

Interference of mismatch and base excision repair during the processing of adjacent U/G mispairs may play a key role in somatic hypermutation

Silvia Schanz^{a,b}, Dennis Castor^{a,b}, Franziska Fischer^a, and Josef Jiricny^{a,b,1}

^aInstitute of Molecular Cancer Research, University of Zurich, and ^bDepartment of Biology, Swiss Federal Institutes of Technology, Winterthurerstrasse 190, CH-8057 Zurich, Switzerland

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In eukaryotic mismatch repair (MMR), degradation of the error-containing strand initiates at nicks or gaps that can be up to a kilobase away from the mispair. These discontinuities may be the ends of Okazaki fragments or the 3'-termini of the leading strands during replication, whereas the termini of invading strands may fulfill this role during recombination. Here we show that, in extracts of human cells, MMR can initiate also at sites of ongoing base excision repair. Although unlikely under normal circumstances, this situation may arise *in vivo* during somatic hypermutation (SHM) and class switch recombination of Ig genes, where activation-induced cytidine deaminase (AID) generates multiple U/G mismatches in the variable or switch regions. Uracil should normally be excised by base excision repair (BER), but we show here that MMR proteins activated by a nearby mismatch interfere with uracil processing to generate long single-stranded gaps. We postulate that, in a subset of the repair events, filling-in of the MMR-generated gaps might be catalyzed by the error-prone polymerase- η , rather than by the high-fidelity polymerase- δ . Because polymerase- η has a propensity to misinsertions opposite adenine residues, the above mechanism would help explain why SHM affects not only C/G, but also A/T base pairs.

antibody diversity | class switch recombination

SHM is initiated by activation-induced cytidine deaminase (AID) (1), which converts multiple cytidines to uridines in the vicinity of complementarity determining regions, as well as around the switch loci of the Ig genes (2–4). Rather than repairing these uracils by error-free base excision repair (BER), pathogen-activated B-cells process these lesions in a highly error-prone manner, such that essentially any type of mutation, transition or transversion, can arise at C/G sites. However, mutations at A/T pairs appear with similar frequency, and these cannot be assigned to error-prone BER. Genetic studies with knockout mice have implicated the mismatch repair genes *Exo1*, *Msh2*, and *Msh6*, as well as the gene encoding polymerase- η , in A/T mutagenesis. The latter enzyme has a propensity for misinsertions opposite A in the template strand (5), but it isn't known to participate in BER, and it is unclear how it might be involved in error-prone DNA synthesis at the Ig loci.

The involvement of the mismatch repair (MMR) system in SHM was also puzzling. First, *Mlh1* and *Pms2*, which are essential for MMR, affected SHM to a substantially lesser extent than *Msh2* and *Msh6*, which suggested that the hypermutation process did not involve canonical MMR. Second, there was no evidence implicating MMR proteins in the processing of uracil-containing lesions, even though the *Msh2/Msh6* heterodimer (*MutS α*) is known to bind U/G mispairs (6). That is because eukaryotic MMR initiates at strand discontinuities, such as nicks or gaps that are distal to the mispair (7–9). No such termini are known to exist in the proximity of AID-generated uracils.

AID is believed to be targeted to its sites of action by transcription (10–12). A single deamination event in a transcription bubble or in transiently underwound DNA would generate

a uracil residue in one of the strands, which would give rise to a U/G mispair once transcription had moved on and the DNA had reannealed. Under normal circumstances, this lesion should be addressed by BER, where the uracil is removed by one of four uracil DNA glycosylases (UNG2, TDG, MBD4, or SMUG1), giving rise to an abasic (apurinic or apyrimidinic; AP) site. Subsequent cleavage of the sugar-phosphate backbone by an AP-endonuclease provides an entry point for polymerase- β , which extends the 3'-terminus of the nick by inserting a dCMP residue. Concurrently, pol- β cleaves off the baseless sugar-phosphate residue at the 5'-end of the gap to leave a nick, which is sealed by DNA ligase III (3, 13). We wanted to test whether this canonical BER process takes place also in extracts of B-cells and whether we could find any evidence of the involvement of MMR in U/G processing as suggested by the genetic studies.

Results

Covalently Closed Substrates Containing a Single Mismatch Are Refractory to MMR. We constructed supercoiled phagemid substrates containing a single U/G or G/T mispair in the recognition sequence of the *AcII* endonuclease. The presence of these mispairs makes the DNA refractory to cleavage at this site, but correction of U/G to C/G, or of G/T to A/T, will regenerate the restriction site; digestion of the heteroduplex DNA with *AcII* will then give rise to two new fragments of 1516 and 1307 nucleotides (Fig. 1A). [Note that, in this work, mismatches are designated such that the first letter indicates the nucleotide in the complementary strand of the phagemid and the second letter denotes the nucleotide in the viral strand of the heteroduplex. In substrates containing more than one mismatch, the order in which they are listed indicates their respective positions. Thus, in the substrate G/T-U/G, the mispaired uracil is situated 3' (downstream) from the mispaired guanine residue in the same (complementary) strand, whereas in the U/G-G/T heteroduplex, the uracil is 5' (upstream) from the guanine, also in the complementary strand. Where nicks were introduced into the complementary strand, these were either 5' or 3' from the mispaired guanine of the G/T or the mispaired uracil of the U/G mispair in the *AcII* site, as specified in the text.] As shown in Fig. 1B, the U/G repair reaction was very efficient (lane 1). Inhibition of the two most active uracil glycosylases in extracts of human cells (14), TDG (by immunodepletion) and UNG2 (by the uracil glycosylase inhibitor; Ugi), made the substrate refractory to cleavage (lane 2), which confirmed that the U/G mispair was processed by BER in this extract. [Note that all extracts used in this study were depleted of TDG. In this way, uracil processing

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¹To whom correspondence should be addressed. E-mail: jiricny@imcr.uzh.ch.

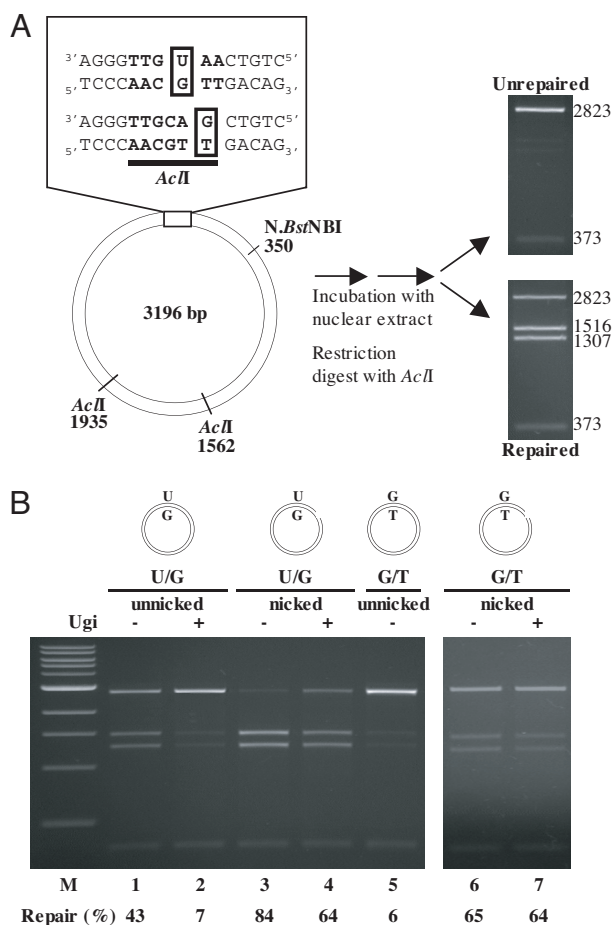


Fig. 1. BER- and MMR-catalyzed repair of U/G and G/T mismatches in extracts of BL2 cells. (A) Schematic representation of the circular heteroduplex substrates carrying either a single U/G or G/T mismatch in the recognition site of *AcII* endonuclease at nucleotide 44 or 46, respectively. The substrates were constructed by primer extension as described previously (14). The positions of the other *AcII* cleavage sites and of the *N.BstNBI* site, where a nick can be introduced selectively into the outer strand, are indicated. The numbering relates to the inner (viral) strand of the heteroduplex. The substrates were incubated with the cell extracts in the presence or absence of the UNG inhibitor Ugi, either covalently closed or nicked as shown above the respective figures. In the absence of repair, digestion of the phagemid DNA gave rise to fragments of 2,823 and 373 bp. Repair of the U/G mismatch to C/G, or of the G/T to A/T regenerated the third *AcII* restriction site, such that the phagemid DNA was cut into 3 fragments of 1,516, 1,307, and 373 nucleotides. The efficiency of the repair reaction was estimated by ImageQuant from scans of ethidium bromide-stained agarose gels. (B) Repair of the U/G or G/T mismatches in covalently closed (lanes 1, 2, 5) or nicked (lanes 3, 4, 6, 7) heteroduplex substrates in extracts of BL2 cells. [Note that, in all repair experiments in this study, the extracts were immunodepleted of TDG, because this enzyme could be shown to process U/G mismatches with high efficiency in human cell extracts (24)]. MBD4 and SMUG1, the other known uracil glycosylases, are inactive under our reaction conditions, such that UNG2 is the only remaining uracil-processing enzyme in the TDG-depleted extracts. The U/G mismatch in the unnicked substrate was efficiently processed by BER (lane 1), and this reaction could be inhibited by the addition of Ugi (lane 2). In a nicked substrate, the U/G mismatch was addressed also by MMR (lane 3). Correspondingly, only the BER, and not the MMR reaction, was inhibited by Ugi (lane 4). The control experiments showed that the unnicked substrate G/T was refractory to MMR (lane 5), but was efficiently processed when a nick was introduced into the G strand by *N.BstNBI* (lane 6). Addition of Ugi to the reaction containing the nicked G/T substrate did not influence the efficiency of the repair process. The numbers under the lanes represent an average of at least 2 independent experiments. M, molecular size marker.

by BER could be inhibited simply by adding Ugi to the extracts (14).] The G/T mismatch in the supercoiled substrate was also not repaired (lane 5). This confirmed that circular heterodu-

plexes lacking nicks or gaps are refractory to MMR, because the latter strand discontinuities represent essential entry points for exonuclease 1 (EXO1), which catalyses the degradation of the discontinuous strand of the heteroduplex up to and approximately 150 nucleotides past the mismatch (15). Correspondingly, the U/G and G/T substrates in the same substrates were very efficiently processed by MMR to C/G and A/T, respectively, when a nick was introduced approximately 350 nucleotides 5' from the U or the G (lanes 4 and 6, respectively). That the G/T substrate was efficiently repaired even when BER was inhibited (lane 7) showed that neither UNG2 nor TDG were required for the nick-directed MMR process. This experiment also confirmed that the extracts contained no endonuclease capable of nicking the G/T or U/G substrates.

Partially-Processed U/G Mismatches Can Serve as Initiation Sites for MMR.

The above experiments confirmed that a single U/G mismatch in a covalently closed circular substrate is not subject to MMR-dependent processing, despite being efficiently recognized by the mismatch recognition factor MutS α (a heterodimer of MSH2 and MSH6) (6), which is highly abundant in our extracts (data not shown) and generally in extracts of rapidly proliferating B-cells (16). However, available evidence suggests that AID catalyses several deaminations per molecule (17–19), which might give rise to several U/G mismatches in close proximity. We argued that in such a scenario, the picture might change. Upon mismatch recognition, MutS α undergoes an ATP-dependent conformational change, which converts it into a sliding clamp that causes it to leave the mismatch and diffuse along the DNA contour in search of a nick (20). On a covalently closed substrate containing a single U/G, the clamp would load at the mismatch, bind ATP, slide away, and fall off the DNA upon ATP hydrolysis, having failed to find a nick. In contrast, on a substrate containing more than one U/G, a MutS α sliding clamp loaded at one mismatch might interfere with uracil processing by BER at nearby U/Gs and use such partially repaired sites to load EXO1. To test this hypothesis, we constructed a supercoiled U/G-G/T substrate with the uracil residue 54 bp 5' from the guanine of the G/T mismatch in the *AcII* restriction site. [A G/T rather than a U/G mismatch in the *AcII* site was used in these experiments, because G/T is refractory to BER under our experimental conditions (Fig. 1B, lane 5), yet its processing efficiency by MMR is comparable to U/G (cf. lanes 4 and 6).] In the control reaction, the U/G-G/T substrate contained, in addition to the two mismatches, also a nick 296 nucleotides 5' from the uracil. As shown in Fig. 2A, the G/T mismatch in the nicked G/T control substrate (lane 5) and in the nicked U/G-G/T substrate (lane 3) was corrected with high efficiency to A/T. The latter reaction was slightly inhibited by the addition of Ugi (lane 4), which was expected, as, upon BER inhibition, all repair events must commence 350 rather than 54 nucleotides away from the mismatch. Importantly, however, the G/T mismatch in the unnicked U/G-G/T substrate was also corrected with appreciable efficiency (lane 1), and this repair could be almost totally inhibited by the addition of Ugi (lane 2). This shows that uracil processing in these extracts is not concerted and that BER intermediates are accessible to other enzymes, possibly because polymerase- β levels (and thus presumably also BER efficiency) are reduced in BL2 cells (21). In this case, MutS α loaded at the downstream G/T mismatch apparently loads EXO1 at the cleaved AP-site appearing after uracil excision to initiate a strand displacement reaction that results in the conversion of the G/T mismatch to an A/T pair.

MMR Events Commence at the Uracil Residue or at the Nick. That the repair event in the supercoiled U/G-G/T substrate indeed initiated at the uracil is shown in Fig. 2B, in which the above assay was repeated using radioactive dATP. Because EXO1-catalyzed strand degradation is known to continue approximately 150 nucleotides past the mismatch (15), the repair tract initiating at

MutL α deficiency only decreases its efficiency. This agrees with the finding from the Modrich laboratory, which demonstrated that MutL α increases the efficiency of 5' \rightarrow 3' MMR, but that it is essential for the 3' \rightarrow 5' reaction (22). To test whether this applies also to the uracil-directed MMR, we constructed the G/T-U/G substrate, which contained the uracil residue 54 nucleotides 3' from the mismatched G. Unlike in the U/G-G/T substrate, where approximately 20% 5' \rightarrow 3' MMR was detected in the absence of MutL α (Fig. 3B, lane 2), the supercoiled G/T-U/G substrate, which requires 3' \rightarrow 5' MMR, was refractory to repair in both 293T-L α ⁻ (Fig. 3C, lane 2) and LoVo (lane 3) extracts. In contrast, the G/T-U/G and the control G/T substrate carrying a nick approximately 300 nucleotides 3' from the mismatched guanine were repaired with similar efficiencies in the MMR-proficient 293T-L α ⁺ extracts (lanes 1 and 5) and in LoVo extracts supplemented with MutS α (lane 4). The absolute dependence of the MMR-dependent *in vitro* U/G repair on MutS α , but only a partial dependence on MutL α , corroborate the results of genetic studies, which showed that loss of *Mlh1* affected SHM to a substantially lesser extent than mutations in *Msh2* (3). Taken together, these results imply that the MutS α -dependent 5' \rightarrow 3' process predominates during this phase of diversification of Ig genes.

Discussion

Based on our results, we propose that SHM could proceed as outlined in Fig. 4: AID is recruited to an Ig promoter (i), where it deaminates a cytosine residue in single-stranded DNA of a transcription bubble (ii). This gives rise to a U/G mismatch in duplex DNA once the bubble has moved on (iii). This mismatch may be detected by MutS α , but cannot be processed by MMR because it has no nicks in the vicinity. MutS α will therefore slide off and the uracil will be made available for processing by BER. If BER were to be interrupted immediately after the action of UNG2 or TDG, the uncleft AP-site might persist until DNA replication, where its by-pass by REV1 would give rise to mutations at C/G sites (iii). This situation changes once a second cytosine deamination takes place in the moving transcription bubble (iv). Should a partially processed deamination site lie within approximately 1 kb (the maximum distance between a mismatch and a nick), the MutS α sliding clamp activated by the newly formed U/G (v) may interrupt the BER process at the distal uracil. If MutS α were to encounter an AP-site cleaved either by APE1 or MRE11, it might load EXO1 (vi), and the subsequent strand degradation would give rise to a single-stranded region spanning the distance between the first deamination site and approximately 150 nucleotides past the second one (vii). This gap would normally be filled in by the replicative polymerase- δ in an error-free manner. However, because the polymerase processivity factor PCNA in activated B-cells needs to be ubiquitinated (vii) in order for SHM to occur (25) and because ubiquitinated PCNA has a high affinity for the error-prone polymerase- η (26), it is possible that the ubiquitinated PCNA will recruit polymerase- η to a subset of the MMR repair patches (viii). Gap-filling by this error-prone enzyme (ix), which is known to introduce noncomplementary nucleotides (N) opposite Ts (27), would give rise to mutations at A/T base pairs (28).

Our data help explain many of the enigmatic results obtained in genetic and biochemical experiments (2-4). It must be remembered, however, that the mutations observed in hypermutated B-cells arise through several distinct processes of DNA metabolism, which are at least in part redundant. Thus, the mechanism described in this study likely represents just one of several pathways leading to SHM, which coexists with others. None of these pathways need be particularly efficient, given that cells producing high-affinity antibodies are selected for. Importantly, the redundancy in SHM ensures that the loss of a single

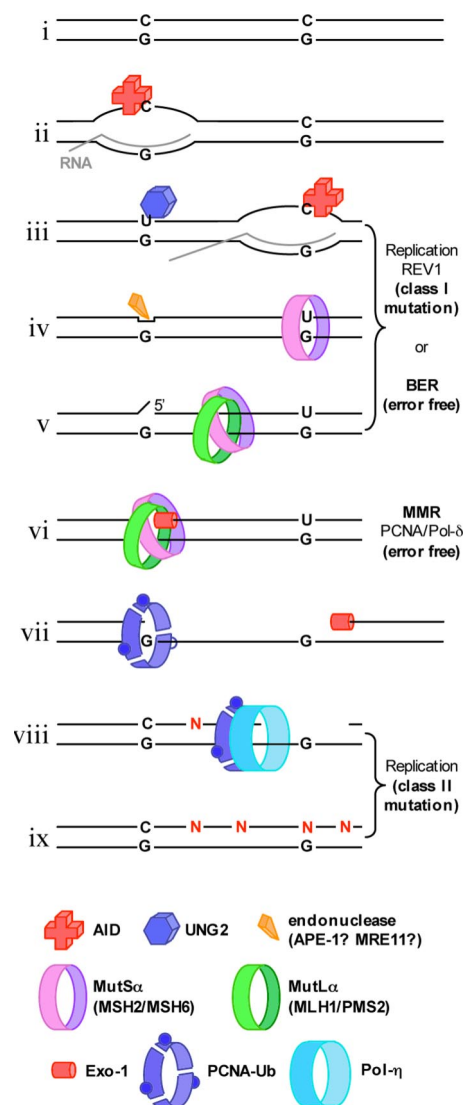


Fig. 4. Putative mechanism of somatic hypermutation. See text for details.

gene is unlikely to lead to immune deficiency. This helps ensure the survival of the organism on an evolutionary time scale.

Materials and Methods

Substrates, Nuclear Extracts, and *In Vitro* MMR Assays. The detailed procedure has been described previously (14). Briefly, heteroduplex DNA substrates containing a G/T or a U/G mismatch within an *AclI* restriction site in the 46-bp polylinker of a pGEM13Zf(+) derivative were constructed by primer extension, using the mismatch-containing 50-mer oligonucleotides (U/G: 5'-GGC CGC GAT CTG ATC AGA TCC AGA CGT CTG TCA AUG TTG GGA AGC TTG AG-3'; G/T: 5'-GGC CGC GAT CTG ATC AGA TCC AGA CGT CTG TCG ACG TTG GGA AGC TTG AG-3') as primers (uracil or mismatched residues are highlighted in bold) and the single-stranded phagemid DNA as template. To introduce a second U/G mismatch or U/A base pair in addition to the mismatch in the *AclI* restriction site, 89 (85)-mer oligonucleotides containing an additional uracil at the indicated site were used (U/G-U/G: 5'-CCA GTG AAT TGT AAT AUG AAC ACT ATA GGG CGA ATT GGC GGC CGC GAT CTG ATC AGA TCC AGA CGT CTG TCA AUG TTG GGA AGC TTG AG-3'; U/G-G/T: 5'-CCA GTG AAT TGT AAT AUG AAC ACT ATA GGG CGA ATT GGC GGC CGC GAT CTG ATC AGA TCC AGA CGT CTG TCG ACG TTG GGA AGC TTG AG-3'; U/A-G/T: 5'-CCA GTG AAT TGT AAU ACG AAC ACT ATA GGG CGA ATT GGC GGC CGC GAT CTG ATC AGA TCC AGA CGT CTG TCG ACG TTG GGA AGC TTG AG-3'; G/T-U/G: 5'-CCA GAC GTC TGT CG A CGT TGG GAA GCT TGA GTA TTC TAT AGT GTC ACC TAA ATA GCT TGG CGT AAT UAT GGT CAT AGC TGT TTC C-3'). Isolation of the desired supercoiled heteroduplex substrates and the MMR assays were carried out as described, using

100 ng (47.5 fmol) heteroduplex DNA substrate and 150 μ g of nuclear extracts from BL2, 293T-L α ⁺ (MLH1⁺), 293T-L α ⁻ (MLH1⁻) or Lovo cells in a total volume of 30 μ L.

UDG Inhibition and TDG-Immunodepletion of Nuclear Extracts. Protein A Dynabeads were washed twice with 30 mM Hepes-KOH, pH 7.5, 7 mM MgCl₂. Anti-TDG antibody (1:10,000) was added and the beads were incubated for 2 h at 4 °C. They were then washed 3 times with the above buffer and stored at 4 °C. The extracts were immunodepleted of TDG by incubating with 6.3 μ L antibody-preadsorbed Dynabeads for 30 min at 4 °C and subsequently used for in vitro MMR assays. Where indicated, UNG2 was inhibited by the addition of 3.6 μ L UGI (7.2 units) per 150 μ g nuclear extracts and incubation for 10 min at 37 °C.

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