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Antibodies and cytokines independently protect against

pneumonic plague

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Abstract

Yersinia pestis causes pneumonic plague, an exceptionally virulent disease for which we lack a safe and effective vaccine. Antibodies specific for the *Y. pestis* F1 and LcrV proteins can protect mice against pulmonary *Y. pestis* infection. We demonstrate that neutralizing tumor necrosis factor-alpha (TNFα) and gamma-interferon (IFNγ) abrogates this protection at sub-optimal levels of F1- or LcrVspecific antibody, but not at optimal levels. Moreover, we demonstrate that endogenous TNFα and IFNγ confer measurable protection in the complete absence of protective antibodies. These findings indicate that antibodies and cytokines independently protect against pneumonic plague and suggest that surrogate assays for plague vaccine efficacy should consider both the level of vaccine-induced antibody and the capacity of vaccine recipients to produce TNFα and IFNγ upon exposure to *Y. pestis*.

Keywords

Yersinia pestis; vaccine; antibody; cytokine

INTRODUCTION

Plague is one of the world's most deadly infectious diseases. The causative agent, *Yersinia pestis*, is a gram-negative facultative bacterium naturally transmitted from rodent reservoirs to humans by fleas [1–5]. Upon transmission by fleabite, *Y. pestis* bacilli typically infect the nearest skin-draining lymph nodes, which swell to produce diagnostic buboes. This bubonic form of plague often leads to sepsis and occasionally progresses to secondary, pneumonic infection. Pneumonic plague is nearly always lethal in humans. Moreover, it can spread from person-to-person via infectious respiratory droplets [6,7].

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There is substantial concern that pneumonic plague may be exploited as a weapon of terror: scientists developed the technology to purposefully aerosolize infectious *Y. pestis* during the Cold War, and extensively antibiotic-resistant *Y. pestis* strains are known to exist [5,6,8]. Accordingly, tremendous research effort and financial investment have been devoted to the development of plague vaccines. Candidate, subunit vaccines containing the *Y. pestis* F1 and LcrV proteins recently entered human clinical trials [9,10].

Since ethical considerations will prevent clinical trials from challenging humans with *Y. pestis*, the licensure of F1/LcrV-based vaccines, or any other pneumonic plague countermeasure, will be based on efficacy data in animal models and safety data in humans. Confidence that countermeasures licensed in this manner will protect humans must rely upon the identification of correlates of protection in animal models and the development of assays that can serve as surrogates for efficacy studies in humans. At present, we remain uncertain about our ability to predict whether the magnitude and quality of immunity evoked by experimental plague vaccines will suffice to protect immunized humans [11]. This deficiency certainly hampers efforts to optimize vaccine regimens and also may limit public acceptance of any licensed vaccine. A detailed understanding of the basic principles of immune defense against pneumonic plague should aid the identification of correlates of protection and the development of robust surrogate assays for efficacy.

Antibodies likely play critical roles in the protection mediated by F1/LcrV-based vaccines since passively immunizing with F1- and/or LcrV-specific monoclonal antibodies (mAb) can protect mice against pulmonary *Y. pestis* challenge [12–15]. Recent F1/LcrV vaccination studies in cynomolgus macaques demonstrated a robust immune response that protected against aerosolized *Y. pestis*. In addition, passive transfer of immune sera protected naïve mice against pneumonic plague (unpublished data). However, previous studies from the United States Army revealed that F1/LcrV-based vaccines fail to reliably protect African green monkeys, despite eliciting robust antibody responses [16,17]. One possible explanation is that some primate species may fail to produce antibodies with particular functions that are important for protection. Accordingly, substantial efforts are now aimed at improving the efficacy of F1/ LcrV-based vaccines and identifying robust correlate assays for antibody-mediated protection [17–20]. Another possible explanation for the inconsistent efficacy of F1/LcrV-based vaccines in primates is that some species may be deficient in aspects of innate or cellular immunity that act in concert with antibodies to optimally defend against pneumonic plague.

Seminal studies by Meyer, Jawetz and colleagues demonstrated that serum from plague convalescents lacks measurable bactericidal activity on its own and is "unable to destroy or lyse [*Y. pestis*] organisms in vitro and in vivo in the absence of phagocytic cells" [21,22]. The antibacterial activities of phagocytes are dramatically upregulated by the cytokines $TNF\alpha$ and IFNγ, and recently we demonstrated that these cytokines contribute to serum-mediated protection against pneumonic plague [23]. Specifically, we observed that the resistance to pulmonary *Y. pestis* infection conferred by immune serum is significantly impaired in genetargeted mice lacking the capacity to produce $TNF\alpha$ or respond to IFN γ .

Here, we provide new information about how immunity combats pneumonic plague that should advance efforts to devise surrogate assays for the efficacy of F1/LcrV-based vaccines. Consistent with our prior studies of protection mediated by unfractionated immune serum [23], we demonstrate that cytokines contribute to protection mediated by mAb specific for F1 or LcrV. Moreover, we demonstrate that cytokines and antibodies protect via separable, independent mechanisms, indicating that surrogate assays for efficacy may need to consider both the levels of vaccine-induced antibody and the vaccine recipients' capacity to produce cytokines upon exposure to *Y. pestis*.

MATERIALS AND METHODS

Mice

Wild type C57BL/6 mice and B cell-deficient μMT mice on the C57BL/6 background were purchased from The Jackson Laboratory (Bar Harbor, ME) and then bred in the specific pathogen free Trudeau Institute Animal Breeding Facility after embryo rederivation. Mice were cared for according to Trudeau Institute Animal Care and Use Committee guidelines.

Bacteria

All in vivo challenge studies used pigmentation-negative *Y. pestis* strain KIM D27 [24], which was generously provided by Robert Brubaker (Michigan State University). *Y. pestis* bacilli from frozen glycerol stocks were grown overnight at 26°C with continuous shaking in Bacto heart infusion broth (Becton, Dickinson and Company) supplemented with 2.5 mM CaCl₂. After dilution to an OD_{620nm} of 0.1, they were re-grown for 3 hours at 26^oC, washed with saline, and app lied in a volume of 30 μl saline to the nares of mice lightly anesthetized with isoflurane. The median lethal dose of strain KIM D27, as calculated by the method of Reed and Muench [25], is approximately 2×10^4 CFU when grown and administered as described above.

Protective *Y. pestis***-specific antibodies**

Methods for preparing immune serum from plague convalescent mice were described previously [23,26]. Hybridomas F1-04-A-G1 and 7.3 producing F1- and LcrV-specific mAb, respectively, also were described previously [12,27]. The mAb produced by these hybridomas were purified using Protein G agarose. They contained endotoxin levels less than 2.2 units per mg as measured by Limulus Amebocyte Lysate assay. For passive immunotherapy, serum or mAb were diluted in phosphate buffered saline (PBS) and administered intraperitoneally.

Cytokine neutralization

When indicated, animals were treated with 1 mg neutralizing mAb specific for $TNF\alpha$ (clone XT3.11) and/or 600 μg neutralizing mAb specific for murine IFNγ (clone XMG1.2) diluted in PBS and administered intraperitoneally. In some studies, mice received equal quantities of isotype-matched control mAb (rat immunoglobulin G1, clone HRPN). Our prior studies have consistently found that treatment with control mAb does not impact survival or bacterial burden during *Y. pestis* challenge [23,26]. All these mAb were supplied by Bio X Cell (West Lebanon, NH) who reported endotoxin levels less than 1.7 units per mg.

Survival endpoints and bacterial burden

In all survival studies, recumbent animals were considered moribund and euthanized. For measurement of bacterial burden, mice were euthanized by carbon dioxide narcosis at the indicated day after initiating infection. Livers and lungs were harvested and plated for CFU determination as described previously [23,26].

Statistics

Survival data were analyzed by Log-rank tests and CFU data were analyzed by ANOVA or Student's t-test, as indicated (Prism 4.0, GraphPad Software). For presentation and for assessments of statistical significance, CFU measurements that fell below the limit of our assays were assigned a value equal to the detection limit.

RESULTS

TNFα and IFNγ contribute to protection mediated by immune serum

Figure 1 demonstrates that neutralizing the cytokines $TNF\alpha$ and IFN γ using specific mAb abrogates the protective efficacy of serotherapy. Wild type C57BL/6 mice infected intranasally with 10 LD-50 *Y. pestis* strain KIM D27 succumbed to plague between days 5 and 8 after the initiation of infection. Administration of 20 μl convalescent serum on day 1 post-infection significantly increased survival ($p < 0.0001$), but this protection was abrogated when mAb that neutralize TNFα and IFNγ were administered on day 1 post-infection (p < 0.0001). The data in Figure 1A is pooled from three independent experiments. In one experiment, we euthanized a parallel cohort of mice on day 3 after initiating infection and assessed bacterial burden. As shown in Figure 1B, serotherapy reduced the number of *Y. pestis* CFU, and co-administration of mAb that neutralize TNF α and IFN γ abrogated this serotherapy-mediated protection in the lung and liver (both $p < 0.001$).

TNFα and IFNγ contribute to protection mediated by mAb specific for F1 and LcrV in a dose dependent manner

To investigate whether TNF α and IFN γ contribute to protection mediated by F1- and LcrVspecific antibodies, first we determined the doses of F1- and LcrV-specific mAb required to protect mice against intranasal infection with 10 LD-50 *Y. pestis* strain KIM D27. As for the serotherapy studies, we administered these mAb therapeutically on the day after initiating the infection. Figure 2 demonstrates that intraperitoneal administration of 1 μg F1-specific mAb (clone F1-04-A-G1) or 3 μg of LcrV-specific mAb (clone 7.3) sufficed to prevent lethality in wild type C57BL/6 experiencing pulmonary *Y. pestis* infection.

We next investigated roles for cytokines by co-administering F1- or LcrV-specific mAb along with mAb that neutralize TNFα and IFNγ. We performed these studies using two doses of F1 or LcrV-specific mAb: one that conferred full protection, and a second that conferred suboptimal protection. Neutralizing $TNF\alpha$ and IFN γ in mice treated with fully protective doses of F1- (Figure 3A) or LcrV-specific antibody (Figure 3B) did not impact survival significantly. However, cytokine neutralization significantly reduced survival in mice treated with suboptimal doses of F1- or LcrV-specific antibody (Figures 4A and 4B; $p = 0.0002$ for F1 and 0.001 for LcrV). This protective role for cytokines in mice treated with sub-optimal doses of F1- or LcrV-specific antibody also was documented by measurements of bacterial burden: Figure 4C demonstrates that neutralizing TNF α and IFN γ significantly increased the numbers of pulmonary and hepatic *Y. pestis* CFU at day 4 after initiating infection (all p < 0.05).

TNFα and IFNγ contribute to protection in the absence of *Y. pestis***-specific antibodies**

Figure 5 shows the consequences of neutralizing cytokines in wild type C57BL/6 mice in the absence of any therapeutic treatment with *Y. pestis*-specific antibodies. Administration of mAb that neutralize TNFα and IFNγ significantly shortened the time to morbidity in *Y. pestis*infected wild type mice (Figure 5A; p < 0.0001). Consistent with the increased rate of morbidity, neutralization of TNFα and IFNγ also significant increased the number of *Y. pestis* CFU in the lung and liver at day 3 after initiating infection with 10 LD-50 *Y. pestis* (Figure 5B; all $p < 0.05$). Neutralizing TNF α and IFN γ on the day prior to infection or delaying neutralization until one day post-infection similarly impacted bacterial burden. Cytokine neutralization even increased bacterial burden significantly when the neutralization was delayed until day 2 post-infection and CFU were assayed on day 4 (not shown). Figure 6 shows that neutralization of TNF α and IFN γ one day after initiating pneumonic plague also significantly shortened the time to mortality (Figure 6A; $p < 0.0001$) and increased the bacterial burden (Figure 6B; $p < 0.001$ for both lung and liver) in μ MT mice, which lack B cells and cannot produce antibody [28].

DISCUSSION

Subunit vaccines containing the *Y. pestis* F1 and LcrV proteins offer great promise for the development of a safe and effective countermeasures for pneumonic plague. Likewise, mAb specific for F1 or LcrV may constitute useful prophylactics or therapeutics. While F1/LcrVbased vaccines effectively protect mice against pulmonary *Y. pestis* challenge, recent studies indicate that other non-antibody contributions may be required for full protection in some species of non-human primates [16,17]. To facilitate the development of pneumonic plague countermeasures, we have been studying basic mechanisms of immune defense against pulmonary *Y. pestis* challenge.

Therapeutic administration of immune serum from convalescent mice protects naïve wild type mice against lethal pulmonary *Y. pestis* challenge. Previously, we demonstrated that this immune serum poorly protects gene-targeted mice lacking the capacity to produce $TNF\alpha$ or the receptor for IFNγ [23]. We concluded that TNFα-and IFNγ contribute to serotherapymediated protection against pneumonic plague. However, it remained possible that immunological abnormalities associated with congenital cytokine deficiency may have contributed to our prior observations. Here, we alleviated such concerns by using neutralizing mAb to assess the role of cytokines, rather than gene-targeted mice. Notably, the studies reported here also demonstrate that neutralizing TNF α and IFN γ either before or after initiating infection leads to similar outcomes (Figure 5), indicating that cytokines contribute to the clearance of established *Y. pestis* infection.

Our initial studies used immune serum from convalescent mice as a source of protective antibody. The use of convalescent serum left open the possibility that our findings may not extend to protection mediated by antibodies specific for the F1 and LcrV proteins included in the subunit plague vaccines now in human clinical trials. The studies reported here demonstrate directly that TNFα and IFNγ can augment protection conferred by F1- and LcrV-specific antibody (Figure 4). Interestingly, roles for cytokines are particularly evident at sub-optimal titers of F1- and LcrV-specific antibody. These findings are likely to be relevant to the development of human vaccines and therapeutics that rely upon F1- or LcrV-specific antibodies, particularly since vaccines rarely elicit optimal responses in all vaccinates, and since antibody titers inevitably wane with time.

While neutralizing TNF α and IFN γ abrogates protection at sub-optimal titers of F1- or LcrVspecific antibody, the protection conferred by optimal doses of those antibodies is impacted far less dramatically, if at all (Figure 3). This finding indicates that antibody-mediated protection does not absolutely require $TNF\alpha$ and $IFN\gamma$ and strongly suggests that cytokines and antibodies protect via distinguishable, independent pathways. To further investigate that possibility, we assessed whether cytokines confer protection against pneumonic plague during experimental contexts that lack *Y. pestis*-specific antibody. Specifically, we examined naïve wild type mice that did not receive any treatment with F1- or LcrV-specific mAb. Remarkably, we discerned measurable protective roles for TNF α and IFN γ , as evidenced both by increased bacterial burden and shortened time to mortality upon cytokine neutralization (Figure 5). This protective role for TNFα and IFNγ may have reflected an impact of cytokines on antibodymediated protection if the wild type mice used in these studies rapidly produced *Y. pestis*specific antibody after the initiation of infection. However, we formally excluded that possibility by replicating the key observations in transgenic μMT mice, which cannot produce antibodies [28] (Figure 6). To our knowledge, these studies are the first to demonstrate measurable protective roles for endogenous TNF α or IFN γ in a model of untreated primary pneumonic plague.

While the data reported here demonstrate decisively that cytokine-mediated immunity can augment protection against lethal pulmonary *Y. pestis* challenge in the presence or absence of specific antibody, much remains to be learned about precisely how TNF α and IFN γ protect against pneumonic plague. Our findings are consistent with prior work indicating that injecting mice with exogenous TNF α and IFN γ protects against subsequent initiation of septicemic plague [29] and that vaccination with F1/LcrV poorly protects against bubonic plague in STAT4-deficient mice, which are impaired for production of IFNγ [30]. We find it particularly notable that pulmonary *Y. pestis* infection is characterized by a delayed inflammatory response [31–33], perhaps reflecting an evolutionary adaptation that serves to suppress cytokinemediated host defense. Indeed, pre-treating macrophages with TNF α and IFN γ restricts the replication of *Y. pestis* within macrophages [34]. While the pathological significance of intracellular bacteria during plague remains to be established decisively, it is clear that *Y. pestis* can grow within phagocytes in vitro [35–40] and can be detected within phagocytes in vivo [34,41,42].

Together, the data reported here indicate that antibodies and cytokines independently contribute to defense against pneumonic plague. Our current working model [11] is that cytokines suppress the capacity of *Y. pestis* to replicate intracellularly [34], while antibodies neutralize *Y. pestis* virulence mechanisms and opsonize bacilli [17–20,43,44], thereby helping host cells survive interactions with *Y. pestis* bacilli and helping phagocytes to internalize and destroy the bacteria. Given these independent, overlapping and complementary activities, we anticipate that cytokines and antibodies will act additively, perhaps synergistically, during defense against pneumonic plague.

F1/LcrV-based vaccines elicit similar antibody titers, at least as measured by ELISA, in cynomolgus macaques and African green monkeys [16,17]. However, the vaccinated macaques are well protected against pneumonic plague whereas the African green monkeys are not [16, 17]. The studies reported here may help to explain this variable efficacy of F1/LcrV-based vaccines in non-human primates. We found that F1- or LcrV-specific antibody protects mice against experimental pneumonic plague, but this protection is highly dependent on TNFα and IFNγ at suboptimal doses of antibody. Thus, it seems likely that animals with a capacity to efficiently produce and respond to TNFα and IFNγ will have a greater capacity to combat plague than animals that fail to utilize these cytokines efficiently. If cynomolgus macaques and African green monkeys differentially produce and/or respond to cytokines during *Y. pestis* infection, then this may explain why these two types of monkeys exhibit differential protection after vaccination despite harboring similar titers of F1/LcrV-specific antibody. Certainly there are other possible explanations for the variable efficacy of the F1/LcrV vaccine in non-human primates, such as the production of similar overall antibody titers but different levels of especially protective antibody sub-types. Future studies will need to address these distinguishable hypotheses.

It is important to identify robust correlates of protection in animals that can be used as surrogate assays for plague vaccine efficacy in humans. Recent studies have advanced the development of surrogate assays [17–20] but none have yet to adequately explain the variable efficacy of F1/LcrV-based vaccines in the two types of non-human primates studied to date. Our observations that cytokines contribute to antibody-mediated defense against pneumonic plague suggest that surrogate assays for plague vaccine efficacy in humans should consider both the levels of vaccine-induced antibody and the capacity of vaccine recipients to produce cytokines upon exposure to *Y. pestis*. Our findings also suggest that supplementing F1/LcrV-based vaccines with adjuvants and/or antigens that prime memory T cells with the capacity to rapidly produce cytokines in response to *Y. pestis* infection should improve efficacy against pneumonic plague.

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Figure 1. TNFα and IFNγ contribute to protection mediated by immune serum

Wild-type C57BL/6 mice were infected intranasally with *Y. pestis* (10 LD-50; 2×10⁵ CFU). The following day, they were left untreated (closed squares) or were treated with convalescent immune serum alone (open circles) or immune serum along with neutralizing mAb specific for TNFα and IFNγ (anti-TNF/IFN; closed triangles). (A) In comparison with mice treated with immune serum alone, mice treated with immune serum along with cytokine-neutralizing mAb exhibited significantly reduced survival ($p < 0.0001$ by Log rank test; $n = 15$ mice per group). Data are pooled from three independent experiments. (B) In parallel with (A), additional groups of mice were euthanized on day 3 after initiating infection and bacterial burden was measured in lung and liver. In comparison with mice treated with immune serum alone, mice treated with immune serum along with cytokine-neutralizing mAb exhibited significantly increased bacterial burden in lung and liver at day 3 after initiating infection (* p < 0.001 by ANOVA with Bonferroni's post test; $n = 5$ mice per group). The bars depict the means and the dashed line depicts the limit of detection. Similar results were observed on day 4 after initiating infection in a second, independent, experiment.

Figure 2. Determination of fully protective and sub-optimal doses of mAb specific for F1 or LcrV Wild-type C57BL/6 mice were infected intranasally with *Y. pestis* (10 LD-50; 2×10⁵ CFU). The following day, mice were treated with the indicated doses of F1-specific mAb (top panel) or LcrV-specific mAb (bottom panel). Results depict percent survival over time post infection. Except for the lowest dose shown in each panel, which was only tested once, all data are pooled from 3 or more experiments using 5 mice/dose/experiment.

Figure 3. TNFα and IFNγ do not contribute significantly to protection mediated by optimal doses of mAb specific for F1 or LcrV

Wild-type C57BL/6 mice were infected intranasally with *Y. pestis* (10 LD-50; 2×10⁵ CFU). (A) The following day, they were left untreated (closed squares) or were treated with a fully protective dose of F1-specific mAb (1 μg; open circles) or that dose of F1-specific mAb along with neutralizing mAb specific for TNFα and IFNγ (anti-TNF/IFN; closed triangles). Cytokine neutralization did not significantly impact survival ($n = 10$ mice per group). Data are pooled from two independent experiments. (B) In parallel with (A), additional groups of mice were treated with a fully protective dose of LcrV-specific mAb (3 μg; open circles) or that dose of LcrV-specific mAb along with neutralizing mAb specific for TNFα and IFNγ (closed triangles). Cytokine neutralization did not significantly impact survival $(n = 10$ mice per group). Data are pooled from two independent experiments.

Wild-type C57BL/6 mice were infected intranasally with *Y. pestis* (10 LD-50; 2×10^5 CFU). (A) The following day, they were left untreated or were treated with a sub-optimal dose of F1 specific mAb (0.3 μg; open circles) or that dose of F1-specific mAb along with neutralizing mAb specific for TNFα and IFNγ (anti-TNF/IFN; closed triangles). All untreated mice succumbed by day 9 (not shown). In comparison with mice treated with F1-specific mAb alone, the mice treated with F1-specific mAb along with cytokine-neutralizing mAb exhibited significantly reduced survival ($p = 0.0002$; $n = 15$ mice per group). Data are pooled from three independent experiments. (B) In parallel with (A), additional groups of mice were treated with

a sub-optimal dose of LcrV-specific mAb (1 μg; open circles) or that dose of LcrV-specific mAb along with neutralizing mAb specific for TNFα and IFNγ (closed triangles). In comparison with mice treated with LcrV-specific mAb alone, the mice treated with LcrVspecific mAb along with cytokine-neutralizing mAb exhibited significantly reduced survival $(p = 0.001; n = 15$ mice per group). Data are pooled from three independent experiments. (C) In parallel with (A) and (B), groups of mice were euthanized on day 4 after initiating infection and bacterial burden was measured. In comparison with mice treated with F1- or LcrV-specific mAb alone (open circles), mice treated with F1- or LcrV-specific mAb along with cytokineneutralizing mAb (closed triangles) exhibited significantly increased bacterial burden in lung and liver ($* p < 0.05$ by ANOVA with Bonferroni's post test; $n = 4–5$ mice per group). The bars depict the means and the dashed line depicts the limit of detection. Similar results were observed on day 3 after initiating infection in a second, independent, experiment.

Figure 5. TNFα and IFNγ contribute to basal protection in wild type mice

Wild-type C57BL/6 mice were infected intranasally with *Y. pestis* (10 LD-50; 2×10⁵ CFU). (A) The following day, they were left untreated (closed squares) or were treated with neutralizing mAb specific for TNF α and IFN γ (anti-TNF/IFN; open circles). Mice treated with cytokine-neutralizing mAb exhibited significantly reduced survival (both $p < 0.0001$; $n = 10$) mice per group). Data are pooled from two independent experiments. (B) Groups of mice were treated with neutralizing mAb specific for TNFα and IFNγ one day prior (−1; open squares) or one day after (+1; open squares) initiating infection. Control animals were left untreated (closed squares). Bacterial burden was measured on day 3 after initiating infection. In comparison with controls, mice treated with TNF α - and IFN_Y-specific mAb on either day -1 or day +1 exhibited significantly increased bacterial burden in lung and liver ($p < 0.01$ and p < 0.05 by ANOVA with Dunnett's post test for lung and liver, respectively; n = 10–15 mice per group). The bars depict the means. Data are pooled from three independent experiments.

Figure 6. TNFα and IFNγ contribute to basal protection in B cell-deficient mice C57BL/6-backcrossed B cell-deficient μMT mice were infected intranasally with *Y. pestis* (10 LD-50; 2×10^5 CFU). On the day prior to infection, animals received control mAb (closed squares) or neutralizing mAb specific for TNFα and IFNγ (anti-TNF/IFN; open circles). (A) Treatment with cytokine-neutralizing mAb significantly increased the time to morbidity in naïve mice ($p < 0.0001$; n = 9 mice per group). (B) Treatment with cytokine-neutralizing mAb significantly increased the bacterial burden in lung and liver on day 3 after initiating infection (* $p < 0.001$ as determined by Student's t-test; $n = 9$ mice per group). The bars depict the means. All data in this figure are pooled from two independent experiments.