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Trastuzumab reverses letrozole resistance and amplifies the sensitivity of breast cancer cells to estrogen

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Abstract

In this study we investigated adaptive mechanisms associated with aromatase inhibitor (AI) resistance in breast cancer cells and show that sensitivity to AIs can be extended through dual inhibition of Estrogen Receptor (ER) and Human Epidermal Receptor-2 (Her-2) signaling. We utilized human ER-positive breast cancer cells stably transfected with the aromatase gene (MCF-7Ca). These cells grow as tumors in nude mice and are inhibited by AIs. Despite continued treatment, tumors eventually become insensitive to AI letrozole. The cells isolated from these Long-Term Letrozole Treated tumors (LTLT-Ca) were found to have decreased ERa levels. Our results suggest that LTLT-Ca cells survive estrogen deprivation by activation of Her-2/Mitogen Activated Protein Kinase (MAPK) pathway. Here, we demonstrate that trastuzumab (antibody against Her-2; IC₅₀=0.4mg/ml) was very effective in restoring the ER α levels and sensitivity of LTLT-Ca cells to endocrine therapy by down-regulation of Her-2/MAPK pathway and upregulation of ER α . In contrast, trastuzumab was ineffective in the parental hormone responsive MCF-7Ca cells $(IC_{50}=4.28 \text{ mg/ml})$ and xenografts. By blocking Her-2, trastuzumab also up-regulates ER α , aromatase expression and hyper-sensitized MCF-7Ca cells to E_2 . We show that trastuzumab is beneficial in hormone refractory cells and xenografts by restoring ER, implicating Her-2 as a negative regulator of ER α . In xenograft studies the combination of trastuzumab *plus* letrozole is equally effective in inhibiting growth of MCF-7Ca tumors as letrozole alone. However, upon the acquisition of resistance and increased Her-2 expression the combination of letrozole *plus* trastuzumab provided superior benefit over letrozole or trastuzumab alone.

Keywords

aromatase inhibitors; estrogen; trastuzumab; ERa; Her-2; breast cancer

Introduction

Aromatase inhibitors (AIs) such as letrozole and anastrozole that reduce estrogen production have now been shown to be more effective than antiestrogen (AE) tamoxifen in estrogen receptor positive (ER+) breast cancer patients and have few side effects. Nevertheless, not all

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patients respond and resistance to treatment may eventually occur in others. To investigate the mechanisms involved in the loss of sensitivity of the tumors to AIs, we developed a cell line isolated from tumors of human estrogen receptor (ER) positive breast cancer cells (MCF-7) stably transfected with aromatase (MCF-7Ca) grown in mice treated with letrozole for an extended period of time (1-3). We have previously reported that during treatment with letrozole, MCF-7Ca xenografts up-regulate Her-2 and proteins in the downstream MAPK signaling pathway (1). These cells also exhibited lower expression of ER α and apparent "estradiol independent" growth. We have also shown that signaling pathways such as the Her-2/MAPK are key regulators of the growth of letrozole refractory cells (1,4). Similarly, several other investigators have reported the importance of members of Epidermal Growth Factor Receptor (EGFR) family (Her-2/EGFR) in resistance to endocrine therapy (5-8). We and others have suggested that the combination of EGFR/Her-2 tyrosine kinase inhibitors or trastuzumab (monoclonal humanized antibody against Her-2, Herceptin®) in combination with AIs or AEs may delay acquisition of resistance (4,9-13).

In this study we investigated the effects of trastuzumab on the growth of letrozole refractory breast cancer cells. Upon examination, MCF-7Ca derived tumors treated with letrozole, upregulated Her-2 four weeks into treatment despite continued responsiveness to letrozole. Moreover, the level of Her-2 protein was found to be six fold higher in letrozole refractory tumors than the control tumors (1). However, when Her-2 was inhibited ER α levels were restored. This suggests that Her-2 is a negative regulator of ER α . This observation led to our hypothesis that inhibition of both the Her-2 and estrogen signaling pathways is required to prolong the responsiveness of the tumors to endocrine therapies (14,15).

Thus, the combination of an AI *plus* Her-2 inhibitor could provide a substantial benefit in tumor growth inhibition when used after the acquisition of letrozole resistance.

Materials and Methods

Materials

Dulbecco's Modified Eagle Medium (DMEM), Modified Improved Minimum Essential medium (IMEM), penicillin/streptomycin solution (10,000IU each), 0.25% trypsin-1 mM EDTA solution, Dulbecco's phosphate-buffered saline (DPBS), and geneticin (G₄₁₈) were obtained from Invitrogen (Carlsbad, CA). Androstenedione (Δ^4 A), tamoxifen, and Matrigel were obtained from Sigma Chemical Company (St. Louis, MO). Antibodies against Her-2, p-Her-2 were purchased from Upstate (*now Millipore*, Billerica, MA) antibodies against p-MAPK, MAPK, p-Elk-1, and p-p90RSK were purchased from Cell Signaling Technology, (Beverly, MA). Antibodies against ER α , and aromatase (CYP 19) were purchased from Santa Cruz Biotechnology (Santa Cruz, CA). Radioactive ligands for ER binding assay and aromatase assay, ³H-E₂ (40 Ci/mmole) and ³H- Δ^4 A (23.5 Ci/mmole) were purchased from Perkin Elmer (Boston, MA).

MCF-7 human breast cancer cells stably transfected with the human aromatase gene (MCF-7Ca) were provided by Dr. S. Chen (City of Hope, Duarte, CA). Letrozole was provided by Dr. D. Evans (Novartis Pharma, Basel, Switzerland). The pure antiestrogen fulvestrant and anastrozole was supplied by Dr. E. Anderson (AstraZeneca Pharmaceuticals, Macclesfield, U.K.).

Cell culture

MCF-7Ca cells were routinely cultured in DMEM supplemented with 5% FBS, 1% penicillin/ streptomycin, 700 μ g/mL G₄₁₈. LTLT-Ca cells were developed from MCF-7Ca cells as described earlier from tumors of mice treated with letrozole for 56 weeks (1). The cells were

maintained in steroid-depleted medium, which consisted of phenol red-free IMEM supplemented with 5% dextran-coated charcoal-treated serum, 1% penicillin/streptomycin, and 750 µg/ml G₄₁₈ and 1µM of letrozole. Cell proliferation assays performed using the MTT assay as described earlier (4). The results were expressed as a percentage of the cell number in the Δ^4 A-treated control wells. IC₅₀ values for inhibitors were calculated from the linear regression line of the plot of percentage inhibition versus log inhibitor concentration.

Tumor Growth in Ovariectomized Female Athymic Nude Mice

All animal studies were performed according to the guidelines and approval of the Animal Care Committee of the University Of Maryland, Baltimore. Female ovariectomized BALB/c athymic nude mice 4-6 weeks of age were obtained from the National Cancer Institute-Frederick Cancer Research and Development Center (Frederick, MD). The mice were housed in a pathogen-free environment under controlled conditions of light and humidity and received food and water *ad libitum*.

The tumor xenografts of MCF-7Ca cells were grown in the mice as previously described (1, 16,17). Each mouse received subcutaneous inoculations in one site per flank with 100µL of cell suspension containing ~ 2.5×10^7 cells. The mice were injected daily with $\Delta^4 A$ (100µg/ day). Weekly tumor measurements and treatments began when the tumors reached ~ 300 mm³. Mice were assigned to groups for treatment so that there was no statistically significant difference in tumor volume among the groups at the beginning of treatment. Letrozole and Δ^4 A for injection were prepared in 0.3% HydroxyPropylCellulose (HPC). Trastuzumab for injection was prepared as 20 mg/ml stock solution in bacteriostatic water for injection and then diluted in 0.9% NaCl solution to obtain the required concentrations. Mice were then injected subcutaneously (sc) 5 times weekly with the indicated drugs: 100µg/mouse/day of Δ^4 A plus 10µg/mouse/day of letrozole or 10µg/mouse/day of letrozole *plus* trastuzumab or 100µg/ mouse/day of $\Delta^4 A$ plus trastuzumab for indicated time. The doses of letrozole and $\Delta^4 A$ used are as previously determined and reported (1,2). Mice in the trastuzumab group received 5 mg/ kg/week of the drug *intra-peritoneally* (*ip*) divided in two doses. Mice in the Δ^4 A and trastuzumab group were treated for 7 weeks after which they were sacrificed due to large tumor volumes; by decapitation and the blood was collected for analysis. The other groups (letrozole, trastuzumab plus letrozole, letrozole switched to trastuzumab plus letrozole and letrozole switched to trastuzumab) were sacrificed on week 28.

Western blotting

The protein extracts from tumor tissues were prepared by homogenizing the tissue in ice-cold DPBS containing protease inhibitors (18). Total 50µg of protein from each sample was analyzed by SDS-PAGE as described previously (4). Bands were quantitated by densitometry using Molecular Dynamics Software (ImageQuant®, Sunnyvale, CA). The densitometric values are corrected for loading control.

Competitive binding studies

Binding of trastuzumab to ER in MCF-7Ca cells was assessed by competitive binding assay as described before (3). MCF-7Ca cells were transferred to steroid depleted medium for 1 day before plating for the binding assay. ${}^{3}\text{H-E}_{2}$ was used as a ligand and non-radio-labeled E₂ was used to determine non-specific binding. Triamcinolone (1µM) was used to saturate glucocorticoid receptors.

³H₂O release assay for aromatase activity measurement

The radiometric ${}^{3}\text{H}_{2}\text{O}$ release assay was performed as described previously (19). For pretreatment studies, cells were treated with indicated agent for 24 hours before incubating with $[1-\beta^3 H] \Delta^4 A$ for 18 hours. For measuring aromatase activity in tumor samples, the tumors were homogenized in ice-cold DPBS. The resulting homogenate was used for aromatase activity assay. (19) The activity of the enzyme is corrected for protein concentration in the tumor homogenates and cells.

ERβ trans-activation assay

To measure activation of ER β , an ELISA based ER trans-activation assay was performed as per manufacturer's guidelines (Panomics, Fremont, CA). Briefly, the nuclear-lysates of cells or tumors were generated as described by the manufacturer. Activated ER, from nuclear extracts was allowed to bind to an ER consensus binding site (ER Probe) on a biotinylated oligonucleotide. These oligonucleotides were then immobilized on a streptavidin coated 96well plate. The ER bound to the oligonucleotide, is then detected by an antibody directed against ER. An additional horseradish peroxidase (HRP)-conjugated secondary antibody provided colorimetric readout quantified by reading absorbance at 450 nm.

RNA Extraction and Reverse Transcription (RT)

RNA was extracted and purified using the RNeasy Mini Kit (Qiagen, Valencia, CA) as per manufacturer's protocol. Total RNA concentration and purity were determined from 260 nm and 280 nm absorbances. RNA was diluted with water to 0.08 μ g/ μ l and reverse transcribed as described by *Kazi et al* (20).

PCR

Analysis of ER α , CYP-19 and pS2 mRNA expression was done by conventional PCR. Each 30 μ l reaction consisted of 3 μ l RT, 3 μ l 10X buffer, 2.4 μ l dNTP mix, 3 μ l 5 μ M primer mix, 0.15 μ l *Taq* DNA polymerase (Qiagen), and 18.45 μ l molecular biology grade water. The following primers were used for the PCR analysis:

Human pS2 - forward 5'-ACCATGGAGAACAAGGTGAT-3' and reverse 5'-AAATTCACACTCCTCTTG-3' (21)

*Human ER*α - forward. 5'-GATCCTTCTAGACCCTTCAGTG-3' and reverse 5'-TCTTCCAGAGACTTCAAGGTGCT.

Human CYP-19 - (forward 5'-GAATATTGGAAGGATGCACAGACT-3' and reverse 5'-GGGTAAAGATCATTTCCAGCATGT-3') (19,22)

Human 18s ribosomal RNA - (forward 5'-CAACTTTCGATGGTAGTCGC-3' and reverse 5'-CGCTATTGGAGCTGGAATTAC-3') (20)

ChIP Assay

For *in vitro* ChIP assay, the treated cells were washed with DPBS and fixed with 1% formaldehyde/DPBS for 10 minutes at 37°C after which the cells were washed with ice-cold DPBS containing protease inhibitors. The cells were collected into 1ml DPBS and pelleted by centrifugation at 6000rpm for 5minutes at 4°C. The cell pellet was resuspended in nuclear lysis buffer (ChIP Kit, Upstate) and incubated on ice for 15 minutes.

For *in vivo* ChIP assay, tumor slices were immersed in 2% formaldehyde/DPBS mixture and incubated at room temperature for 15 minutes. Fixation was stopped by adding 1M glycin and incubating for 5 minutes at room temperature. The tissues were rinsed in ice-cold DPBS containing the protease inhibitor tablet and homogenized on ice in modified RIPA buffer. The tissue homogenate was centrifuged at 12000rpm for 5 minutes at 4°C; the nuclear pellet was resuspended in nuclear lysis buffer and incubated on ice for 15 minutes. Samples were

sonicated on ice for 10×10 sec cycles, with 20 sec pauses between each cycle. The sonicated samples were centrifuged at 14000rpm for 10 minutes at 4°C. Sonicated samples were diluted 1:10 with dilution buffer (ChIP kit) before being immunocleared in a solution containing of Protein A or G Sepharose slurry (Amersham, Piscataway, NJ) in Tris/EDTA buffer, salmon sperm DNA (Invitrogen), and normal mouse or rabbit serum (Sigma) for 2 h at 4°C. Immunocleared supernatants incubated overnight at 4°C with anti-ER α antibody (Santa Cruz Biotechnology). Protein A or G Sepharose beads and salmon sperm DNA were then added and incubated for 1 h at 4°C. The beads were then washed sequentially with 1 ml each of wash buffers. The protein-DNA complexes were then eluted by twice incubating beads in elution buffer for 10 min at room temperature with vigorous mixing. To separate immunoprecipitated protein and DNA, the pooled elutes were incubated at 65°C overnight. The DNA was purified using the Qiaquick PCR purification kit (Qiagen). Alternatively, immunoprecipitated ER α on the beads was subjected to western immunoblotting. The boiled (denatured) protein samples were resolved by SDS-PAGE and membranes were probed for Histone H3 and RNA Polymerase II.

The yield of target region DNA in each sample after ChIP was analyzed by conventional PCR. The following primers were used for PCR analysis (34 cycles at 60°C annealing temperature):

Human pS2: forward 5'-GGCCATCTCTCACTATGAATC-3' and reverse 5'-GGCAGG CTCTGTTTGCTTAAA-3' (20).

Human CYP-19 Promoter I.3/II: forward 5'-CGGAGTCAACGGATTTGGTCGTAT-3' and reverse 5'-AGCCTTCTCCATGGTGGTGAAGAC-3'. (23)

The promoter that was analyzed was I.3/II, which is the main aromatase promoter utilized in breast cancer cells lines such as MCF-7 (24) and thus measures the effect of trastuzumab on endogenous aromatase in MCF-7 cells. The MCF-7Ca cells contain human placental aromatase cDNA (*placental CYP-19 uses promoter I.1 and I.2*) (25).

Statistics

For *in vivo* studies, mixed-effects models were used. The tumor volumes were analyzed with S-PLUS (7.0, Insightful Corp.) to estimate and compare an exponential parameter (βi) controlling the growth rate for each treatment groups. The original values for tumor volumes were log transformed. In the process of searching for a suitable model we observed that the piecewise (knot at 15 weeks) model fits the data reasonably well (on groups switched after week 15 to another drug). The spline model with a single knot at time = week-15 weeks was used to accommodate the non-linearity with a piece-wise linear model.

All p values less than 0.05 were considered statistically significant. The graphs are represented as mean±standard error of the mean (SEM).

Results

Trastuzumab inhibits growth of LTLT-Ca cells and tumors and down-regulates MAPK activation

Trastuzumab was found to inhibit growth of LTLT-Ca cells in a dose dependent manner with an IC₅₀ of 400 μ g/ml (2.75 μ M) compared to 4.28mg/ml (29.41 μ M) in parental MCF-7Ca cells (Figure 1A). In LTLT-Ca cells trastuzumab (100 μ g/ml) also decreased the activation of proteins of the MAPK pathway along with Her-2 in a time dependent manner (Figure 1B). This down-regulation was initiated as early as 15 minutes and was sustained until at least 72 hours. In addition, ER α was nearly restored to the level of the parental MCF-7Ca cells.

To study the effect of trastuzumab *in vivo*, LTLT-Ca cells were inoculated *sc* into ovariectomized nude mice. The following day the mice were divided in two groups. One group received letrozole (n = 5) and the other group received vehicle only (Control, n = 25). When the tumors of the mice in the control group reached ~300mm³, this group was regrouped into 5 (n= 5) and injected with vehicle or 2, 5, 10, and 20 mg/kg/week of trastuzumab *ip* (divided in two doses). As shown in Figure 1C, the tumors in all of the trastuzumab treated groups regressed over the course of the experiment. The dose of 5mg/kg/week trastuzumab was selected for future investigations. As shown previously (1), treatment with letrozole did not result in a statistically significant difference in tumor growth than observed in controls (p = 0.91).

Trastuzumab restores sensitivity of LTLT-Ca cells to AEs and Als

As shown in our earlier studies, LTLT-Ca cells exhibit cross-resistance to growth inhibitory effects of tamoxifen, fulvestrant, exemestane, and anastrozole (1). However, the combination of trastuzumab (100µg/mL) with AEs or AIs produced synergistic growth inhibition. As shown in Figure 1D, the combination of trastuzumab with AE/AI at 1µM each produced synergistic growth inhibition. The combination was statistically better than either drug alone (p < 0.0001) or control (p < 0.0001), whereas single drug treatment with AE or AI was not statistically different from control. As shown in Figure 2A, proliferation of LTLT-Ca cells was not inhibited with letrozole (10^{-12} M - 10^{-5} M), whereas co-treatment with trastuzumab (100μ g/mL) and letrozole inhibited the growth of LTLT-Ca cells in a dose dependent manner. However, in MCF-7Ca cells, the combination of letrozole plus trastuzumab was not significantly different from letrozole at 10^{-9} M - 10^{-5} M, but different from letrozole alone at 10^{-12} M - 10^{-10} M (Figure 2B). Although the IC₅₀ value of letrozole in the presence of trastuzumab was 2-log lower than that in the absence of trastuzumab, it should be noted that this effect was not seen *in vivo*.

The effect of combining trastuzumab *plus* letrozole was also examined in letrozole refractory LTLT-Ca xenografts (*data not shown*). The tumors of mice receiving trastuzumab *plus* letrozole regressed significantly in volume. The combination was significantly better than trastuzumab alone (p<0.0001), letrozole alone (p=0.0001) and control (p<0.0001), although trastuzumab alone was significantly better than control (p<0.0001). This data suggests that combining letrozole *plus* trastuzumab was significantly more effective than single agent in letrozole refractory LTLT-Ca xenografts (26).

Trastuzumab up-regulated ERα and E₂ mediated transcription in LTLT-Ca cells

Treatment with trastuzumab up-regulated ER α in LTLT-Ca cells (Figure 1B). The maximum increase of ER α was seen at 24 hours and was found to be 0.83 fold compared to basal level of ER α in MCF-7Ca cells. A 10 fold higher level of ER α was found in LTLT-Ca cells compared to basal levels (0.83 compared to 0.08). In addition, trastuzumab pre-treatment followed by E₂ treatment for 1-hour increased ER mediated transcription in a time dependent manner (Figure 2C). A 72-hour pre-treatment of LTLT-Ca cells with trastuzumab followed by 1 hour of E₂ treatment induced ER α transcriptional activation to the same extent as in parental MCF-7Ca cells stimulated with E₂ alone.

In vitro ChIP assay and RT-PCR analysis were performed to examine the effect of trastuzumab alone or in combination with E_2 on ER α mediated transcription. When stimulated with trastuzumab MCF-7Ca and LTLT-Ca cells exhibit increased transcriptional activation as evidenced by increase in the expression of pS2 mRNA, a known ER α responsive gene. This transcriptional activation was found to be dose dependent (Figure 2D). In addition to pS2, the transcription of ER α and CYP-19 gene was found to be upregulated in a dose dependent manner. In vitro ChIP assay was performed to examine recruitment of ER α to the promoter

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region of pS2 and aromatase gene. The western blotting for histone H3 confirm recruitment of ERa to the DNA and RNA polymerase II expression confirms transcriptional activation (Figure 3A). As shown in Figure 3B, E_2 treatment in MCF-7Ca cells induces recruitment of ER α to the pS2 promoter. Similarly, trastuzumab induced association of ER α to the pS2 promoter region. However, trastuzumab plus E2 were not able to increase this association further. In LTLT-Ca cells, the basal level of promoter activity was not changed with E_2 or trastuzumab treatment. However, the combination of E_2 plus trastuzumab increased the recruitment of ER α to the pS2 promoter by 1.9-fold compared to the control. These results confirm that trastuzumab induces $ER\alpha$ mediated transcription of downstream genes such as pS2. In addition, ER α was also recruited to the aromatase I.3/II promoter after treatment with trastuzumab, E₂ and the combination in LTLT-Ca cells. In MCF-7Ca cells trastuzumab and E2 increase recruitment of ERa to the aromatase I.3/II promoter, however the combination did not increase this further. The MCF-7Ca cells may require different treatment period with trastuzumab to exhibit similar effect. These results suggest that trastuzumab activated the aromatase transcription in ER α dependent manner in LTLT-Ca cells.

Trastuzumab amplified mitogenic effects of estradiol in vitro and in vivo

E₂ stimulation of MCF-7Ca cells resulted in a typical biphasic dose response curve where maximum stimulation occurs at a concentration of approximately 10⁻⁹M. (Figure 3C). In contrast, as reported in our earlier studies, proliferation of LTLT-Ca cells is not stimulated by E₂. (1, 27) However, when pre-treated with trastuzumab (100µg/mL) for 72 hours, LTLT-Ca cells exhibited a marked stimulation of proliferation at concentrations of 10^{-12} M to 10^{-7} M when compared to E_2 alone (p < 0.0001). In addition, when MCF-7Ca cells were pretreated with trastuzumab, E₂ stimulated proliferation was increased at concentrations of 10⁻¹¹M to 10^{-10} M (p = 0.02 and 0.03 respectively). The results suggest that inhibition of Her-2 restores $ER\alpha$ and E_2 sensitivity and that Her-2 is a negative regulator of ER.

Pre-treatment of MCF-7Ca, MCF-7 and LTLT-Ca cells with trastuzumab for 24 hours also increased aromatase activity in a dose dependent manner (Figure 3D). At the same time, aromatase protein expression was upregulated by trastuzumab in a dose dependent manner along with ERa (Figure 4A). Trastuzumab caused an increase in the aromatase activity of MCF-7, suggesting that trastuzumab influences endogenous aromatase. To investigate whether suppressing Her-2 resulted in upregulation of ER α and aromatase was limited to LTLT-Ca cells, we carried out the same experiment with ER negative and Her-2 positive SKBr-3 cells. The results were similar confirming that inhibition of Her-2 results in increase aromatase activity (Figure 3D inset) and ERa upregulation (data not shown). However, this effect was not seen in ER and Her-2 negative MDA-MB-231 cells (data not shown). Trastuzumab did not bind competitively to ER α (Figure 4B) suggesting that the stimulatory effects were ER α dependent, not due to intrinsic estrogenic properties of trastuzumab. In addition, when MCF-7Ca cells were co-treated with increasing doses of trastuzumab for 24 hour followed by 1nM of E₂, for 1-hour, transcriptional activity of ER α was found to be upregulated in dose dependent manner. This increase was found to be higher than E2 (1nM) treatment alone. (Figure 4C).

The measurement of uterine weight is a useful bioassay that correlates with the levels of circulating estrogens in the body of the mice. The uteri of mice bearing LTLT-Ca xenografts treated with trastuzumab were removed and weighed at the completion of the experiment. Trastuzumab induced a statistically significant (p=0.001) dose dependent increase in uterine wet weight compared to controls (Figure 4D). Increased uterine weight suggests that cotreatment with trastuzumab acts to enhance ERa and effects of E2 on the uterus. Furthermore increased tumor aromatase activity suggests that trastuzumab increases E_2 synthesis from $\Delta^4 A$ (data not shown).

Trastuzumab is ineffective in inhibiting growth of MCF-7Ca tumors

MCF-7Ca xenografts were grown in female ovariectomized mice. When tumors reached measurable size ~300 mm³, mice were assigned to four groups, (i) control (n=5), (ii) trastuzumab - 5 mg/kg/week divided in two doses (n=5), (iii) letrozole - 10µg/day *plus* trastuzumab - 5 mg/kg/week divided in two doses (n=5) and (iv) letrozole - 10µg/day (n=30). The tumors were measured weekly and volume was calculated as described in Materials and Methods. Trastuzumab did not inhibit the growth of MCF-7Ca tumors (Figure 5A). The growth rate of control tumors (Δ^4 A-100µg/day) was (β i=0.17 ± 0.1) which was not statistically different from that of tumors in mice treated with trastuzumab (β i= 0.19 ± 0.14) over the first 7 weeks. The mice in both these groups (control and trastuzumab) were sacrificed at week 7 due to large tumor volume. The tumors and uteri of the treated mice were removed, weighed and stored at -80 °C for any additional analysis. The tumor weights (Figure 5B) of control (1.37 ± 0.57 g) and trastuzumab (2.68 ± 0.57 g,) were not statistically different; *p=0.14*. However, trastuzumab treatment alone was significantly less effective than other treatments; [vs. letrozole (*p=0.0009*) or vs. trastuzumab *plus* letrozole (*p=0.0001*)].

As shown in Figure 5C, uteri in mice treated with trastuzumab weighed significantly more than those treated with $\Delta^4 A$ (p =0.008). The increase in uterine weight again suggests amplified growth stimulatory effects of E₂ upon co-treatment with trastuzumab.

Combination of trastuzumab *plus* letrozole is more effective than either drug alone in letrozole refractory tumors

As shown in Figure 5A, combination of letrozole *plus* trastuzumab was effective in reducing the tumor growth rate ($\beta i = -0.04 \pm 0.04$, over week 0-15; $\beta i = 0.28 \pm 0.06$, over weeks 15-27). However, the combination was no more effective than letrozole as single agent ($\beta i = -0.01 \pm 0.02$ over weeks 0-15; $\beta i = 0.1 \pm 0.08$ over weeks 15-27).

Letrozole inhibited the growth of these tumors for a prolonged period (13 weeks). Nevertheless, tumors ultimately began to grow on continued treatment and had doubled in volume by week 15. At this time, the mice were sub-divided into three groups (i) trastuzumab - 5 mg/kg/week (two doses) (n=10), (ii) letrozole - $10\mu g/day plus$ trastuzumab - 5 mg/kg/week (n=10) and (iii) letrozole $-10\mu g/day$ (n=10). The experiment was terminated on week 28. Tumors in mice switched to combination therapy following letrozole resistance responded better than combination treatment from week zero (p < 0.0001). This suggests that upon letrozole resistance, the addition of trastuzumab to the treatment regimen is superior to combination therapy from the beginning. The growth rate of these tumors was significantly different from the tumors of mice that stayed on letrozole treatment, (p=0.008). Tumors treated with letrozole responded better when switched to letrozole *plus* trastuzumab than those kept on letrozole. Letrozole refractory tumors switched to trastuzumab alone responded to the treatment only for the first 3 weeks (weeks 16-19) but then began to re-grow at the rate similar to that of the letrozole treated mice (weeks 15-28); p = 0.97. Thus, upon resistance to letrozole, the addition of trastuzumab was more effective than the switch to trastuzumab suggesting that Her-2 inhibition extends sensitivity of letrozole.

Protein expression and activity of tumors treated with letrozole and trastuzumab alone or in combination

At 28 weeks (Figure 5A) tumors of mice bearing MCF-7Ca xenografts were analyzed for expression of Her-2, p-MAPK, MAPK, aromatase and ER α protein expression by western blotting (Figure 5D). Treatment with trastuzumab increased ER α and decreased Her-2 and p-MAPK protein expression. In contrast, letrozole reduced ER α and increased Her-2 and p-MAPK levels. The combination of letrozole *plus* trastuzumab did not affect ER α levels and caused moderate increases in Her-2 and p-MAPK. The tumors of mice switched from letrozole

to trastuzumab showed upregulation of ER and down-regulation of Her-2 and p-MAPK, compared to letrozole. This would be consistent with stimulation of growth due to release from aromatase inhibition allowing estrogen production. In mice treated with letrozole and the addition of trastuzumab upon tumor volume doubling, ER α levels were moderately increased, p-MAPK was down-regulated and Her-2 was moderately reduced.

As shown in Figure 6A, trastuzumab increased aromatase activity in the tumors, whereas letrozole inhibited aromatase activity. This increased activity was also accompanied by a 3.7-fold up-regulation of aromatase protein expression (Figure 5D). The aromatase activity in the tumor samples from letrozole, letrozole *plus* trastuzumab and letrozole switched to letrozole *plus* trastuzumab was found to be significantly lower than control (p < 0.001) or, trastuzumab treatment (p < 0.001). The aromatase activity of tumors from letrozole switched to trastuzumab alone treated mice was however not significantly different from control, but significantly higher than letrozole alone (p < 0.01). However, aromatase protein expression in all these groups was not significantly different from control. The data suggests that letrozole maintains aromatase inhibition after prolonged treatment and switching to trastuzumab therapy removes the inhibitory effect of the AI on aromatase. Furthermore, tumors of mice treated with letrozole switched to letrozole glus trastuzumab show reduced aromatase activity, suggesting addition of trastuzumab to letrozole did not interfere directly with aromatase inhibition by letrozole.

Trastuzumab increases transcriptional activation of ERα in MCF-7Ca xenografts

The *in vivo* ChIP assay was used to measure the effect of trastuzumab on transcriptional activation of ER α in MCF-7Ca xenografts. Δ^4 A treated control and trastuzumab treated tumors exhibited active transcription of ER α , as evidenced by recruitment of histone H3 and RNA polymerase II into the transcriptional complex (Figure 6B). The treatment of MCF-7Ca tumors with trastuzumab increased recruitment of ER α to the pS2 and aromatase I.3/II promoter (Figure 6C). These findings support *in vivo* tumor volume data and suggest that trastuzumab treatment in a hormone sensitive breast cancer model is agonistic via its effects on Her-2 and hence the ER. As such, Her-2 inhibition without blockade of estrogen signaling in the clinical setting could be detrimental.

Discussions

Although AI letrozole is more effective and tumor growth is suppressed over an extended period compared to tamoxifen, eventually tumors become resistant to AI therapy (1). Previous results indicate that tumor growth is maintained by activating Her-2/MAPK signaling pathways in letrozole resistant LTLT-Ca cells and letrozole refractory tumors. In addition ER expression is decreased and cells become insensitive to E₂, suggesting a hormone refractory phenotype. Our previous studies also indicated that the combination of letrozole with MAPK inhibitor PD98059 up-regulated ER α expression consistent with the reports that hyperactivation of MAPK induces loss of ER α in breast cancer cells (1.28). Her-2 is overexpressed in about 25-30% of breast cancers and correlates with poor disease free survival and overall survival (29,30). With the development of trastuzumab, effective targeted treatment of Her-2 overexpressing cancers has been possible. Treatment with trastuzumab also resulted in upregulation of ERa. We have shown that loss of ERa expression induced by MAPK hyperactivation is reversible as observed in findings of others (31). Our studies show that trastuzumab by blocking Her-2 can reverse the suppression of ER α . To prevent development of letrozole resistance and restore hormone sensitivity of resistant cells, we utilized trastuzumab to inhibit the Her-2/MAPK pathway in the present study.

The combination of trastuzumab with letrozole reversed resistance to the AI and restored responsiveness of the tumors. However, this effect was limited to letrozole refractory and Her-2 overexpressing cells and tumors. Trastuzumab alone was only effective for a brief period of 4

weeks in letrozole refractory tumors. In the tumors with low Her-2 levels, the letrozole *plus* trastuzumab combination was no more effective than letrozole single agent. However, in Her-2 overexpressing tumors, trastuzumab *plus* letrozole was far superior in inhibiting tumor growth compared to either single agent (Figure. 5A). These results suggest that trastuzumab restores sensitivity of LTLT-Ca cells to letrozole by inhibiting Her-2 and up-regulation of ER α . As such, blockade of the ER α pathway remains an essential component of tumor and cell growth inhibition. Up-regulation of intratumoral aromatase was also seen with trastuzumab treatment, would make the cells more responsive to AIs.

In our current study we have shown that inhibition of Her-2 with trastuzumab results in activation of ER α mediated signaling pathway. Based on this data we hypothesize that an inverse and compensatory relationship exists between Her-2 and ER α and inhibition of one pathway leads to activation of the other. Several reports have suggested that translocation of ER α to the membrane may be responsible for the crosstalk with EGFR family members in endocrine resistant phenotype (32-34) whereas a few reports have also suggested that EGFR family transmembrane receptors such as Her-2 can translocate to the nucleus and act as transcription factors (35-38).

Studies performed in trastuzumab resistant variants of breast cancer cell lines have indicated upregulation of TGF α and VEGF (39,40). Interestingly, both VEGF and TGF α are known to be estrogen responsive genes (41). These data are consistent with our results and suggest that Her-2 suppresses ER α , as resistance to trastuzumab is associated with up-regulation of ER α responsive genes (39,40). Our studies demonstrate that trastuzumab alone was not an effective treatment strategy in this model and the data suggest that it is likely to be due to up-regulation of intra-tumoral aromatase and ER α . To distinguish whether up-regulation of ER α was a result of increased protein synthesis or reduced degradation, cells were treated with trastuzumab in presence of cyclohexamide (translational inhibitor). Western immunoblotting analysis revealed that trastuzumab modulates protein synthesis. However, even in the presence of cyclohexamide ER α protein was upregulated by trastuzumab treatment, suggesting trastuzumab treatment affects protein degradation as well (*data not shown*). In addition, RT-PCR analysis revealed that ER α mRNA expression is increased with trastuzumab treatment in a dose dependent manner (Figure. 2D).

In agreement with our findings, clinical studies have shown that trastuzumab as single agent has promising effects in first line treatment of about 40% breast cancer patients (42). However, the median duration of response is only about 8 months. Furthermore, intrinsic or *de novo* resistance to trastuzumab in Her-2 overexpressing breast cancers has been reported. As the use of trastuzumab is now extended to adjuvant treatment of breast cancer, it is important to assess the molecular effects of trastuzumab on Her-2 overexpressing breast cancer cells and determine appropriate strategies for optimal treatment. Our studies clearly demonstrate the importance of inhibiting both estrogen signaling by AI letrozole and the growth factor receptor Her-2 pathway in overcoming resistance to therapy. However, if used for treatment of hormone responsive tumors, trastuzumab may amplify estrogen signaling and stimulate tumor growth. The results indicate that inhibition of Her-2 via trastuzumab can restore the responsiveness of tumors to letrozole and trastuzumab, thus extending the use of AIs and delay the need for chemotherapy.

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Abbreviations used

ER, Estrogen Receptor; Δ^4 A, Androstenedione; E₂, Estradiol; Her-2, Human Epidermal Growth factor Receptor- 2; MAPK, Mitogen Activated Protein Kinase; AIs, Aromatase Inhibitors; AEs, Antiestrogens; TRZ, Trastuzumab; Let, Letrozole.

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Viability of cells was measured by MTT assay after 6 day treatment with trastuzumab as described in Materials and Methods. The treatment with trastuzumab is significantly more effective in reducing cell viability of LTLT-Ca cells compared to MCF-7Ca cells at all doses. At each dose of trastuzumab tested (0.1mg/ml through 10mg/ml) in LTLT-Ca cells growth was inhibited significantly better (**p*-value < 0.0001) than in MCF-7Ca cells.

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Expression of proteins was examined using western imunoblotting as described in materials and methods. Blot shows Her-2, p-Her-2 at 185 kDa, p-MAPK, MAPK at 42-44 kDa, phosphop90RSK at 90 kDa, phospho-Elk-1 at 41 kDa, , ER α at 66 kDa, aromatase at 55 kDa and β -actin at 45 kDa. The blots show a single representative of three independent experiments.

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Figure 1C. Effect of trastuzumab treatment at various doses on the growth of LTLT-Ca xenografts LTLT-Ca xenografts were grown in female OVX nude mice as described in Materials and Methods. The mice in the control and letrozole treated group exhibited similar rate of tumor growth. The difference in the exponential parameter governing growth was -0.027 (p = 0.71) over first eight weeks and 0.001 (p value = 0.97) over 19 weeks. Four doses of trastuzumab were tested. All of the tested doses caused a marked regression of LTLT-Ca xenografts. The growth rate of tumors of mice treated with trastuzumab (5mg/kg/week) was significantly different from mice in the control (p = 0.0008) and letrozole treated (p = 0.0002) mice. The difference in the exponential parameter governing growth between control and trastuzumab was 0.24 (p = 0.0008). The difference in the exponential parameter governing growth between letrozole and trastuzumab was 0.27 (p value = 0.0002).



Figure 1D. Effect of combination of trastuzumab with AEs tamoxifen, fulvestrant and AIs exemestane, and anastrozole in LTLT-Ca cells

Viability of cells was measured by MTT assay after 6-day treatment with AEs and AIs in presence or absence of 100µg/ml of trastuzumab as described in Materials and Methods. The GLM method was applied to estimate and assess differences among groups' means. The cell viability was found to be significantly lower in treatment groups treated with the combination of trastuzumab *plus* AI or AE versus control (*p<0.0001) or trastuzumab alone (*p<0.0001) or the endocrine agent alone (*p<0.0001).



Figure 2A. Effect of combination of letrozole and trastuzumab in LTLT-Ca cells

Viability of cells was measured by MTT assay after 6-day treatment with letrozole $(10^{-12}M-10^{-5}M)$ alone or in presence of trastuzumab $(100\mu g/ml)$ as described in Materials and Methods. The treatment with the combination of letrozole *plus* trastuzumab was significantly better than single drug treatment or control, *p < 0.01 ($10_{-12}M-10^{-9}M$), †p < 0.0001 ($10^{-8}M-10^{-5}M$).



Figure 2B. Effect of combination of letrozole and trastuzumab in MCF-7Ca cells

Viability of cells was measured by MTT assay after 6-day treatment with letrozole $(10^{-12}M-10^{-5}M)$ alone or in presence of trastuzumab $(100\mu g/ml)$ as described in Materials and Methods. Combination of letrozole *plus* trastuzumab was significantly better than single drug treatment or control, *p < 0.0001 ($10^{-12}M-10^{-9}M$), †p < 0.00001 ($10^{-8}M-10^{-5}M$).



Figure 2C. Effect of trastuzumab treatment on trans-activation of ERain LTLT-Ca cells The ER α trans-activation assay was performed as described in Materials and Methods. The treatment with trastuzumab increased ER α activation in a time dependent manner (* p < 0.001).



Figure 2D. Effect of trastuzumab at various doses on the mRNA expression of ERa, pS2 and Aromatase in MCF-7Ca and LTLT-Ca cells

Expression of mRNA was examined using RT-PCR as described in materials and methods. Blot shows ER α at 419bp, pS2 at 245bp and aromatase (CYP-19) 293bp and 18s ribosomal RNA (rRNA) at 283bp.



Figure 3A. Effect of trastuzumab and E_2 alone or in combination on the ER α mediated transcriptional activation in MCF-7Ca and LTLT-Ca cells

The *in vitro* ChIP assay was performed as described in Materials and Methods. E₂-Induced Recruitment of ER α to the DNA and transcriptional activation in MCF-7Ca and LTLT-Ca cells was measured by western blotting. The blot shows Histone H3 at 15kDa and RNA polymerase II at 300kDa. Left panel shows MCF-7Ca and right panel shows LTLT-Ca cells. For both panels lane 1-E₂W control, lane 2 E₂-10nM, lane 3-trastuzumab- 100µg/ml and lane 4-trastuzumab *plus* E₂.



Figure 3B. Effect of trastuzumab and $\rm E_2$ alone or in combination on the ERa mediated transcriptional activation in MCF-7Ca and LTLT-Ca cells

The *in vitro* ChIP assay was performed as described earlier. E₂-Induced Recruitment of ER α to the Aromatase I.3/II and pS2 promoter in MCF-7Ca and LTLT-Ca cells was examined by PCR. The blot shows aromatase product at 317bp and pS2 at 415bp. Input indicates samples before immunoprecipitation. Left panel shows MCF-7Ca and right panel shows LTLT-Ca cells. For both panels lane 1-E₂W control, lane 2 E₂-10nM, lane 3-trastuzumab- 100µg/ml and lane 4-trastuzumab *plus* E₂.

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Figure 3C. Effect of estradiol on proliferation of MCF-7Ca and LTLT-Ca cells in presence or absence of trastuzumab pre-treatment

Viability of cells was measured by MTT assay after 6-day treatment with $E_2 (10^{-12}M-10^{-5}M)$ alone or in presence of trastuzumab (100µg/ml). When pre-treated with trastuzumab (100µg/mL), LTLT-Ca cells exhibit a significantly marked stimulation of proliferation in response to E_2 at concentrations of $10^{-12}M$ through $10^{-7}M$ when compared to E_2 alone (p < 0.0001). When MCF-7Ca cells were pretreated with trastuzumab, E_2 stimulated proliferation was increased at concentrations $10^{-11}M$ through $10^{-10}M$ (p = 0.02 and 0.03 respectively).



Figure 3D. Effect of trastuzumab treatment at various doses on aromatase activity of MCF-7, MCF-7Ca and LTLT-Ca cells

MCF-7, MCF-7Ca and LTLT-Ca cells were treated with trastuzumab at varying doses for 24 hours and then incubated with ³H-androstenedione for 18 hours. The activity of the enzyme after treatment is corrected for total protein amount after treatment. Inset: Effect of trastuzumab treatment at 250μ g/ml on aromatase activity of SKBr-3 cells: SKBr-3 cells were treated with trastuzumab at 250μ g/ml for 24 hours and then incubated with ³H-androstenedione for 18 hours. The activity of the enzyme after treatment is corrected for total protein amount after treatment. Inset: Effect of trastuzumab treatment at 250μ g/ml for 24 hours and then incubated with ³H-androstenedione for 18 hours. The activity of the enzyme after treatment is corrected for total protein amount after treatment.



Figure 4A. Effect of trastuzumab treatment at various concentrations on protein expression of ER α , Her-2 and CYP-19 in MCF-7Ca cells

Expression of proteins was examined using western imunoblotting as described in materials and methods. Blot shows ER α at 66 kDa, CYP-19 at 55 kDa, Her-2 at 185 kDa and β -actin at 45 kDa. The blots show a single representative of three independent experiments. The blots were stripped and reprobed for β -actin to verify equal loading.



Figure 4B. Effect of trastuzumab treatment on binding affinity of estradiol to the ER α in MCF-7Ca cells

The competitive binding study was performed as described in Materials and Methods. The difference between trastuzumab and control in the percent of radioactive estradiol bound to ER α was 18.053, which was not statistically significant (p = 0.4; using Kruskal-Wallis test and Dunn's Multiple comparison).

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Figure 4C. Effect of trastuzumab treatment on at various doses on trans-activation of ER α in MCF-7Ca cells

The ER α transactivation assay was performed to measure transcriptional activation of ER α in MCF-7Ca cells, as described in Materials and Methods. The treatment with trastuzumab increased ER α activation in a dose dependent manner. The differences between E₂W control and trastuzumab treatment 100µg/ml, 200µg/ml, 400µg/ml and 1000µg/ml were -111.31 (†p = 0.06), - 156.23 (‡p<0.01), -279.91 (*p<0.001) and -305.45 (*p<0.001) and 0.04 for E₂. When combined with letrozole 1µM, trastuzumab 1000µg/ml was did not stimulate ER α activation. Letrozole treatment was not significantly different from the E₂W control. One Way ANOVA with post-hoc Tukey-Kramer test (*p<0.05).

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Figure 4D. Effect of trastuzumab treatment at various doses on uterine wet weight of mice bearing LTLT-Ca xenografts

The mice bearing LTLT-Ca xenografts were treated with trastuzumab at varying doses for 12 weeks after which the uteri were removed and weighed. The mice in control group had a mean uterine weight of 6.4 ± 2.2 mg that was the lowest uterine weight (exact 2-sided p=0.008 by the Wilcoxon test) and significantly lower than in mice treated with trastuzumab 2, 10 and 20 mg. The mean uterine weights ranged from 15.4 ± 2.2 mg; 20.4 ± 2.2 mg and 29.8 ± 2.2 mg respectively.



$Figure \, 5A. \, Effect \, of \, trastuzumab \, alone \, or \, in \, combination \, with \, letrozole \, on \, the \, growth \, of \, MCF-7Ca \, xenografts$

Trastuzumab (5mg/kg/week) did not inhibit the growth of MCF-7Ca tumors. The difference in the exponential parameter governing growth rate of control versus trastuzumab treatment was 0.02 ± 0.14 , which was not statistically significant (p = 0.86). The difference in the exponential parameter governing growth rate of trastuzumab versus trastuzumab *plus* letrozole was 0.49; p = 0.0001. The difference in the exponential parameter governing growth rate of trastuzumab versus letrozole was 0.32, p = 0.0009.

The difference in the exponential parameter governing rate of letrozole versus letrozole switched to letrozole *plus* trastuzumab was 0.21 ± 0.08 , p = 0.008. The difference in the exponential parameter governing tumor growth rate of letrozole *plus* trastuzumab versus letrozole switched to letrozole *plus* trastuzumab was 0.39 ± 0.09 , p < 0.0001. The difference in the exponential parameter governing rate of letrozole switched to trastuzumab versus letrozole switched to letrozole *plus* trastuzumab was 0.22 ± 0.08 , p = 0.011, over weeks 15-28. When compared through week 29, the difference in the exponential parameter governing growth rate of letrozole switched to trastuzumab was 0.005 ± 0.08 , p value = 0.97.



Figure 5B. Effect of trastuzumab on the tumor weight of the mice bearing MCF-7Ca xenografts The mean tumor weight of trastuzumab treated mice was 2.68 g \pm 0.57, which was not significantly different from those of the Δ^4 A, treated mice (1.37 \pm 0.57 g), *p* value = 0.14). The tumor weights of other groups are not compared due to difference in the time of termination.



Figure 5C. Effect of trastuzumab on the uterine wet weight of mice bearing MCF-7Ca xenografts The average weight of the atrophic uterus in ovariectomized mice is ~10 mg, the greater uterine weight of mice receiving $\Delta^4 A$ (22.42 ± 0.92 mg) indicates that aromatase in the tumors is producing enough estrogens to maintain the uterine weight similar to intact mice in diestrus. When mice were treated with trastuzumab, the uteri weighed significantly more (74.8 mg ± 0.92) than $\Delta^4 A$ treated mice (Wilcoxon rank sums test, 2-sided exact *p*-value=0.008). The uterus weights of other groups are not compared due to difference in the time of termination.





Expression of proteins was examined using western imunoblotting as described in materials and methods. Blot shows ER α at 66 kDa, Her-2 at 185 kDa, p-MAPK and MAPK at 42-44 kDa and CYP-19 at 55 kDa, β -actin at 45 kDa. The blots show a single representative of three independent experiments. The blots were stripped and reprobed for β -actin to verify equal loading.





Figure 6A. Effect of trastuzumab and letrozole alone or in combination on aromatase activity of MCF-7Ca xenografts

The tumors of mice treated with letrozole, trastuzumab and the combinations were examined for aromatase activity as described in Materials and Methods. The activity of the enzyme after treatment is corrected for total protein amount in the tumors. Aromatase activity of MCF-7Ca xenografts treated with trastuzumab is significantly higher than control (*p<0.001). Aromatase activity of control is significantly higher compared to letrozole (p<0.001), letrozole plus trastuzumab (*p<0.001) and letrozole switched to letrozole plus trastuzumab is (*p<0.001). The aromatase activity of letrozole switched to trastuzumab was not significantly different from Δ^4 A treated control however significantly higher than letrozole ($\dagger p$ <0.01). One Way ANOVA, Tukey-Kramer multiple comparisons test.

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Figure 6B. Effect of trastuzumab and letrozole alone or in combination on the ER α mediated transcriptional activation in MCF-7Ca xenografts

The in vivo ChIP assay was performed as described in Materials and Methods. E2-Induced Recruitment of ERa to the DNA in MCF-7Ca xenografts. The blot shows Histone H3 at 15kDa and RNA polymerase II at 300kDa. ChIP analysis was done using ERa antibody and Input indicates samples before immunoprecipitation.





The *in vivo* ChIP assay was performed as described earlier. Treatment induced Recruitment of ER α to the Aromatase I.3/II and pS2 promoter in MCF-7Ca xenografts was examined by PCR. Input indicates samples before immunoprecipitation. The blot shows aromatase product at 317bp and pS2 at 415bp.