, Vienna, Austria), I. Chopra (Leeds, United Kingdom), Novartis (Vienna, Austria), Naeja (Edmonton, Canada), or D. Livermore (Health Protection Agency, London, United Kingdom); or collected from the SENTRY study (F. J. Schmitz, Minden, Germany).

All strain identities were confirmed by BBL Crystal Identification Systems (Becton Dickinson, Cockeysville, MD). Stock cultures were prepared from broth cultures grown at 35°C for 18 to 22 h without agitation, with subsequent addition of 5% dimethyl sulfoxide (DMSO) (vol/vol, final concentration) as a cryoprotectant, and stored frozen in liquid nitrogen.

Cell-free β **-lactamase inhibition study.** The IC₅₀s (μ M) of the β -lactamase inhibitors against purified TEM-1, SHV-1, and AmpC ß-lactamases were assessed by determining the concentration of inhibitor at which 50% of the nitrocefin hydrolysis by the particular enzyme was inhibited. Nitrocefin was provided by Sandoz (Kundl, Austria). Assays were performed with TEM-1 derived from pB322, SHV-1 derived from a clinical *Klebsiella pneumoniae* isolate, and P99 AmpC derived from the clinical isolate *E. cloacae* B311. ß-Lactamases were expressed in the pET system (pET-28 and pET-30; Novagen, San Diego, CA) without signal peptides. They contained an N-terminal six-histidine tag that was used for purification on Ni-nitrilotriacetic acid (Qiagen, Hilden, Germany). As the IC_{50} values of the reference compounds largely concurred with the values reported in the literature (3, 10), the histidine tag was not split off for IC_{50} determination although the tag could have altered the overall activity of the enzymes. Compounds were prepared as 50 mM stocks in DMSO and diluted into buffer P1 (50 mM phosphate, pH 7) to give a final concentration of 10% DMSO. All further dilutions were done with P2 (P1 with 10% DMSO). Enzyme (final concentration, $0.25 \mu g/ml$) and compound dilutions were preincubated for 10 min at 37°C, and the reaction was started with the addition of prewarmed (37°C) nitrocefin to give a final concentration of 50 mM. The change in absorption at 490 nm was followed at 37°C for 10 min by reading the absorption every 30 s using a Spectramax 384 Plus microplate reader (Molecular Devices, Sunnyvale, CA), using 96-well plates. The initial rate of nitrocefin degradation was determined with an excess of nitrocefin in order to maintain linearity during the time of measurement. IC_{50} s were calculated using nonlinear regression and sigmoidal dose response analysis with PRISM 4.0 software (Graphpad Software, Inc., San Diego, CA). IC₅₀ data were expressed in μ M with 95% confidence intervals and were calculated from at least two independent experiments.

In vitro susceptibility tests. Bacterial susceptibilities, expressed as MICs, were determined by the broth microdilution technique and the agar dilution technique as recommended by the approved standard reference recommendations of the CLSI (6). The selected antibiotics were diluted by serial twofold dilutions ranging either from 256 to 0.125 μ g/ml or from 25.6 to 0.0125 μ g/ml. In combination with ß-lactam antibiotics, the inhibitor was added at a constant concentration of 4 μ g/ml. The inoculum (final size, 5×10^5 CFU/ml) was prepared by the direct colony suspension method as described by the CLSI (6).

RESULTS AND DISCUSSION

The increasing number of β -lactamase-producing strains is becoming a serious threat to the clinical use of β -lactam antibiotics. Considering that the prevalence of ESBLs and AmpC --lactamases is underestimated, the clinical situation appears to be severe, with, for example, ESBL rates of up to 44% in *Klebsiella* spp. and up to 25.4% in *E. coli* (19) and AmpC rates up to 11% in *K. pneumoniae* (1). The clinically available β -lactamase inhibitors clavulanic acid, tazobactam, and sulbactam have only limited activity against ESBLs and lack activity against class C enzymes (13). Therefore, development of extended-spectrum β-lactamase inhibitors capable of combating emerging resistances in both class A and class C β -lactamases would be highly appreciated (13).

 IC_{50} s of LK-157 against isolated β -lactamases TEM-1, **SHV-1, and AmpC.** The inhibitory activities of LK-157 against isolated class A and class C enzymes were compared to those of clavulanic acid, tazobactam, and sulbactam (Table 1). LK-157, with an IC_{50} of 55 nM, was as active as clavulanic acid against TEM-1, half as active as tazobactam, and approximately 20-fold more active than sulbactam. According to the literature, the IC_{50} s of clavulanic acid and tazobactam against TEM-1 are in the range of earlier reported IC_{50} s (3, 10), except that the IC_{50} s of clavulanic acid against AmpC differ from earlier reported values, as Jamieson et al. (10) reported a

TABLE 1. IC₅₀s of LK-157, clavulanic acid, tazobactam, and sulbactam against purified TEM-1, SHV-1, and AmpC β -lactamases^{*a*}

Enzyme	β-Lactamase type	IC ₅₀ (μ M) (95% CI)				
		LK-157	TZB	SUL.	CLA	
TEM-1		$0.055(0.030-0.100)$	$0.014(0.011-0.018)$	$1.060(0.829 - 1.350)$	$0.030(0.024 - 0.037)$	
$SHV-1$		$0.151(0.111-0.205)$	$0.206(0.107-0.397)$	$3.960(2.950 - 5.310)$	$0.028(0.025-0.032)$	
AmpC		$0.062(0.047-0.084)$	$1.760(1.450 - 2.120)$	$11.90(8.280-17.10)$	$136.2(120.9-153.4)$	

^a IC50s were determined by a nitrocefin-based assay. CLA, clavulanic acid; TZB, tazobactam; SUL, sulbactam.

TABLE 2. Intrinsic antibacterial activity of LK-157

Microorganism	No. of strains	MIC ₅₀	MIC _{on}	Range
C. freundii	7			$>25.6 \rightarrow 25.6$
E. coli	45	>25.6	>25.6	$>25.6 - >25.6$
E. cloacae	12	>25.6	>25.6	$>25.6 \rightarrow 25.6$
Enterococcus spp.	3			>25.6 >25.6
Klebsiella spp. ^a	12	>25.6	>25.6	$6.4 - 25.6$
M. catarrhalis		0.8	0.8	$0.2 - 0.08$
P. aeruginosa	5			$>25.6 - >25.6$
S. aureus (MSSA)	7			$0.8 - 1.6$
S. aureus (MRSA)	26	>256	>256	$32 - > 256$

 a *K. pneumoniae* ($n = 9$), *K. oxytoca* ($n = 2$), and *K. edwardsii* ($n = 1$).

10-fold-lower IC_{50} and Bonnefoy et al. (3) a 10-fold-higher IC_{50} . Both of these previous studies used a nitrocefin-based assay but β -lactamases were purified from different species and therefore probably exhibited different activities.

Against SHV-1, clavulanic acid was the most active inhibitor $(IC₅₀, 28 nM)$. However, LK-157, with an $IC₅₀$ of 151 nM, was still very active compared to tazobactam $(IC_{50}$, 206 nM) and sulbactam (IC₅₀, 3,960 nM). Moreover, LK-157 exhibited an excellent activity against AmpC (IC_{50} , 62 nM), 28-fold higher than that of tazobactam. Clavulanic acid and sulbactam were inactive against AmpC.

The IC_{50} of LK-157 against AmpC was comparable to the IC_{50} value of NXL-104, a non- β -lactam inhibitor (Novexel), and AM-112, an oxapenem derivative (Amura) (3, 10). LK-157 was slightly less active against TEM-1 than NXL-104 but significantly more active $(>100$ -fold) than AM-112. Moreover, the low IC_{50} values of LK-157 against the purified enzymes were also confirmed in a cell-based screen, indicating good penetration through the outer bacterial membrane.

Intrinsic in vitro antibacterial activity of LK-157. The novel --lactamase inhibitor LK-157 alone did not exhibit any intrinsic antimicrobial activity against several gram-negative and gram-positive bacterial species, including *Escherichia coli*, *Enterobacter cloacae*, *Klebsiella* spp., and *Enterococcus* spp., with MICs of $>$ 25.6 µg/ml (Table 2). LK-157 exhibited weak antimicrobial activity against *Moraxella catarrhalis* (MIC₉₀, 0.8) μ g/ml; range, 0.2 to 0.8 μ g/ml; *n* = 17) and methicillin-susceptible *S. aureus* (MSSA) strains, with MICs between 0.8 and 1.6 μ g/ml ($n = 5$), but was inactive against methicillin-resistant *S*. *aureus* (MRSA) strains (MIC₉₀, >256 µg/ml; *n* = 26) (Table 2).

Activity of LK-157 against class $A \beta$ -lactamases. For the in vitro evaluation of LK-157 as a β -lactamase inhibitor, various combinations with amoxicillin, ceftazidime, piperacillin, cefotaxime, ceftriaxone, cefepime, cefpirome, and aztreonam were assayed against a panel of β -lactamase-producing strains (Table 2; also see Tables 3 to 5) and compared with the commercially available β-lactamase inhibitors clavulanic acid, tazobactam, and sulbactam in the respective combinations. All inhibitors were used at a constant concentration of 4 μ g/ml.

LK-157 was tested against an array of clinical isolates expressing SHV-type and TEM-type β -lactamases. The comparative MICs of amoxicillin, ceftazidime, and piperacillin alone and combined with clavulanic acid, sulbactam, tazobactam, and LK-157 are reported in Table 3. Amoxicillin and piperacillin alone were inactive against the bacterial strains expressing SHV- and TEM-type β -lactamases, and 57% of the tested strains were nonsusceptible to ceftazidime.

Against strains expressing SHV-type and TEM-type ESBLs, only the use of ceftazidime combined with an inhibitor resulted in clinically relevant MICs, while the activities of piperacillin and amoxicillin were not significantly reduced after inhibitor addition. Within strains expressing TEM- and SHV-type β -lactamases, the activity of LK-157 was strongly dependent on the specific β -lactamase type and on the bacterial strain tested. For instance, the MIC of piperacillin $(25.6 \mu g/ml)$ against the SHV-5-producing *E. coli* B264 and *C. freundii* B271 was significantly reduced by the addition of LK-157, while no synergy against the SHV-5-producing *E. coli* B270 was observed, although in the same strain, synergy was observed for LK-157 and ceftazidime. One possible assumption could be an additional resistance mechanism besides SHV-5 production, but we did not further investigate that issue. However, the susceptibility of SHV-containing strains to ceftazidime was restored by the addition of LK-157, reducing the MICs by factors of $>$ 16 to the MIC range of clavulanic acid.

Against TEM-type ESBL-expressing strains, the in vitro activities of ceftazidime–LK-157 were in the same ranges as those of ceftazidime-clavulanic acid and ceftazidime-tazobactam, according to the IC_{50} s of the purified TEM-1 enzyme, with two exceptions: *E. coli* B267 (TEM) and *K. pneumoniae* B401 (TEM-10 and -12), against which LK-157 was inactive. Although clavulanic acid appeared to be the most active inhibitor of purified TEM-1, amoxicillin-clavulanic acid did not exhibit clinically relevant MICs (MICs \geq 12.8 μ g/ml) against the tested isolates containing TEM-type enzymes, including not only TEM-1 but also other ESBL enzymes that were obviously resistant to clavulanic acid.

LK-157 in combinations was more active than the other tested β -lactamase inhibitors against BRO-1 and BRO-2 β -lactamases of *M. catarrhalis* and against penicillinases (PC type) of *S. aureus* (MSSA). The MICs of amoxicillin–LK-157 against *M. catarrhalis* were >64 -fold lower, and those against *S. aureus* were >128 -fold lower, than that of amoxicillin alone. Because LK-157 was intrinsically active against *M. catarrhalis* and *S. aureus*, the synergistic effect of LK-157 combined with amoxicillin and ceftazidime was also confirmed by checkerboard titration (data not shown). The resistance of MRSA against cephalosporins is due to the expression of the penicillin binding protein (PBP2a) encoded by the *mecA* gene. In addition, most MRSA strains also express β -lactamases of the penicillinase (PC) type. By the addition of LK-157, the MICs of amoxicillin were reduced from >25.6 μ g/ml to 3.2 μ g/ml (*S. aureus* B84 and D1231) (Table 3). However, when piperacillin was tested against more MRSA strains $(n = 26)$, its overall low activity (MIC₉₀, $>$ 256 μ g/ml; range, 256 to $>$ 256 μ g/ml) was found to be only slightly improved by LK-157 (MIC $_{90}$, 256 μ g/ml; range, 16 to 256 μ g/ml) or by tazobactam (MIC₉₀, 128 μ g/ml; range, 32 to 256 μ g/ml) (data not shown in tables).

Against other enterobacteriaceae-expressing ESBLs that were not fully characterized, the overall activity of LK-157 was in the range of that of the other commercial inhibitors. The impact of LK-157 was most pronounced against strains *E. coli* B309 and *C. freundii* B319.

As CTX-M enzymes are becoming the most prevalent β -lac-

TABLE 3. MICs of LK-157 in combination with amoxicillin, ceftazidime, and piperacillin against class A and class C β

-lactamase-producing bacteria*a*

-lactamase type was confirmed by PCR using specific primers but not further characterized by sequencing.

tamases found in clinical isolates and as the *K. pneumoniae* carbapenemases (KPC) are a significant challenge to date, the activity of LK-157 was also evaluated against such isolates. However, the in vitro activity of LK-157 in combination with extended-spectrum cephalosporins against CTX-M enzymes and the *K. pneumoniae* carbapenemases (KPC) was limited (Tables 4 and 5).

CRO,

ceftriaxone;

FEP,

cefepime; CAZ,

ceftazidime;

ATM,

aztreonam.

Activity of LK-157 combinations against class C β-lactama**ses.** LK-157 was the most active inhibitor of AmpC (class C) enzymes of *C. freundii* and *E. cloacae* (Table 3), with an IC_{50} of 0.062 μ M, significantly lower than that of tazobactam (IC₅₀, 1.760 μ M) or clavulanic acid (IC₅₀, 136.2 μ M) (Table 1). By the addition of LK-157, the MICs of ceftazidime and piperacillin were reduced from >25.6 μ g/ml to 3.2 to 12.8 μ g/ml, whereas tazobactam, sulbactam, and clavulanic acid were completely inactive.

Synergy of LK-157 with various cephalosporins. No extensive experience in therapy has been published yet with combinations of cephalosporins and β -lactamase inhibitors. Commercial combinations such as amoxicillin-clavulanic acid and piperacillin-tazobactam do not exert reasonable activity against ESBLs and class C enzymes. Therefore, LK-157 was also evaluated in synergism experiments (microbroth dilution technique) as a potential partner for various extended-spectrum cephalosporins, including cefotaxime, ceftriaxone, cefepime, cefpirome, ceftazidime, and aztreonam, which were combined with LK-157 or tazobactam at a constant inhibitor concentration of 4 μ g/ml. The results presented in Table 4 demonstrate that the activities of LK-157 against isolated SHV -, TEM-, and AmpC-type β -lactamases are maintained in whole-cell assays.

Against the panel of tested strains, LK-157 and tazobactam evidently protected the cephalosporins from the SHV- and TEM-type ESBL-producing strains. LK-157 was clearly superior to commercial inhibitors in reducing the MICs of amoxicillin and piperacillin when tested against the ESBL-producing *Enterobacteriaceae*, MSSA, and BRO-producing *M. catarrhalis*. LK-157 also restored the diminished activity of aztreonam and various cephalosporins, including cefotaxime, ceftriaxone, ceftazidime, cefepime, and cefpirome, reducing their MICs by a factor of >8 . LK-157 was more potent than tazobactam, especially against AmpC-producing *E. cloacae* and *C. freundii* strains. The MIC reduction due to the addition of a β -lactamase inhibitor was most pronounced in the cases of cefotaxime and ceftriaxone, with improved susceptibility compared to that of the respective β -lactam antibiotic alone. However, the lowest MICs against class A and class C β -lactamase producers were obtained by combination of cefepime or cefpirome with either LK-157 or tazobactam (MICs, ≤ 0.025 to 6.4 μ g/ml). Cefepime is not considered to be a first-line agent for infections caused by ESBL-producing organisms, because it has been shown to exhibit a clear inoculum effect (19). Therefore, a combination of FEP and LK-157 could be an opportunity to extend the antimicrobial spectrum and restore activity of the partner antibiotic against nosocomial pathogens expressing class A (TEM-, SHV-, and BRO-type) and class C β -lactamases. Combination of both inhibitors with ceftazidime or aztreonam also confirmed that LK-157 was \geq 4-fold more potent than tazobactam, as was seen with the other cephalosporins, piperacillin and amoxicillin. Although very potent against TABLE

4. MICs of

 LK-157i.

combination

with

aztreonam

class

A and

bacteria*a*

TEM- and SHV-type ESBLs, LK-157 exhibited significantly weaker activity against CTX-M-producing isolates than tazobactam (Table 5) and was inactive against KPC-3-producing *K. pneumoniae* and PER-1-producing *P. aeruginosa* (Table 4).

No antagonism of β-lactam–LK-157 was observed in MIC studies for any tested strain, indicating indirectly the absence of induction of β -lactamase expression. To confirm this, further investigations should be undertaken.

Conclusion. LK-157, a novel ethylidene derivative of tricyclic carbapenem, met the in vitro criteria for an extended $spectrum$ β -lactamase inhibitor with potent activity against class A ESBLs and class C β -lactamases. LK-157 proved to be a potent β -lactamase inhibitor, being superior to commercial inhibitors in restoring the diminished activity of various β -lactam antibiotics against an array of bacterial isolates producing several class A ESBLs (excluding CTX-M and KPC) as well as class C β -lactamases. The most promising combinations with the lowest MIC values achieved were FEP–LK-157 and cefpirome–LK-157. The combination of LK-157 with a wellmatched cephalosporin would offer substantial advantages over the current commercial inhibitors of β -lactamases in terms of both potency and spectrum. Moreover, its broad spectrum makes LK-157 a promising candidate for empirical therapy for a variety of serious hospital infections and warrants further evaluation and development.

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