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## **Interspecies genetics of eating disorder traits**

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## **Abstract**

Family and twin studies have indicated that genetic factors play a role in the development of eating disorders, such as anorexia and bulimia nervosa, but novel views and tools may enhance the identification of neurobiological mechanisms underlying these conditions. Here we propose an integrative genetic approach to reveal novel biological substrates of eating disorder traits analogous in mouse and human. For example, comparable to behavioral hyperactivity that is observed in 40-80% of anorexia nervosa patients, inbred strains of mice with different genetic backgrounds are differentially susceptible to develop behavioral hyperactivity when food restricted. In addition, a list of characteristics that are relevant to eating disorders and approaches to their measurement in humans together with potential analogous rodent models has been generated. Interspecies genetics of neurobehavioral characteristics of eating disorders has the potential to open new roads to identify and functionally test genetic pathways that influence neurocircuits relevant for these heterogeneous psychiatric disorders.

## **1. Introduction**

Although the past decade has witnessed a rapid infusion of family, twin, and molecular genetic studies into the literature on eating disorders (for reviews, see  $1<sup>2</sup>$ ), it is critical to maintain a balanced appraisal of the current status of the research and to be clear about what we know, what we have yet to determine, and how best to move the field forward.

Family and twin studies, now replicated across primarily European populations converge to support the familial aggregation of eating disorders and related traits 2. Yet, heritability estimates are not uniform, indicating clearly that environment also plays a role. Moreover, considerable heterogeneity in the extent to which additive genetic and environmental factors influence liability to various component symptoms within discrete eating disorders diagnostic categories suggests that our current diagnostic system might capture syndromes that include both symptoms that are more biologically mediated as well as symptoms that are more environmentally mediated and reflect the cultural context in which the symptoms arise 3-5.

The initial family and twin studies of anorexia nervosa were instrumental in determining the estimated heritability  $(50\%)$  of this disorder 6<sup>-9</sup> and in providing scientific support and rationale for both human linkage and association studies for anorexia nervosa. The published

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If eating disorders follow a similar path as other complex traits (e.g., for obesity  $18.19$ ), we anticipate that consistently positive findings will emerge for some candidate genes, but that the greatest discoveries will emerge not from single-gene association studies but from genomewide approaches.

contributed to the overall inconsistency in the literature 2,4.

Regardless, forethought is important to plan the next stages of research. One potentially fruitful approach is the application of complementary translational studies to functionally test human candidate genes for eating disorders and to identify novel genes for neurobehavioral traits relevant to eating disorders in a controlled environmental and genetic background. In order to design these studies, in the first instance, we require appropriate animal models for complex traits associated with eating disorders. This need provides challenging opportunities for the behavioral neuroscientist. As we will not develop animal models that capture the complete biological and psychological "package" of anorexia and bulimia nervosa, we rely on a host of second generation family and twin studies which drill down to the critical components of eating disorders in attempts to reduce phenotypic heterogeneity and theoretically enhance our efforts at gene-finding  $4.20$ <sup>-25</sup>.

While heritability contributes to the development of eating disorders, it is likely that environmental factors (e.g., socio-cultural and/or stressful life events) also play an important role. Gene—environment interaction is a potential explanatory model in which individuals are differentially vulnerable to, for example, strict dieting, because of differences in their genotypes. This differential vulnerability could then be the first step in the development of an eating disorder. Although gene-environmental studies for eating disorders are difficult to perform, animal studies may offer a unique opportunity to understand the mechanisms underlying these interactions, since eating disorder characteristics can be studied using standardized genetic background and laboratory conditions.

## **2. The ways forward**

#### **2.1 Genomewide association studies**

We have entered the era of genomewide association studies (GWAS). New, large studies are appearing in high-profile journals, findings are impressive and unexpected. In many cases, the candidate regions implicated by GWAS were not genes that had been previously prioritized as implicated in the disease under study. By the end of 2007, tens of billions of genotypes became available for five major psychiatric disorders — autism, attention-deficit hyperactivity disorder, bipolar disorder, major depressive disorder, and schizophrenia. The potential for both within and cross-disorder analyses is staggering.

While the findings from the currently performed GWAS are striking, they still explain only a small proportion of the diseases studied. For example, recent studies of memory function 26 and obesity 27each identified a single significantly associated SNP but with relatively small effect. These results demonstrate that GWAS alone will not suffice to unravel the genetic basis of complex disorders and that alternative complementary approaches should also be pursued.

#### **2.2 Genetic cascades versus single candidate genes**

Future GWAS for anorexia nervosa will reveal novel candidate genes for anorexia nervosa, but it is also possible that the identified candidate genes will not be replicated consistently in subsequent case-control genetic association studies of anorexia nervosa patients. While these contradictory findings may reflect phenotypic heterogeneity within the human eating disorder population, an alternative explanation is also plausible. For example, it is conceivable that the candidate genes identified are expressed in defined cell groups of certain tissues and are part of an integrated network of regulatory mechanisms. Therefore, mutations in upstream and/or downstream signaling molecules of a particular candidate gene will affect phenotypes similar to those expected in individuals with mutations in the candidate gene.

The orexin/hypocretin gene, for instance, is expressed in a well-defined cell population of the hypothalamus and has been implicated in the regulation of feeding behavior and arousal state 28<sup>,</sup>29. Terminals of these hypocretin/orexin producing neurons are spread throughout the brain 30 and activate orexin/hypocretin receptors. A forward genetic study (from phenotype to genotype) in dogs showed that a hypocretin receptor mutation and canine narcolepsy are linked 31. However, a subsequent study in human narcoleptic patients did not reveal an association between the hypocretin receptor gene and narcolepsy. Interestingly, post-mortem analysis revealed a lack of hypocretin/orexin production in hypothalamic neurons of narcoleptic patients 32. These findings indicate that searching for an association between a candidate gene (e.g., hypocretin receptor) and a certain phenotype (e.g., narcolepsy) may not be successful, since the disruption of the expression of other genes (e.g., hypocretin/orexin) in the genetic pathway of the candidate gene may be responsible for the phenotype. Therefore, unraveling the genetics of human eating disorder populations has to take into account genetic pathways rather than relying solely on individual candidate genes.

Genetic pathway analysis software is becoming available to identify and to further explore the involvement of a particular pathway in the development of a complex disorder. For example, Franke and co-workers 33 developed a functional human gene network that integrates information on genes and the functional relationships between genes. This is based on various data sets, such as the Biomolecular Interaction Network Database, the Human Protein Reference Database, the Gene Ontology database, predicted protein-protein interactions, human yeast two-hybrid interactions, and microarray co-expressions. The combination of these various layers of information about a certain candidate gene will reveal novel and/or known genetic pathways that interact with a particular candidate gene. These tools, therefore, will provide new opportunities to identify gene-gene relationships underlying complex disorders.

In light of this, various linkage and genetic association studies in anorexia nervosa point to the serotonin system as being involved in the disease  $12.13.34337$ . While these findings may be biased by the intense search for associations between serotonin system components and anorexia nervosa, linkage studies have shown that region-wide association with the 5-HT1D gene can be replicated in independent anorexia nervosa patient populations 12,13 pointing to a possible involvement of the serotonin signaling pathway in anorexia nervosa.

#### **2.3. Phenotype refinement**

The Diagnostic and Statistic Manual 4<sup>th</sup> edition (DSM-IV, American Psychiatric Association, 1994) classifies patients as having anorexia nervosa if they refuse to maintain body weight at or above a minimally normal weight for age and height (e.g., weight loss leading to maintenance of body weight less than 85% of that expected or failure to make

expected weight gain during period of growth, leading to body weight less than 85% of that expected), demonstrate an intense fear of becoming fat, have disturbed perceptions of body shape and size or deny their illness, and have amenorrhea. Two subgroups are recognized, the restricting type, in which weight loss is the result of dietary restriction, and the binge/ purge type, in which episodes of binging or purging and dietary restrictions co-exist. One approach for the future is to focus our attention on the identification and refinement of intermediate phenotypes and endophenotypes for eating disorders. Endophenotypes represent intermediate phenotypes related to a particular disorder that mark the pathway between the genotype and the behavior of interest 38. Examining endophenotypes could theoretically simplify genetic analyses because the number of genes involved in a disorder may be greater than the number of genes influencing a single endophenotype. In the case of eating disorders, it is possible that some of the core symptoms (such as maintenance of low body weight, binge eating, vomiting) could be clearly genetically mediated symptoms, whereas others, such as placing undue influence of shape and weight on self-evaluation could represent more environmentally mediated symptoms. Two lines of evidence support this contention. First, for anorexia nervosa, the drive for thinness and influence of shape and weight are not observed in all cultures although all other aspects of the disorder appear identical 39-41. Second, a novel twin method applied to the individual diagnostic criteria for bulimia nervosa indicates substantial variability across symptoms in terms of the magnitude of genetic contribution. In this maximal likelihood model, the heritability estimates for purging behaviours ranged from .43-.53, for binge eating behaviours, .34-.35; however, the genetic contribution to undue influence of shape and weight on self-evaluation was only .24 5. These results highlight the inherent heterogeneity introduced by our current diagnostic schema. Although there are considerable challenges in correctly identifying endo- and subphenotypes for eating disorders, genetic research looking at these traits may lead to the identification of genetic markers that will ultimately assist in improving diagnostic nosology.

#### **3. "of mice and men"**

#### **3.1 Interspecies genetics of eating disorder endophenotypes in mice**

While validity of translational research from mouse to human is widely accepted for common physiological processes (e.g., blood pressure regulation), interspecies comparison for psychiatric disorders offers a challenging opportunity for biomedical research 42. Recently, a proof of concept of the confluence between mouse and human was presented by Chen et al 43. A common genetic variant of the brain-derived neurotrophic factor (*BDNF*) gene in humans is associated with alterations in brain anatomy, memory and has been associated with psychiatric disorders, such as eating disorders, depression and schizophrenia 44-50. *BDNF* plays a role in neuronal survival, differentiation, and synaptic plasticity. Chen and co-workers showed that when this variant (Val66Met) is genetically introduced in mice, it mimics the phenotypic hallmarks in humans with the variant allele, including anxietyrelated behaviors. This key finding demonstrates the potential of comparative neurobehavioral genetic studies between mouse and human.

With the current availability of a large variety of inbred mouse strains and their known genome sequences  $51.52$ , mouse genetics offer a challenging way to study complex neurobehavioral traits. For example, in contrast to patient populations, mouse strains can be used to control for phenotypic and genetic heterogeneity as well as for complex geneenvironment interactions. With the recent generation of genetic reference populations, such as Recombinant Inbred Strains (RIS) 53 and Chromosome Substitution Strains (CSS) 54, rapid QTL analysis can be performed for neurobiological traits in mice. Complementary approaches for mice, such as haplotype mapping 55-57, genome-wide gene expression

58-62, and quantitative complementation studies 63 are readily available and provide a strong technological platform for gene identification.

Having said this, the true challenge for this approach is the development of appropriate animal models for anorexia nervosa. Perhaps the most critical caveat of animal models to bear in mind is whether the observed phenotype is truly being influenced by the same underlying neurobiological processes in human and rodent. Whereas the end-phenotype may be analogous, many biological paths can lead to the same endpoint. Moreover, each analog must be approached with extreme skepticism and alternative explanations must be considered. For example, before concluding that a mouse model represents an "asocial" mouse, it must be ruled out that the mouse does not have impaired eyesight or smell (both contributing to reduced approach behavior). Alternative explanations must be carefully ruled out before a rodent model can be accepted as an appropriate analog to human behavior.

The activity-based anorexia (ABA) or semi-starvation induced hyperactivity model is, among others 64, a known animal model to study pathophysiological processes in anorexia nervosa that has been in existence since the 1960′s 65. ABA is induced in rodents with voluntary access to running wheels and that are exposed to daily scheduled restricted food availability. Reminiscent of anorexia nervosa, certain rat and mouse inbred strains exposed to this daily scheduled feeding paradigm exhibit a paradoxical behavioral hyperactivity with reduced food availability and a subsequent body weight loss 66.67. Excessive behavioral hyperactivity may be a core trait of anorexia nervosa 68-72. In addition, we have shown that Olanzapine, an anti-psychotic drug, can suppress behavioral hyperactivity in both anorexia nervosa patients and rodents exposed to the ABA-model 73. Furthermore, plasma leptin levels are correlated with physical activity levels in anorexia nervosa patients during the acute phase of the illness 74 $\cdot$ 75 and chronic leptin infusion suppresses behavioral activity in rats exposed to the ABA model 76.77. Moreover, reminiscent to the high incidence of anorexia nervosa in young females (in the age of 15-19 years) 78, young adolescent rodents are more susceptible to ABA than older rodents 79. Taken together, these findings provide some face- and predictive validity of the model for pathophysiological processes observed in anorexia nervosa.

From an ethological perspective, rodents display a variety of critical behavioral, physiological and molecular responses 80 in order to survive during times of reduced food availability. In ABA, mice have daily limited access to food during the first two hours of the dark phase (the habitual eating phase of rodents) for five consecutive days. During these days, mouse strains develop diverse behavioral responses, such as differences in preparing for the upcoming meal (food anticipatory behavior) 81 and/or behavioral hyperactivity 66. Differences in behavioral responses to this scheduled feeding paradigm by mouse strains with different genetic backgrounds allow a systematic search for genetic loci involved in these responses (Figure 1).

The question remains, how relevant are these behavioral traits or endophenotypes within the model with respect to the development of an eating disorder? For this purpose, the combination of comparative genomics with susceptibility traits across species may provide an important bridge for translational research of neuropsychiatric traits 82.

Interestingly, a recent study using mu-opioid receptor knock-out mice showed that this receptor mediates food anticipatory activity 83, suggesting that opioid signaling may be relevant for a certain trait within the ABA-model. The involvement of opioid signaling is consistent with the region-wide association of the delta opioid receptor in anorexia nervosa 12,13 and, when considering the role of the opioid system in reward processes, would fit with the concept that self-starvation induces a reward from stress 72. In view of these

#### **3.2. Modeling eating disorder characteristics in rodents**

In addition to studies that focus on behavioral traits within animal models for eating disorders, such as the development of behavioral hyperactivity during food restriction episodes in the ABA model, one could also consider modelling susceptibility traits of eating disorders. For example, as discussed below, anxiety disorders are highly co-morbid with eating disorders 86-88. Anxiety disorders, such as social phobia, may represent risk factors for eating disorders and could share common mechanisms that are relevant to the development of anorexia and bulimia nervosa. Animal models for these susceptibility traits may reveal new insights into the mechanisms underlying eating disorder development. Table 1 presents a list of characteristics that are relevant to eating disorders and approaches to their measurement in humans together with potential analogous rodent models and their measurement approaches.

While animal models certainly cannot mimic all eating disorder traits, such as perfectionism, body dissatisfaction, or drive for thinness, behavioral scientists have been working for decades on animal models for other behavioral characteristics relevant to anorexia and bulimia nervosa (such as, for example, depressive symptoms (see 89-93 for review), for compulsive behavior (see 94,95 for review), for impulsivity (see 96-98 for review), for setshifting  $99\cdot100$ , and for body weight regulation (see 101<sup>-105</sup> for review)). Insights into mechanisms underlying these separate components may further contribute to our understanding of the development of heterogeneity within eating disorder populations. Nevertheless, novel developments to further refine assessments of these behavioral components in rodents will be required to further optimize these animal studies.

**3.2.1. Anxiety and anxiety disorders—**As indicated above, there is substantial comorbidity of eating disorders and anxiety disorders (for review see 106). Studies have consistently shown that a significant number of patients with anorexia nervosa or bulimia nervosa experience one or more anxiety disorders 107. Lifetime prevalence of at least one anxiety disorder in individuals with eating disorders varies from 25% 108 to 75% 109 in bulimia nervosa and from 23% 110 to 75% 111 in anorexia nervosa. Several studies have shown that anxiety disorders are pre-morbid to the development of an eating disorder 109,111-114, indicating that studies unveiling mechanisms underlying anxiety disorders may provide further insight into susceptibility factors for eating disorders.

In rodents, considerable information exists on the determination of anxiety levels. Standard laboratory tests, such as the open field, elevated plus maze, and light-dark box test, are generally used to measure novelty-induced anxiety levels in rodents. In general, rodent species have an innate preference for sheltered places that have lower light intensities than the outside world and that provide a sense of safety via body contact with the shelter area surface (thigmotaxis). The open field test was one of the first behavioral tests developed for emotionality and that was based on the assessment of these behavioral expressions 115. These relatively brief tests provide insights in novelty-responsiveness of the animal, but are confounded by strain differences in locomotor activity and do not provide baseline measures of anxiety levels. For these reasons, the field will benefit from novel measures that assess baseline anxiety levels and control for strain differences in locomotor activity 116,117. Furthermore, in addition to measures of anxiety levels in relation to novel environments with a non-social context, animal models for social phobia have also been introduced and

may be relevant to eating disorder development. For instance, behavioral tests have been developed in which rodents can be tested for their preference for social approach or avoidance 118,119. These further refinements in rodent behavioral testing paradigms will contribute to face, predictive and construct validity of animal models for eating disorder traits.

**3.2.2. Neuroimaging—**In addition to the development of behavioral testing paradigms to assess eating disorder characteristics in both mouse and human, neuroimaging approaches have recently been initiated across species to picture brain activities in relation to eating disorder development. While imaging studies in anorexia nervosa are in their infancy, they can provide novel insights into the neurocircuits that are involved in eating disorders. For example, recent imaging studies in anorexia nervosa have shown that disturbances of limbic and cognitive circuits have been found in malnourished, underweight anorexia nervosa 120 including involvement of the subgenual cingulate, basal ganglia, parietal lobes as well as other regions. However, for the most part, these studies assess "baseline" levels of brain function and do not provide information on how these regions process information. Furthermore, these studies in ill subjects are confounded by the potential effects of malnutrition. To circumvent potential state dependent effects of malnutrition on brain activity, studies have also been done on individuals with anorexia nervosa after long-term recovery. Such studies also find that individuals recovered from anorexia nervosa have altered function of limbic and cognitive regions 121-124, indicating a possible involvement of these brain regions in anorexia nervosa that is independent of nutritional status.

Recent studies using functional magnetic resonance imaging (fMRI) offer the advantages of higher resolution coupled with tasks designed to activate circuits of interest. For example, a recent study 124 showed that individuals who have recovered from restricting-type anorexia nervosa had altered patterns of response in the ventral and dorsal striatum to positive and negative feedback. That is, an anterior ventral striatum response that distinguished between winning and losing was seen in the comparison women but not in the anorexia nervosa group. These findings suggest that individuals with anorexia nervosa may have difficulty discriminating between positive and negative feedback, relative to healthy comparison subjects. Similarly, in a study using a startle reflex paradigm 125, a generalized failure to activate the appetitive motivational system was observed in individuals with anorexia nervosa. Recently, Barbarich-Marsteller 126 found changes in the striatum, hippocampus, and thalamus in rodents exposed to the ABA-model. Similarly, Van Kuyck 127 found altered activity in the ventral striatum, insula, thalamus, and ventral pontine nuclei, as well as a positive correlation between body weight loss and metabolism in the anterior cingulate and related regions in ABA rodents. When considered together, these human and rodent studies suggest the possibility of involvement of common pathways, but differences in imaging techniques and the effects of nutritional status make direct comparisons problematic.

Integration of genetic, behavioral, and neuroimaging findings may, in the end, provide more complete insight into the mechanisms underlying complex disorders. For example, increased anterior ventral striatum dopamine D2/D3 receptor binding in recovered anorexia nervosa patients could be contributing to the above mentioned alteration in anterior ventral striatum function 25. Disturbed dopamine 2 receptor binding observed by these brain imaging techniques would be consistent with recently observed genetic linkage with the dopamine D2 receptor and anorexia nervosa 128,129. Furthermore, recent animal studies have shown that mice with high susceptibility to develop ABA have increased striatal dopamine D2 receptor mRNA levels when compared to mice that do not develop behavioral hyperactivity in this animal model 130. In view of the relation between dopamine D2 receptor regulation and eating disorders, it is interesting to note that mice with a genetic deletion of this receptor

have deficits in reward processes 131-135 that may also translate to certain aspects observed in eating disorders 72.

**3.2.3. Some additional considerations—**Several eating disorder characteristics have been proposed here as potential susceptibility factors for the development of eating disorders. Some of these clinical characteristics will afford translational research (e.g., behavioral hyperactivity, increased anxiety levels), whereas others may prove to be more difficult to mimic in rodents (e.g., perfectionism, body dissatisfaction). Furthermore, theoretical considerations should also be taken into account. For instance, some of the core characteristics identified may be highly dependent on each other and/or may reflect the same pathophysiological process. For example, do neurocircuits that underlie disturbed setshifting in anorexia nervosa (partially) overlap with those of compulsive behavior and/or of perfectionism observed in these patients, or are these truly separate components of the disease? In addition, recent studies have indicated that behavioral hyperactivity seen in anorexia nervosa are related to the levels of anxiety and of food restriction 136, indicating that some behavioral characteristics are highly dependent on others. This requires practical considerations about study design to assess these potentially related eating disorder characteristics properly. Future research is necessary to study the relation between these eating disorder characteristics, as well as the genetic pathways underlying the pathophysiological neuro-processes that drive these behavioral characteristics.

#### **3.3. Functional testing of human candidate genes**

Human genetic studies will provide novel candidate genes for eating disorders; however, these genes need to be functionally tested. Furthermore, to unravel the contribution of a candidate gene in a neurobiological mechanism underlying eating disorders, translational research will be needed. Gene knock-out technology in mice has provided a tremendous contribution to gene function research in all biomedical disciplines. This has evolved in novel and further refined applications, such as conditional knock-out technology, vectordirected gene expression and short-hairpin interference methodologies to study gene function relationships over time (e.g., genetic deletion during development or adulthood 137 and in a tissue-specific manner). For example, recent studies have shown that the contribution of melanocortin-4 receptor antagonism (with agouti) on overeating behavior endophenotypes highly depends on the brain region in which agouti was over expressed 138. Thus, sufficient gene manipulation technology is available to functionally test human candidate genes for anorexia nervosa. This will, in combination with relevant animal models, further contribute to understanding gene function(s) in neurobiological mechanisms underlying the pathophysiology of self-starvation.

## **Concluding remarks**

Eating disorders, such as anorexia and bulimia nervosa, are psychiatric disorders that are likely determined by a complex interaction between genetic variations, developmental processes, and certain life events. An animal model has limitation to the extent that it cannot mimic the heterogeneous spectrum of an eating disorder. However, interspecies genetics may offer opportunities to identify and functionally test genetic networks that are necessary for proper functioning of neurocircuits underlying relevant eating disorder characteristics, such as set-shifting, increased anxiety levels, and behavioral hyperactivity. Increasing our knowledge about these neurobiological mechanisms will be relevant to develop new therapies applicable for subgroups (e.g., patients with extreme behavioral hyperactivity) within the heterogeneous eating disorder populations. Novel mouse genetic and phenotyping tools offer a way to study these neurobehavioral traits under controlled environmental and genetic background conditions.

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Kas et al. Page 10

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Kas et al. Page 11

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## **Figure 1.**

Strain differences in behavioral activity levels when exposed to scheduled daily feeding. Eight inbred mouse strains with different genetic backgrounds were exposed to five days of daily scheduled limited food access (for protocol, see 66) and revealed strain dependent differences in total wheel running levels during these days (relative to their baseline wheel running levels). For example, some strains (AKR/J, C57BL/6J, and 129Sv/J) did not increase their wheel running activity during scheduled feeding, while in contrast, the A/J strain almost doubled its behavioral activity levels.

## **Table 1**

Examples of how eating disorder characteristics may be modeled/tested in rodents and measured in humans.



