

# Combined NKT cell activation and influenza virus vaccination boosts memory CTL generation and protective immunity

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**Current influenza A virus vaccines do not generate significant immunity against serologically distinct influenza A virus subtypes and would thus be ineffective in the face of a pandemic caused by a novel variant emerging from, say, a wildlife reservoir. One possible solution would be to modify these vaccines so that they prime cross-reactive CD8<sup>+</sup> cytotoxic T lymphocytes (CTL) cell-mediated immunity directed at conserved viral epitopes. A further strategy is to use novel adjuvants, such as the immunomodulatory glycolipid  $\alpha$ -galactosylceramide ( $\alpha$ -GalCer). We show here that giving  $\alpha$ -GalCer with an inactivated influenza A virus has the paradoxical effect of diminishing acute CTL immunity via natural killer T (NKT) cell-dependent expression of indoleamine 2,3-dioxygenase (IDO), an important mediator of immune suppression, while at the same time promoting the survival of long-lived memory CTL populations capable of boosting protection against heterologous influenza A virus challenge. This enhancement of memory was likely due to the  $\alpha$ -GalCer-induced upregulation of prosurvival genes, such as bcl-2, and points to the potential of  $\alpha$ -GalCer as an adjuvant for promoting optimal, vaccine-induced CD8<sup>+</sup> T cell memory.**

T cell memory | viral immunity | vaccine | adjuvant

The commonly used influenza A virus (IAV) vaccines generate strain-specific antibody responses against the surface viral hemagglutinin (H, HA) and neuraminidase (N, NA) proteins. Neutralizing antibodies specific for the viral HA in particular provide a reasonable measure of protection if there is sufficient similarity between the vaccine (1) and current circulating IAV strains. However, in the event of a pandemic where a novel IAV subtype is introduced into human circulation, it is unlikely that the present inactivated whole or disrupted (split) virus vaccines will be adequate as there will be no preexisting humoral immunity (2). Recent outbreaks of highly pathogenic H5N1 IAVs in poultry and humans throughout Southeast Asia have raised the possibility that a new IAV pandemic could be imminent (2). The development of novel vaccines that elicit immunity to heterologous (HA-different) IAV infection is thus of considerable interest.

At least in mice, virus-specific CD8<sup>+</sup> cytotoxic T lymphocytes (CTL) play a critical role in terminating IAV infections (3, 4). The role of CD8<sup>+</sup> T cell-mediated immunity in humans is much less clear, although it is receiving renewed attention (5, 6). These CD8<sup>+</sup> CTLs are specific for peptide epitopes derived from internal virus proteins that are not subject to antibody-mediated selection pressure and are relatively conserved between different IAVs (7). Infection with HA and NA-different viruses thus primes for a measure of broad, heterosubtype-specific CTL-mediated immunity that is not induced by the current inactivated vaccines (8). An ideal solution would be to find an adjuvant that

could, when given with inactivated (*i*) IAV, promote both influenza-specific antibody and CTL-based immunity. Unfortunately, the adjuvants approved for human use (alum and MF59) are poor inducers of CD8<sup>+</sup> T cell responses (9).

The potent immune modulator  $\alpha$ -galactosylceramide ( $\alpha$ -GalCer) is presented to natural killer T (NKT) cells by the MHC class I-like molecule, CD1d (10, 11). The NKTs are specialized T lymphocytes expressing markers of the NK cell lineage and an invariant TCR (12). Following  $\alpha$ -GalCer/CD1d recognition, activated NKT cells produce a range of cytokines and cell-surface stimulatory molecules that contribute to the activation of NK cells, T and B lymphocytes, and dendritic cells (DC) (13). Therefore, NKT cells could be important regulators of immune responsiveness in a broad variety of diseases.  $\alpha$ -GalCer has, and continues to be, tested in human cancer immunotherapy trials.

Recent mouse studies have shown that  $\alpha$ -GalCer is an effective adjuvant in vaccination against a range of immune challenges (13, 14). Such experiments have shown that combining iIAV vaccine with  $\alpha$ -GalCer can significantly enhance protective efficacy (15–18). However, though CTL cytotoxicity could be demonstrated after *in vitro* restimulation, no attempt was made to enumerate IAV-specific CD8<sup>+</sup> T cell numbers directly *ex vivo* (16). Furthermore, as these studies used homologous virus challenge, preexisting neutralizing antibody rather than the recall of virus-specific CTL memory was the likely mechanism of immune protection (16, 17). Thus, though NKT cell activation appears to enhance immunity to influenza, the question remains: do  $\alpha$ -GalCer-adjuvanted influenza vaccines augment heterologous CTL-mediated immunity? Utilizing a well-characterized model of IAV infection of C57BL/6J mice, we ask whether  $\alpha$ -GalCer serves as an effective adjuvant for enhancing protective, iIAV vaccine-induced CTL-mediated immunity.

## Results

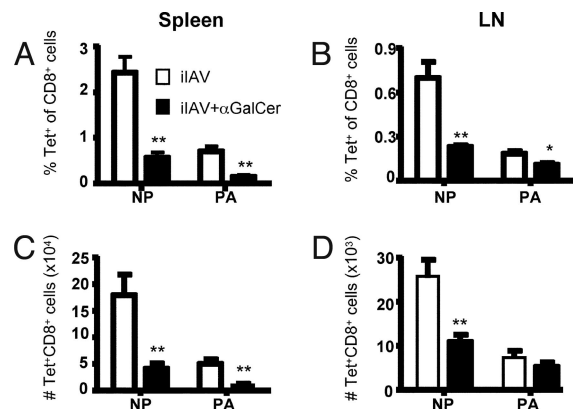
**$\alpha$ -GalCer Inhibits CTL Responses After Primary Vaccination.** Prior *in vitro* expansion experiments indicated that  $\alpha$ -GalCer may augment IAV-specific CTL responses after high-dose vaccination (16, 17). To determine whether virus-specific CTL responses could be measured directly *ex vivo* after iIAV priming (with and without  $\alpha$ -GalCer administration), mice were injected *s.c.* with

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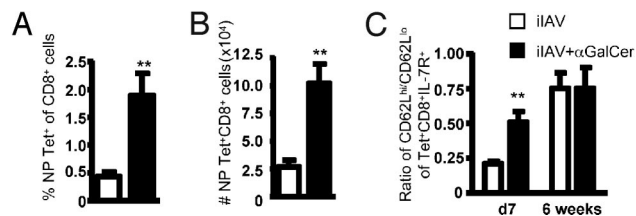
This article contains supporting information online at [www.pnas.org/cgi/content/full/0813309106/DCSupplemental](http://www.pnas.org/cgi/content/full/0813309106/DCSupplemental).



**Fig. 1.** Quantitative analysis of D<sup>b</sup>NP<sub>366</sub><sup>+</sup> and D<sup>b</sup>PA<sub>224</sub><sup>+</sup> CD8<sup>+</sup> T cells following influenza vaccination with an adjuvant. The B6 mice were immunized with iAV ± α-GalCer, and lymphocytes from the spleen and brachial lymph node (BLN) were sampled 7 days later. The percentage (A and B) and absolute number (C and D) of tetramer-positive populations was evaluated among the CD8<sup>+</sup> T cells in the spleen (A and C) and BLN (B and D). Results are expressed as mean ± SD for groups of 5 mice. \**P* < 0.05; \*\**P* < 0.01.

7.5 μg of iAV together with 1 μg of α-GalCer or PBS and sampled 7 days later. The primary response to D<sup>b</sup>NP<sub>366</sub> is clearly dominant (compared to D<sup>b</sup>PA<sub>224</sub>) in both spleen (Fig. 1 A and C) and LN (Fig. 1 B and D). This is presumably due to the greater amount of nucleoprotein (NP) (compared to acidic polymerase [PA]) within the inactivated virus (19). Surprisingly, mice that were vaccinated with iAV and α-GalCer together displayed significantly reduced percentages (Fig. 1 A and B, black bars) and absolute numbers (Fig. 1 C and D, black bars) of both D<sup>b</sup>NP<sub>366</sub> and D<sup>b</sup>PA<sub>224</sub> CD8<sup>+</sup> T cells compared with those given iAV alone. This was observed in both the spleen (Fig. 1 A and C) and draining lymph node (Fig. 1 B and D). Repeated immunization (iAV ± α-GalCer 1–3 times at 2-week intervals and analyzed 7 days after the last vaccination) did not boost D<sup>b</sup>NP<sub>366</sub>-specific CD8<sup>+</sup> T cell numbers at any time point [supporting information (SI) Fig. S1], and in fact, we again found this CTL response to be diminished by the α-GalCer. Interestingly, there was no increase in the number of D<sup>b</sup>NP<sub>366</sub>-specific T cells upon boosting with iAV alone. A likely explanation is that influenza-specific antibodies induced after 2 vaccinations limits available antigen for subsequent CTL expansion upon a third vaccination (Fig. S1 C and D). The extent of NKT cell activation following α-GalCer administration was also evaluated using α-GalCer-loaded CD1d tetramer. A week after the α-GalCer treatment, both the percentage and absolute numbers of NKT cells were significantly increased (Fig. S1 E and F). It is thus reasonable to think that α-GalCer-induced NKT cell activation impairs the development of acute, iAV-induced CTL responses.

**NKT Cell Activation Enhances Vaccine-Induced Memory T Cell Generation.** Given the diminished CTL counts found at the peak of the primary response after iAV + α-GalCer priming, it was important to determine if the magnitude of CTL memory was also affected. Mice were vaccinated with iAV ± α-GalCer, and D<sup>b</sup>NP<sub>366</sub>-specific CTLs were measured 6 weeks later. In contrast to the early (day 7) time point, a significant (*P* < 0.01) increase (≈4-fold) in the proportion (Fig. 2 A) and number (Fig. 2 B) of D<sup>b</sup>NP<sub>366</sub>-specific memory CTLs was observed in mice given iAV + α-GalCer. Memory CTL populations can be divided into 2 major subsets identified by their phenotype: “effector memory” cells (T<sub>EM</sub>) are CD62L<sup>low</sup> IL-7R<sup>+</sup>, whereas “central memory” cells (T<sub>CM</sub>) are CD62L<sup>high</sup> IL-7R<sup>+</sup> (20). Interestingly, the diminished effector magnitude at the acute time point correlated with an increased ratio of CD62L<sup>hi</sup> to CD62L<sup>lo</sup> D<sup>b</sup>NP<sub>366</sub>-specific

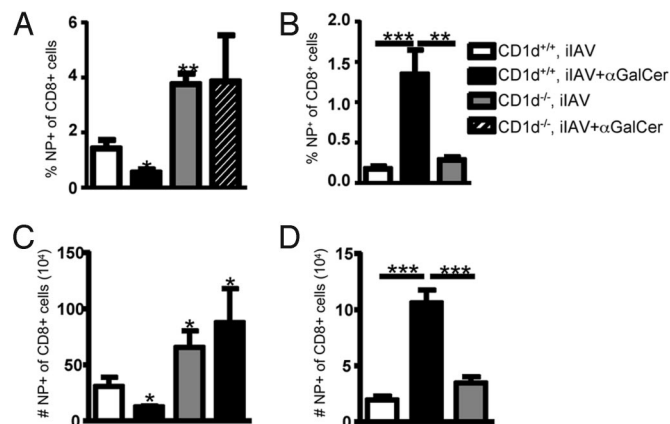


**Fig. 2.** α-GalCer promotes the generation of long-term CD8<sup>+</sup> T cell memory. The B6 mice were immunized with iAV ± α-GalCer and killed 6 weeks later. Splenocytes were harvested and analyzed for the percentage (A) and absolute number (B) of tetramer-positive CD8<sup>+</sup> CTLs. (C) The proportion of T<sub>CM</sub> vs. T<sub>EM</sub> cells was evaluated at early and late time points after immunization. Results are expressed as mean ± SD for groups of 5 mice. \**P* ≤ 0.05; \*\**P* < 0.01

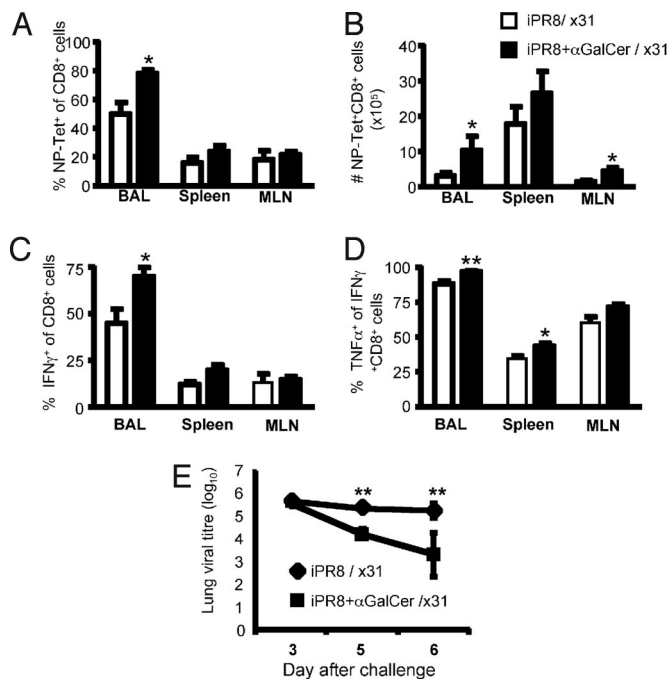
T cells (Fig. 2C). There was, however, no difference in this CD62L<sup>hi</sup> to CD62L<sup>lo</sup> ratio at the memory time point. As such, α-GalCer + iAV apparently favors the generation of the T<sub>CM</sub> set early after vaccination, contributing to an increased pool of memory T cells.

**NKT Cells Play a Physiological Role in Regulating CTL Response.** To determine if the α-GalCer effect was mediated via NKT cell activation, NKT-deficient CD1d<sup>-/-</sup> or wild-type CD1d<sup>+/+</sup> mice were vaccinated with iAV ± α-GalCer. The D<sup>b</sup>NP<sub>366</sub>-specific CTL response was then analyzed at acute (d7) and memory (d42) time points, and, as expected, there was a diminished CTL response in wild-type mice after iAV + α-GalCer administration compared with iAV alone (Fig. 3 A and C, white vs. black bars). Interestingly, irrespective of α-GalCer treatment, effector D<sup>b</sup>NP<sub>366</sub>-specific CTL responses were significantly greater in the CD1d<sup>-/-</sup> mice (Fig. 3 A and C). This suggests that NKT cell activation following iAV vaccination plays a key role in suppressing the effector CTL response in normal mice, with the effect being magnified by the concurrent administration of α-GalCer. However, iAV vaccination of CD1d<sup>-/-</sup> and CD1d<sup>+/+</sup> mice gave equivalent numbers of D<sup>b</sup>NP<sub>366</sub>-specific CTLs on day 35 (Fig. 3 B and D), indicating that NKT cell activation is also key for the improved memory CTL generation after vaccination.

**α-GalCer Promotes Efficient Secondary Responses and Faster Viral Clearance.** Does this α-GalCer augmentation of CTL memory after iAV vaccination (Fig. 2) enhance recovery following heterologous IAV infection? Mice were primed with 7.5 μg of



**Fig. 3.** NKT cells limit the acute CTL response but are essential for memory. Groups of CD1d<sup>+/+</sup> and CD1d<sup>-/-</sup> mice were immunized with iAV ± α-GalCer and analyzed 7 days (A and C) or 6 weeks later (B and D) for the percentage (A and B) and absolute number (C and D) of D<sup>b</sup>NP<sub>366</sub><sup>+</sup> CD8<sup>+</sup> T cells in the spleen. Results are expressed as mean ± SD. \**P* ≤ 0.05; \*\**P* < 0.01.



**Fig. 4.** Increased protection of mice vaccinated with  $\alpha$ -GalCer after infection. Mice were challenged i.n. with  $10^4$  pfu of live HKx31 IAV at 6 weeks after priming with iIAV + (black bars) or – (white bars)  $\alpha$ -GalCer. The percentage (A) and absolute numbers (B) of influenza-specific CTLs were evaluated for the bronchoalveolar lavage (BAL), spleen, and mediastinal lymph node (MLN). Cells were stained for IFN $\gamma$  and TNF $\alpha$  after stimulation with NP<sub>366</sub> peptide. Shown is the percentage of IFN $\gamma$ <sup>+</sup> CD8<sup>+</sup> T cells (C) and the proportion of IFN $\gamma$ <sup>+</sup> T cells that are also TNF $\alpha$ <sup>+</sup> (D). \* $P \leq 0.05$  and \*\* $P < 0.01$  comparing iIAV  $\pm$   $\alpha$ -GalCer. (E) Virus clearance from the lung of mice that had been primed with iIAV  $\pm$   $\alpha$ -GalCer. The graphs show the mean pfu per lung ( $n = 5$ )  $\pm$  SD. \*\* $P < 0.01$ .

iIAV PR8 (H1N1)  $\pm$   $\alpha$ -GalCer and challenged intranasally (i.n.) with  $10^4$  pfu of the HKx31 (H3N2) IAV at least 6 weeks later. The percentage (Fig. 4A) and number (Fig. 4B) of D<sup>b</sup>NP<sub>366</sub>-specific CTLs in lymphoid and nonlymphoid organs was then assessed by tetramer and intracellular cytokine staining (ICS) (Fig. 4C and D) 8 days after infection. Secondary i.n. challenge with A/HKx31 of iPR8 IAV-primed mice resulted in a large recall response, equivalent to that found routinely in those primed with live PR8 IAV (data not shown and ref. 21). Importantly, the D<sup>b</sup>NP<sub>366</sub>-specific recall response was significantly greater in both the mediastinal lymph node (MLN) and respiratory tract airways (isolated by bronchoalveolar lavage [BAL]) of mice vaccinated with iPR8 +  $\alpha$ -GalCer compared with mice primed with iPR8 alone. Furthermore, the quality of the response was enhanced, as shown by the increased prevalence of IFN $\gamma$ <sup>+</sup> CD8<sup>+</sup> T cells (Fig. 4C;  $P < 0.05$ ) and by the greater TNF expression in the IFN $\gamma$ <sup>+</sup> D<sup>b</sup>NP<sub>366</sub>-specific sets from BAL and the spleen (Fig. 4D;  $P < 0.01$  and  $P < 0.05$ , respectively). Despite differences in the proportion of CTL making cytokine, analysis of the mean fluorescence intensity showed there was no difference in the amount of cytokine produced on a per-cell basis (data not shown). It thus seems that  $\alpha$ -GalCer coadministration with iIAV augments the recall CTL response to heterologous IAV challenge, presumably as a consequence of the increased numbers of CTL memory precursors (Fig. 2).

Given there was an increase in both the magnitude and quality of the secondary D<sup>b</sup>NP<sub>366</sub>-specific CTL response, it was important to determine if this correlated with more rapid viral clearance. Mice were vaccinated with iPR8 IAV  $\pm$   $\alpha$ -GalCer, then challenged i.n. with the HKx31 IAV at 6 weeks. Though

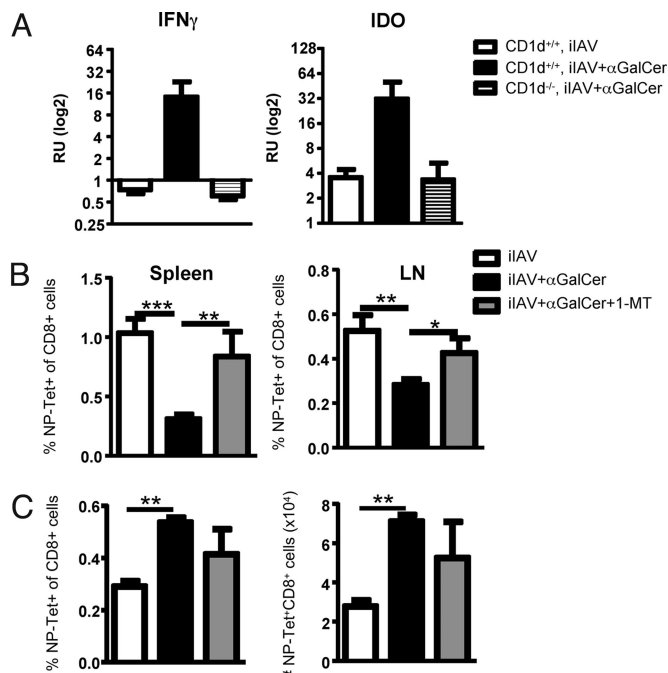
lung virus titres were equivalent on day 3 (Fig. 4E), those that had received iPR8 +  $\alpha$ -GalCer showed evidence of significantly enhanced virus clearance on day 5 and day 6 (Fig. 4E). There were no differences in the levels of influenza A virus-specific IgG1 and IgG2a antibodies in the sera of mice primed with iPR8  $\pm$   $\alpha$ -GalCer following the HKx31 challenge (Fig. S2). This supports the notion that improved CTL memory, rather than improved antibody responses, was the major contributor to the enhanced viral clearance.

**$\alpha$ -GalCer Does Not Impair Dendritic Cell Antigen Presentation.** Administration of  $\alpha$ -GalCer and the subsequent activation of NKT cells induce rapid maturation of CD8<sup>+</sup> and CD8<sup>-</sup> dendritic cell (DC) subsets (22). The diminished CTL response observed on day 7 after vaccination with iIAV +  $\alpha$ -GalCer might thus be thought to reflect rapid DC maturation and subsequent inefficient DC processing and presentation of viral antigens (23). In contrast, we found evidence that  $\alpha$ -GalCer induced upregulation of costimulatory molecules and this correlated with no impact on DC priming of naïve T cells (Fig. S3 and data not shown). These findings suggest that impaired DC function does not explain the decrease in the acute IAV-specific CTL responses found for iIAV +  $\alpha$ -GalCer-immunized mice.

**$\alpha$ -GalCer-Dependent Indoleamine 2,3-Dioxygenase Expression Inhibits T Cell Expansion.** Indoleamine 2,3-dioxygenase (IDO) plays a role in tryptophan metabolism and has been shown to inhibit T cell proliferation (24). The expression of IDO is stimulated by IFN $\gamma$ , an effect shown clearly for  $\alpha$ -GalCer-treated human peripheral blood mononuclear cells (25). We thus asked whether the diminished CTL effector responses observed after iIAV +  $\alpha$ -GalCer reflected increased IDO expression and the consequent suppression of CTL expansion. Quantitative RT-PCR analysis showed a significant upregulation of IFN- $\gamma$  and IDO (Fig. 5A) mRNA in the lymph nodes of mice vaccinated with iIAV +  $\alpha$ -GalCer compared with those given iIAV alone. Increased IDO expression was not observed in CD1d-deficient mice (NKT cell deficient), suggesting a requirement for NKT cell activation.

We further evaluated the role of IDO at early and late time points by blocking its activity with 1-methyl tryptophan (1-MT). Administration of 1-MT from the day of immunization together with  $\alpha$ -GalCer treatment largely restored the influenza-specific primary effector response in both the spleen and lymph node (Fig. 5B). However, IDO inhibition had little effect on IAV-specific memory CTL generation, because mice treated with 1-MT in addition to iIAV +  $\alpha$ -GalCer displayed the same increased percentage and number (Fig. 5C) of D<sup>b</sup>NP<sub>366</sub>-specific CD8<sup>+</sup> memory T cells found at 6 weeks in those given only iIAV +  $\alpha$ -GalCer (Fig. 5C). These findings thus suggest that NKT cell-derived IFN $\gamma$  induces increased IDO expression, which in turn inhibits the full expansion and maturation of the acute CTL effector response after iIAV +  $\alpha$ -GalCer priming. Furthermore, it seems that the  $\alpha$ -GalCer-induced inhibition of acute CTL effector generation and the increase in memory CTL cells may reflect independent mechanisms.

**$\alpha$ -GalCer Induces the Upregulation of Prosurvival Genes in T Cells.** Administration of  $\alpha$ -GalCer inhibits the expansion of CTL effectors at the peak of the response while enhancing T cell memory. Prosurvival gene expression has been found to correlate with the establishment of long-lived memory T cells (26). We thus measured bcl-2 expression CD8<sup>+</sup> T cells 7 days after vaccinating mice with iIAV  $\pm$   $\alpha$ -GalCer (Fig. 6). The  $\alpha$ -GalCer treatment was associated with increased bcl-2 expression (Fig. 6) in CD44<sup>+</sup>CD8<sup>+</sup> CTLs (memory phenotype) compared with the same population from mice that received iIAV alone. This  $\alpha$ -GalCer-dependent increase in bcl-2 levels was observed in



**Fig. 5.** Increased expression of IFN $\gamma$  and IDO inhibit T cell proliferation. (A) Quantitative analysis of IFN $\gamma$  and IDO transcript accumulation was performed for the LNs of CD1d<sup>+/+</sup> and CD1d<sup>-/-</sup> mice given iIAV  $\pm$   $\alpha$ -GalCer. Results are expressed in arbitrary units (log<sub>2</sub>) of molecules normalized to hypoxanthine phosphoribosyltransferase  $\pm$  SD for groups of 9 to 3 mice. (B) Mice were vaccinated with iIAV  $\pm$   $\alpha$ -GalCer, and some were given 1-MT for 7 days. The proportion of CTLs was evaluated in the spleen (Left) and the LN (Right). Results are expressed as mean  $\pm$  SD for groups of 10 mice. (C) Mice were analyzed 6 weeks after treatment for the percentage and number of CTLs in the spleen. Results are expressed in mean  $\pm$  SD for groups of 5 mice.  $^{**}P \leq 0.001$ .

both the spleen ( $P < 0.001$ ) and the draining LN ( $P < 0.05$ ), supporting the notion that  $\alpha$ -GalCer promotes CTL survival and development into long-lived memory by inducing the expression of survival genes.

## Discussion

In this study we show that  $\alpha$ -GalCer given at the time of vaccination with a high dose of an inactivated, nonreplicating virus has a powerful adjuvant effect that augments the later recall of CD8<sup>+</sup> T cell memory. This enhanced memory is associated with a diminution in the primary effector CTL response after vaccination, an effect dependent on NKT cell

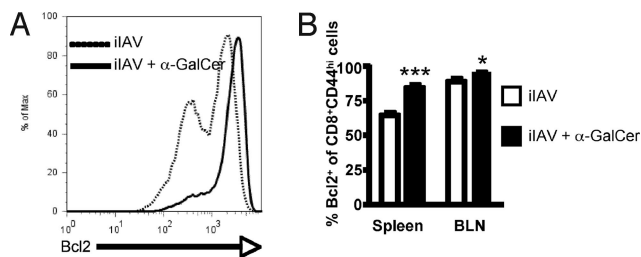
activation and subsequent IDO expression, and likely reflects both a greater prevalence of T<sub>CM</sub> CTL precursors and increased expression of prosurvival genes like bcl-2.

Previous studies in a variety of immune models have shown that  $\alpha$ -GalCer can improve antibody and T cell responses (13, 15–17, 22, 27, 28). For example,  $\alpha$ -GalCer has been shown to have potent adjuvant activity when combined with iIAV vaccines (15–17). However, though  $\alpha$ -GalCer promotes the IAV-specific antibody response, the protective effect was probed only with homologous virus challenge, a situation where the neutralization of the input virus would mask any possible CTL-mediated effect (16, 17). The present study minimizes the antibody component by using the well-characterized model of heterologous IAV challenge (21). The findings show clearly that giving  $\alpha$ -GalCer with iIAV (H1N1) virus results in enhanced protection following respiratory challenge with a serologically distinct HKx31 (H3N2) IAV.

We found that  $\alpha$ -GalCer diminished iIAV-induced effector CTL generation at the peak of the primary response while at the same time augmenting CD8<sup>+</sup> memory T cell numbers. This finding was counterintuitive, as the size of the memory pool has been generally thought of as a direct reflection of the extent of clonal expansion during the initial primary response (29). It is known that  $\alpha$ -GalCer increases IL-12 production (30) via NKT cell-dependent CD40 ligation (31) and induces the rapid maturation of CD8<sup>+</sup> and CD8<sup>-</sup> DC subsets (22). Furthermore, early DC maturation can diminish acute CTL responses in virus infections (23). However, though some effect on DC phenotype was observed after  $\alpha$ -GalCer + iIAV, it was only marginally different from that induced by iIAV alone. Furthermore,  $\alpha$ -GalCer resulted in efficient antigen presentation after iIAV vaccination. It thus seems unlikely that  $\alpha$ -GalCer-induced activation of early DC maturation is the primary explanation for the diminished effector CTL response.

It is known that  $\alpha$ -GalCer-dependent activation of NKT cells results in the release of a large number of cytokines, including IFN- $\gamma$ . Interestingly, a recent report showed that  $\alpha$ -GalCer promotes the IFN- $\gamma$ -dependent expression of IDO, an enzyme that is important in tryptophan metabolism. Tryptophan is essential for optimal T cell proliferation, and IDO-induced tryptophan degradation results in the suppression of T cell expansion and more rapid apoptosis (32). Following iIAV, the concurrent administration of  $\alpha$ -GalCer is associated with increased IFN- $\gamma$  and IDO expression, indicating that the acute suppression of vaccine-induced CTL responses is dependent on NKT cell activation and IDO activity. This points to a natural role for NKT cell regulation in IAV-induced CTL responses that can be overcome by the inhibition of IDO catalytic activity. Given that lung resident NKT cells can be activated to produce a range of cytokines (33), it would be intriguing to determine what cytokines are produced during influenza A virus infection, and which, if any, are important in modulating responsiveness.

Both the level of inflammation and the antigen load during the initial priming phase are thought to be crucial for determining the size of the effector CTL response and the rate of memory T cell recall after virus challenge (34). This more rapid generation of CTL memory has been attributed to a relative lack of inflammatory signals (such as IFN- $\gamma$ ) in the absence of infection (35). Though  $\alpha$ -GalCer does induce some production of proinflammatory cytokines, such as IFN- $\gamma$ , this is transient, and the NKT cells are refractory to subsequent  $\alpha$ -GalCer treatment (36). Perhaps  $\alpha$ -GalCer causes just enough inflammation to optimize DC activation for efficient memory CTL generation. The fact that CD62L<sup>hi</sup> IL7R<sup>+</sup> D<sup>b</sup>NP<sub>366</sub>-specific CTLs are found in greater proportions at early time points in  $\alpha$ -GalCer-treated mice supports this notion. Furthermore, bcl-2 expression within CTLs is enhanced after  $\alpha$ -GalCer treatment, and the capacity of  $\alpha$ -GalCer to promote the T<sub>CM</sub> set while diminishing the effector



**Fig. 6.** Enhanced memory-cell generation correlates with increased survival signals. (A) Representative histograms of intracellular Bcl-2 expression by splenic CD8<sup>+</sup>CD44<sup>+</sup> T cells from mice given iIAV + (Right) or - (Left)  $\alpha$ -GalCer. (B) Quantitation of the proportion of CD8<sup>+</sup>CD44<sup>+</sup> CTLs expressing intracellular Bcl2 at 7 days after iIAV  $\pm$   $\alpha$ -GalCer. Results are expressed as mean  $\pm$  SD for groups of 5 mice.  $^{*}P \leq 0.05$ ;  $^{***}P < 0.001$ .

CTL response reflects the known role of prosurvival genes such as *bcl-2* in facilitating memory (37).

Given the influence of  $\alpha$ -GalCer on both the acute and memory CTL responses to influenza, we speculate that NKT cells may be important regulators of CD8<sup>+</sup> T cell-mediated immunity. In support of this, antigen-specific CTL responses were increased in magnitude after iIAV vaccination in NKT cell-deficient mice compared with wild-type mice. Interestingly, this did not extend to improved cytokine production on a per cell basis. We are currently examining the capacity of NKT cell activation to modulate cytotoxic capacity of virus-specific CTL. Thus, though the participation of NKT cells in host defense against infection is still controversial, it is now clear that the NKT population can function to promote (at least) vaccine responses that enhance protective CTL memory.

Overall, the present analysis indicates that adding  $\alpha$ -GalCer to inactivated virus vaccines enhances protective T cell-mediated immunity. The current influenza immunization strategy depends totally on inducing a neutralizing antibody response, with the risk that any protective effect is lost if the virus mutates or there is the emergence of a new strain from wildlife reservoirs. Though vaccines have been produced against some of the H5N1 viruses that cause a low level of human infection (but with very high mortality), there is always the possibility that the virus will change or that the pandemic threat may be a novel H7N7 or H9N2 variant. Priming a cross-reactive CTL response directed at peptides from conserved internal proteins could never prevent such infections, but mouse experiments suggest that, if the level of memory is sufficiently high, the CTL response does function to moderate the severity of the disease process. Applications using  $\alpha$ -GalCer as an adjuvant are already in clinical trial, so there is some justification for exploring this possibility with influenza.

## Materials and Methods

**Mice, Viruses, and Treatments.** Female C57BL/6J (B6, H-2<sup>b</sup>) and C57BL/6.CD1d<sup>-/-</sup> were bred in the animal facility of the Department of Microbiology and Immunology at the University of Melbourne (Parkville, Australia). The CD1d<sup>-/-</sup> mice were originally provided by L. Van Kaer (Vanderbilt University School of Medicine, Nashville, TN) and backcrossed to B6 for 10 generations. Naïve mice were anesthetized at 6 weeks of age by inhalation of (methoxyfluorane) penthrane and injected s.c. (100  $\mu$ l) in the scruff of the neck with 7.5  $\mu$ g of inactivated PR8 IAV vaccine (iIAV) with PBS alone or with 1  $\mu$ g of  $\alpha$ -GalCer adjuvant. For challenge experiments, mice were infected i.n with 10<sup>4</sup> pfu of the HKx31 (H3N2) IAV in 30  $\mu$ l of PBS at least 6 weeks after the primary immunization. Virus stocks were grown in eggs. The PR8 virus was inactivated via formalin fixation and purified on a sucrose gradient by ultracentrifugation.

**Adjuvants and Inhibitors.** 1-Methyltryptophan (1-MT; Sigma-Aldrich) was dissolved in 1 M hydrochloric acid at a ratio of 1 g 1-MT in 10 ml of 1 M HCl, then diluted in drinking water at 5 mg/ml 1-MT in water. The pH was adjusted with 5 M sodium hydroxide solution. When administered to mice in drinking water at 5 mg/ml during 7 days, this gives a  $2 \times 10^{-3}$  mM 1-MT concentration in serum

(38).  $\alpha$ -GalCer,  $\alpha$ -C-GalCer, and OCH were dissolved in 0.5% Tween 20 in PBS, which is used as a vehicle in all experiments.

**Tissue Sampling and Cell Preparation.** Spleens, BAL, and lymph nodes were recovered from the vaccinated and virus-challenged mice. Spleens were enriched for CD8<sup>+</sup> T cells by using anti-mouse IgG and IgM antibodies (Jackson ImmunoResearch).

**Tetramer and Cytokine (ICS Assay) Staining.** Virus-specific CD8<sup>+</sup> T cells were stained with APC-conjugated D<sup>b</sup>PA<sub>224</sub> or D<sup>b</sup>NP<sub>366</sub> tetramers, then with anti-mouse CD8 $\alpha$ -allophycocyanin-Cy7 (57–6.7), anti-CD62L-fluorescein isothiocyanate (MEL-14), and anti-CD127-phycoerythrin (SB/199) mAbs (BD Biosciences Pharmingen).

Cells were stimulated with NP<sub>366</sub> peptides in cRPMI medium containing 1  $\mu$ g/ml GolgiPlug (BD Biosciences Pharmingen), washed, and stained with a PerCP-Cy5.5 conjugated mAb to CD8. Cells were fixed, permeabilized with BD Cytotfix/Cytoperm Kit, stained with mAbs to IFN- $\gamma$  (FITC), TNF- $\alpha$  (APC) and IL-2 (PE) (BD Biosciences Pharmingen), washed, and analyzed by flow cytometry.

For analysis of intracellular *bcl-2* staining, splenic CD8<sup>+</sup> T cells were isolated from mice 8 days after iIAV vaccination  $\pm$   $\alpha$ -GalCer, fixed, and permeabilized as above and stained with mAbs to murine *bcl-2*, CD8, and CD44. Cells were analyzed by flow cytometry.

**Quantitative RT-PCR.** Total RNA was isolated using TRIzol (Invitrogen), and 10  $\mu$ g of RNA was treated with DNase and reverse transcribed using an MMLV Reverse Transcriptase Kit (Invitrogen). Quantitative RT-PCR was performed using the Roche LightCycler 480 System. All qRT-PCR reactions were prepared in 10  $\mu$ l with final concentrations of  $1 \times$  LightCycler 480 Probes Master, 200 nM forward and reverse primers, and 100 nM Universal ProbeLibrary probe, using the following cycling conditions: 95  $^{\circ}$ C for 10 min; 45 cycles of 95  $^{\circ}$ C (10 sec) and 60  $^{\circ}$ C (30 sec); and 40  $^{\circ}$ C 1 min to cool. Direct detection of PCR products was monitored by measuring increase in fluorescence. Relative expression (AU, arbitrary units) was calculated using the  $2^{-\Delta\Delta Ct}$  method.

**Lung Virus Titration.** Mice were killed by cervical dislocation and the lungs were removed and homogenized for virus titration by plaque assay on MDCK cells. Near-confluent 25 cm<sup>2</sup> monolayers were infected with serial dilutions of lung homogenate for 1 h at 37  $^{\circ}$ C, then washed with PBS and 3 ml of MEM containing 1 mg/ml L-1-tosylamido-2-phenylethyl chloromethyl ketone-treated trypsin (Worthington). Agarose (0.8%) was added and the cultures were incubated at 37  $^{\circ}$ C under 5% CO<sub>2</sub> atmosphere for 72 h. Plaques were then enumerated.

**Statistical Analysis.** Results are expressed as mean  $\pm$  SD, statistical significance was evaluated using the Mann-Whitney rank sum *U* test, and *P* values  $\leq 0.05$  were considered significant.

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