

# Immunogenetic Programs for Viral Induction of Mucous Cell Metaplasia

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Mucous secretions are frequently implicated in the morbidity and mortality associated with respiratory illness and airway disease, but we still do not understand precisely how these secretions develop or how to control them. A likely possibility is that the increased mucus is a consequence of overproduction and subsequent secretion in the setting of mucous cell metaplasia. In that regard, airway inflammatory diseases are invariably characterized by excessive mucous cell metaplasia, but precisely how inflammatory stimuli might influence mucous cell levels remains uncertain. We have used mouse models of viral bronchiolitis in concert with studies of patients with hypersecretory airway disease to define the cellular and molecular mechanisms for mucous cell metaplasia. Here we review our recent work that defines upstream immune events and downstream epithelial events that drive persistent mucous cell metaplasia. To date, we now recognize that upstream events include a new immune axis for growth factor and cytokine production and downstream events that include ciliated epithelial cell survival and transdifferentiation to mucous cells as well as expression of chloride channel calcium-activated (*Clca*) genes. To the extent that we can monitor these events in humans, it appears that similar alterations are found in patients with asthma and patients with chronic obstructive pulmonary disease (COPD). Together, the studies achieve more precise definition of just how viruses reprogram airway behavior and thereby provide a more rational basis for restoring epithelial architecture to normal.

**Keywords:** airway epithelial cell; airway hyperreactivity; apoptosis; asthma; chronic obstructive pulmonary disease; mucosal immunity

An excess of airway mucous secretions is likely one of the most common maladies of humanity. The condition is not only an invariable feature of acute respiratory illnesses but is also a major feature of chronic lung diseases (Figure 1). In fact, the association with complex airway diseases such as asthma and chronic obstructive pulmonary disease (COPD) is likely responsible for much of the morbidity and mortality associated with these conditions. In the case of asthma, reports of mucus plugging and inspissation are often portrayed as characteristic of autopsies of patients with asthma. Similarly, much of the distress of patients with COPD may depend on disease of small airways that are grossly overpopulated with mucous cells (Figure 1). Indeed, some of these patients manifest only little of the other classical airway disease trait, namely, airway hyperreactivity, and yet still

exhibit marked airway obstruction as well as functional compromise. Indeed, our impressions are that the degree of mucous cell metaplasia in chronic bronchitis/COPD is greater than the degree of airway hyperreactivity, whereas the opposite trend may hold for these traits in asthma. Nonetheless, in both of these diseases, as well as other hypersecretory conditions and diseases, mucous cell metaplasia is likely a major cause of respiratory symptoms, including cough and shortness of breath.

So, how do we explain the development of mucous cell metaplasia, especially in the setting of chronic airway disease? We have reasoned that two basic issues must be resolved: first, what are the upstream regulatory events that drive an airway epithelial cell to become a mucous cell; and second, what are the corresponding events that occur downstream at the level of the airway epithelial cell? In the first case, we have taken primarily an immunologic approach to define the inflammatory process that impacts airway epithelial behavior. In the second case, we have taken a combined genetic and genomic approach to better understand intrinsic epithelial cell biology. The present review contains two major sections to summarize progress on each of these questions. Perhaps as expected, there is significant overlap in the two issues, so we also attempt to integrate these concepts into a scheme for the development of mucous cell metaplasia and to point out the gaps for future studies of this problem.

## IMMUNE PROGRAMS FOR MUCOUS CELL METAPLASIA

In defining the upstream regulatory events that might modify airway epithelial cell behavior, it was natural to consider the influence of the immune system. Thus, the concept has gradually evolved that chronic airway diseases, typified by asthma and COPD, are driven by a detrimental inflammatory response, and further that this mechanism represents an aberration or extension of the normal immune response. Despite the complexity of the immune response (or perhaps because of it), a relatively simple scheme was developed for asthma pathogenesis that rests on the classification of the adaptive immune system, and especially the T cell responses to allergic and nonallergic stimuli that enter the airway. This scheme rests on a relative increase in Th2 in combination with a decrease in Th1 cellular responses. Mouse models indicate that Th1 cells may still be necessary for the development of a Th2 response (1, 2) and that CD8<sup>+</sup> T cells, NKT cells, and regulatory T cells (Treg) may also contribute to the allergic response (3–6). However, these variations can still be integrated into the hypothesis that asthma pathogenesis depends critically if not solely on the overproduction of Th2 cytokines (7–12). Among Th2 cytokines, perhaps the strongest case exists for IL-13 and perhaps IL-9 in driving the special problem of mucous cell metaplasia (13–15).

Some have argued that asthma may overlap with COPD pathogenesis (16). Shared mechanisms are highlighted by the association of abnormal airway inflammation with progression of COPD (17). Immune cell infiltration in asthma (typically by eosinophils, mast cells, and CD4<sup>+</sup> Th2 cells) is often contrasted with inflammation in COPD (often characterized by neutrophils, macrophages, and CD8<sup>+</sup> T cells). However, CD4<sup>+</sup> T cells appear prominently in COPD and CD8<sup>+</sup> T cells may contribute to

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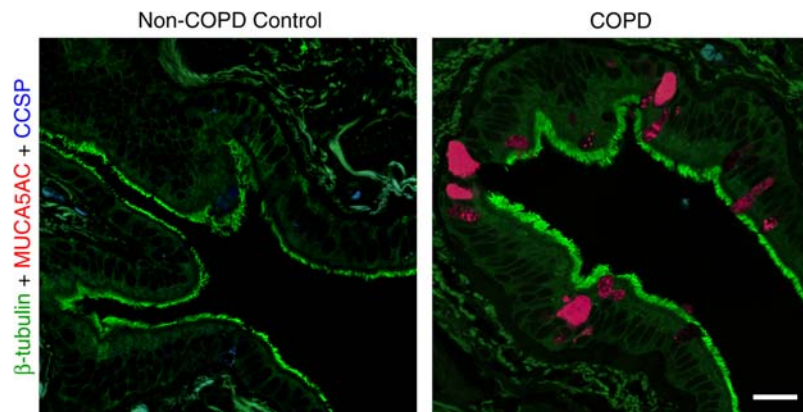
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**Figure 1.** Histologic evidence of mucous cell metaplasia in COPD. Representative photomicrographs of airway sections from patients with COPD and from control patients without COPD using whole lung explants obtained at the time of lung transplantation. Sections were immunostained for  $\beta$ -tubulin (green), MUC5AC (red), and CCSP (blue) and imaged by laser scanning confocal microscopy as described previously (29, 84). Bar = 20  $\mu$ m.

asthma (17, 18), and activated macrophages may be found in both conditions (19). In either case, the same mediator profile may be produced by more than one cellular source, so even with a distinct inflammatory cell profile, it is still possible that asthma and COPD share similar molecular mechanisms and consequent overlap in endorgan dysfunction. In that regard, genetic analysis indicates that candidate genes such as *IL-13* yield similar susceptibility profiles in cohorts of subjects with asthma and COPD (20), and overexpression of *IL-13* in mice may lead to disease traits common to asthma and COPD (21). In the absence of allergy, it is therefore possible that another component of the immune response could lead to Th2 cytokine production and consequent airway disease. As developed further below, viral induction of chronic *IL-13* gene expression is a candidate for such a shared mechanism among airway diseases.

#### An Alternative Immune Model: Airway Responses to Virus

To address the issue of asthma and COPD pathogenesis, we turned our attention to the host response to respiratory viruses. The approach was a natural consequence of our interest in developing a model that included airway epithelial cell and macrophage activation, since we considered these to be essential features of chronic airway disease (19, 22). For species, we chose a mouse model, since it is particularly suited to defining immunologic and genetic determinants that can then be tested for mechanistic relevance in patients with asthma or COPD. For viruses, we focused on common respiratory viruses that target the airways and have been linked to development or at least exacerbation of chronic airway disease. This raised the possibility of using a paramyxovirus, and within this family of negative-strand RNA viruses, there are several common human and mouse pathogens available for laboratory use (23). Among these viruses, RSV would have been a useful choice, as it is the most common cause of serious respiratory illness in early childhood and is most often associated with the development of childhood asthma. Unfortunately, mice are relatively resistant to infection with RSV, so a high threshold inoculum of virus must be given and the resulting all-or-none pattern of illness manifests primarily as alveolitis with viral localization to type I alveolar epithelial cells (24). Thus, although RSV was particularly useful in studies of isolated human cells, its utility was limited for experimental studies done *in vivo*. We also considered using a newly identified human pathogen, human metapneumovirus (hMPV), that appears to be associated with asthma, but the native virus is also weakly pathogenic in immunocompetent mice (E. Agapov and M. J. Holtzman, unpublished observations). In immunocompromised animals and humans, hMPV causes more severe infection (25, 26), but implications for airway disease are still being defined.

Recently, another hMPV isolate has been reported to cause a persistent infection and Th2 cytokine response in mice (27). This type of variability has also been found for RSV and pneumonia virus of mice (PVM), so in each case it will be of interest to define the genetic differences between viral isolates (or with viral passage) that account for a change in host range and response.

While we continue to study these issues, we initiated our *in vivo* studies by using a related paramyxovirus, namely, mouse parainfluenza type I or Sendai virus (SeV) in the mouse model. SeV was isolated initially from humans and exhibits natural pathogenicity in immunocompetent mice. Delivery of intranasal SeV in the proper inoculum (e.g.,  $10^5$  pfu) and mouse strain (e.g., C57BL/6J) allows for the development of viral bronchiolitis that maintains high fidelity with what we observe in humans. In particular, there is reversible illness with infection limited to the airway mucosa and inflammation largely restricted to peribronchial and bronchiolar tissues (28, 29). At higher inoculum, there is propagation of infection to distal airspaces with bronchopneumonia that if severe enough can lead to respiratory death (19, 30). At either inoculum, viral replication is localized primarily to airway epithelial cells (although detectable in airspace macrophages as well), thereby resembling the pattern that is found in children with severe paramyxoviral infection due to RSV (30, 31). Moreover, the infected epithelial cell population expresses a profile of immune-response gene expression similar to the one found in cultured airway epithelial cells infected with RSV or SeV (32). Subsequent work has indicated that both the epithelial cell (via  $\text{IFN-}\beta$ - $\text{IFNAR}$  signaling) and the macrophage (via chemokine *CCL5-CCR5* signaling) are necessary for antiviral defense and host survival (30, 33, and unpublished observations, L. P. Shornick and M. J. Holtzman). It also appears that more severe infection will drive a more prominent chronic response as developed in the next section.

#### Chronic Airway Responses to Virus

Our initial studies of paramyxoviral infection provided a useful framework for new observations on innate antiviral immunity, and the particular contributions of airway epithelial cells and macrophages, but the work focused largely on the acute response to viral infection. Because asthma and chronic bronchitis are often lifelong diseases and are strongly influenced by genetic background, we next questioned whether our experimental approach could be further developed to address the critical issues of chronicity and susceptibility. We therefore next focused on whether we could detect a long-term effect of respiratory viral infection on airway behavior, and if so, whether we could segregate this chronic change from the acute events that surround viral infection. We reasoned that inhibition of the acute inflammatory

response could be achieved by targeted disruption of airway epithelial immune-response genes and so influence acute but not chronic inflammatory disease phenotypes. As noted above, among candidate genes that might mediate immune cell traffic, intercellular adhesion molecule (ICAM)-1 is the predominant determinant for adhesion of immune cells to epithelial cells *in vitro* (34–36). Thus, loss of ICAM-1 function would likely lead to decreased airway inflammation. Indeed, we found that ICAM-1 expression is induced primarily on host airway epithelial cells by viral infection and is necessary for the full development of acute inflammation and concomitant postviral airway hyperreactivity (28). These findings finally established a cause-and-effect relationship between acute airway inflammation and hyperreactivity that had been proposed in our initial report (37).

While these findings linking inflammation and hyperreactivity were perhaps expected, the next results were surprising. Thus, as we monitored host response over time, we discovered that a single, primary viral infection also caused airway hyperreactivity and mucous (goblet) cell metaplasia that lasted for at least a year after complete clearance of virus (28). This long-term (essentially permanent) phenotype developed regardless of ICAM-1 deficiency and ICAM-1-dependent alteration of the acute inflammatory response, thereby indicating distinct determinants for acute and chronic airway responses. Furthermore, the long-term virus-induced abnormalities were uninfluenced by IFN- $\gamma$  deficiency, since each trait developed in IFN- $\gamma$ -null mice as well. Each of these features is distinct from allergen-induced airway hyperreactivity and mucous cell metaplasia in the same mouse system. Thus, airway hyperreactivity and mucous cell metaplasia are also inducible by allergen challenge in this genetic background, but in the case of allergy, the phenotypes resolve spontaneously with time (in the absence of treatment or additional challenges) and are sensitive to the levels of IFN- $\gamma$ . Indeed, the IFN-independent nature of virus-induced disease traits in this experimental setting is reminiscent of the low levels of IFN- $\gamma$  or IFN- $\gamma$ -producing cells found in subjects with asthma (22). Whether IFN production is similarly low in COPD, and whether other features of this inflammatory response are similar to the pattern in subjects with asthma and those with COPD, is still under study. While we define the mechanism for development of disease traits in this mouse model, we have therefore designated the phenotype as “asthmitis,” recognizing that the type of inflammation is distinct from the allergic response and (as developed below) likely shares cellular and molecular features found in both subjects with asthma and in those with chronic bronchitis.

Before concentrating further on the host response, it is also useful to consider the viral determinants for how respiratory viral infections can cause permanent abnormalities in airway behavior in the experimental setting and perhaps in humans. We recognized at least three possibilities to explain long-term actions of paramyxoviruses: (1) persistent infection (similar to hepatitis C virus), (2) mutant quasi-species (similar to coronaviruses), or (3) a hit-and-run phenomenon (similar to adenoviruses). Experiments aimed at monitoring tissue (especially lung) levels of virus with immunoblotting, immunostaining, plaque-forming assay, and real-time PCR all indicated that SeV was completely cleared from the organism by 2 wk after inoculation. This finding indicated that the actions of SeV to cause a chronic airway disease phenotype are based on a hit-and-run strategy since viral effects persist after clearance. Indeed, the results provide evidence of the capacity for nononcogenic RNA viruses to irreversibly reprogram host cell behavior in a manner previously restricted to oncogenic DNA viruses (38, 39). Further proof and understanding of this part of the process will depend on identifying specific viral gene products responsible for altering

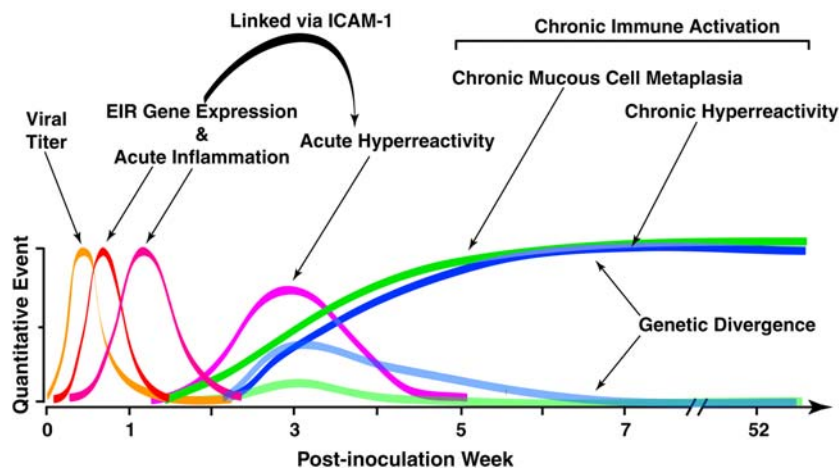
host gene expression and consequent phenotype. We have not found the same degree of chronic response after infection with influenza virus or hMPV. This preliminary finding raises the possibility of a subtractive genomic approach to define viral determinants for induction of chronic airway disease traits.

### Determinants of the Chronic Response

Our initial work indicated that a single paramyxoviral infection could cause both acute and chronic responses in the host. These findings therefore raised the possibility that asthma not only resembles a persistent antiviral response (19, 22) but may also be caused by such a response, and so provided the experimental link between paramyxoviral infection in early life with subsequent asthma in childhood and perhaps adulthood. The findings also provide a substrate for segregating a complex disease into individual traits that can then be linked to the expression of specific host genes. Thus, ICAM-1 expression appears capable of regulating the acute response, whereas additional determinants may confer individual traits (i.e., airway hyperreactivity and mucous cell metaplasia) that constitute the chronic phenotype. This process can be modeled using a time-dependent scheme for acute and chronic responses to viral infection (Figure 2). Having uncovered several determinants of the acute response, our next experiments aimed at identifying candidates to mediate the chronic response to virus.

In searching for candidates to mediate the virus-induced chronic response, we recognized that recent work on mucous cell metaplasia often focused on signaling pathways initiated by activation of the IL-13 receptor (IL-13R) and the epidermal growth factor receptor (EGFR, also designated ErbB1 or HER1). The experimental role of IL-13R was established when a decoy receptor for IL-13 (soluble IL-13R $\alpha$ 2-Fc) was found to inhibit allergen-induced mucous cell formation in mice (13, 14). These reports have been followed by evidence that IL-13 can directly drive mucin gene expression in airway epithelial cells cultured under physiologic culture conditions and *in vivo* (40–43). Moreover, IL-13 is often overexpressed in the setting of mucous cell metaplasia in asthma and COPD (8, 44, 45). The downstream events connecting IL-13R activation to mucin gene expression are incompletely defined, but preliminary work indicates requirements for Stat6, MEK/ERK, p38 MAPK, and PI3K activation *in vitro* but not always *in vivo* (42, 46). These effects may develop in concert with calcium-activated chloride conductance to promote fluid secretion and consequent mucociliary clearance (47). As developed below, this function may be connected to expression of a calcium-activated chloride channel (CLCA) that is specific for mucous cells (48). Thus, IL-13 appeared to directly stimulate epithelial mucin formation, but the type of epithelial cell that was targeted and the cellular process for mucous cell differentiation remained less certain.

Similar to the case for IL-13, the pathway for EGFR activation leading to mucous cell metaplasia was not well defined. Altered EGFR expression was found in asthma and in animal models of asthma, but expression was variably found on mucous cells as well as other types of airway epithelial cells (e.g., squamous, basal, ciliated, and Clara cells) (49–58). This variability was further complicated by uncertainty over the specificity of anti-EGFR antibodies and their capacity to define EGFR activation status. In addition, similar to the case for IL-13, animal models often relied on allergen challenge that appeared to drive mucin gene expression predominantly in cells that resemble Clara cells by morphology (59–61). These cells expressed Clara cell secretory protein (CCSP), but tracking cell lineage was complicated by EGFR and IL-13-dependent stimulation of CCSP expression, perhaps in multiple cell types (62). Furthermore, extensions of these studies to signaling mechanisms was often



**Figure 2.** Model for acute and chronic airway responses to respiratory viruses. The model is based on the time course of quantitative traits that develop after primary paramyxoviral infection in mice. Events begin with viral replication (which peaks at 3–5 d after inoculation) that is later cleared from the lung (by 2 wk after inoculation). This initial infection is followed by induction of epithelial immune-response gene expression (which peaks at 5 d after inoculation) and is followed by immune cell infiltration (which peaks at various times depending on cell type, e.g., 3 d for neutrophils, 8 d for macrophages, and 12 d for lymphocytes). Each of these events is linked to the subsequent development of acute airway hyperreactivity that depends on ICAM-1 gene expression and peaks at ~ 21 d after inoculation. After this time, there is progressive and chronic mucous cell metaplasia and hyperreactivity that persist indefinitely after infection and are driven by ongoing pressure a repro-

grammed innate and adaptive immune system. These chronic disease traits can be genetically segregated by choice of inbred mouse strain or breeding for susceptible and resistant offspring. A similar set of events may follow respiratory viral infection in children that develop a persistent or recurrent asthma phenotype. Modified from Ref. 115.

performed in transformed cell lines (54, 56, 63–68), and even when primary airway epithelial cells were used, cultures were not fully differentiated under physiologic conditions to a respiratory epithelium (55, 69–71). Thus, one scheme from this work suggested that IL-13 stimulation of EGFR signaling leads to mucin gene expression (58), but studies of airway epithelial cells under physiologic conditions show that IL-13 fully stimulated mucous cell metaplasia despite EGFR blockade (42). Thus, there was likely a fundamental requirement for EGFR activation in mucociliary differentiation (42, 72), but how this requirement may be linked to the modification of epithelial cell growth or differentiation during cytokine stimulation, inflammation, or infection still needed to be defined. Indeed, the whole subject of EGFR regulation of cell survival, which appears critical for neoplasia, had not yet been taken into account in the process of epithelial cell metaplasia during inflammatory disease. Moreover, previous approaches concentrated predominantly on the acute phase of epithelial remodeling without addressing chronic mucous cell metaplasia in hypersecretory airway diseases.

We addressed these issues in our mouse model of airway epithelial remodeling that features a delayed but permanent virus-inducible switch to mucous cell metaplasia (28). When we examined the behavior of EGFR signaling in this model, we detected acute activation of EGFR during the epithelial repair phase that was localized to basal cells and immune cells, but this was replaced by chronic activation of EGFR localized to ciliated epithelial cells during the development of mucous cell metaplasia. This chronic activation coincided with ciliated cell hyperplasia without a requirement for ongoing epithelial proliferation. Both ciliated cell hyperplasia and mucous cell metaplasia could be prevented by treatment with a new irreversible inhibitor of EGFR tyrosine kinase. These findings suggested a role for EGFR-dependent signaling pathways in ciliated cell survival, and we subsequently detected and defined such a mechanism that proceeds via PI3K/Akt signaling to selectively protect ciliated epithelial cells from apoptosis.

However, this ciliated cell mechanism did not readily explain a requirement for EGFR signaling in mucous cell metaplasia until we next detected ciliated cells that appeared to transdifferentiate to mucous cells under pressure from IL-13 stimulation at least *in vitro*. Consistent with this finding, inhibition of IL-13 signaling blocked mucous cell formation but also, by preventing transdifferentiation, further increased the level of ciliated cell

hyperplasia *in vivo*. The results thereby provided a new paradigm for chronic mucous cell metaplasia that depends on persistent activation of two complementary pathways: EGFR-PI3K signals that protect against ciliated cell apoptosis and IL-13 signals that promote ciliated to mucous cell transdifferentiation. This scheme is consistent with EGFR and IL-13 effects on airway epithelial cells *in vitro* as well as ciliated cell EGFR activation, IL-13 production, ciliated-to-mucous cell transdifferentiation, and mucous cell metaplasia in the epithelium of patients with asthma and of those with COPD. Therefore, treatment to fully restore normal epithelial behavior in asthma and related hypersecretory conditions such as COPD may need to be directed at combined correction of EGFR- and IL-13-dependent abnormalities in airway epithelial cell survival and differentiation. In that regard, we established the efficacy of an orally active and irreversible EGFR inhibitor and a soluble IL-13 decoy to prevent these abnormalities in epithelial architecture at least under experimental conditions in mice.

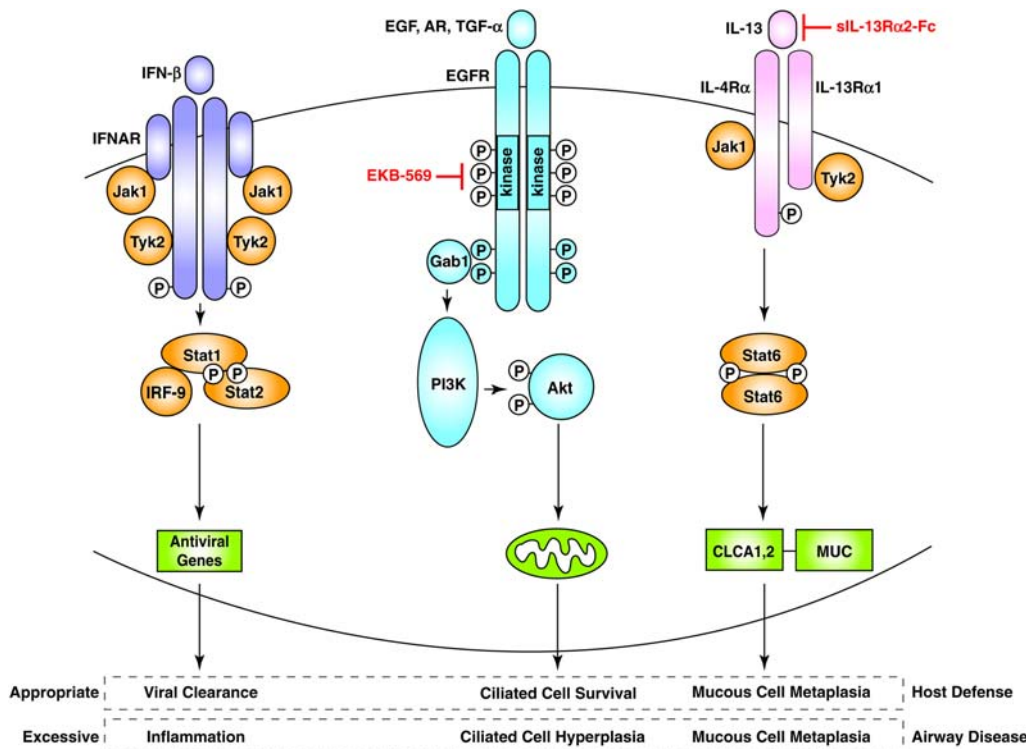
Our study provided initial evidence that transient viral bronchiolitis causes a long-term switch to ciliated cell hyperplasia as well as mucous cell metaplasia, and that the hyperplastic ciliated cell population exhibits persistent EGFR activation without proliferation. This finding came in some contrast to the fate of ciliated cells in other lung injury models in which this cell population is also primarily responsible for airway repair in concert with EGFR expression but depends on a marked proliferative response (51, 73). The findings therefore raised the unexpected possibility that prolonged EGFR-dependent cell survival (not proliferation) is critical for remodeling of epithelial structure toward a chronic asthma/bronchitis phenotype. Support for this possibility was obtained when we showed that EGFR blockade prevented ciliated cell hyperplasia *in vivo* and caused ciliated epithelial cell apoptosis *in vitro*. Additional study of airway epithelial cells cultured under physiologic conditions indicated that ciliated epithelial cell survival depends on uninterrupted EGFR signaling to PI3K. Otherwise, the ciliated cells proceed toward programmed cell death (via caspase activation) in a manner that appears analogous to virus-inducible apoptosis (30). The fidelity of our model to human disease is supported by initial experiments that detect ciliated epithelial cells with activated EGFR in airway sections from subjects with asthma, but further studies will be needed to verify this finding and extend it to other chronic airway diseases. Nonetheless, the findings indicate that the

plasticity and responsiveness of ciliated cells in the setting of airway damage and inflammation is an underappreciated but seminal feature of airway epithelial remodeling.

Another major set of findings from our work was focused on IL-13 signaling and the capacity of ciliated cells to transdifferentiate to mucous cells under IL-13 stimulation. This finding was also unexpected, since previous work had suggested that IL-13 may cause a decrease in ciliated cells and an increase in mucous cells, but no apparent connection was drawn between the two events (40, 42). We were able to capture snapshots of epithelial cells *in vitro* and *in vivo* that appear to be transitioning from a ciliated to a mucous cell phenotype under pressure from IL-13. In addition, we detected reciprocal increases in ciliated cell levels during IL-13 blockade *in vivo*. Additional cell lineage studies of this process are needed to fully define the mechanism of transdifferentiation, but the evidence points to a program that carefully coordinates ciliated and mucous cell formation to achieve proper mucosal immunity. Indeed, epithelial EGFR activation, ciliated cell hyperplasia, IL-13 production, and mucous cell metaplasia appear to develop together in concert. This type of coordination is likely required for efficient mucociliary function. Our results suggest that abnormally prolonged IL-13 production may lead to mucous cell metaplasia beyond the initial repair phase, and so explain how mucous cell metaplasia may develop in this setting. Thus, genetic susceptibility to the development of persistent EGFR activation as well as IL-13 production after viral infection may allow for the consequent development of mucous cell metaplasia. Whether a similar process occurs in response to other asthmagenic stimuli (e.g., allergen exposure) will need further study in models that mimic the human condi-

tion. Nonetheless, the fidelity of the present model to human disease is again supported by experiments that detect ciliated-to-mucous cell transdifferentiation in airway sections and cultured cells from patients with COPD likely under the influence of IL-13.

Together, our results on EGFR- and IL-13-dependent signaling provide a new paradigm for epithelial host defense and remodeling (summarized in Figure 3) that should be useful for developing a rational basis for therapies aimed at down-regulating hypersecretory conditions. Tyrosine kinase inhibitors in general and EGFR tyrosine kinase inhibitors in particular are being broadly developed for use in conditions exhibiting abnormal secretion, including asthma and COPD, but the cellular signaling context for their application to airway disease was uncertain. Our initial strategy used an irreversible inhibitor of EGFR tyrosine kinase activity with efficacy in preventing epithelial hyperplasia in a model of intestinal neoplasia (74). In that setting, the pharmacologic strategy was aimed at inhibiting epithelial proliferation, but our findings indicate that interrupting anti-apoptotic signals may be the primary target in inflammatory airway disease. Further development of approaches for targeting EGFR (as well as those directed at IL-13-dependent events) will also benefit from further defining the signaling events that regulate airway epithelial cell apoptosis and transdifferentiation. In that regard, we provide evidence that downstream signaling to prevent epithelial cell apoptosis proceeds through EGFR-dependent PI3K but not MEK/ERK activation based on selective inhibition. This finding implies that the targeted pathway extends from EGFR homo- or hetero-dimerization and activation of the receptor tyrosine kinase cytosolic domain to autophosphorylation of



**Figure 3.** Scheme for virus-inducible IFN, EGF, and IL-13 receptor-dependent signaling pathways in the airway epithelium. IFN signaling (shown here for IFN-β) proceeds via IFNAR activation to form the Stat1–Stat2–IRF-9 complex that upregulates expression of a series of antiviral genes. Production of EGF (or amphiregulin or TGF-α) causes EGFR activation followed by Gab1 recruitment and PI3K activation that causes activation of Akt that inactivates proapoptotic factors at the level of the mitochondria and promotes ciliated cell survival. IL-13 activates IL-13R and then Stat6 that drives expression of CLCA and MUC genes that promote cilia to mucous cell transdifferentiation. Under appropriate physiologic conditions, these pathways may lead to protection from viral infection, but if there is persistent or inappropriate activation in a susceptible genetic background, the same pathways may lead to airway inflammation, ciliated cell hyperplasia, and mucous cell metaplasia. Rational use of specific inhibitors (e.g., EGFR and IL-13 receptor blockers) may help restore balance and a normal epithelial architecture. Modified from Ref. 29.

tyrosine resides within the cytoplasmic domain, docking of Gab2/PI3K, and subsequent activation of Akt signaling (75). EGFR survival signals may be mediated by Akt-dependent phosphorylation and inactivation of the pro-apoptotic factor BAD (76, 77). Defining these downstream signals that preserve mitochondrial integrity may also help to develop therapeutic strategies aimed at blocking mucous cell metaplasia.

Our results for chronic EGFR-PI3K survival signaling stand in some contrast to reports of EGFR and other signals to ERK1/2 to promote cell survival under other circumstances (78). For example, respiratory syncytial virus (RSV) may trigger EGFR and ERK1/2 activation in cultured epithelial cells during the acute infection (79). This study was performed using transformed cells with necessarily altered death pathways or in submerged cell cultures that do not differentiate into ciliated epithelial cells. Nonetheless, we recently showed that infection with RSV (as well as SeV and influenza virus) may promote epithelial cell survival in the acute setting based on chemokine-dependent activation of either PI3K/Akt or MEK/ERK signaling both *in vitro* (using well-differentiated mouse and human cells) and *in vivo* (using a mouse model of acute infection) (30). This signaling mechanism is therefore distinct from the situation in the chronic setting. We also found evidence of EGFR activation *in vivo* during the repair phase after viral infection, but whether this signal is necessary for epithelial repair still needs to be determined. Here again, this issue will need to be addressed for successful use of EGFR inhibitors.

Our results complement previous ones suggesting that Clara cells also give rise to mucous cells. Thus, allergen-induced mucous cell metaplasia is accompanied by morphologic and biochemical evidence of CCSP-positive Clara cells containing mucous granules in mice (59–61). Inhibitor effects were not reported in those studies, but at least one report showed a decrease in Clara cell level concomitant with an increase in mucous cell level consistent with Clara-to-mucous cell transdifferentiation (59). Similarly, others used the rat CCSP promoter to delete endogenous IL-13 receptor signaling and downregulate mucous cell metaplasia in allergen-challenged mice (43). Given the present results, residual metaplasia in that model may have been derived from ciliated cells. However, comparison to the present results is complicated by the change in stimulus (from allergen to virus) and genetic background (from Balb/cJ to C57BL/6J). Moreover, IL-13 may regulate CCSP expression (Y. You and S. Brody, unpublished observations, and Ref. 62) and the rat CCSP promoter system may not be specific for mouse Clara cell expression. In fact, significant levels of CCSP-driven recombination can be found in ciliated airway epithelial cells (80). Thus, it may be necessary to track other markers of Clara cell lineage to fully define the relative contribution of Clara versus ciliated cell populations to mucous cell metaplasia. We found virus-induced decreases in Clara cell levels that were reversed by EGFR blockade but unchanged after IL-13 inhibition, so the significance of any Clara cell contribution to mucous cell metaplasia after viral infection remains uncertain. Nonetheless, our observations of CCSP and  $\beta$ -tubulin co-localization with MUC5AC in mice and in humans suggests that ciliated and Clara cells may each demonstrate sufficient plasticity to contribute to mucous cell metaplasia.

Our work extends the line of reasoning that airway epithelial cells may be specially programmed for normal immune defense (especially against respiratory viruses) and abnormally programmed in airway disease. Previous work focused on other epithelial immune responses (notably interferon signaling) and how the epithelium cleared infection in the short term (33, 81), whereas the subsequent work focused on EGFR and IL-13 and how the epithelium responds in the long term. Similar to other epithelial responses, the EGFR and IL-13 signals were likely

developed to protect host epithelial cells from the lethal effect of viruses and to optimize mucosal immunity (*see again* Figure 3). As noted above, the epithelial EGFR anti-apoptotic system may protect the host cell (often the ciliated cell) from cytopathic effects that allow spread of infection. In parallel, the IL-13-dependent mucous cell system may direct increases in mucus formation to aid mucociliary clearance of cellular and microbial debris from the airway. Both of these strategies are likely achieved in concert with epithelial interferon signaling that also protects the host cell by inhibiting viral replication. The present work adds the critical piece that demonstrates how, in some genetic settings, this normally protective response may be skewed toward a persistent response that results in a chronic asthma/bronchitis phenotype. Further study is needed to determine whether this genetic susceptibility is linked to EGFR mutations that confer anti-apoptotic signals and inhibitor sensitivity in lung cancer cells (82) or whether persistent EGFR activation is connected to IFN signaling and chronic Stat1 activation found in asthma (22) as may be the case in other epithelial barriers (83). Nonetheless, our results demonstrate that the abnormality in epithelial immune-response programming can be corrected by targeted inhibition of critical signaling steps.

### EPITHELIAL PROGRAMS FOR MUCOUS CELL METAPLASIA

In addition to defining upstream events that drive mucous cell metaplasia, we have also studied events at the level of the airway epithelial cell that regulate this process. We were particularly interested in events that occur *in vivo*. Thus, in contrast to earlier studies by our group and others of isolated airway epithelial cells, we explored this question in the mouse model of viral bronchiolitis and persistent mucous cell metaplasia. In that regard, the mouse model is particularly suited to defining genetic determinants that can then be tested for mechanistic relevance in subjects with asthma or COPD. Critical questions include how to segregate individual traits that comprise the chronic phenotype, and how host genetics determine susceptibility to developing the phenotype.

Accordingly, in another study, we aimed to fully segregate airway disease traits and thereby identify a pathway that might be associated with a single trait. We especially focused on defining the role of genes responsible for chronic mucous cell metaplasia versus airway hyperreactivity. As noted above, we recognized that both of these traits are inducible on a long-term basis after viral bronchiolitis in the C57BL/6J strain of inbred mice (28). In contrast, we next realized that the Balb/cJ strain responded similarly during the acute infection but then failed to develop any chronic alteration in airway behavior (84). We took advantage of this difference in genetic susceptibility to develop an F2 intercross population with phenotypic extremes that exhibited one or the other disease trait, and we analyzed these extremes by differences in gene expression using oligonucleotide microarrays. This combined genetic and genomic strategy provided evidence of a selective association between expression of a member of the calcium-activated chloride channel (*Clca*) gene family (i.e., *mClca3*) with the development of mucous cell metaplasia but not airway hyperreactivity. When mucous cell metaplasia persisted despite loss of *mClca3* function in newly developed *mClca3*<sup>-/-</sup> mice, we then defined the role of another *Clca* family member (i.e., *mClca5*) as being capable of shared biologic function. The findings thereby provided a useful model for segregating and defining complex disease traits in general and airway disease traits in particular. Moreover, the results help to clarify the specific role of the *CLCA* gene family in the development of mucous cell metaplasia in airway disease and thereby point

to homologies in this family as a target for selective therapeutic intervention.

This new work provided several new insights into the pathogenesis of complex airway diseases. In particular, we developed an experimental model for segregating airway disease traits and thereby defined independent genetic susceptibilities for developing the individual traits of mucous cell metaplasia versus airway hyperreactivity. We also took advantage of segregation into phenotypic extremes and genomic analysis to identify *mClca3* gene expression as being linked to one trait (mucous cell metaplasia) but not the other (airway hyperreactivity). In addition, we developed an *mClca3*<sup>-/-</sup> mouse and *Clca* gene-transfer system to show that *mClca3* was sufficient but not necessary for mucous cell metaplasia. This finding in turn led to the identification of *mClca5* as a functional homolog and thereby capable of substituting for *mClca3* in the development of this disease trait. The study thereby establishes the principles of genetic segregation and redundancy in the development of airway disease. Because of the clinical overlap and variability among individual patients with inflammatory airway diseases such as asthma and COPD, this principle is critical for understanding how these conditions develop and for developing more specific biomarkers and therapies for them.

Our study comes in the context of others that aim to define the genetic influence on the development of complex airway diseases. In that regard, inbred mouse strains have been used to define genetic differences in native airway reactivity as well as increases in reactivity after exposure to inhaled irritants (85–89). Others have examined differences between mouse strains or among populations of hyperreactive to hyporeactive crosses to establish determinants of airway hyperreactivity after allergen challenge (90–93). In the usual case, these previous studies were directed at defining a genetic locus for a single quantitative trait rather than segregating one trait from another in a complex phenotype. Our findings may serve to encourage a reductionist approach that isolates one trait within a complex phenotype and thereby allows for more precise definition of molecular mechanism for the trait under study.

Our results should also help to clarify the findings from previous studies of CLCA function in mice and humans. In an initial study, *mClca3* was found to be sufficient to cause airway hyperreactivity and mucous cell metaplasia when expressed in the mouse airway using adenoviral gene transfer (94). Furthermore, expression of an antisense construct for *mClca3* suppressed hyperreactivity and mucus production induced by allergen challenge in mice. Based on this information, *mClca3* was proposed as both necessary and sufficient for allergen-induced airway hyperreactivity and mucous cell metaplasia. However, others recently reported that allergen-induced mucous cell metaplasia is no different in *mClca3*<sup>-/-</sup> mice (developed in a 129/C57BL/6J chimeric background) compared with wild-type control mice, but these investigators did not examine airway reactivity (95). In addition, they analyzed only *mClca1*, *mClca2*, and *mClca4* gene expression, and when these genes showed no allergen induction, they concluded that other *Clca* family members could not compensate for the loss of *mClca3* in the development of mucous cell metaplasia. In fact, our work indicates that *mClca5* appears to be a suitable candidate for compensatory function.

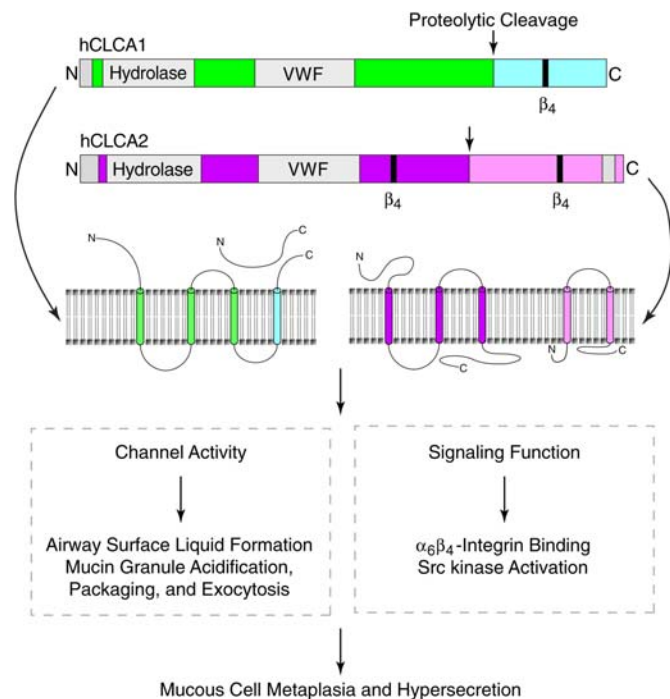
In that context, we note that the airway system may be designed for redundancy in the pathways leading to mucous cell metaplasia and hyperreactivity. There are currently at least 20 mucin genes and 6 CLCA family members, and both types of mucus regulatory genes appear to be expressed in airway tissue (96–100). The genes for CLCA family members are clustered on the short arm of chromosome 1 in human (syntenic for chromosome 3 in mouse) suggesting the presence of a large family

derived from gene duplication. Airway mucin genes (including MUC5AC) likely arose from a single ancestral gene on chromosome 11 as well (101). Moreover, mucous cell mucins and CLCA proteins may regulate cell adhesion as well as apoptosis in addition to other possible roles in host defense, repair, and ion movement (102). Thus, redundant pathways for preserving the CLCA-MUC axis and the consequent mucous cell lineage would likely confer a useful advantage to the host. An obvious corollary of this circumstance is that hCLCA1/*mClca3* inhibition achieved by niflumic acid (a chloride-channel blocker) may be effective by producing a more widespread inhibition of CLCA activities than a targeted gene knockout (103–106). Similarly, for antisense strategies, it is possible that the inhibitory effect on mucous cell metaplasia found in previous studies was due to cross-reactivity with other closely related and homologous targets, including other calcium-activated chloride channels (97, 98). Thus, the loss of either *mClca3* or *mClca5* alone would not be sufficient to block mucous cell metaplasia *in vivo*.

Precisely how *mClca3* or *mClca5* cause mucous cell metaplasia is uncertain. Structure–function studies indicate that expression of CLCA proteins results in a chloride current that is induced by the calcium ionophore ionomycin and inhibited by niflumic acid (107), but a connection between ion channel function and mucin gene expression remains uncertain. Possibilities include regulation of ATP-mediated mucin exocytosis as well as adenosine induction of mucin synthesis via EGFR transactivation, since these processes are also inhibited by niflumic acid (108, 109). However, other studies suggest that CLCA proteins may function not as ion channels but instead as signaling molecules (110). For example, all CLCA family members contain a von Willebrand factor (VWF) domain with signaling capabilities. Indeed, *mClca1* binding to  $\beta$ 4-integrin activates focal adhesion kinase (FAK) and downstream ERK with the potential to regulate mucin synthesis (111). This work and most other previous studies were performed in cell lines that may exhibit differences from primary-culture cells in the regulation of mucin gene expression (Y. Alevy, A. C. Patel, and M. J. Holtzman, unpublished observations). Thus, additional work is needed to determine the role of ion channel activity or protein–protein interactions in regulating mucin synthesis, mucin secretion/exocytosis, and mucous cell metaplasia. The present results suggest that *mClca3* and *mClca5* may share structural determinants that regulate mucous cell metaplasia and thereby provide a basis for further definition of structure–function relationships for this family of proteins (summarized in Figure 4).

Similarly, we have not yet determined the upstream events that regulate CLCA expression or the downstream events that mediate CLCA function. However, we note that the 5'-regulatory region of the *mClca3* gene contains a putative binding site for the Stat6 transcription factor that mediates IL-13R signal transduction and that IL-13 stimulates *mClca3* (and *mClca5*) gene expression in cultured airway epithelial cells (A. C. Patel, J. D. Morton, and M. J. Holtzman, unpublished observations). Moreover, IL-13 expression is inducible in the mouse model of viral infection as well as the one for allergen challenge (112). Thus, it appears likely that *mClca3* and *mClca5* expression is driven at least in part by IL-13 in these settings. We are currently using a cell line that stably expresses hCLCA1 or hCLCA2 in an inducible promoter system to help define the capacity of CLCA proteins to regulate mucin gene expression.

To date, our work provides the first model for genetic segregation of airway disease traits of mucous cell metaplasia and airway hyperreactivity and the first evidence that *mClca3* gene expression is associated with one trait but not the other. The results further indicate that other *Clca* family members (e.g., *mClca5*) may also mediate mucous cell metaplasia in the setting of an



**Figure 4.** Scheme for CLCA structure and function. Proposed domain structure for hCLCA1 and hCLCA2 includes a hydrolase domain that may regulate proteolytic cleavage of the protein (116) and a von Willebrand factor (VWF) domain that may regulate interactions with other proteins, including ion channels. Following glycosylation and then proteolytic cleavage at the indicated sites, both hCLCA1 and hCLCA2 are internalized in the cell surface or mucin granule membrane and secreted as shown. In other schemes, all fragments of the mature protein are secreted. In either case, after processing, the mature proteins may participate in calcium-activated chloride channel activity (*lower left box*) or in signal transduction (*lower right box*) to regulate the indicated biological events and consequently contribute to mucous cell metaplasia and mucus hypersecretion.

isolated deficiency in the *mClca3*-dependent pathway responsible for mucous cell formation. The mouse system appears to be directly relevant to human airway disease because the human homologs for *mClca3* and *mClca5*, *hCLCA1* and *hCLCA2*, are both overexpressed in airway tissue of subjects with asthma and subjects with cystic fibrosis and are again co-localized to mucous cells (48, 113, 114). The results thereby highlight the complex nature of chronic airway disease as well as individual asthma traits, and provide a rational basis to specifically and effectively inhibit mucous cell metaplasia by globally inhibiting CLCA family members that may mediate this trait. Further understanding of how CLCA gene expression is regulated and how CLCA proteins act to regulate mucus production and secretion downstream signaling should provide critical insights into therapeutic strategies for hypersecretory diseases.

## CONCLUSION AND FUTURE DIRECTIONS

Traditional proposals for mucous cell metaplasia in the setting of respiratory illness and disease have focused on the adaptive immune system and overproduction of Th2 cytokines. We have questioned whether additional immune mechanisms might be identified if a high-fidelity model of the chronic disease process could be developed and analyzed. In that regard, we have used a mouse model of viral bronchiolitis in concert with studies of

patients with hypersecretory airway disease to define upstream immune events and downstream epithelial events that drive persistent mucous cell metaplasia. Critical questions include: how specific viral genes confer the chronic disease phenotype; how memory for the phenotype is preserved; and how host genetics determine susceptibility to developing individual disease traits? Initial results provide only partial answers to these questions. Thus, immunologic and genetic approaches have now defined upstream events that include a new immune axis for growth factor and cytokine production and downstream events that include ciliated epithelial cell survival and transdifferentiation to mucous cells as well as expression of chloride channel calcium-activated (*Clca*) genes. Similar events appear to develop in patients with asthma and those with COPD. Together, the studies achieve more precise definition of just how viruses reprogram airway behavior and thereby provide a more rational basis for restoring epithelial architecture to normal. Additional work is needed to fully answer these questions, including better definition of the interface between upstream and downstream events. Nonetheless, it is encouraging that several therapeutic targets have already been identified in an area where no selective and effective therapy yet is available. In that regard, we recognize that these abnormalities represent changes in systems that are normally required for host defense. Thus, a particular challenge for therapeutic strategies will be to restore proper balance to the immune system without compromising host defense.

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